

# Thin-Film Alchemy: Using Epitaxial Engineering to Unleash the Hidden Properties of Oxides

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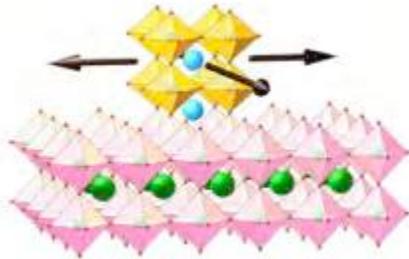
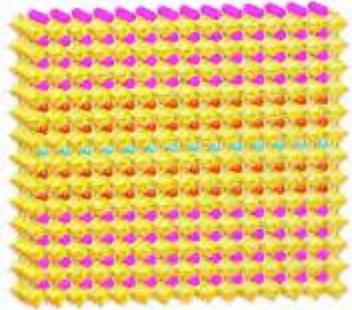
Darrell G. Schlom

*Department of Materials Science and Engineering  
Cornell University*

*Kavli Institute at Cornell for Nanoscale Science*

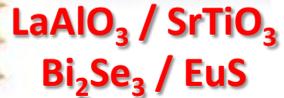
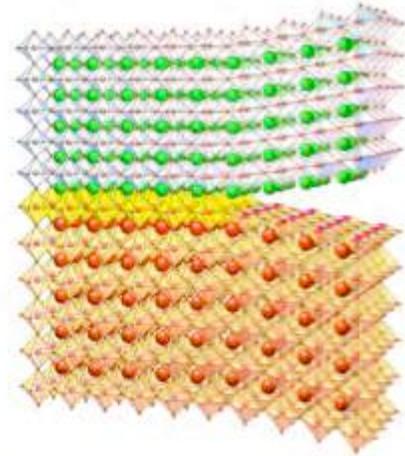
# Unleashing Hidden Properties

## Interface Engineering



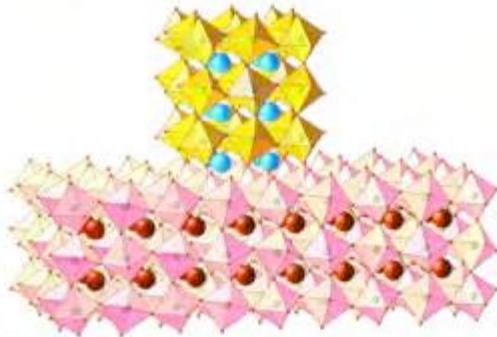
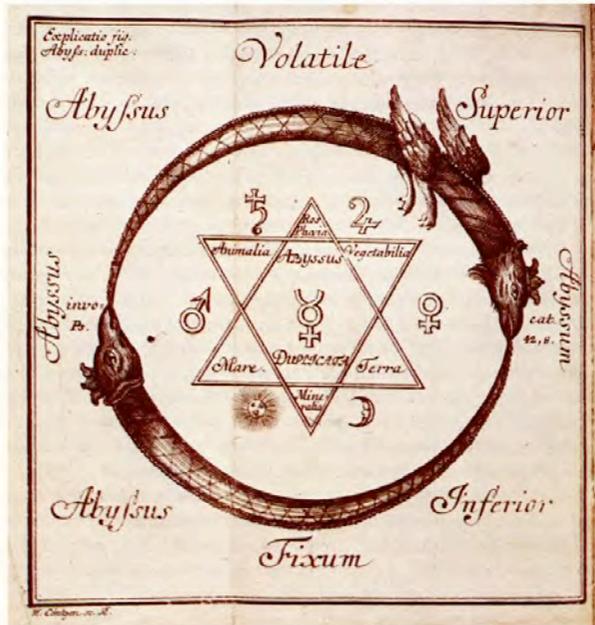
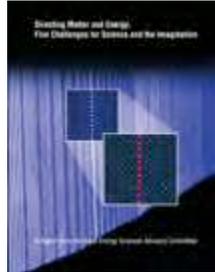
## Strain Engineering

$\text{FeSe} / \text{SrTiO}_3$



## Polarization Doping & Proximity Effects

(from juxtaposed competing ground states)



## Epitaxial Stabilization



## Dimensional Confinement



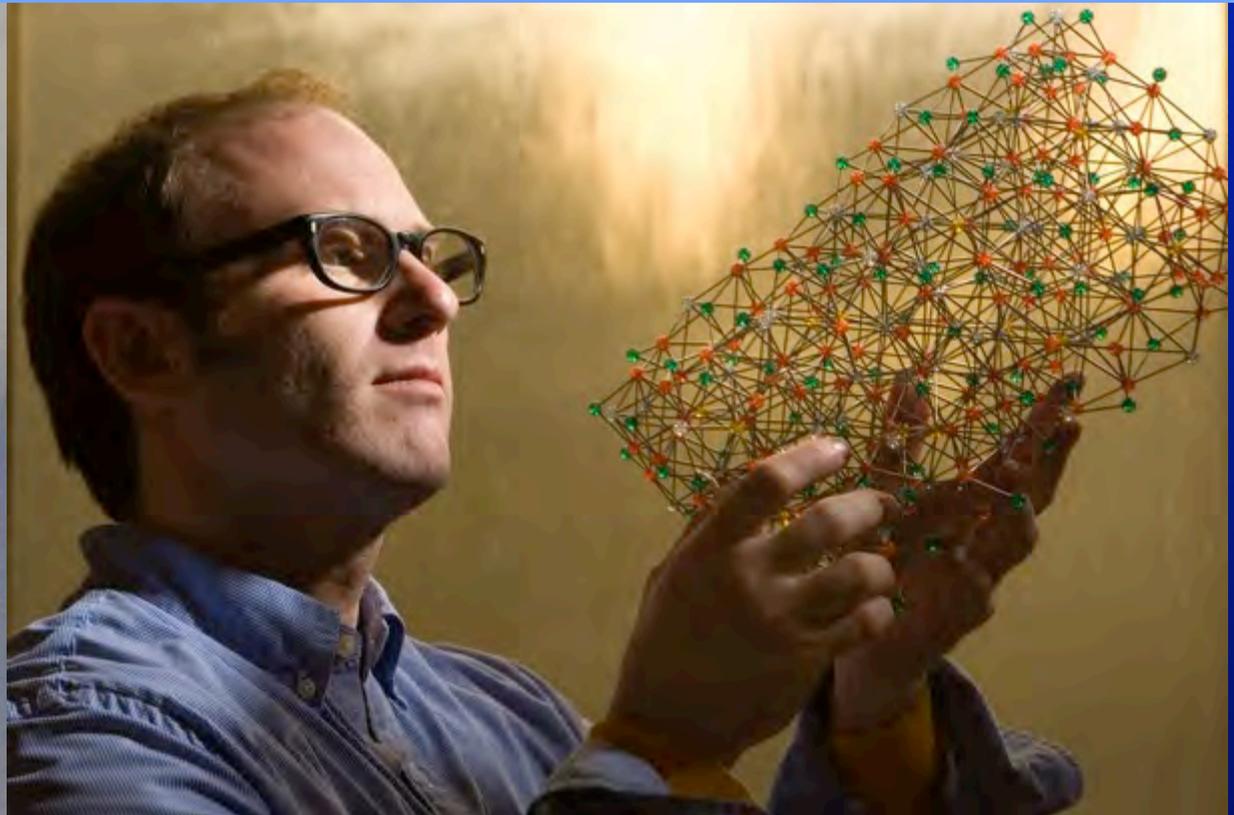
# Unleashing Hidden Properties

- Toolkit Enabling Materials-by-Design of Oxide Thin Films
- Ruthenates— $\text{BaRuO}_3$ ,  $(\text{Ca,Sr,Ba})_2\text{RuO}_4$   
altering band structure and properties
- $\text{EuTiO}_3$   
strongest ferromagnetic ferroelectric
- $(\text{LuFeO}_3)_9 / (\text{LuFe}_2\text{O}_4)_1$  Superlattices  
strongest ferromagnetic ferroelectric  
at room temperature

# The Sorcerers

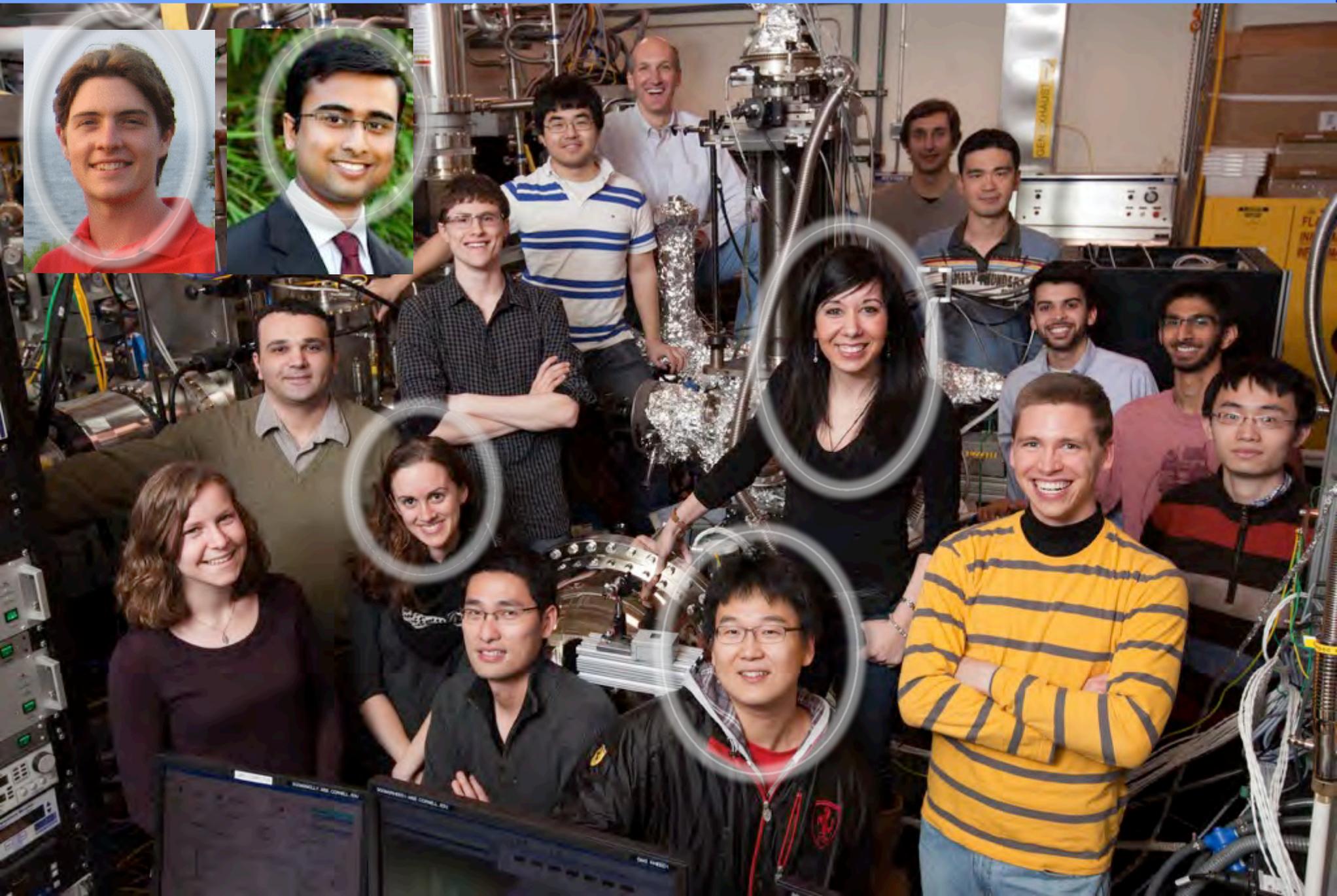


Karin Rabe (Rutgers)



Craig Fennie  
(Cornell)

# The Sorcerer's Apprentices



# In Collaboration with the Groups of:

**Craig J. Fennie**—*Cornell University*

**Karin M. Rabe**—*Rutgers University*

**Kyle M. Shen**—*Cornell University*

**David A. Muller**—*Cornell University*

**Lena F. Kourkoutis**—*Cornell University*

**Peter Schiffer**—*University of Illinois*

**Ramamoorthy Ramesh**—*University of California, Berkeley*

**Andreas Scholl**—*Lawrence Berkeley National Laboratory*

**Elke Arenholz**—*Lawrence Berkeley National Laboratory*

**Ezekiel Johnston-Halperin**—*Ohio State University*

**Venkatraman Gopalan**—*Penn State University*

**Stanislav Kamba**—*Institute of Physics, Czech Republic*

**Julie A. Borchers**—*NIST*

**William D. Ratcliff**—*NIST*

**Jürgen Schubert**—*Forschungszentrum Jülich GmbH*

**Reinhard Uecker**—*Leibniz Institute für Kristallzüchtung*

# Important Synthesis Rules

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- Gibbs' Rule  
 $\Delta G < 0$  to form stable phases
- Matthias's Rules for Superconductors  
... "Stay away from Theorists"
- Pauling's Rules for Crystal Structures  
Radius ratio criteria for stability

# Rules for QM Synthesis

- Gibbs' Rule  
 $\Delta G < 0$  to form stable phases
- Matthias's Rules for Superconductors  
... "Stay away from Theorists"
- Pauling's Rules for Crystal Structures  
Radius ratio criteria for stability

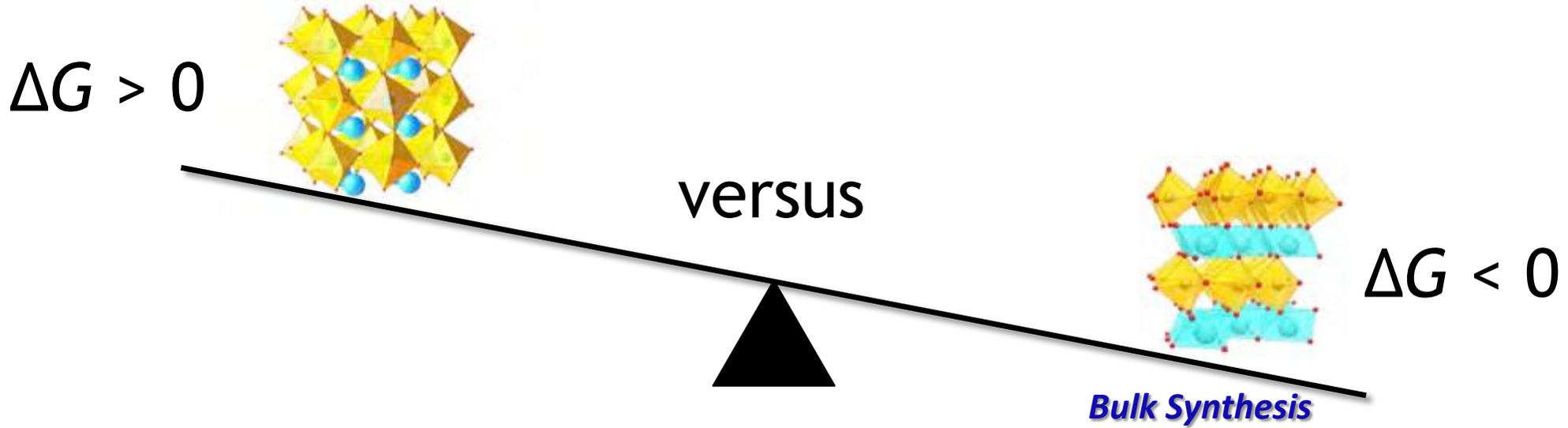
**BRILLIANT BUT USELESS!**

# **BREAK THE RULES**

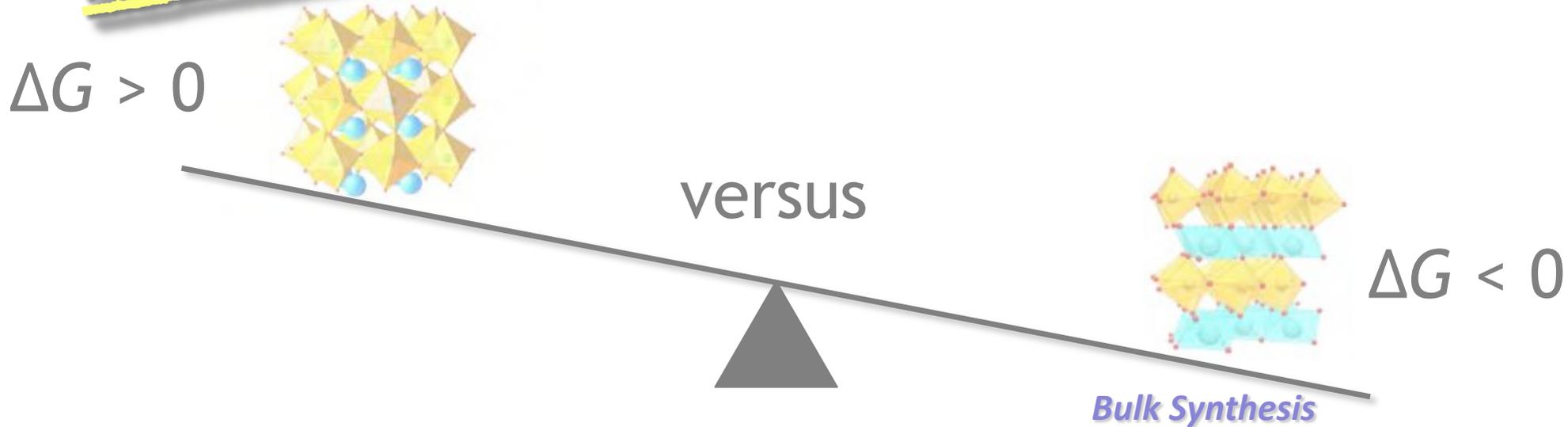
- Gibbs' Rule

$\Delta G < 0$  to form stable phases

# Gibbs' Rule

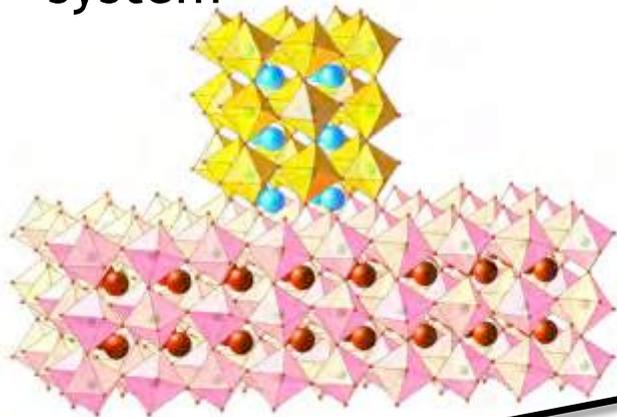


# **BREAK** Gibbs' Rule



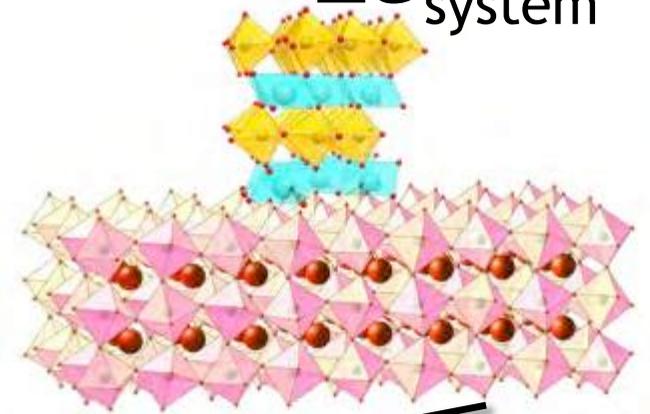
Stable if free energy difference overcome by  $\Delta(\text{interface energy}) + \Delta(\text{strain energy}) + \Delta(\text{surface energy})$

$\Delta G_{\text{system}} < 0$



*Epitaxial Stabilization*

$\Delta G_{\text{system}} > 0$



E.S. Machlin and P. Chaudhari, in *Synthesis and Properties of Metastable Phases*, edited by E.S. Machlin and T.J. Rowland, (The Metallurgical Society of AIME, Warrendale, 1980), pp. 11-29.

# **BREAK THE RULES**

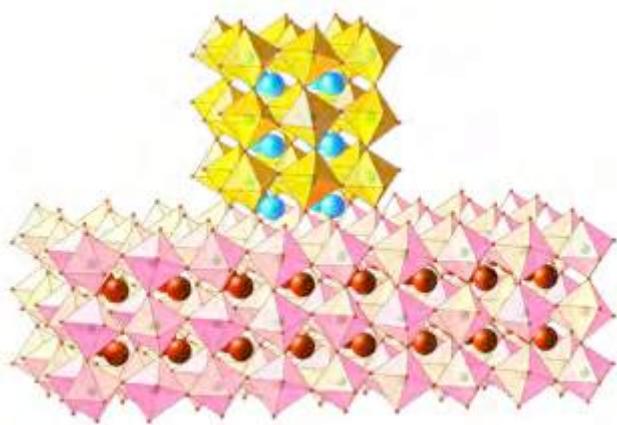
- Gibbs' Rule

$\Delta G < 0$  to form stable phases

Exploit interfacial energy from substrate

# Substrates are Important

“Indeed, to achieve the objective of ‘pseudomorphic stabilization,’ the researcher should make the attempt to choose the substrate ...”



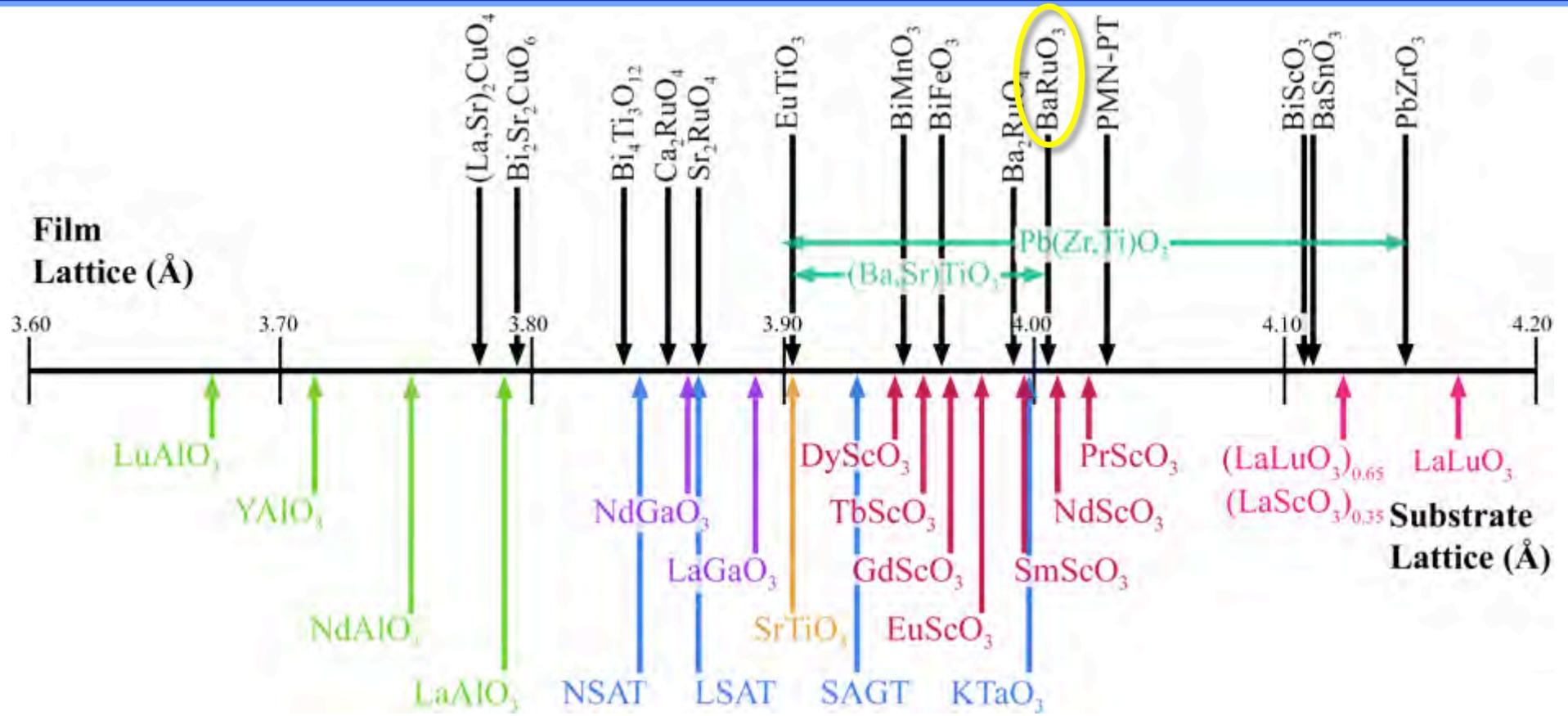
***Epitaxial Stabilization***



E.S. Machlin and P. Chaudhari,  
“Theory of ‘Pseudomorphic Stabilization’ of  
Metastable Phases in Thin Film Form,” in  
*Synthesis and Properties of Metastable Phases*,  
edited by E.S. Machlin and T.J. Rowland

(The Metallurgical Society of AIME, Warrendale, 1980), pp. 11-29.

# Commercial Perovskite Substrates



[110]  $\text{DyScO}_3$ ,  $d = 32 \text{ mm}$

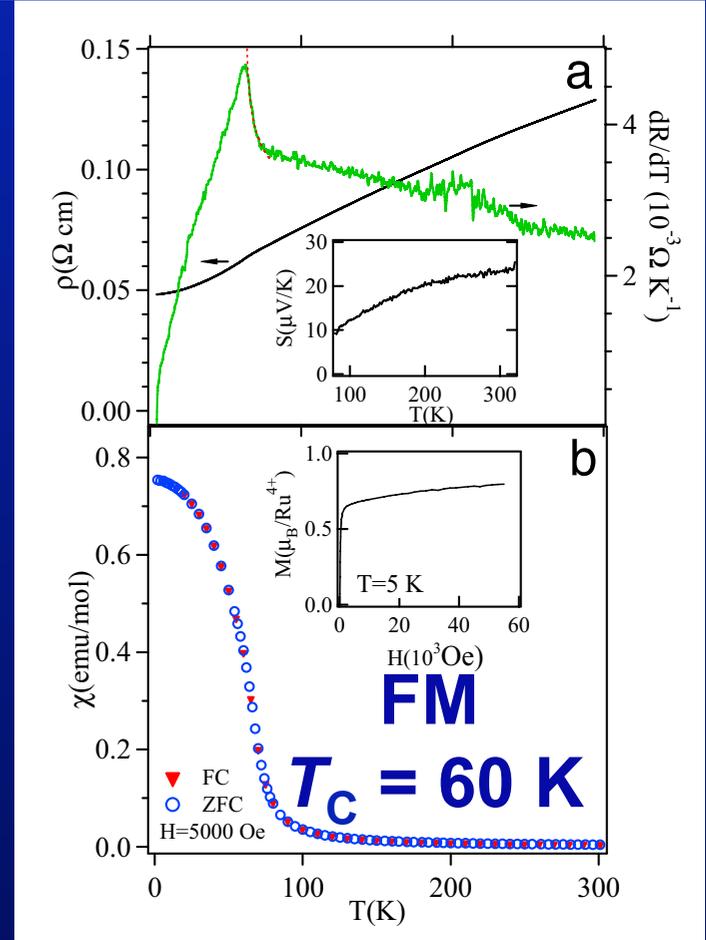
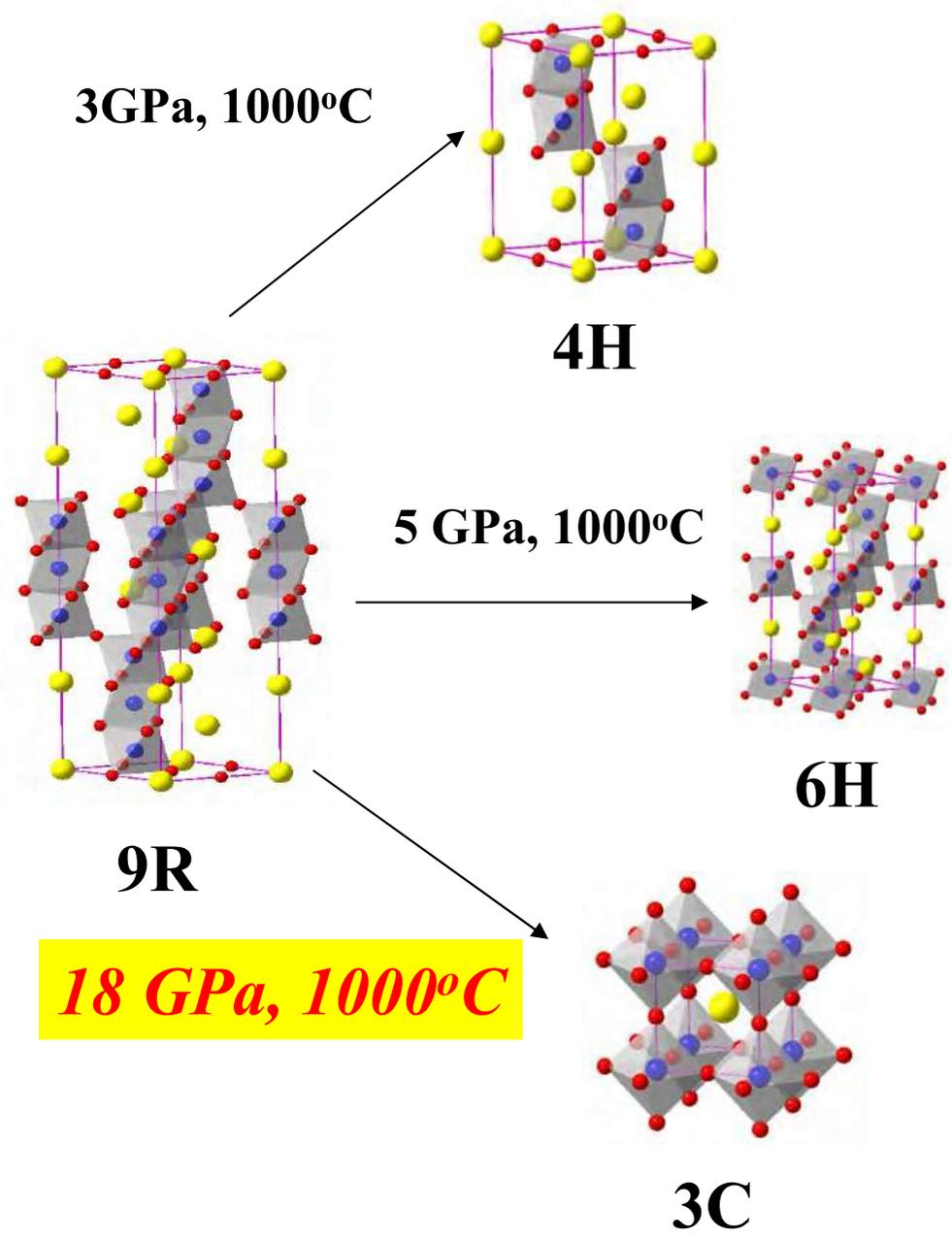


[110]  $\text{GdScO}_3$ ,  $d = 32 \text{ mm}$



D.G. Schlom, L.Q. Chen, C.J. Fennie, V. Gopalan, D.A. Muller, X.Q. Pan, R. Ramesh, and R. Uecker, "Elastic Strain Engineering of Ferroic Oxides," *MRS Bulletin* **39** (2014) 118-130.

# BaRuO<sub>3</sub> Polymorphs



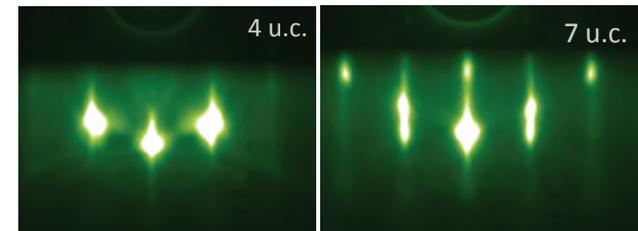
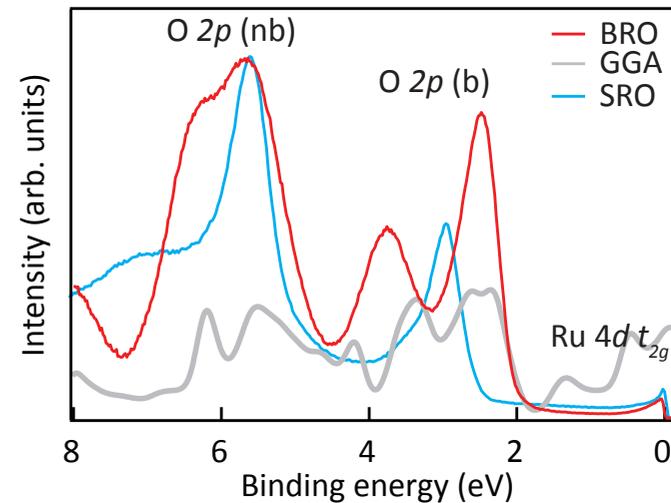
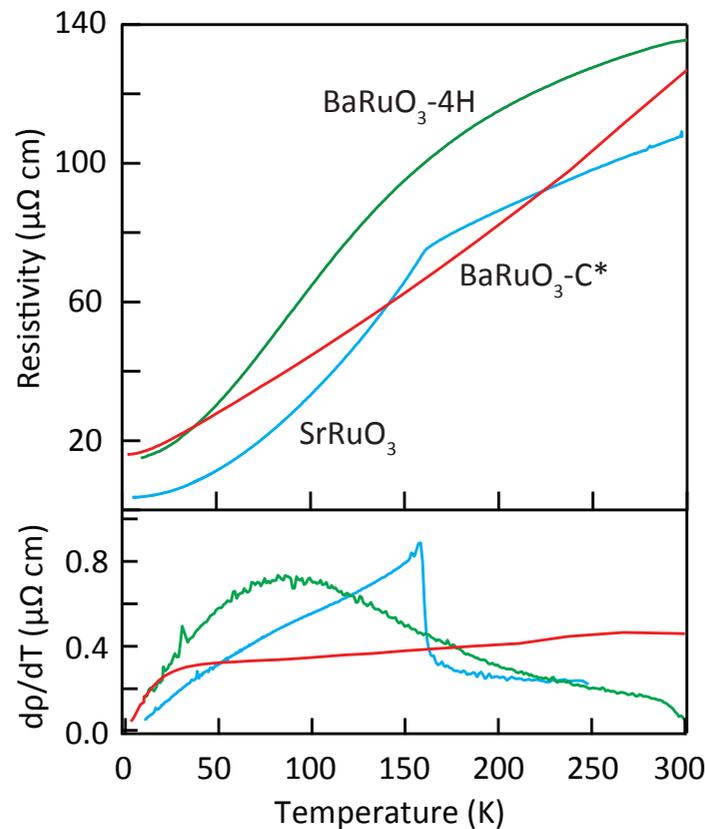
C.Q. Jin, J.S. Zhou, J.B. Goodenough, Q.Q. Liu, J.G. Zhao, L.X. Yang, Y. Yu, R.C. Yu, T. Katsura, A. Shatskiy, and E. Ito, "High-Pressure Synthesis of the Cubic Perovskite BaRuO<sub>3</sub> and Evolution of Ferromagnetism in ARuO<sub>3</sub> (A = Ca, Sr, Ba) Ruthenates," *PNAS* **105** (2008) 7115–7119.

# Example – BaRuO<sub>3</sub> / SrTiO<sub>3</sub>

- Epitaxially stabilized for  $\leq 5$  unit cells
- No octahedral rotations  
(2.5% compressive strain  $\rightarrow$  tetragonal)

- $\frac{\rho_{300\text{ K}}}{\rho_{4\text{ K}}} = 7$

- No FM



# **BREAK THE RULES**

- Gibbs' Rule  
 $\Delta G < 0$  to form stable phases  
Exploit interfacial energy from substrate
- Matthias's Rules for Superconductors  
... "Stay away from Theorists"

# Rules of B. Matthias for discovering new superconductors

1. high symmetry is best
2. peaks in density of states are good
3. stay away from oxygen
4. stay away from magnetism
5. stay away from insulators
6. stay away from theorists



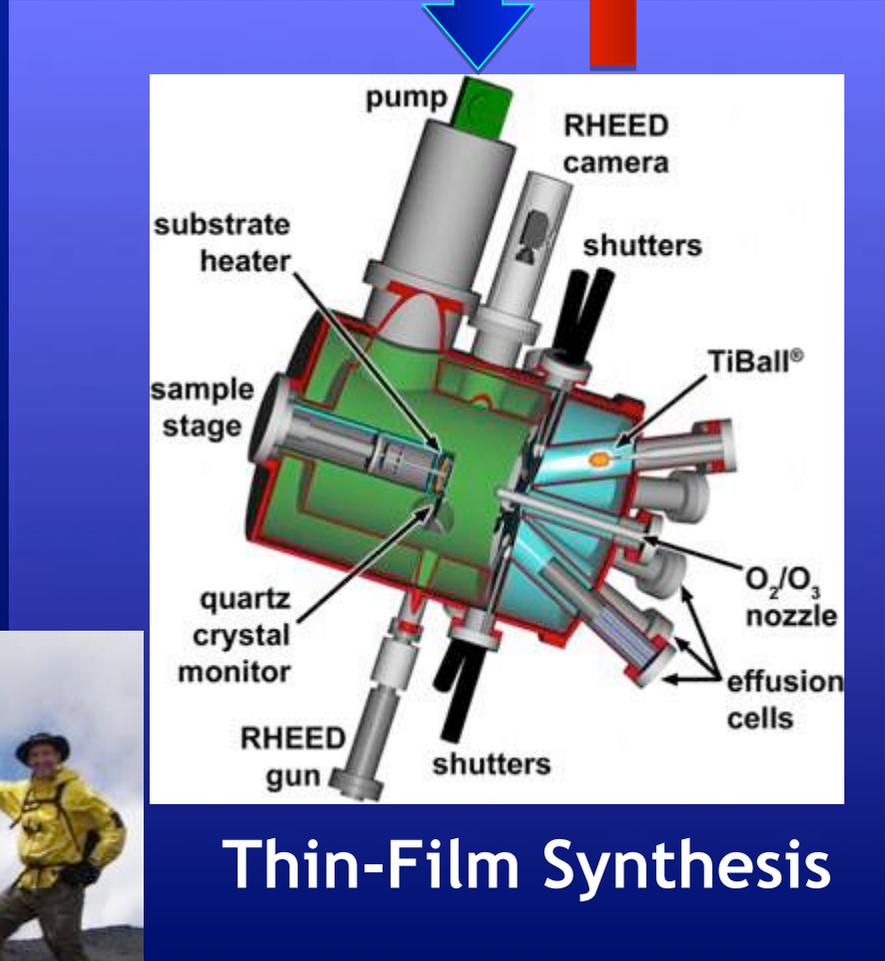
From Steve Girvin's lecture (Boulder Summer School 2000) courtesy of Mike Norman via Matthew Fisher



# **BREAK**

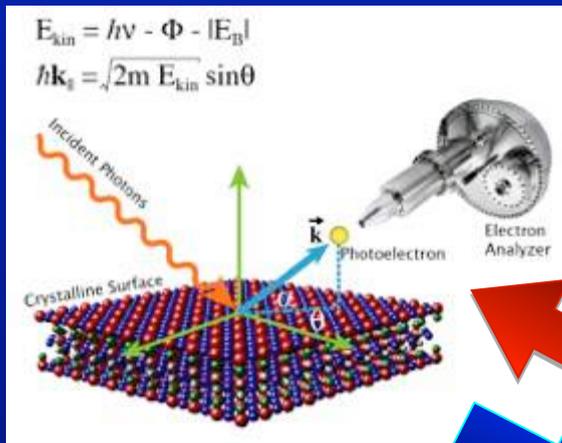
# Matthias' Rule

Team up with Theorists



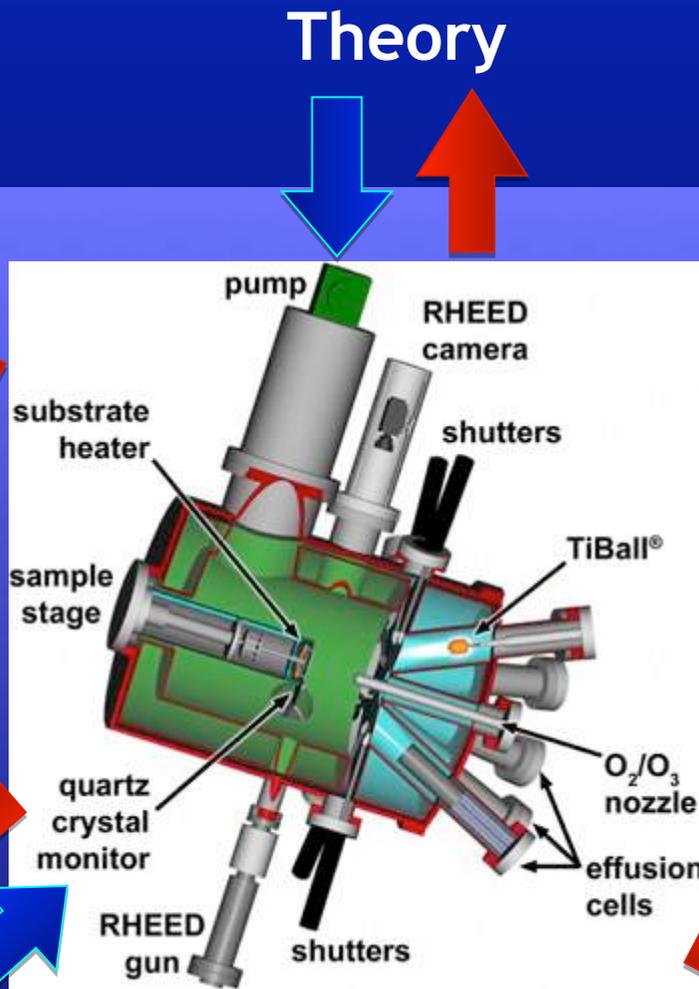
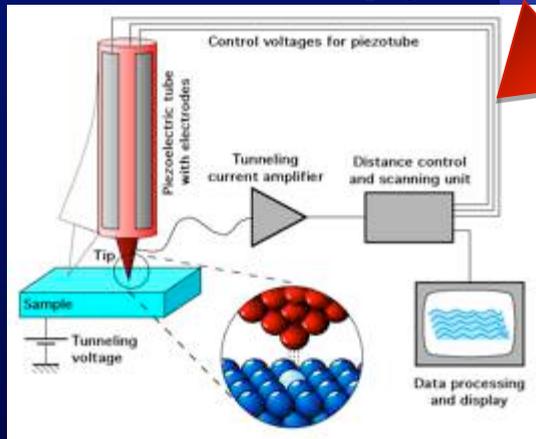
## Thin-Film Synthesis

# Provide useful Feedback to Theory



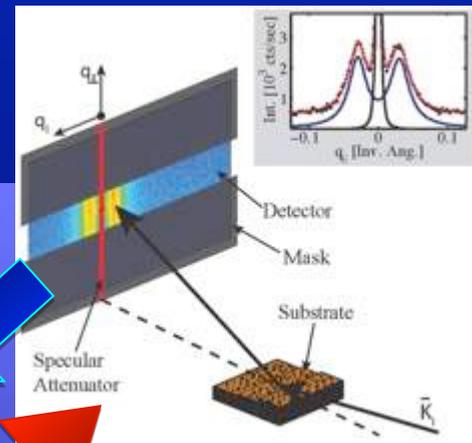
Photoemission Spectroscopy

Scanning Tunneling Microscopy

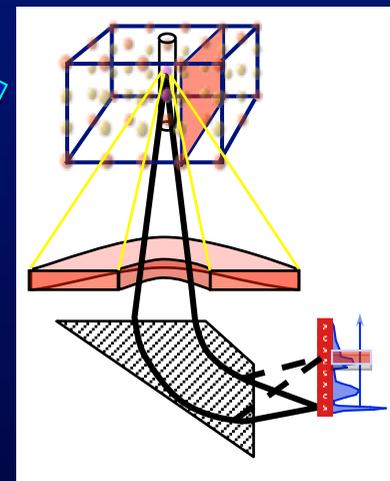


Thin-Film Synthesis

Transmission Electron Microscopy



Synchrotron X-ray Diffraction



Theory



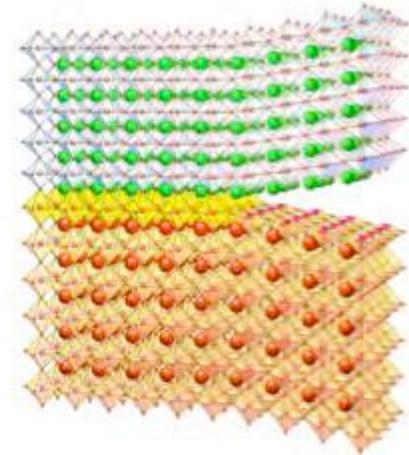
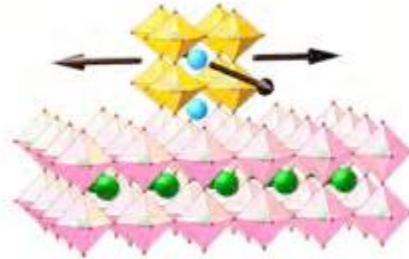
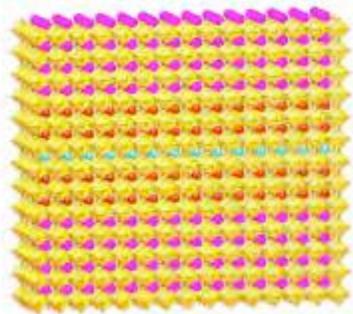
# **BREAK THE RULES**

- Gibbs' Rule  
 $\Delta G < 0$  to form stable phases  
Exploit interfacial energy from substrate
- Matthias's Rules for Superconductors  
... "Stay away from Theorists"  
Team up with theorists  
(and provide them with useful feedback  
e.g., Thin-Film Synthesis + ARPES)

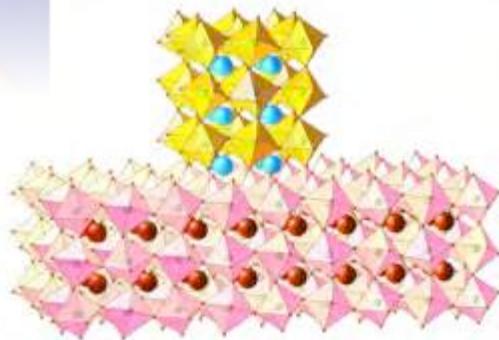
# Why Thin-Film Synthesis + ARPES ?

## “Artificial” Quantum Materials

### Interface Engineering



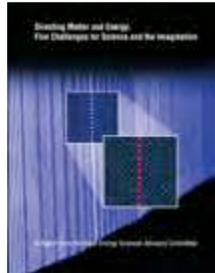
Polarization Doping & Proximity Effects  
(from juxtaposed competing ground states)



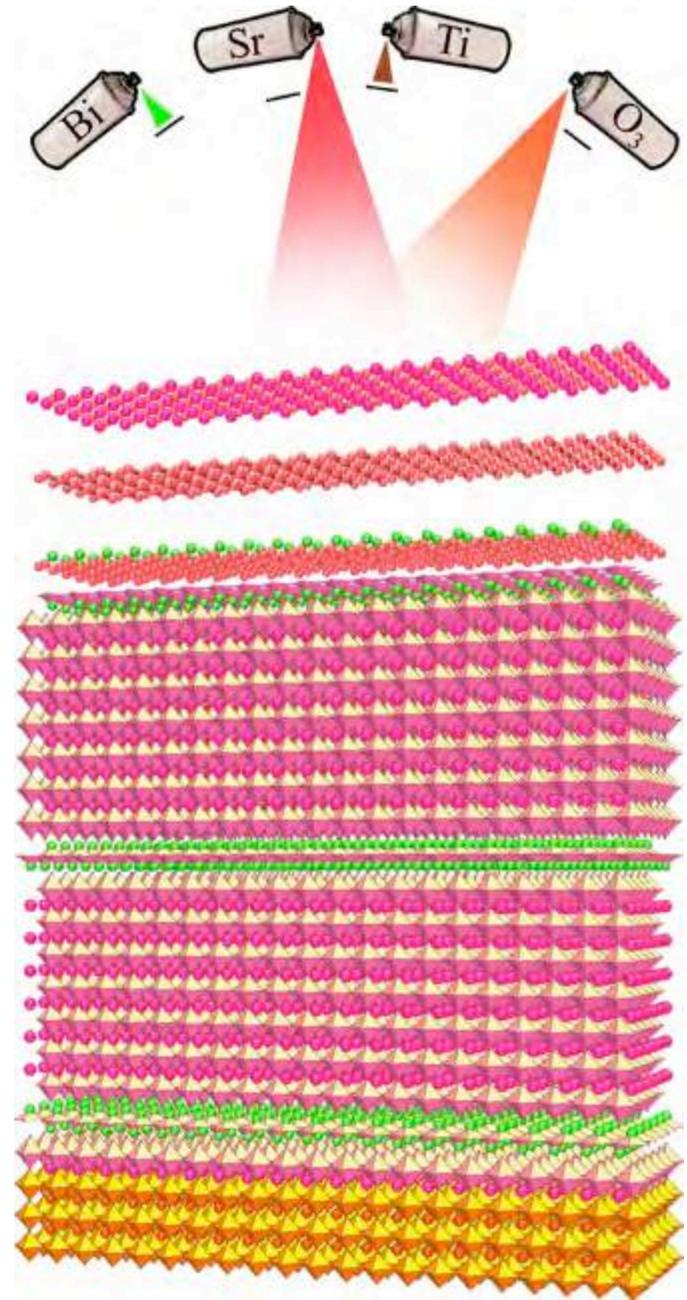
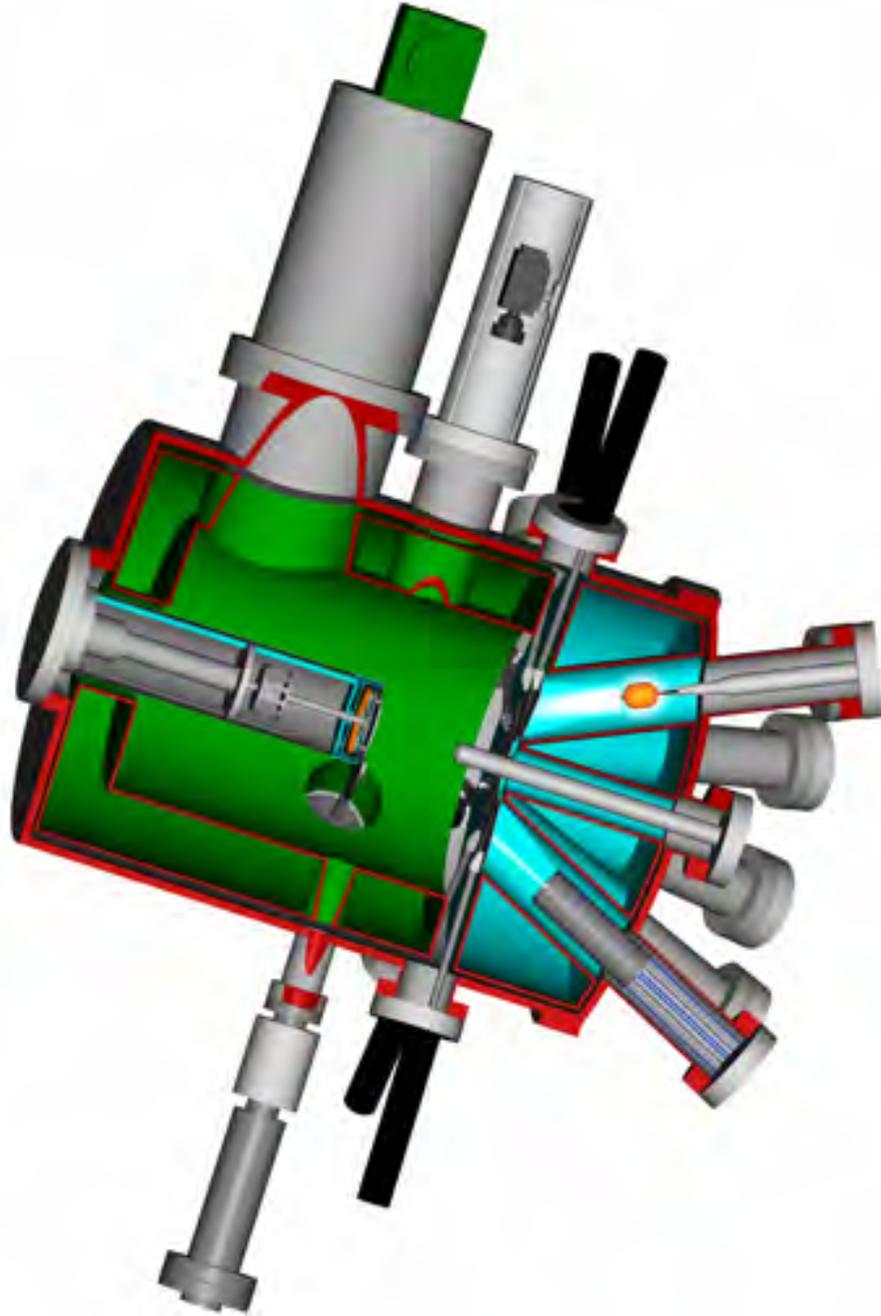
### Bulk Quantum Materials



ARPES



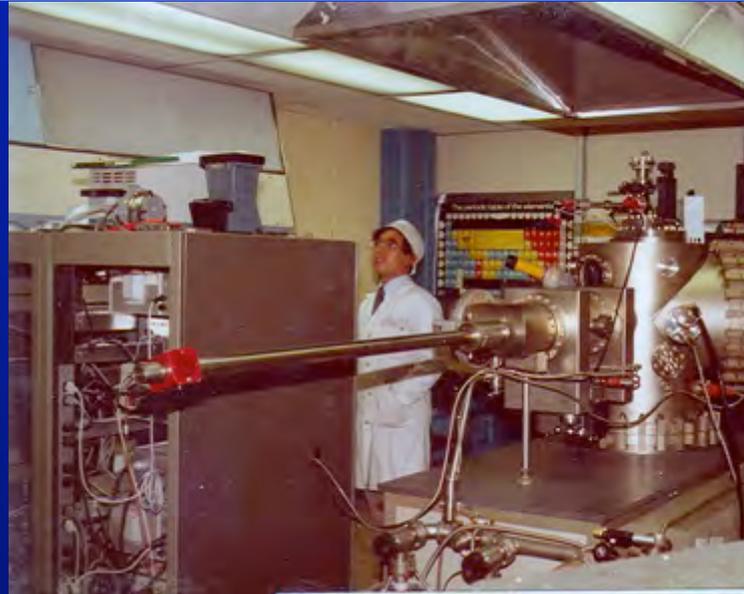
# MBE $\approx$ Atomic Spray Painting



# Evolution of MBE



1<sup>st</sup> MBE  
Al Cho at Bell Labs, 1972

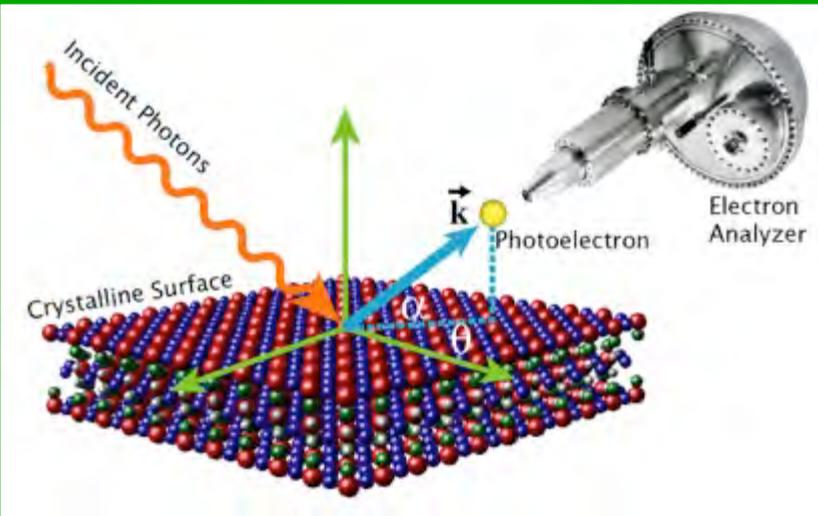
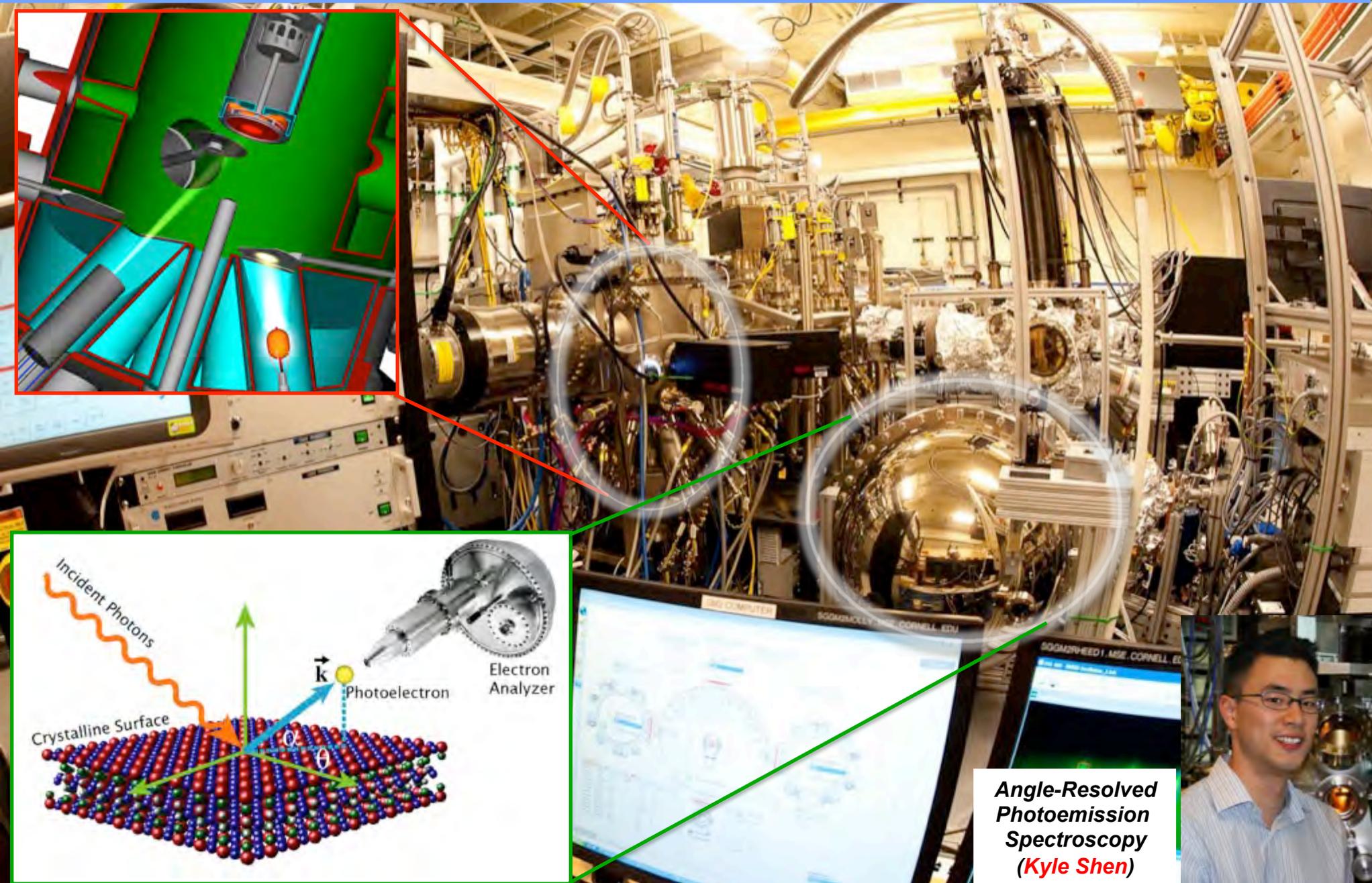


1<sup>st</sup>  
University  
MBE  
Cornell,  
1978



Production  
MBE  
Today  
(courtesy of TRW)

# Oxide MBE + ARPES



Angle-Resolved Photoemission Spectroscopy  
(*Kyle Shen*)

# MBE + ARPES

## Titanates



## Vanadates



## Manganites



## Nickelates



## Cuprates



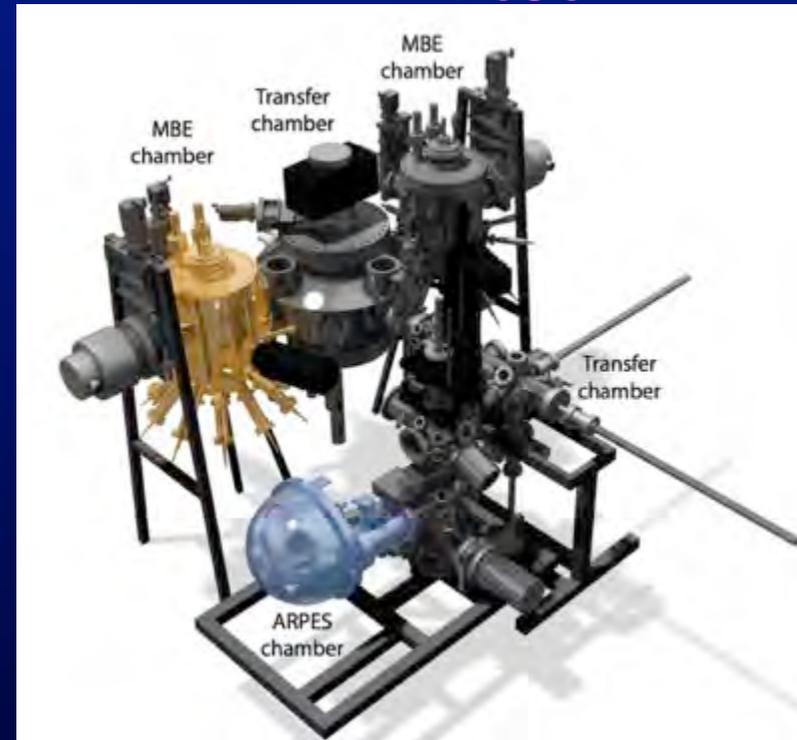
## Ruthenates



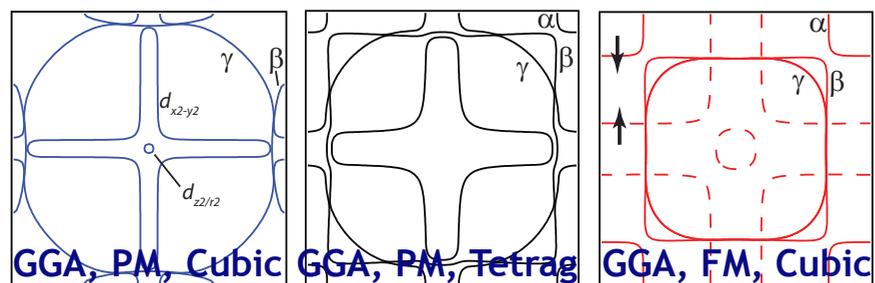
## Iridates



## Other Materials

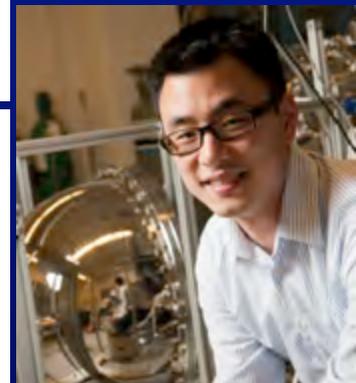
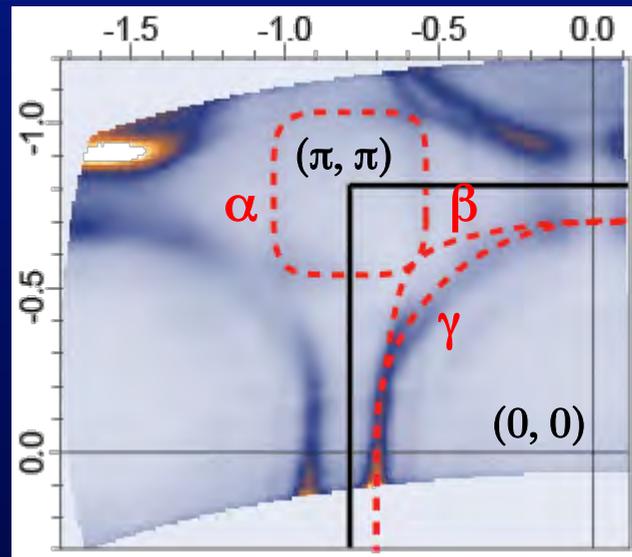
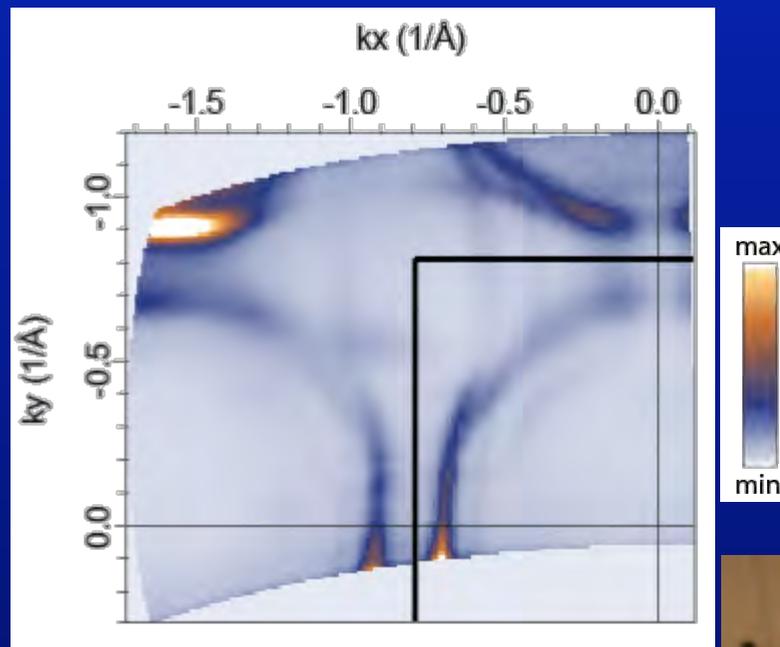
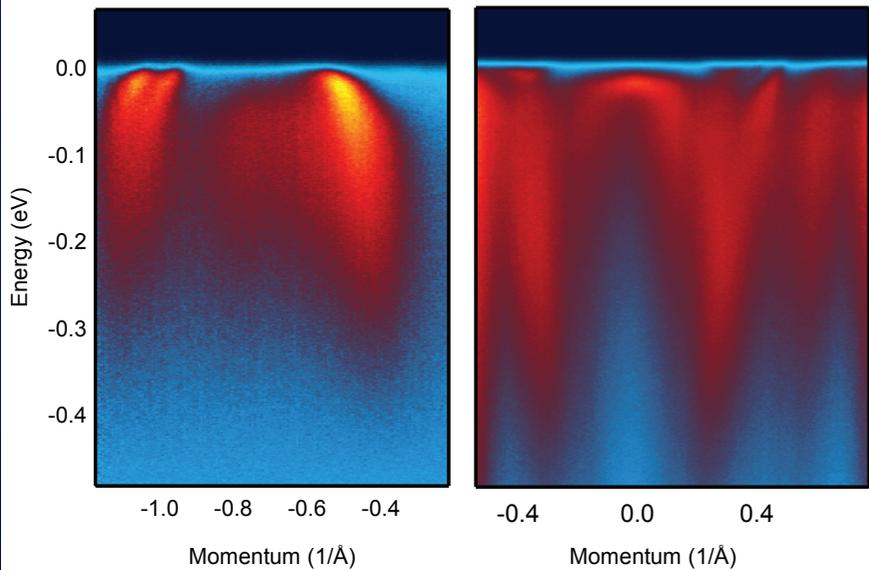
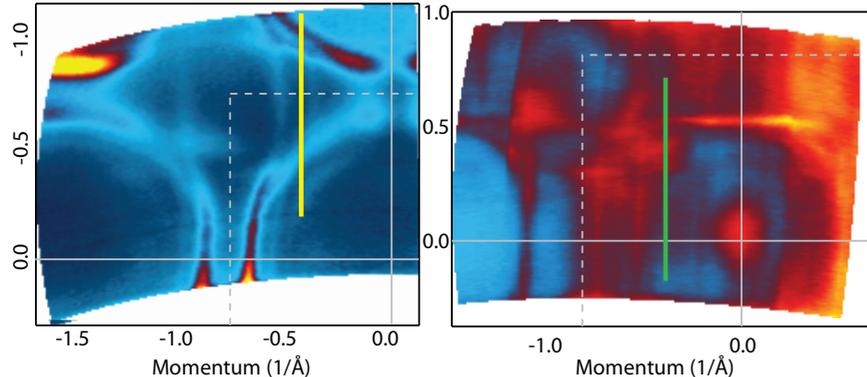


# ARPES of BaRuO<sub>3</sub> / SrTiO<sub>3</sub>



BaRuO<sub>3</sub>

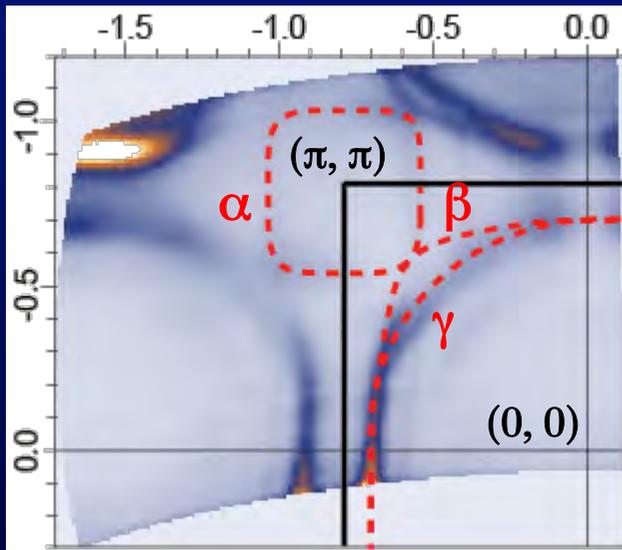
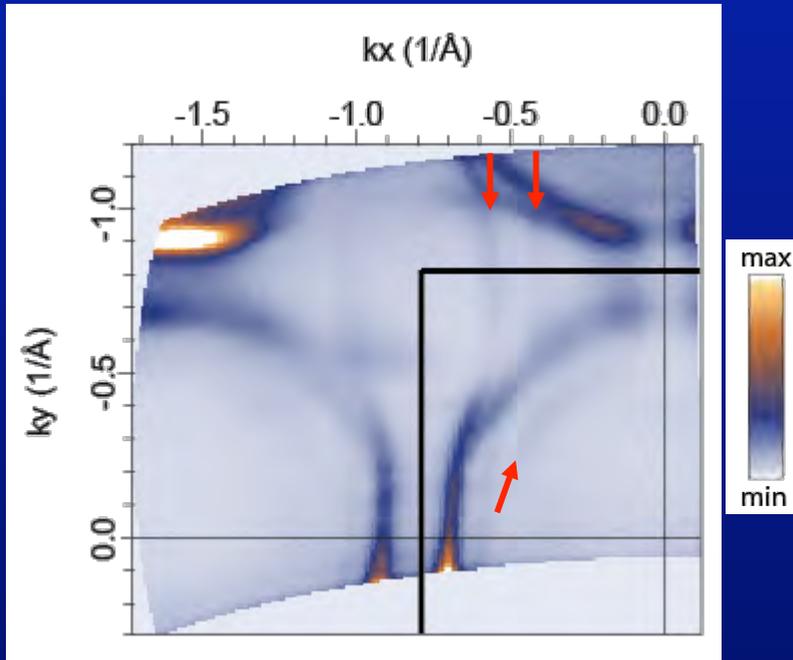
SrRuO<sub>3</sub>



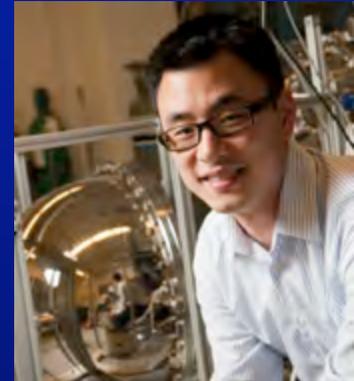
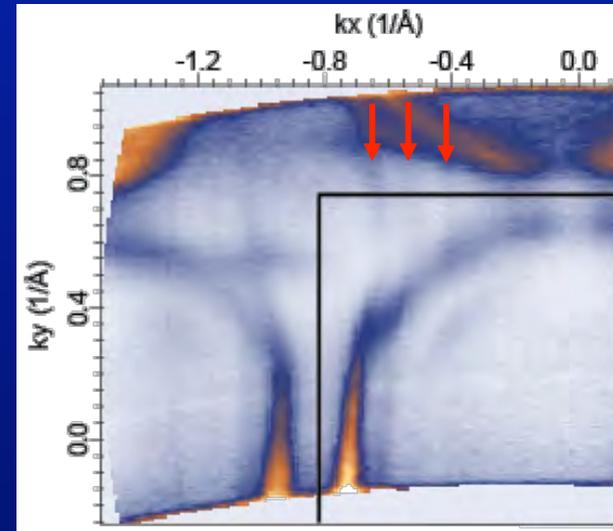
Kyle Shen

# Quantum Well States in BaRuO<sub>3</sub>

Thickness 3 unit cells



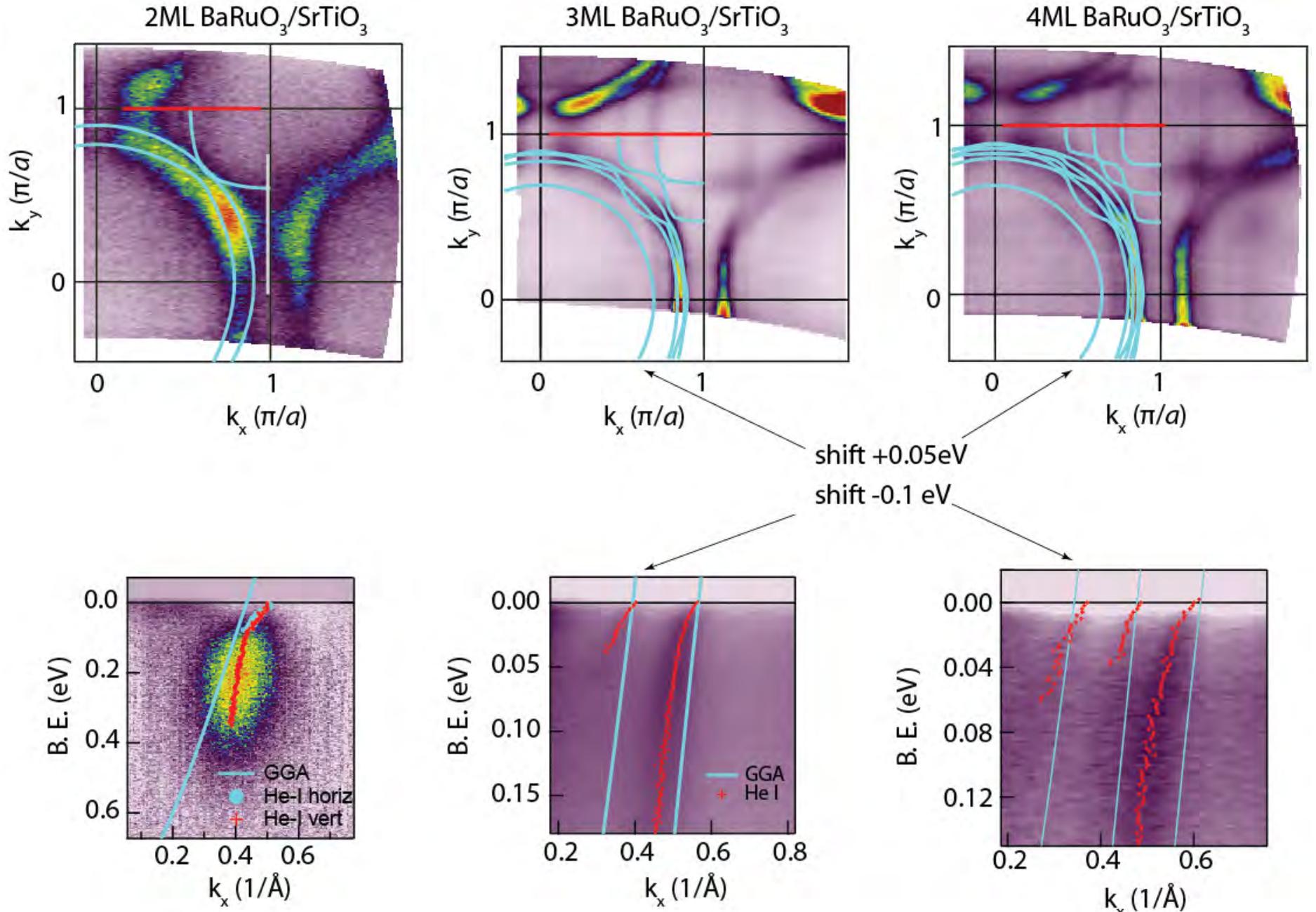
Thickness 4 unit cells



Kyle Shen

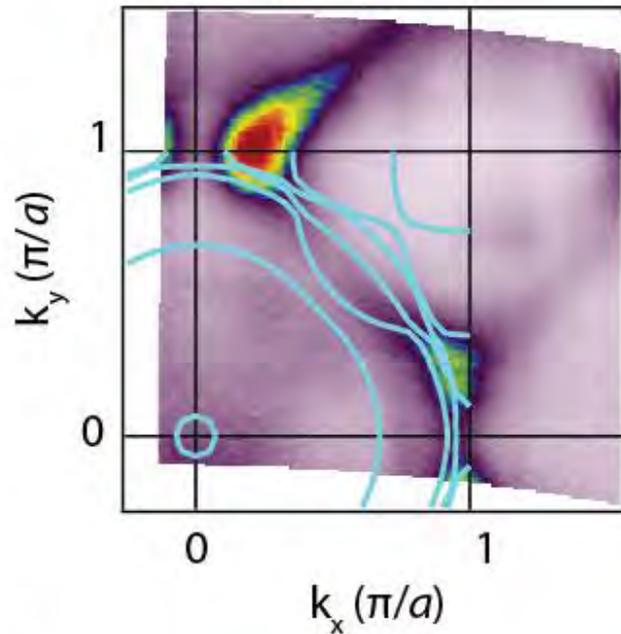
- FM suppressed because of finite thickness?
- Or tetragonal distortion?

# Thickness Dependence

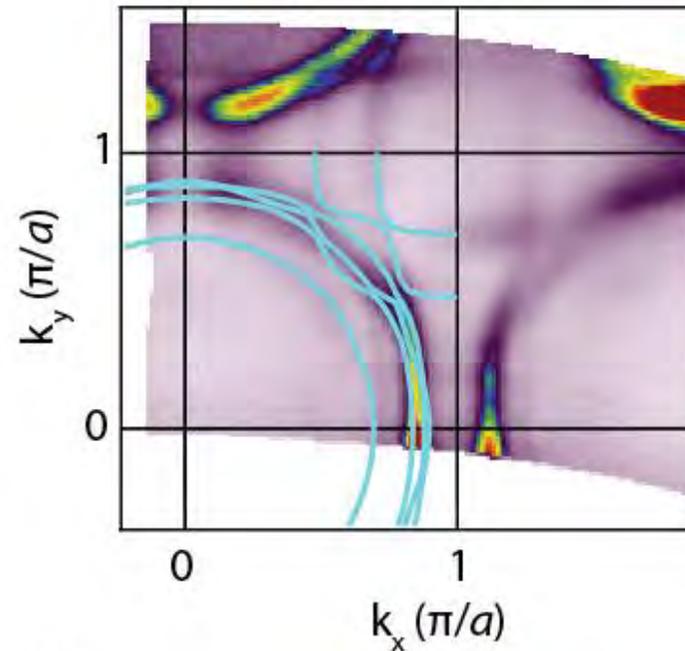


# Strain Dependence

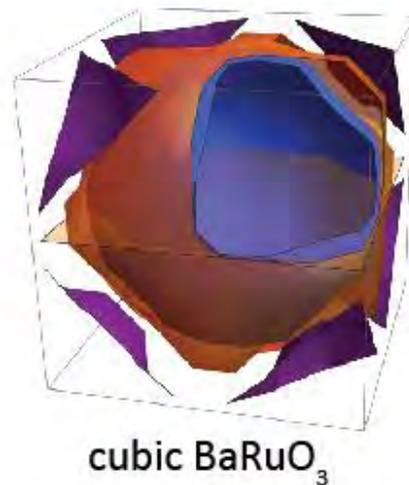
(a) 4ML BaRuO<sub>3</sub>/(Nd,Sm)ScO<sub>3</sub>  
( $a \approx 4.00$  Å)



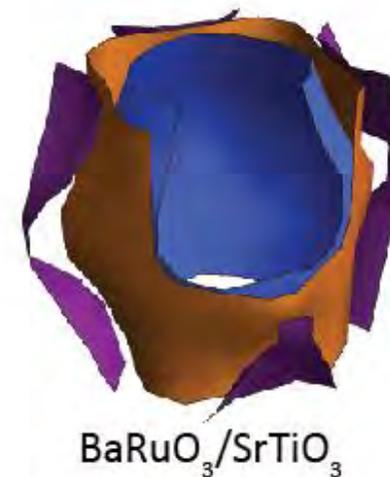
(b) 3ML BaRuO<sub>3</sub>/SrTiO<sub>3</sub>  
( $a = 3.905$  Å)



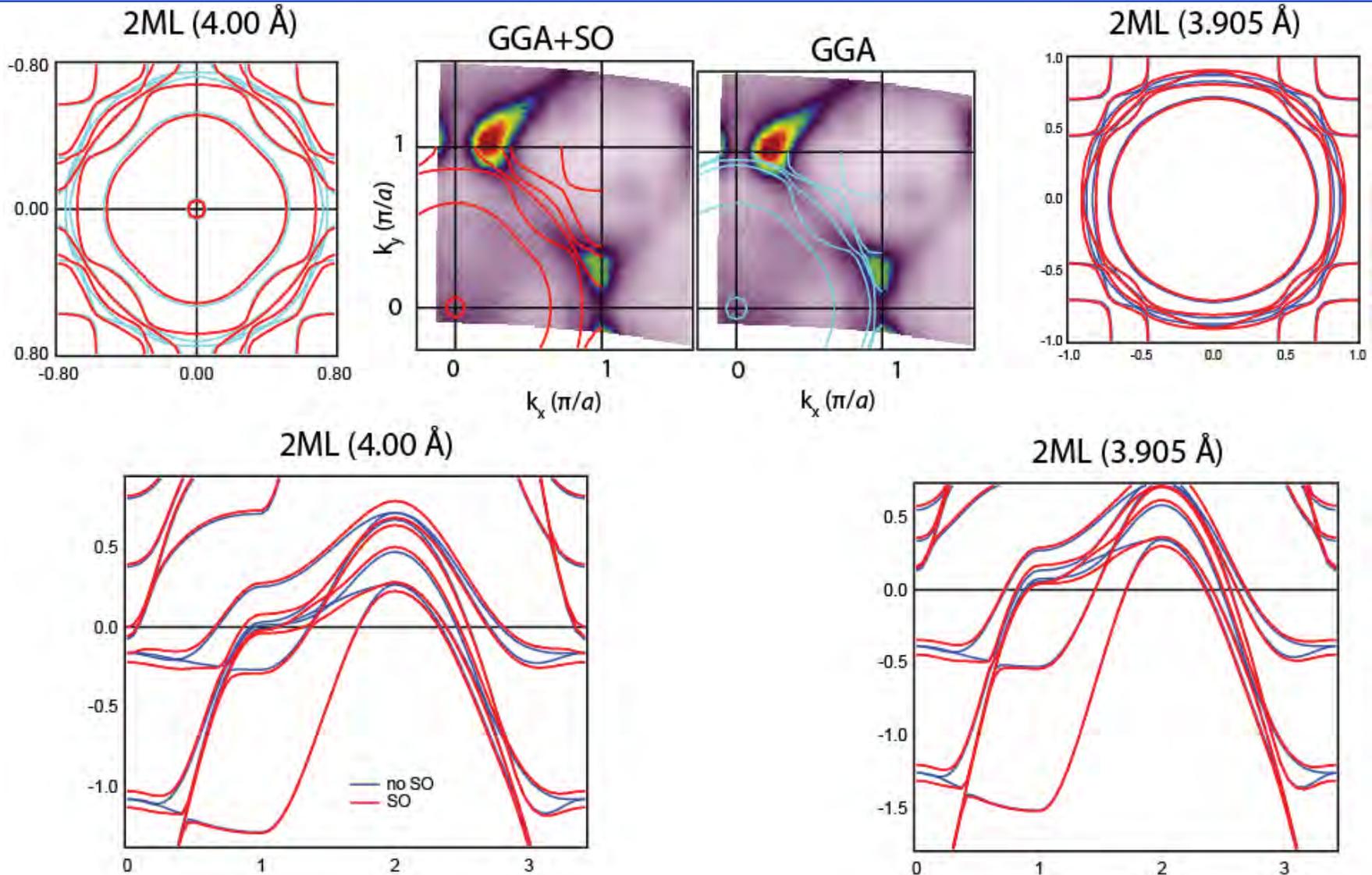
(c)



(d)



# Effect of Spin-Orbit (2 ML Cubic)



Spin-Orbit makes little difference except near  $(\pi, 0)$

# **BREAK THE RULES**

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 $\Delta G < 0$  to form stable phases  
Exploit interfacial energy from substrate
- Matthias's Rules for Superconductors  
... "Stay away from Theorists"  
Team up with theorists  
(and provide them with useful feedback)
- Pauling's Rules for Crystal Structures  
Radius ratio criteria for stability

# Pauling's Rules

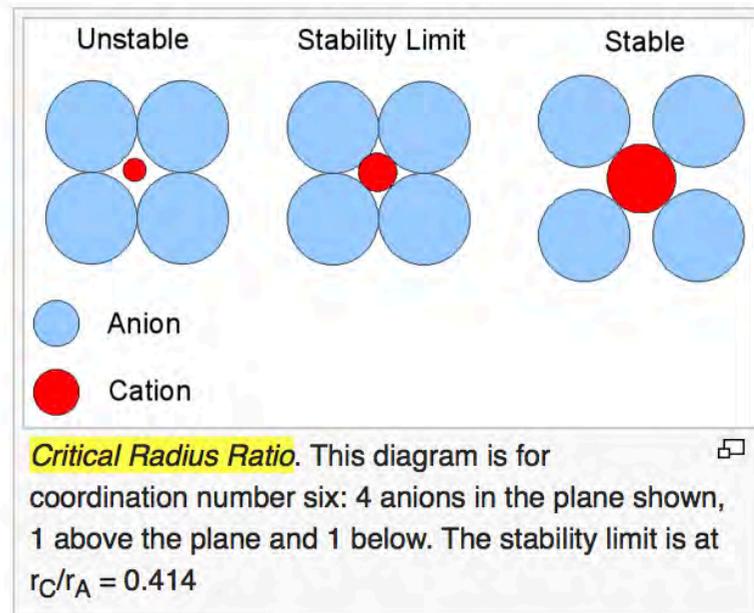
## First rule: the radius ratio rule [\[ edit \]](#)

For typical ionic solids, the **cations** are smaller than the **anions**, and each cation is surrounded by **coordinated** anions which form a **polyhedron**. The sum of the **ionic radii** determines the cation-anion distance, while the **cation-anion radius ratio**  $r_+/r_-$  (or  $r_c/r_a$ ) determines the **coordination number** (C.N.) of the cation, as well as the shape of the coordinated polyhedron of anions.<sup>[3][4]</sup>

For the coordination numbers and corresponding polyhedra in the table below, Pauling mathematically derived the *minimum* radius ratio for which the cation is in contact with the given number of anions (considering the ions as rigid spheres). If the cation is smaller, it will not be in contact with the anions which results in instability leading to a lower coordination number.

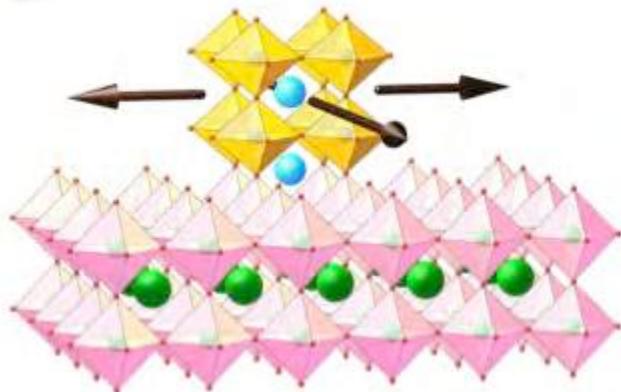
### Polyhedron and minimum radius ratio for each coordination number

C.N.	Polyhedron	Radius ratio
3	triangular	0.155
4	tetrahedron	0.225
6	octahedron	0.414
7	capped octahedron	0.592
8	square antiprism (anticube)	0.645
8	cube	0.732
9	triaugmented triangular prism	0.732
12	cuboctahedron	1.00

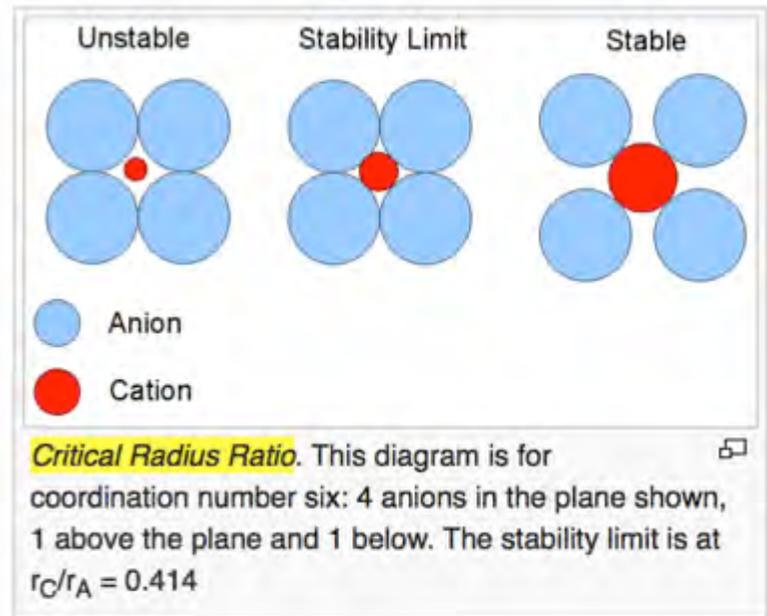
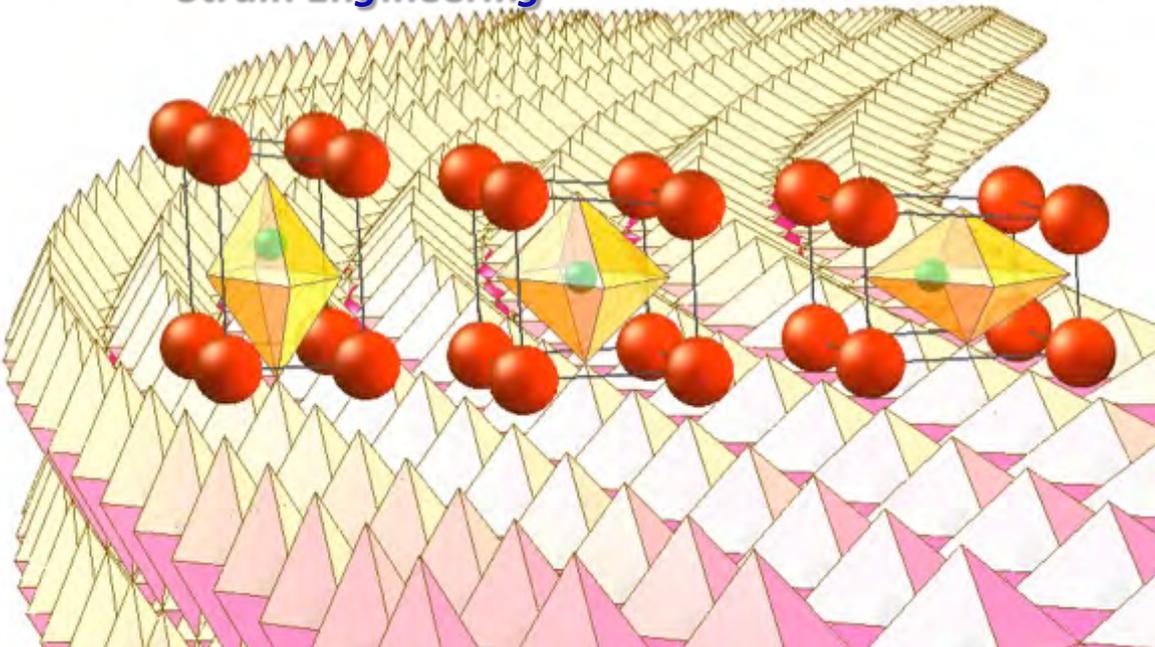


[https://en.wikipedia.org/wiki/Pauling%27s\\_rules](https://en.wikipedia.org/wiki/Pauling%27s_rules)

# **BREAK** Pauling's Rules

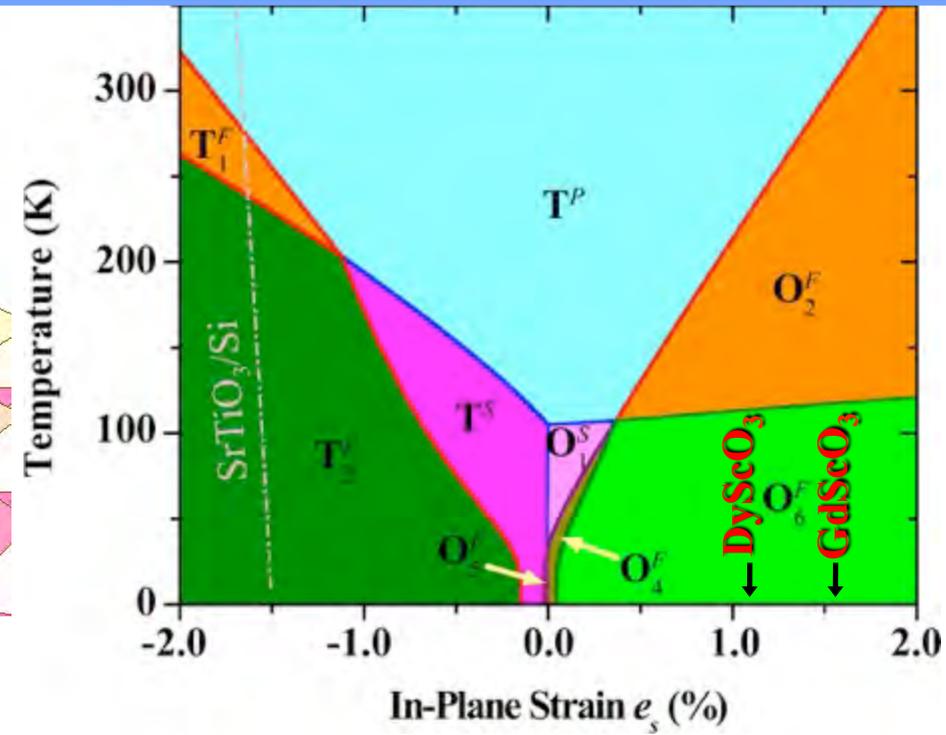
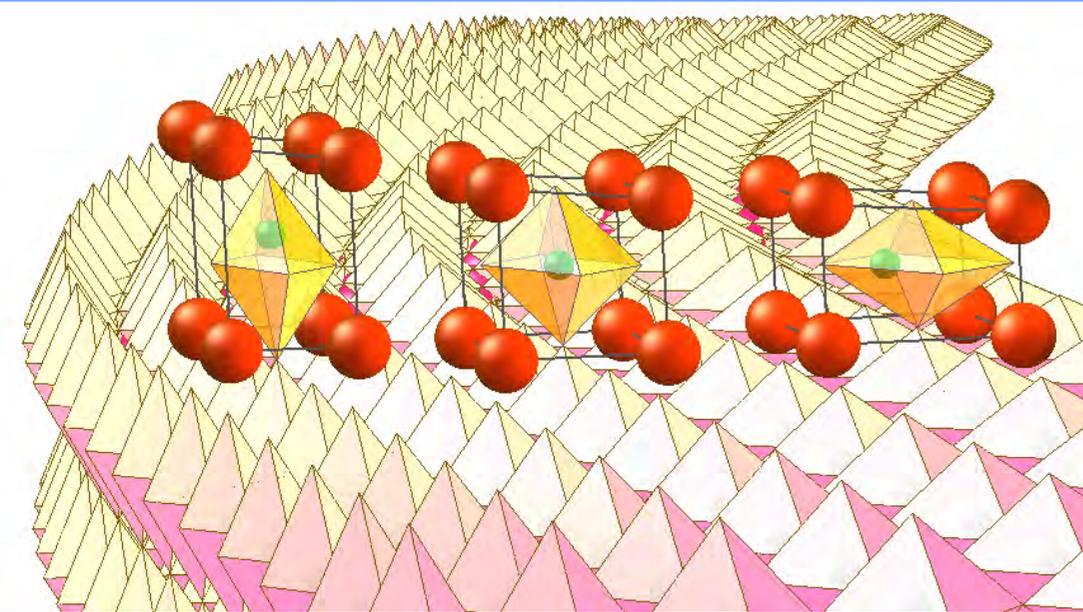


**Strain Engineering**

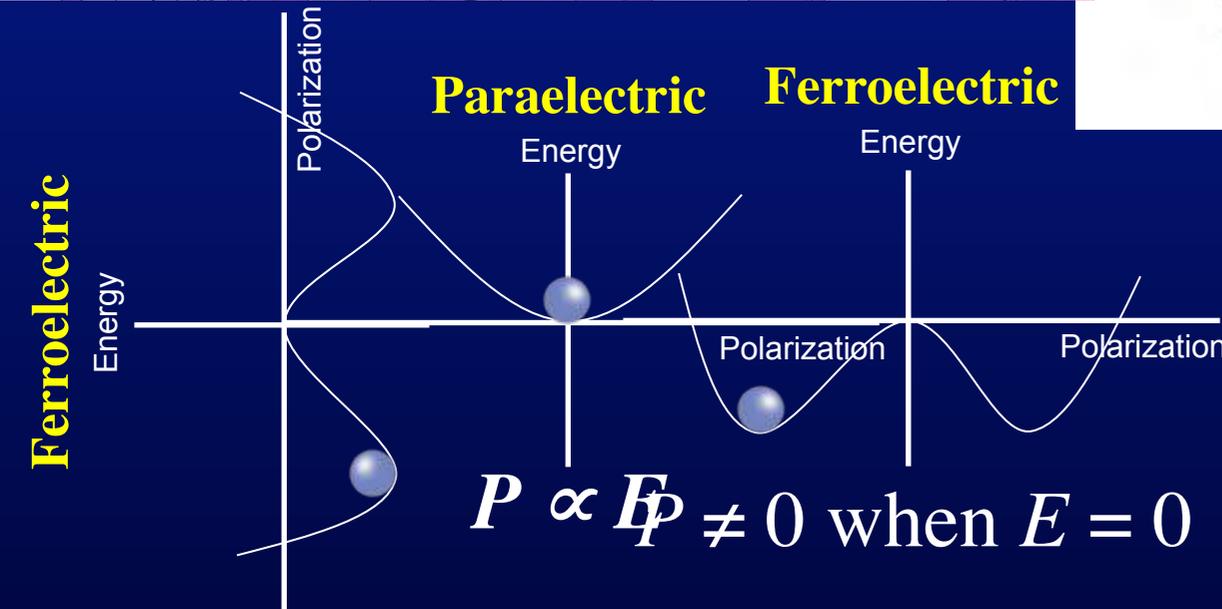


[https://en.wikipedia.org/wiki/Pauling%27s\\_rules](https://en.wikipedia.org/wiki/Pauling%27s_rules)

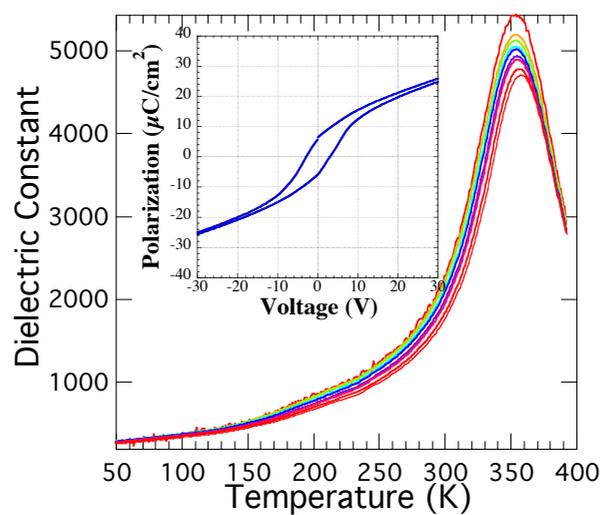
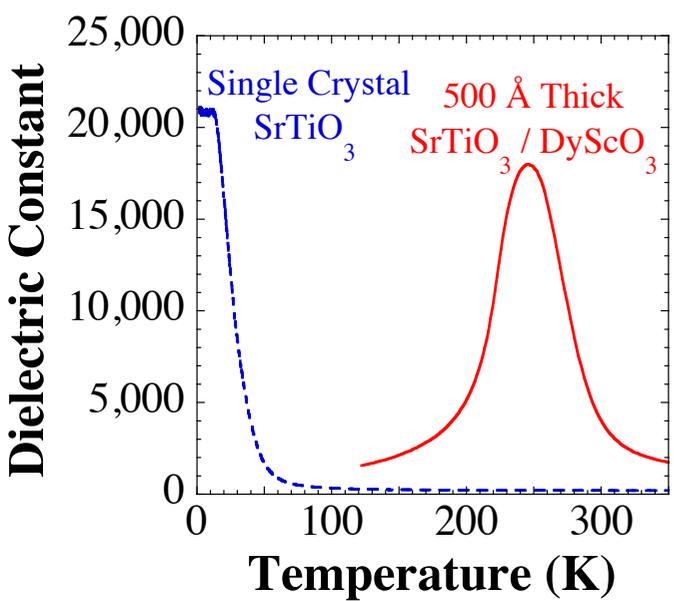
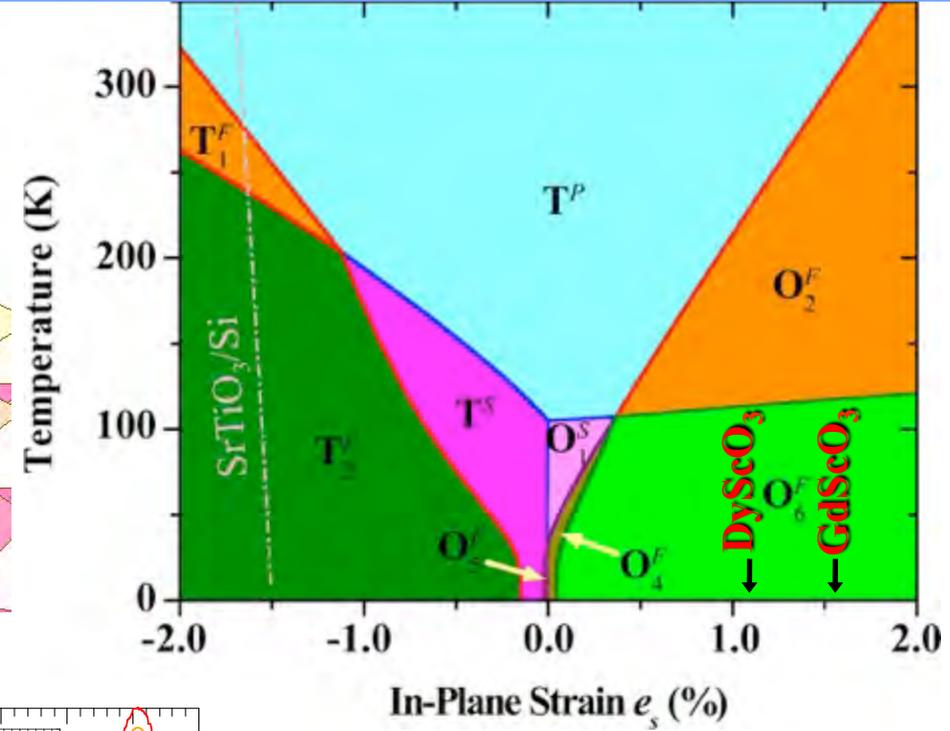
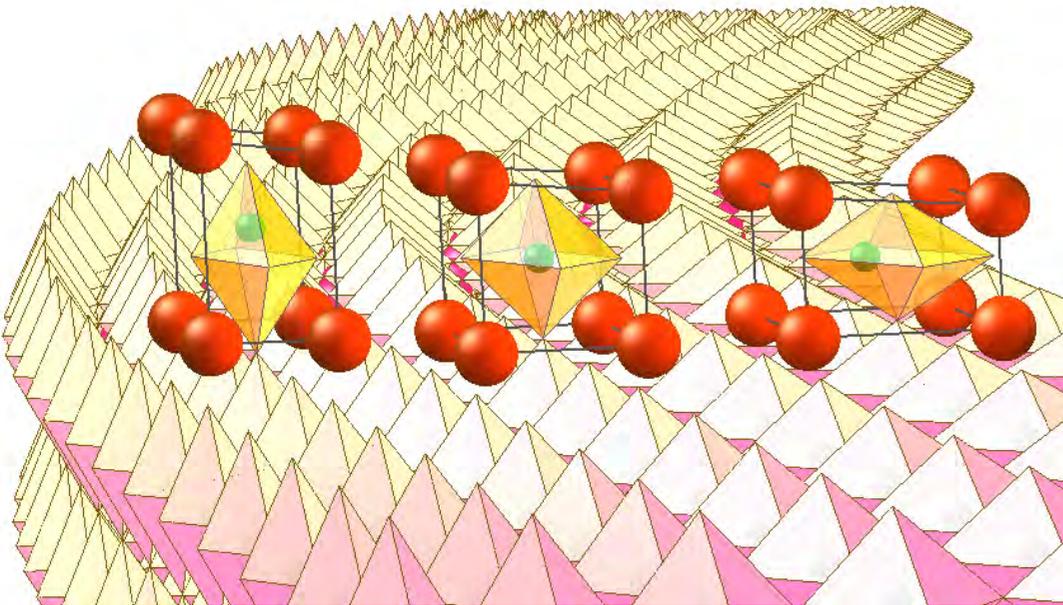
# Strained $\text{SrTiO}_3$ – Transmuting a Dielectric into a Ferroelectric



N.A. Pertsev, A.K. Tagantsev, and N. Setter, *Physical Review* **61** (2000) 825-829.



# Strained $\text{SrTiO}_3$ – Transmuting a Dielectric into a Ferroelectric



N.A. Pertsev, A.K. Tagantsev, and N. Setter,  
*Physical Review* **61** (2000) 825-829.

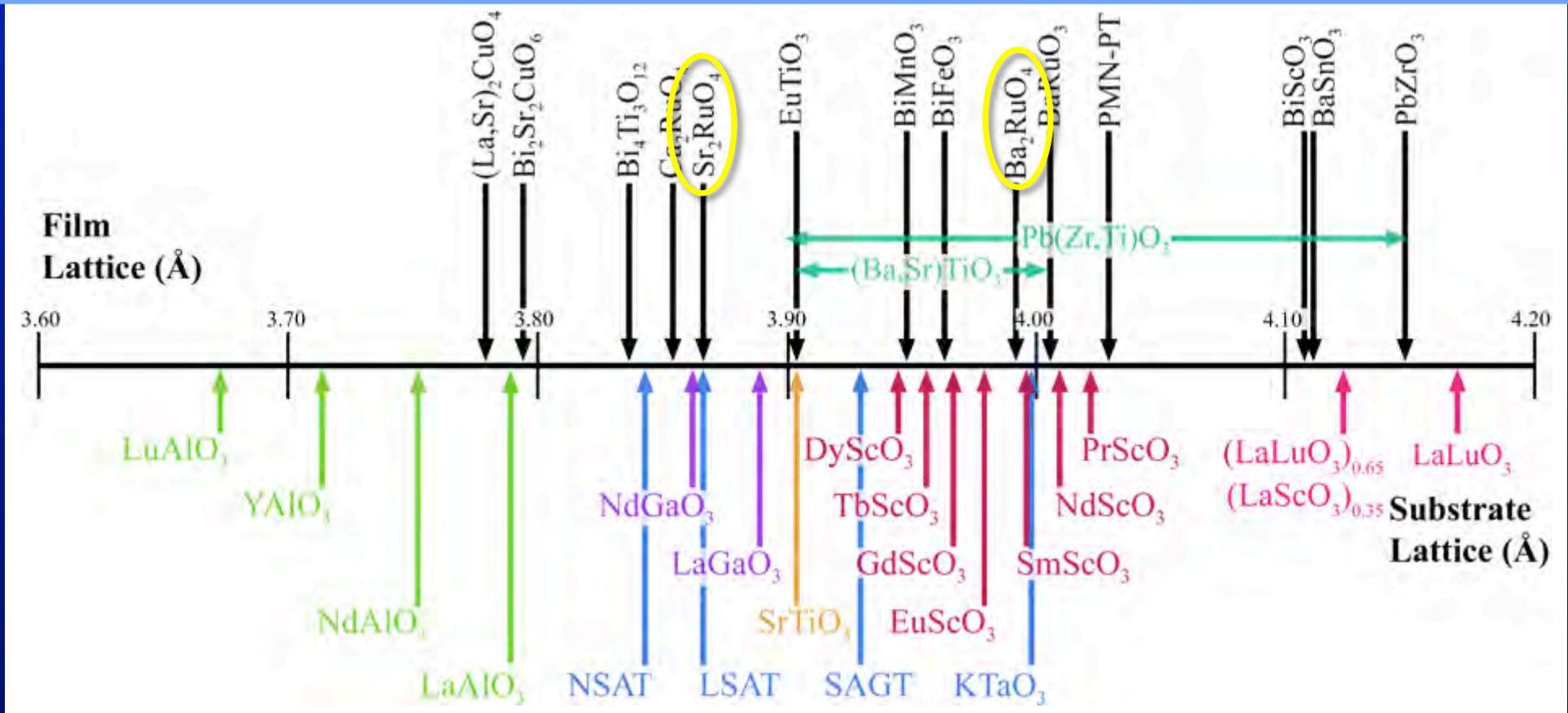
## Ferroelectric at Room Temperature

J.H. Haeni, P. Irvin, W. Chang, R. Uecker, P. Reiche, Y.L. Li, S. Choudhury, W. Tian, M.E. Hawley, B. Craigo, A.K. Tagantsev, X.Q. Pan, S.K. Streiffer, L.Q. Chen, S.W. Kirchoefer, J. Levy, and D.G. Schlom, *Nature* **430** (2004) 758–761.

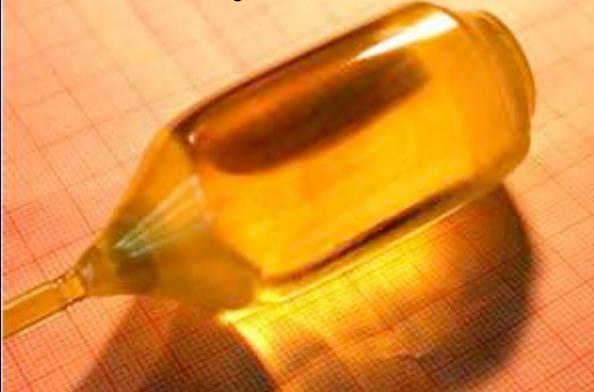




# Commercial Perovskite Substrates



[110] DyScO<sub>3</sub>,  $d = 32$  mm

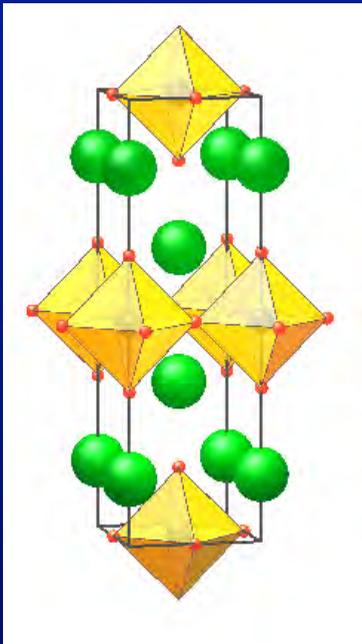


[110] GdScO<sub>3</sub>,  $d = 32$  mm

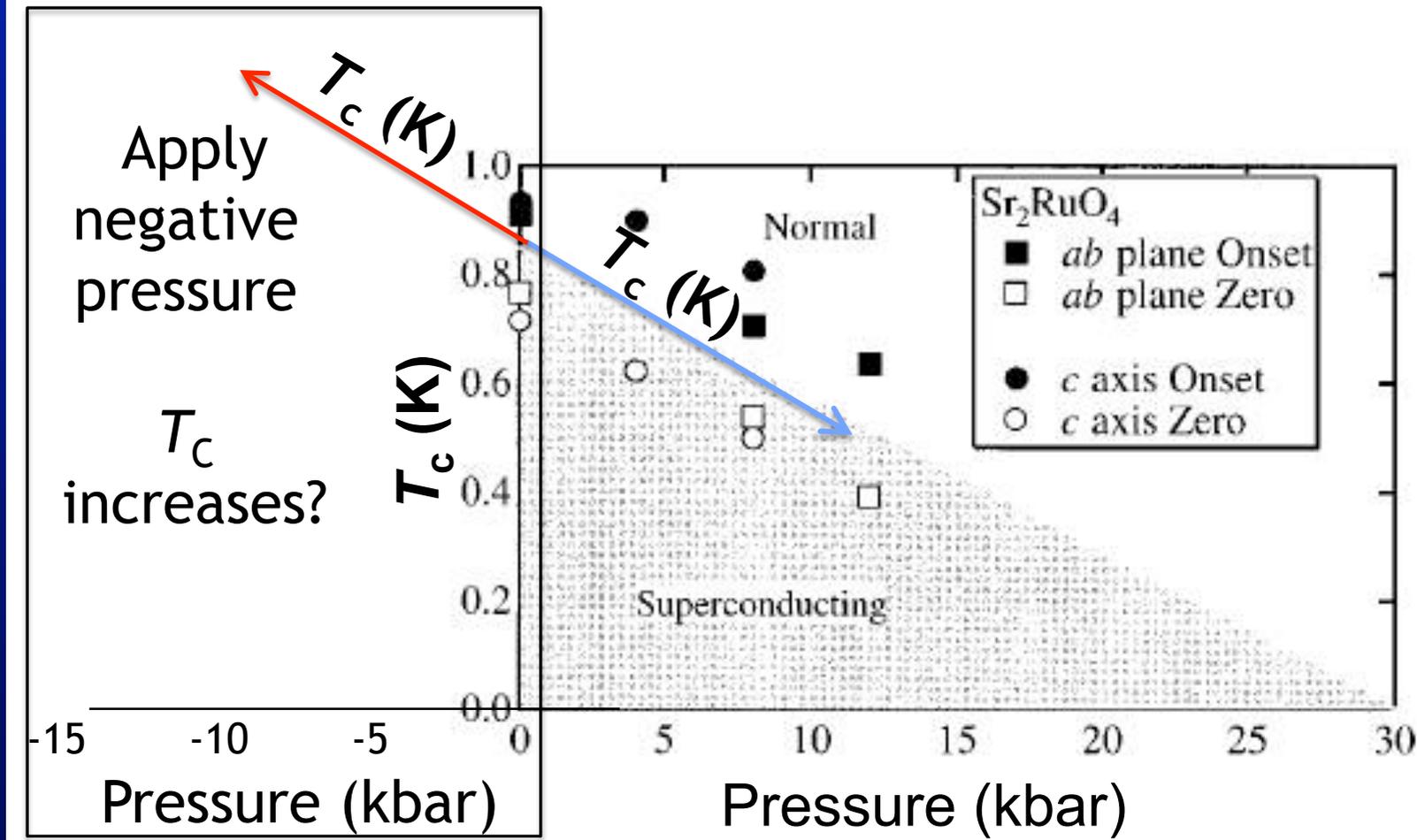


D.G. Schlom, L.Q. Chen, C.J. Fennie, V. Gopalan, D.A. Muller, X.Q. Pan, R. Ramesh, and R. Uecker, "Elastic Strain Engineering of Ferroic Oxides," *MRS Bulletin* **39** (2014) 118-130.

# Effect of Strain on $\text{Sr}_2\text{RuO}_4$ (a spin-triplet superconductor)



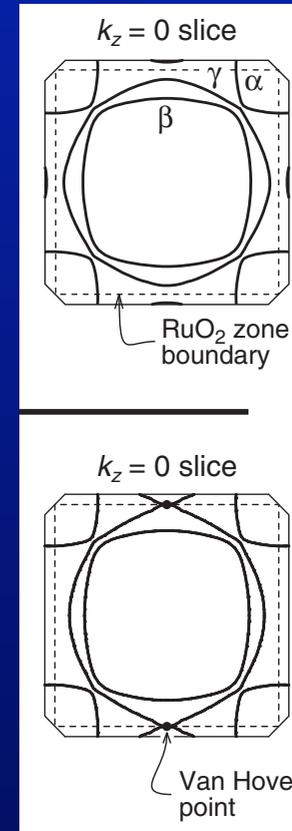
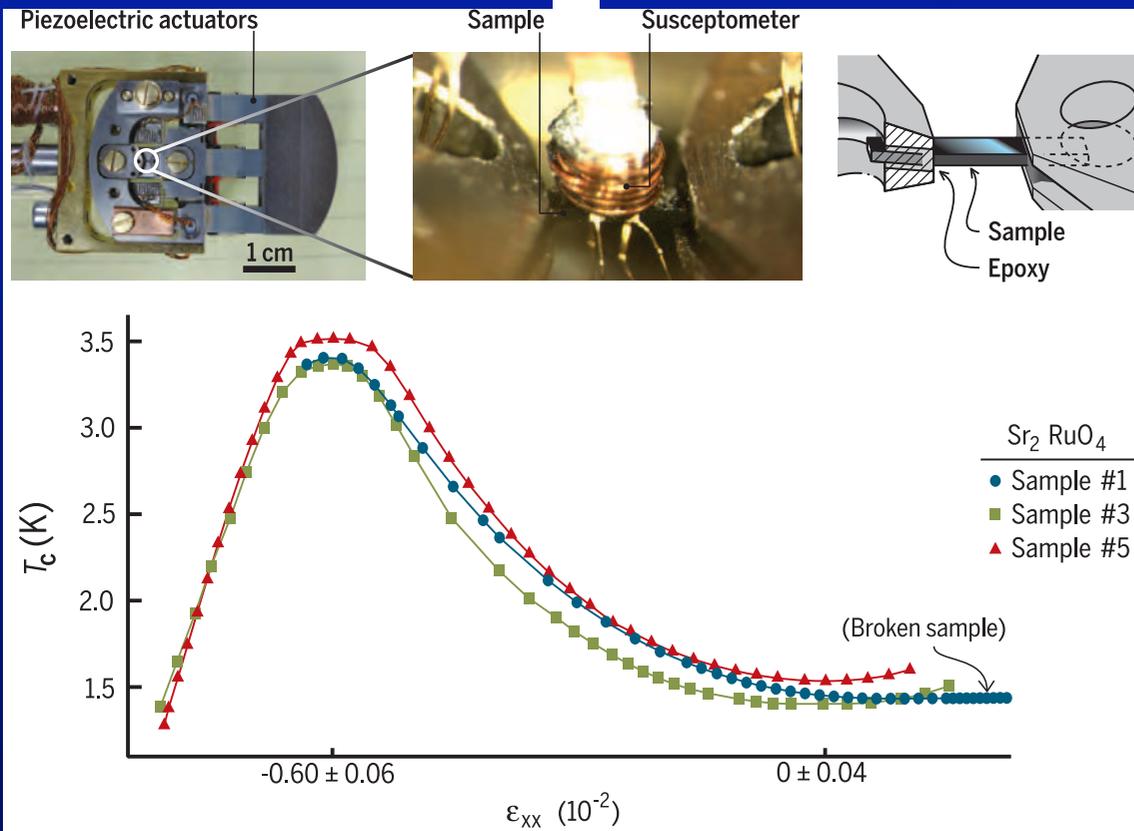
$n = 1$



$T_c$  goes down with increasing pressure  
→ apply negative pressure

N. Shirakawa, K. Murata, S. Nishizaki, Y. Maeno, T. Fujita,  
*Phys. Rev. B* **56** (1997) 7890-7893.

# In-plane Uniaxial Strain Dramatically Increases $T_c$ in $\text{Sr}_2\text{RuO}_4$



$$\epsilon_{xx} = 0$$

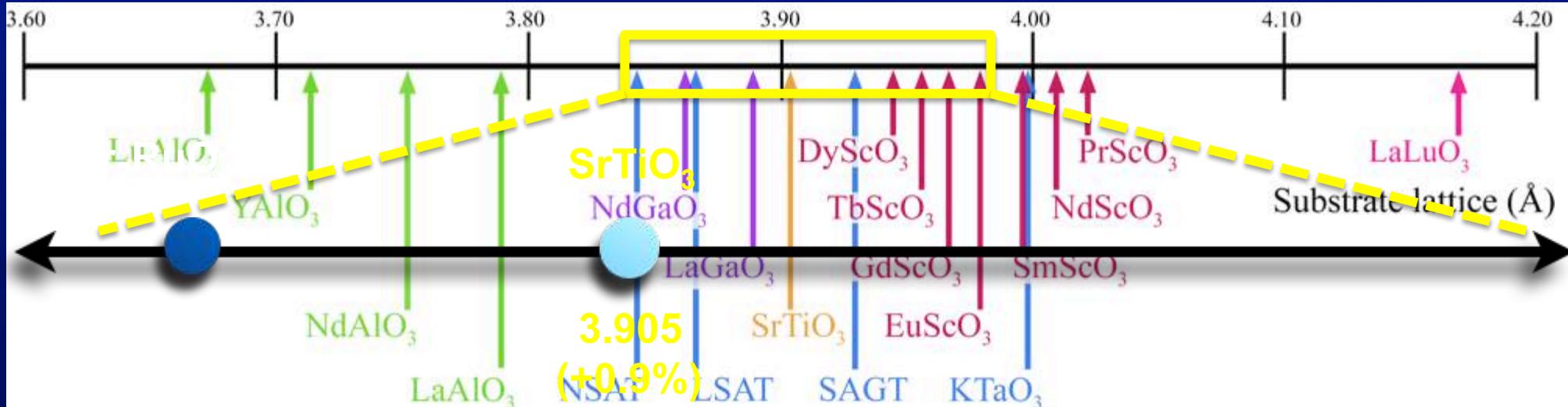
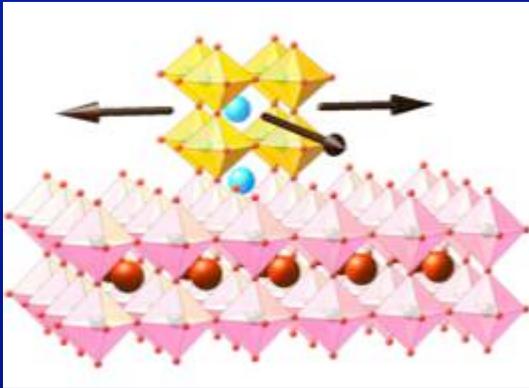
$$\epsilon_{xx} = -0.75\%$$

enhancements in  $T_c$  may be tied to proximity of van Hove singularity to  $E_F$ , but strains that can be applied to single crystal  $\text{Sr}_2\text{RuO}_4$  are relatively modest ( $\leq 0.8\%$ ;  $T_{c,\text{max}}$  of 3.4 K at 0.6% uniaxial compressive strain)

C.W. Hicks, D.O. Brodsky, E.A. Yelland, A.S. Gibbs, J.A.N. Bruin, M.E. Barber, S.D. Edkins, K. Nishimura, S. Yonezawa, Y. Maeno, and A.P. Mackenzie, *Science* **344** (2014) 283–285.

A. Steppke, L. Zhao, M.E. Barber, T. Scaffidi, F. Jerzembeck, H. Rosner, A.S. Gibbs, Y. Maeno, S.H. Simon, A.P. Mackenzie, and C.W. Hicks, *Science* **355**, 9398 (2017).

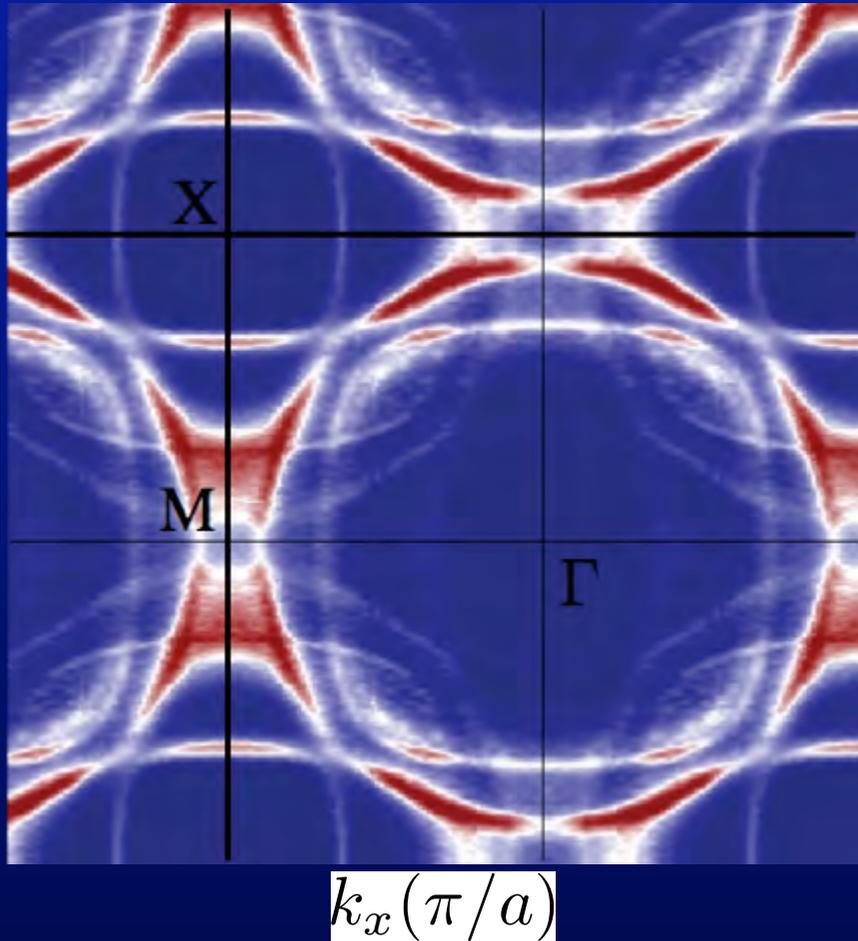
# Much Larger Elastic Strains are Possible in Epitaxial Thin Films



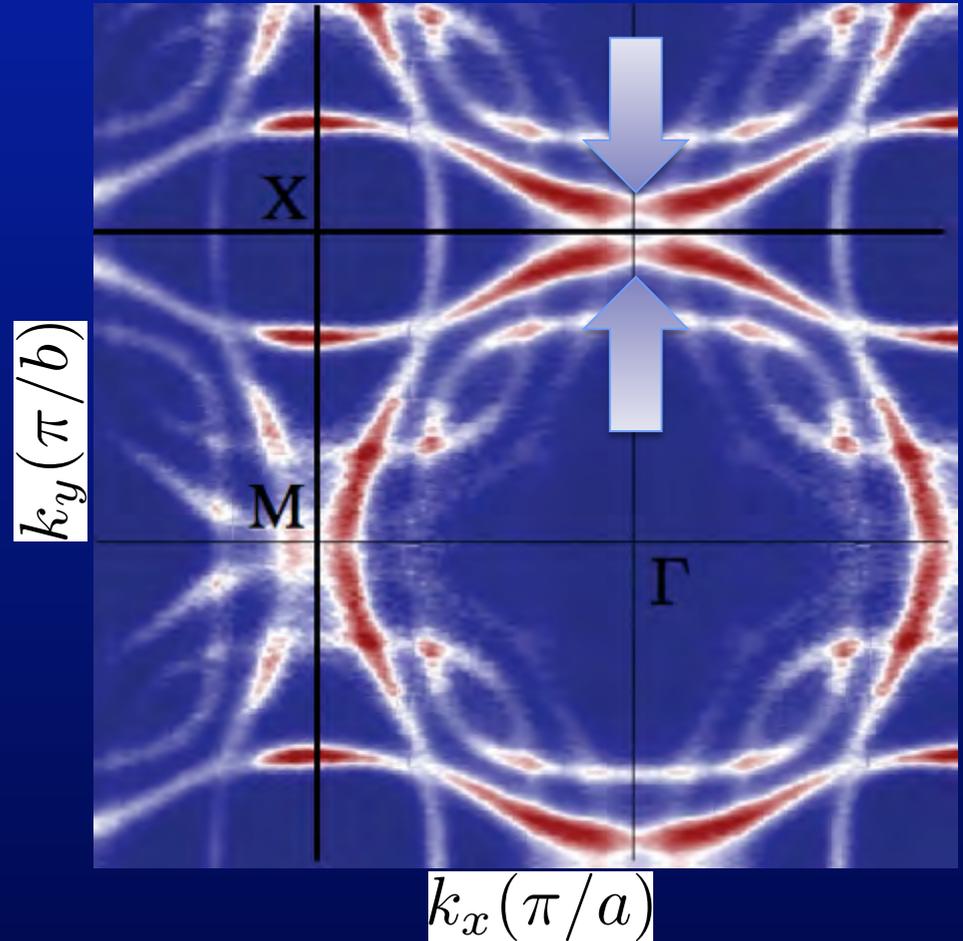
In-Plane Lattice Constant (Å)

# Strain Control of Fermi Surface in $\text{Sr}_2\text{RuO}_4$

Unstrained  $\text{Sr}_2\text{RuO}_4$



$\text{Sr}_2\text{RuO}_4$  on  $\text{SrTiO}_3$  (+0.9%)

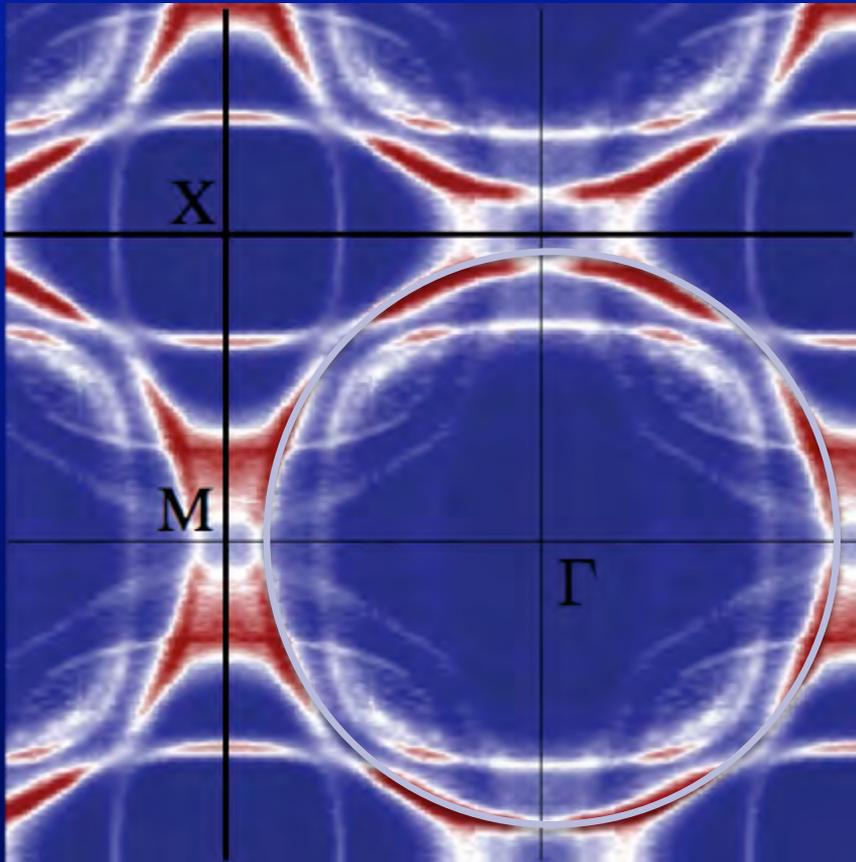


thin films still non-superconducting due to extreme sensitivity of spin-triplet SC to disorder, but low resistivities ( $5 \mu\Omega\cdot\text{cm}$ )

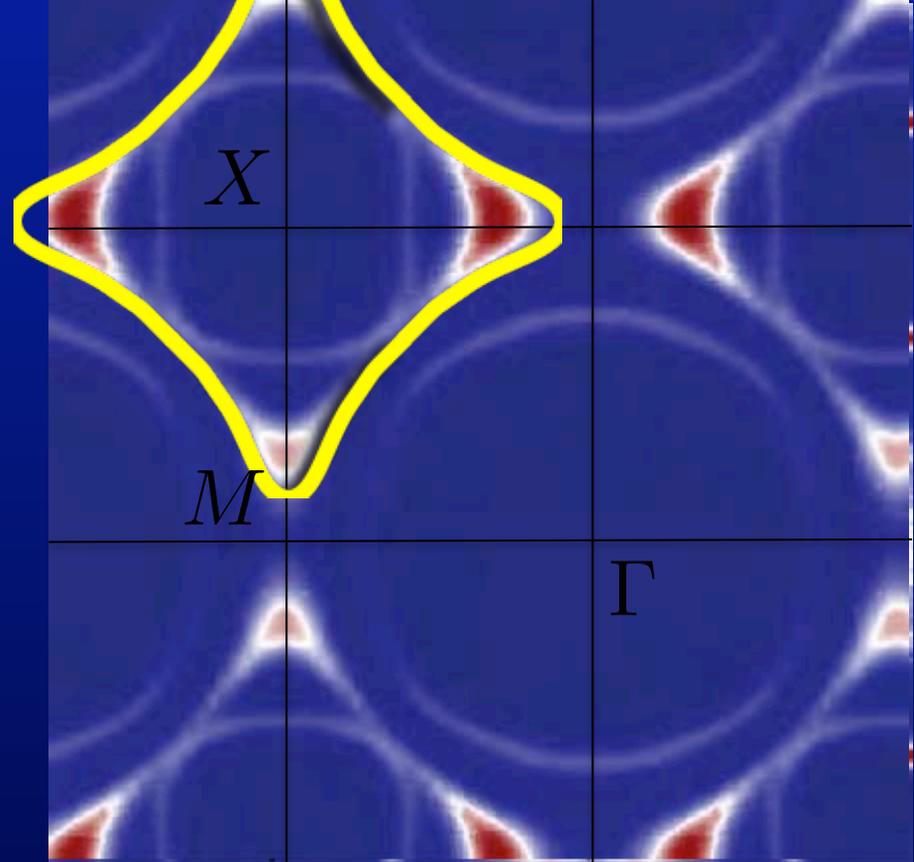


# Strain Control of Fermi Surface in $\text{Sr}_2\text{RuO}_4$

Unstrained  $\text{Sr}_2\text{RuO}_4$

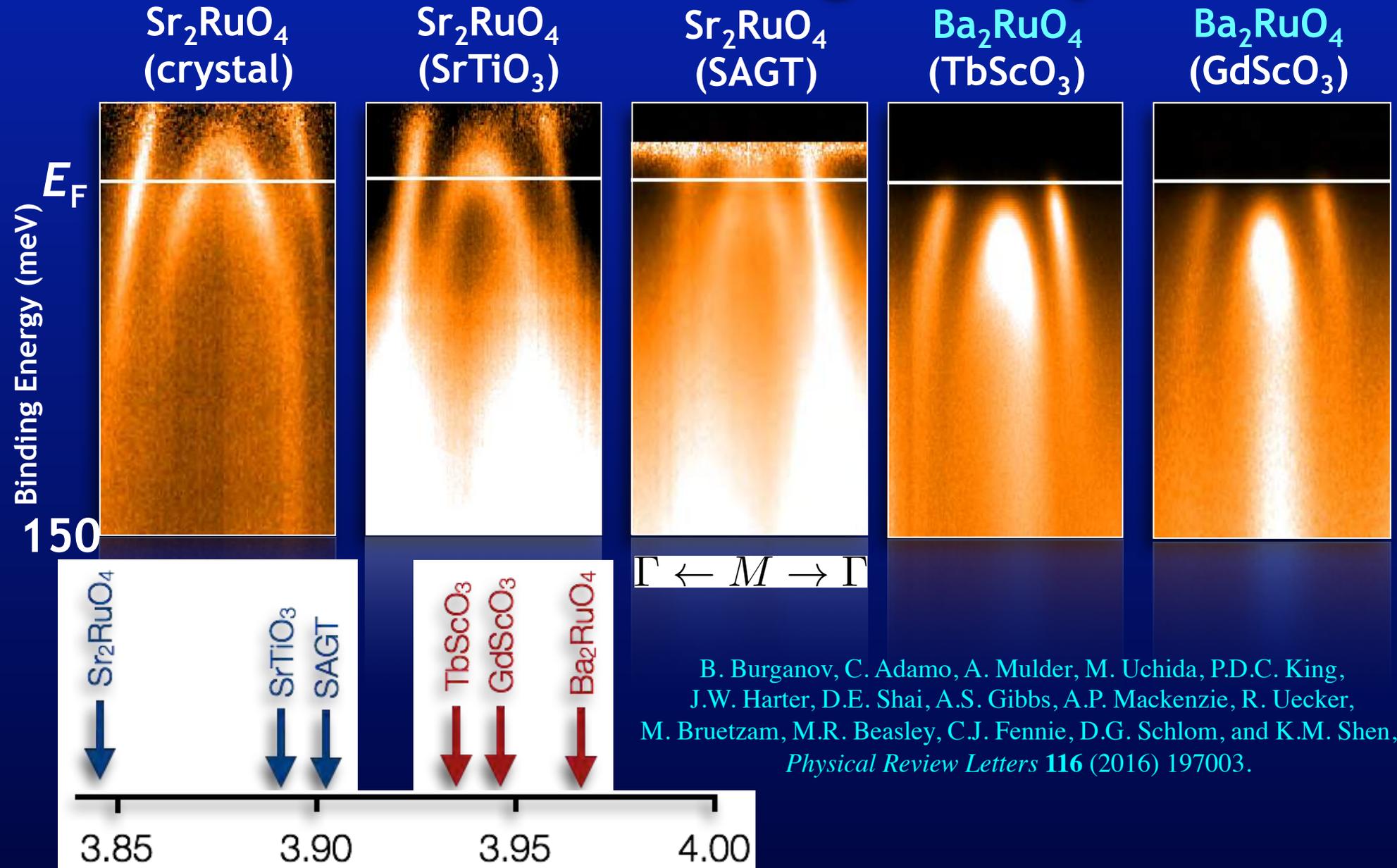


$\text{Ba}_{0.9}\text{Sr}_{1.1}\text{RuO}_4$  on  $\text{CaTiO}_3$  (+0.8%)



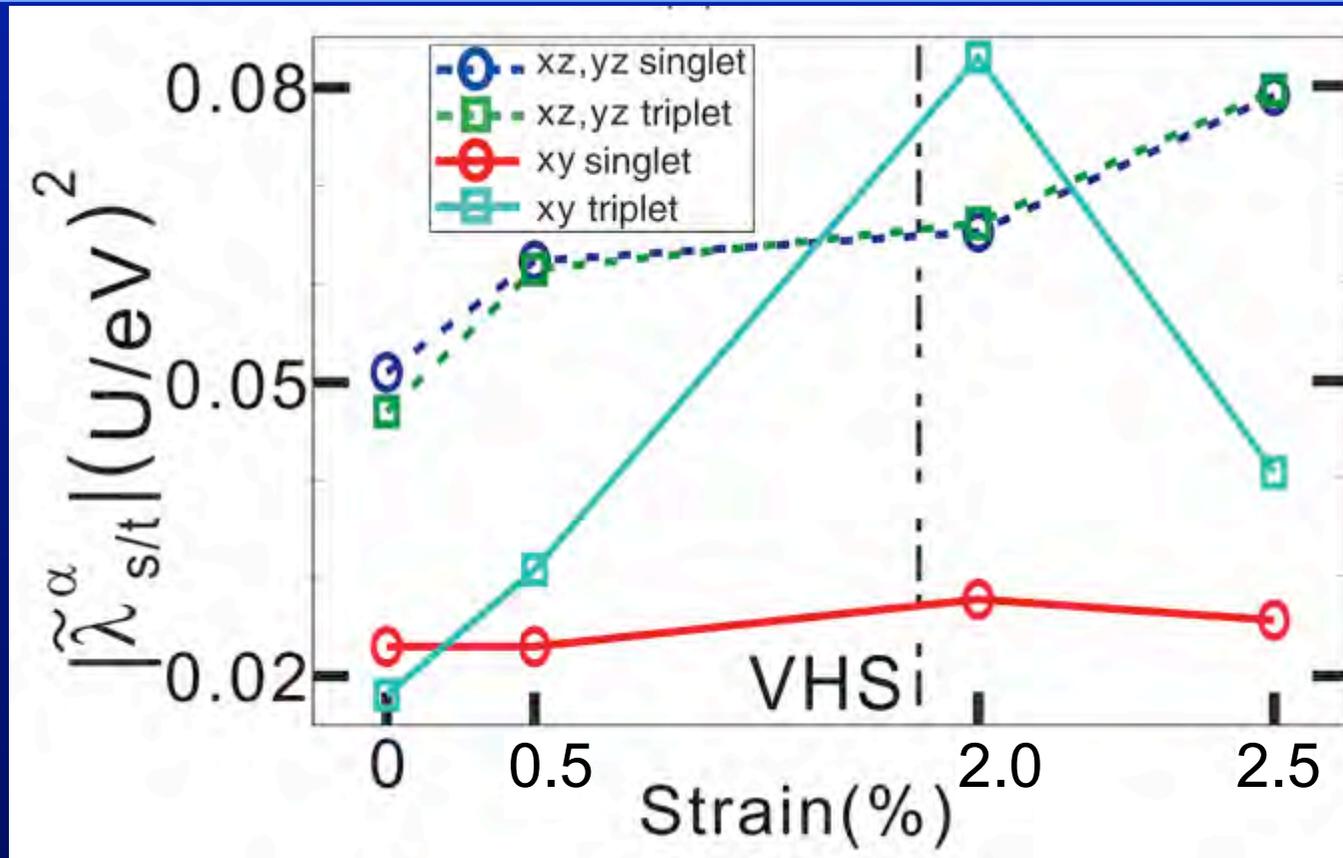
Large epitaxial strains turn the large electron-like Fermi surface closed around  $\Gamma$  to a hole-like Fermi surface closed around  $X$

# Strain Control of Band Structure and van Hove singularity



B. Burganov, C. Adamo, A. Mulder, M. Uchida, P.D.C. King, J.W. Harter, D.E. Shai, A.S. Gibbs, A.P. Mackenzie, R. Uecker, M. Bruetzam, M.R. Beasley, C.J. Fennie, D.G. Schlom, and K.M. Shen, *Physical Review Letters* **116** (2016) 197003.

# Theory Predicts Enhancement of Spin-triplet Superconductivity



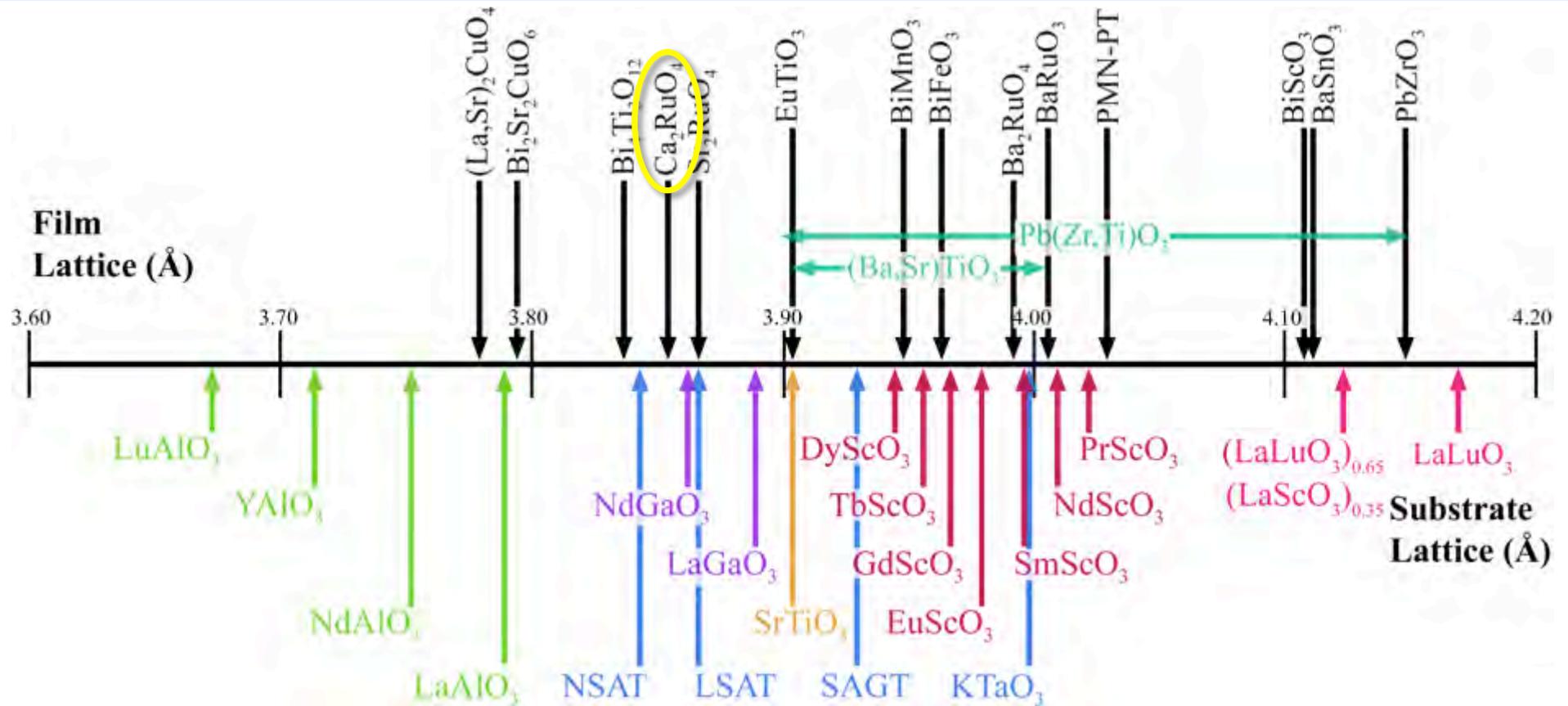
Eun-Ah Kim



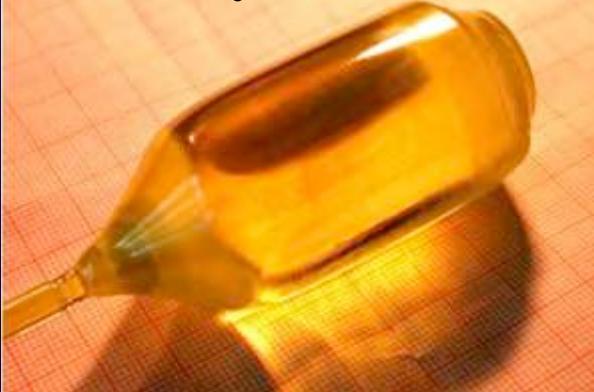
Craig Fennie

Spin-triplet superconductivity is predicted to be strongly enhanced when the van Hove singularity is brought near the Fermi level (see arXiv:1604.06661)

# Commercial Perovskite Substrates



[110]  $\text{DyScO}_3$ ,  $d = 32$  mm

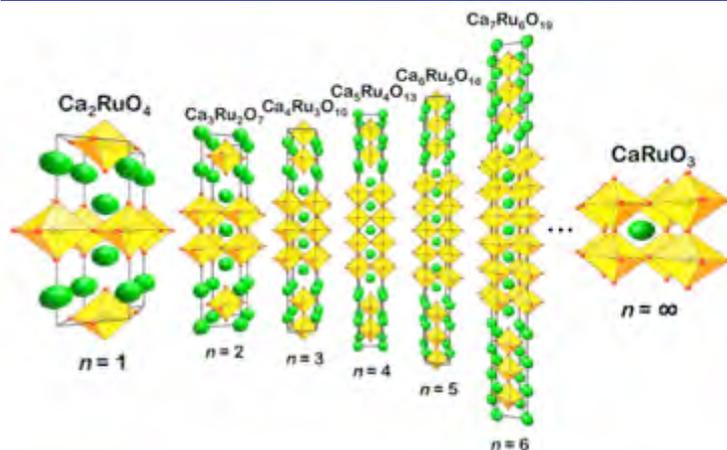
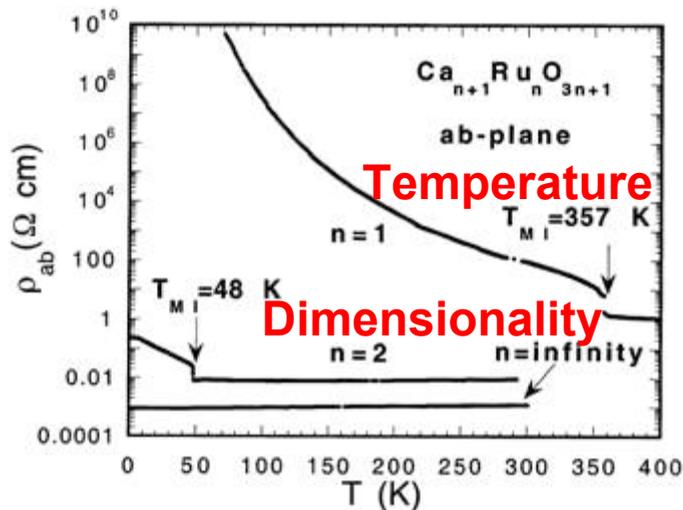


[110]  $\text{GdScO}_3$ ,  $d = 32$  mm

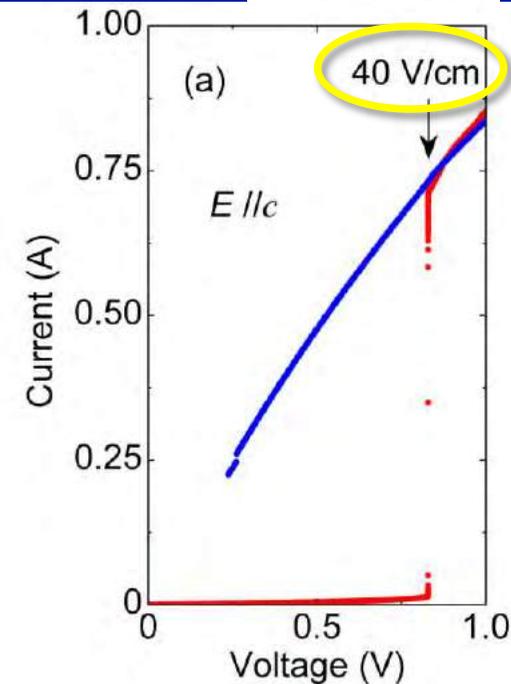


D.G. Schlom, L.Q. Chen, C.J. Fennie, V. Gopalan, D.A. Muller, X.Q. Pan, R. Ramesh, and R. Uecker, "Elastic Strain Engineering of Ferroic Oxides," *MRS Bulletin* **39** (2014) 118-130.

# Ca<sub>2</sub>RuO<sub>4</sub>—a very Tunable System



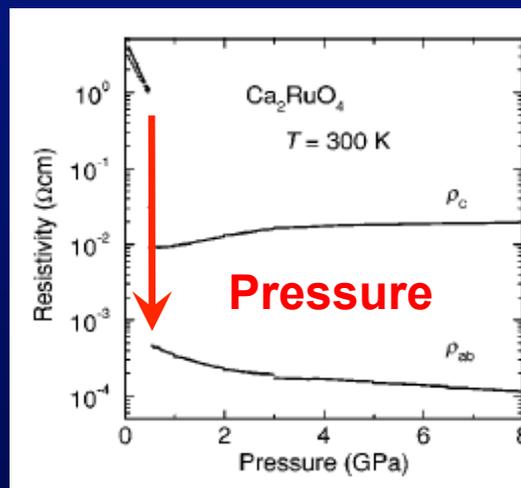
## Electrical



G. Cao, C.S. Alexander, S. McCall, and J.E. Crow, *Mater. Sci. Eng., B* **63** (1999) 76–82.

## Metal-Insulator Transition in Ca<sub>2</sub>RuO<sub>4</sub> Triggered by

- Temperature
- Dimensionality
- Pressure
- Voltage/current



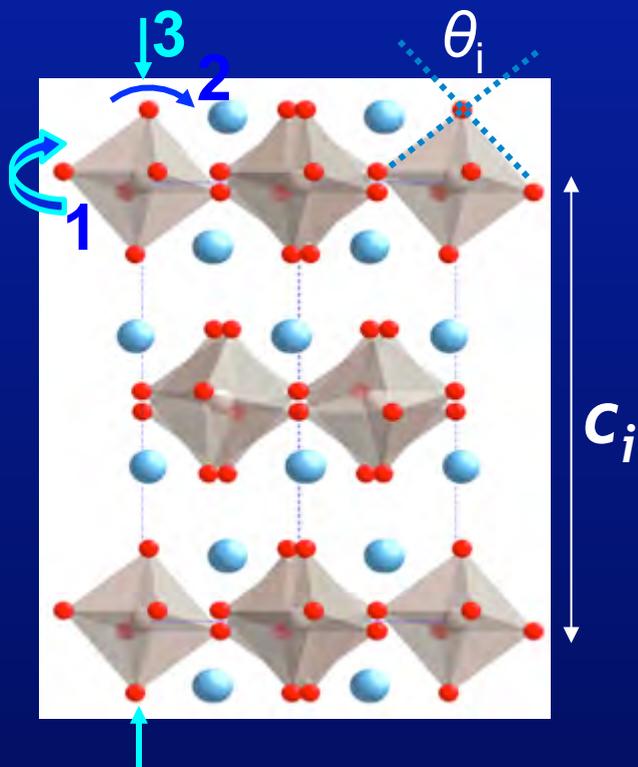
F. Nakamura, M. Sakaki, Y. Yamanaka, S. Tamaru, T. Suzuki, and Y. Maeno, *Sci. Rep.* **3** (2013) 1–6.

F. Nakamura, T. Goko, M. Ito, T. Fujita, S. Nakatsuji, H. Fukazawa, Y. Maeno, P. Alireza, D. Forsythe, and S. R. Julian  
*Phys. Rev. B* **65**, (2002) 220402.

# Ca<sub>2</sub>RuO<sub>4</sub>—Phase Transition at $T_{MIT}$

F. Nakamura, M. Sakaki, Y. Yamanaka,  
S. Tamaru, T. Suzuki, and Y. Maeno,  
*Sci. Rep.* **3** (2013) 1–6.

## Insulator

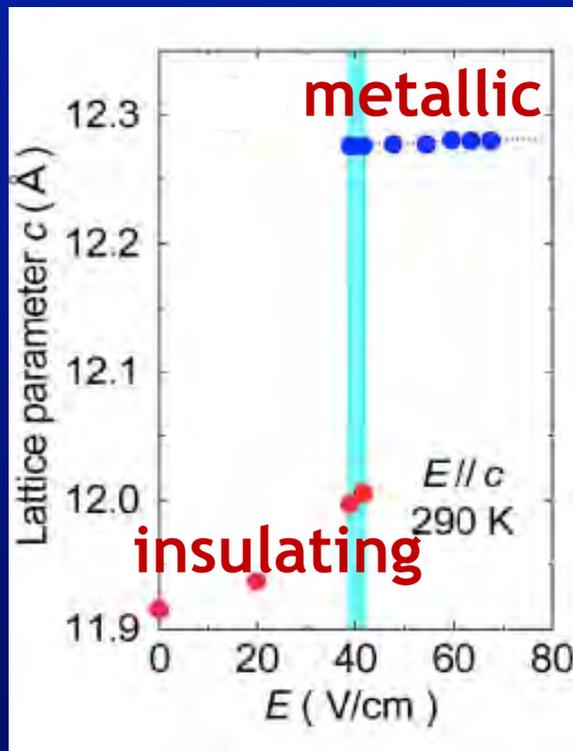


$$\theta_i = 91.3^\circ$$

$$a = 5.410 \text{ \AA}$$

$$b = 5.492 \text{ \AA}$$

$$c = 11.96 \text{ \AA}$$



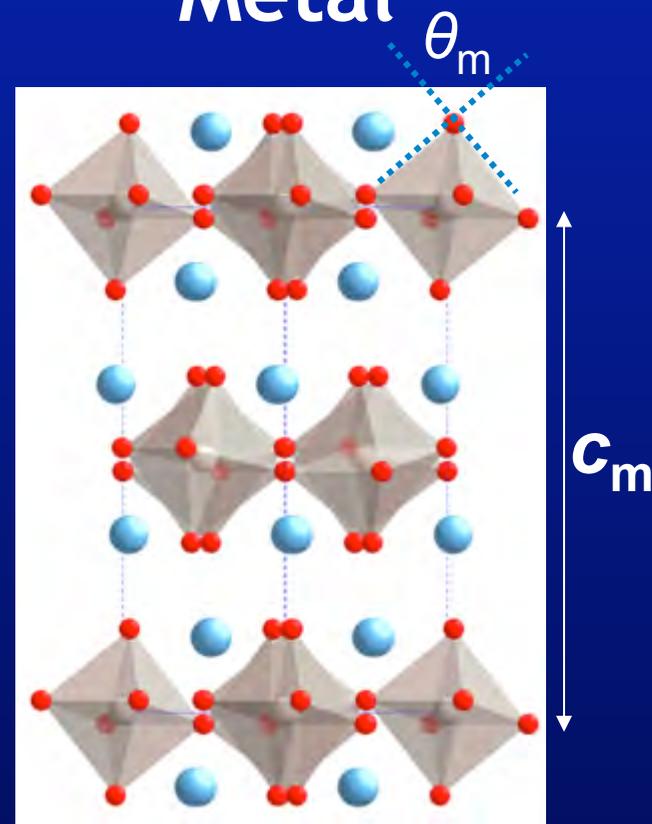
$$\theta_i > \theta_m$$

$$\Delta a = -0.9\%$$

$$\Delta b = -2.6\%$$

$$\Delta c = 2.5\%$$

## Metal



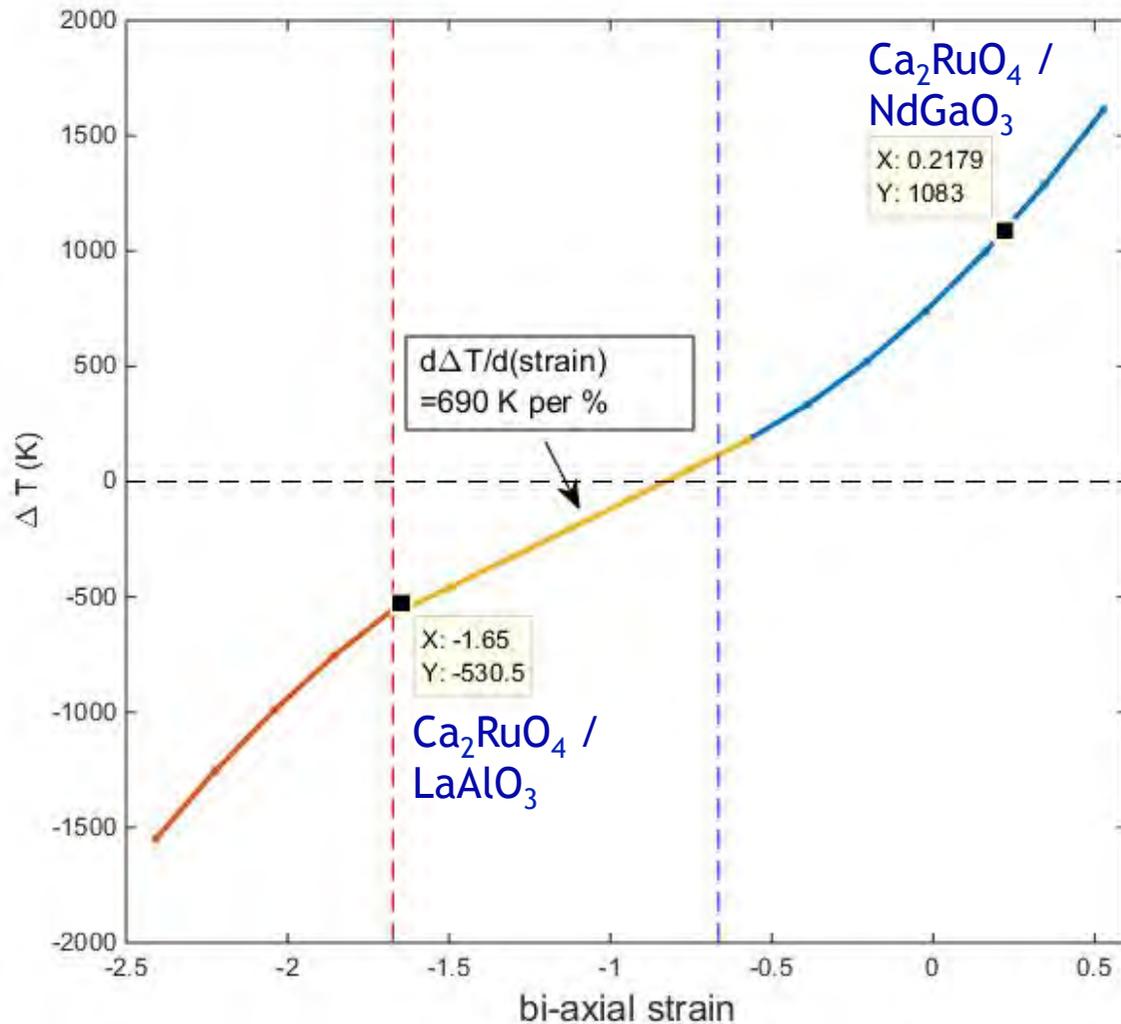
$$\theta_m = 87.3^\circ$$

$$a = 5.361 \text{ \AA}$$

$$b = 5.351 \text{ \AA}$$

$$c = 12.26 \text{ \AA}$$

# Ca<sub>2</sub>RuO<sub>4</sub>—Effect of Strain on $T_{MIT}$



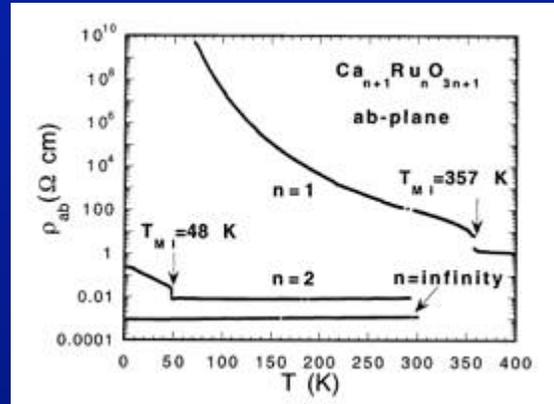
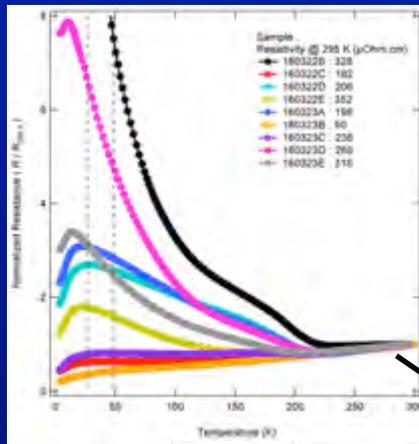
Andy Millis

$$\frac{\partial T_{MIT}}{\partial \epsilon_{\text{biaxial}}} = 69,000 \text{ K}$$

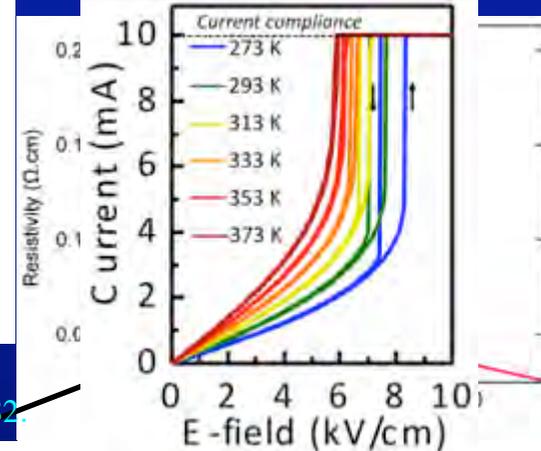
# Transport on Strained $\text{Ca}_2\text{RuO}_4$

Bulk - unstrained

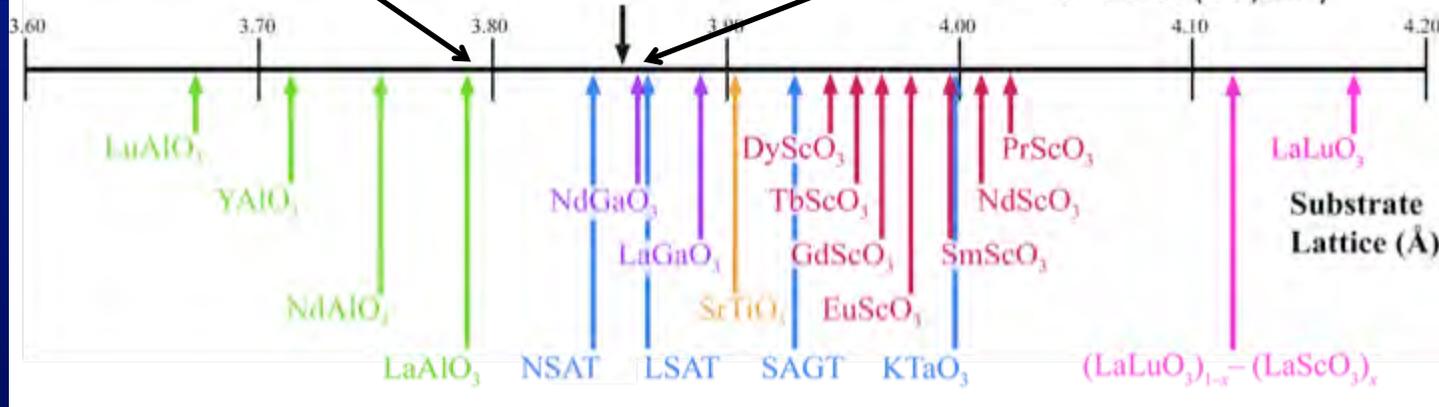
Compressive



Tensile



G. Cao, C.S. Alexander, S. McCall, and J.E. Crow, *Mater. Sci. Eng., B* 63 (1999) 76–82.

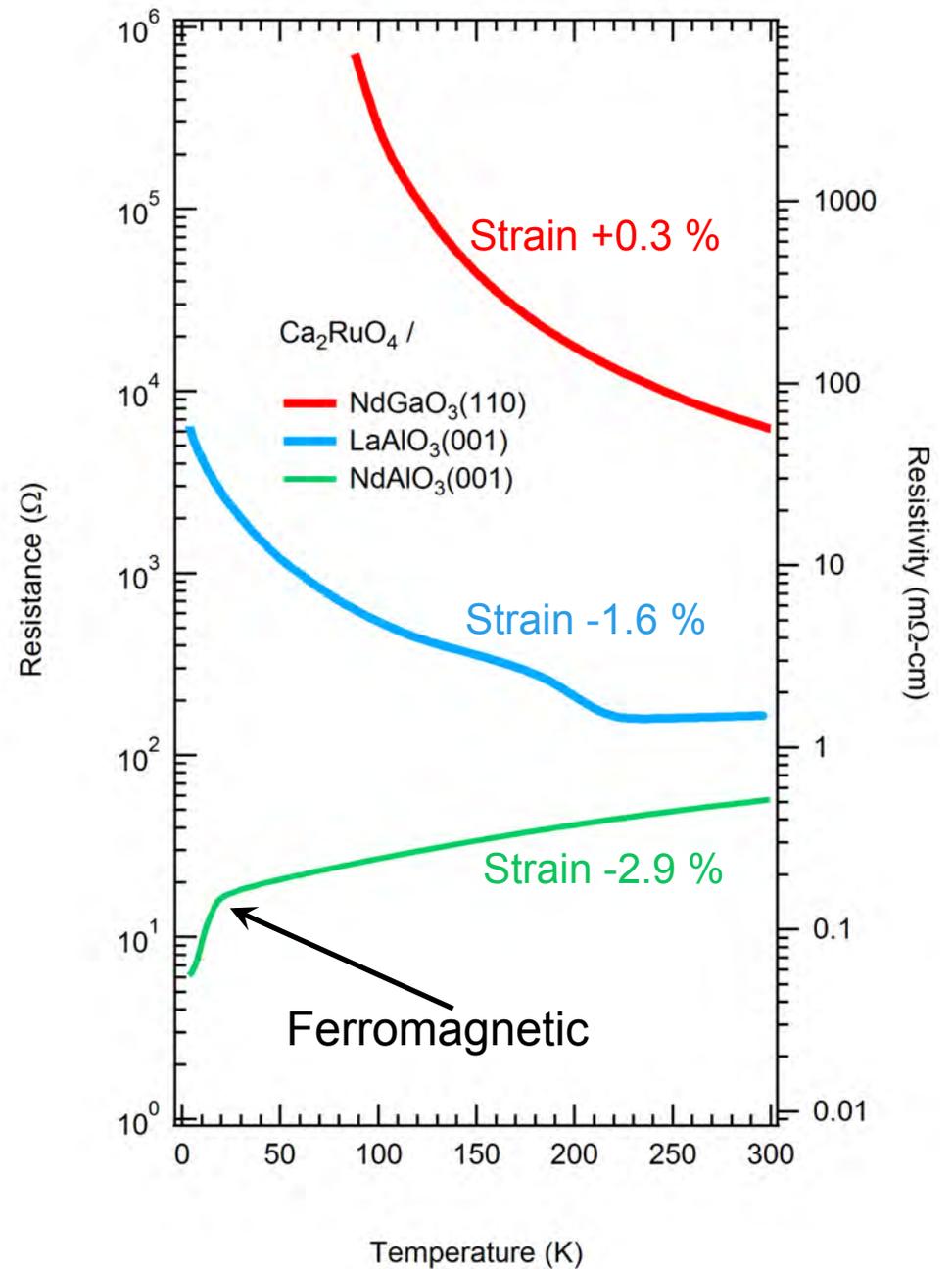


Bulk –  $T_{\text{MIT}}$  at 360 K

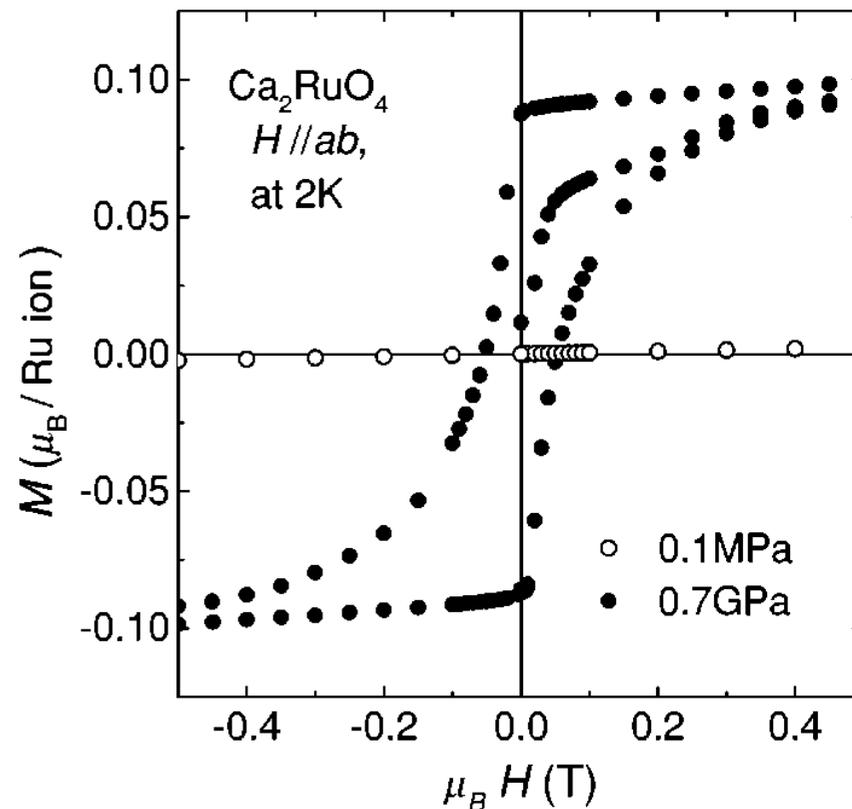
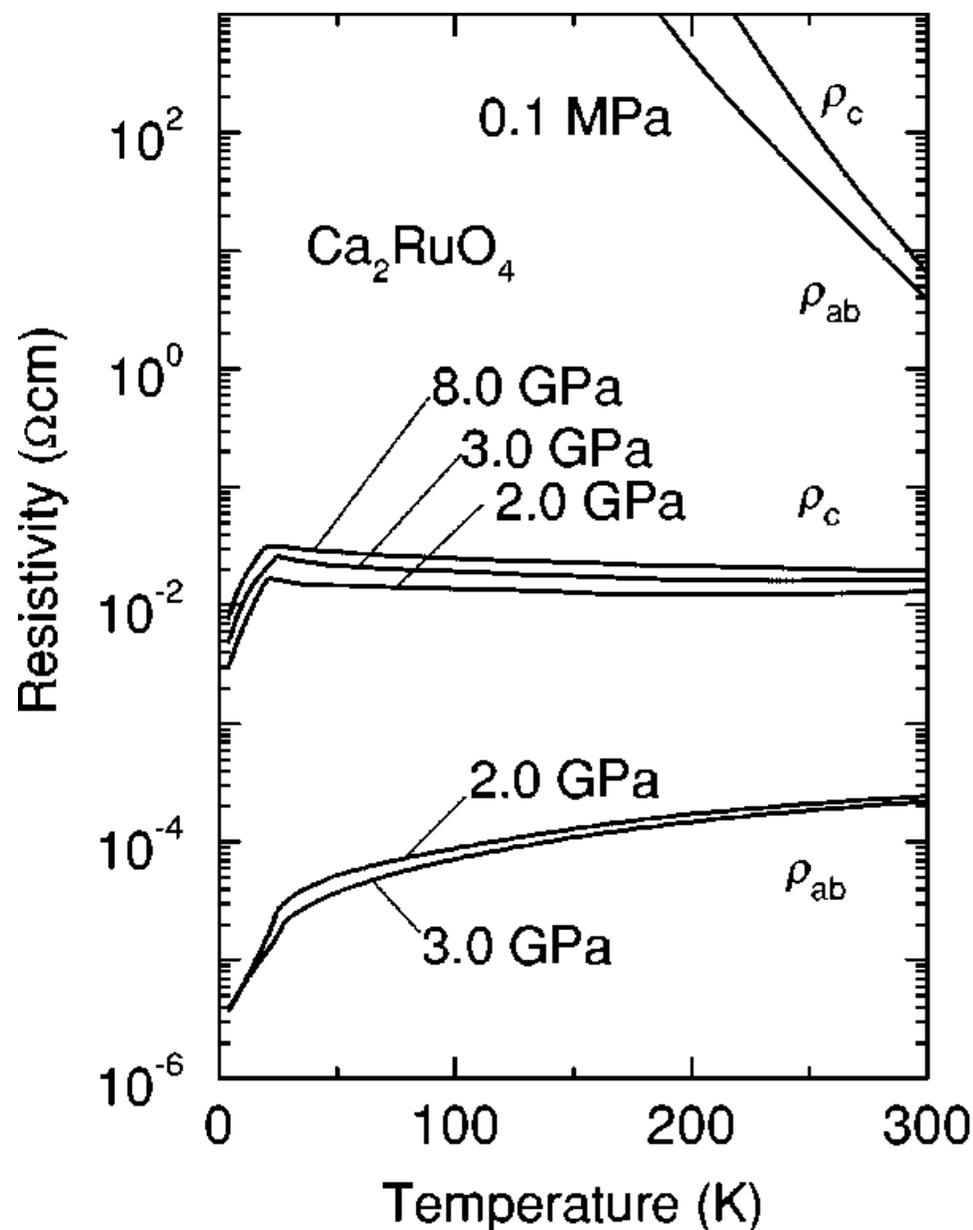
0.3% tensile –  $T_{\text{MIT}}$  above 650 K

1.6% compressive –  $T_{\text{MIT}}$  suppressed or shifted to lower  $T$

# Transport on Strained $\text{Ca}_2\text{RuO}_4$



# Ca<sub>2</sub>RuO<sub>4</sub> under Hydrostatic Pressure



F. Nakamura, T. Goko, M. Ito, T. Fujita, S. Nakatsuji, H. Fukazawa, Y. Maeno, P. Alireza, D. Forsythe, and S. R. Julian, *Phys. Rev. B* **65** (2002) 220402.

# Unleashing Hidden Properties

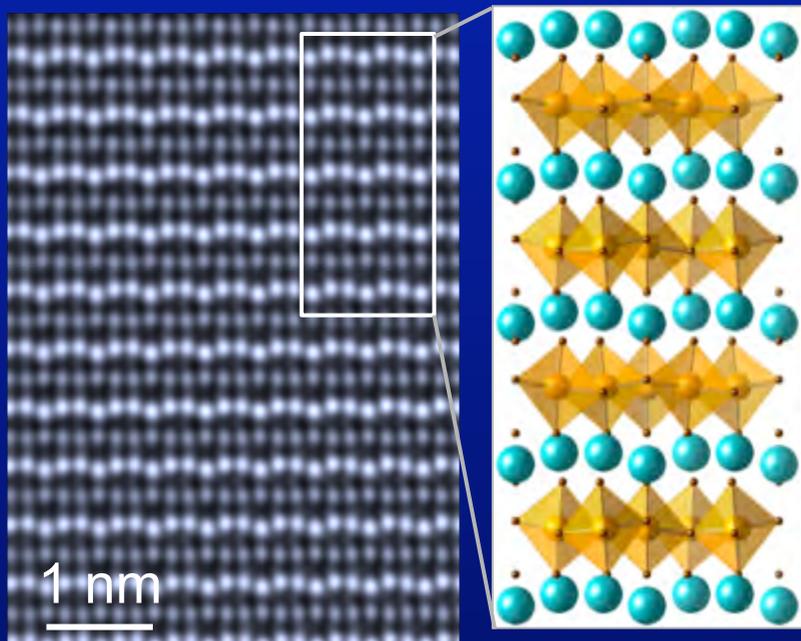
- Toolkit Enabling Materials-by-Design of Oxide Thin Films
- Ruthenates— $\text{BaRuO}_3$ ,  $(\text{Ca,Sr,Ba})_2\text{RuO}_4$  altering band structure and properties
- $\text{EuTiO}_3$   
strongest ferromagnetic ferroelectric
- $(\text{LuFeO}_3)_9 / (\text{LuFe}_2\text{O}_4)_1$  Superlattices  
strongest ferromagnetic ferroelectric  
at room temperature

# Room-Temperature Multiferroics

Material	$M_s$ and $P_s$	$T_N$ and $T_C$	Synthesis
$\text{BiFeO}_3$	$M_s \sim 0.03 \mu_B/\text{Fe}$ $P_s \sim 95 \mu\text{C}/\text{cm}^2$	$T_N \sim 643 \text{ K}$ $T_C \sim 1100 \text{ K}$	
$\text{BiCoO}_3$	$M_s = 0$ (AFM) $P_{s,\text{theory}} \sim 170 \mu\text{C}/\text{cm}^2$	$T_N \sim 470 \text{ K}$ $T_C > 520 \text{ K}$	60,000 atm
$\text{ScFeO}_3$ (corundum polymorph)	$M_s \sim 0.01 \mu_B/\text{Fe}$ $P_{s,\text{theory}} \sim 3 \mu\text{C}/\text{cm}^2$	$T_N \sim 356 \text{ K}$ $T_{C,\text{theory}} \sim 1300 \text{ K}$	60,000 atm or Epitaxial Stabilization
$[(\text{Ca}_{0.6}\text{Sr}_{0.4})_{1.15}$ $\text{Tb}_{1.85}\text{Fe}_2\text{O}_7]_{0.83}$ — $[\text{Ca}_3\text{Ti}_2\text{O}_7]_{0.17}$	$M_s \sim 0.009 \mu_B/\text{Fe}$ $P_{s,\text{theory}} \sim 10 \mu\text{C}/\text{cm}^2$	$T_N \sim 330 \text{ K}$ $T_C \sim 430 \text{ K}$	

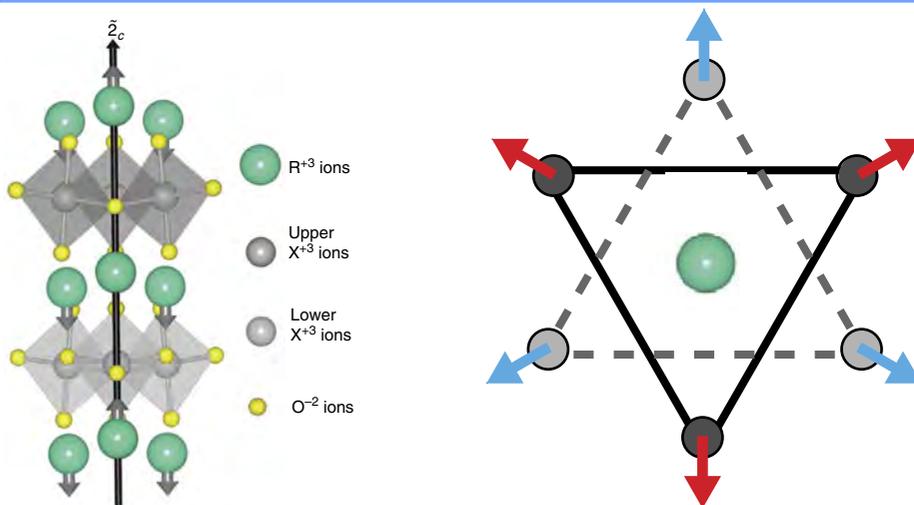
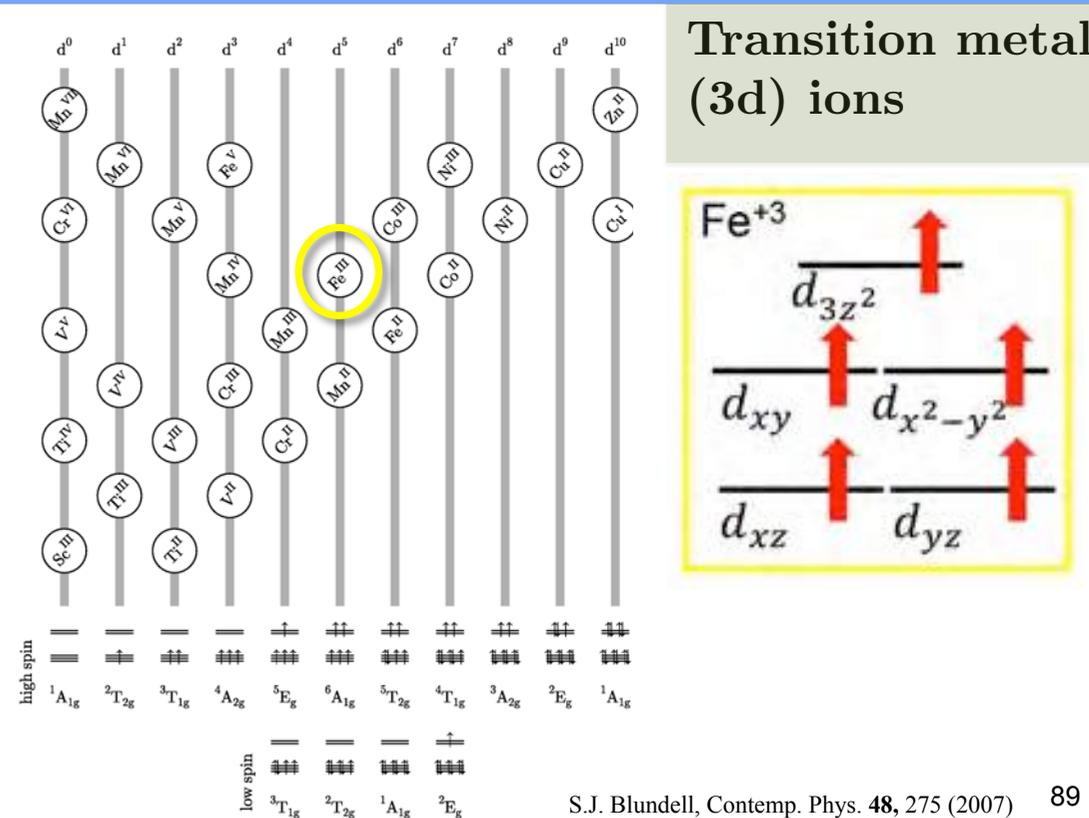
# The Idea: $(\text{LuFeO}_3)_m / (\text{LuFe}_2\text{O}_4)_n$ Superlattices

**LuFeO<sub>3</sub>**



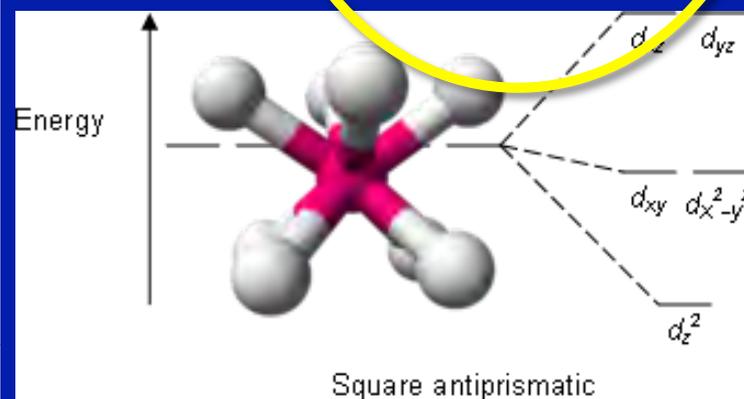
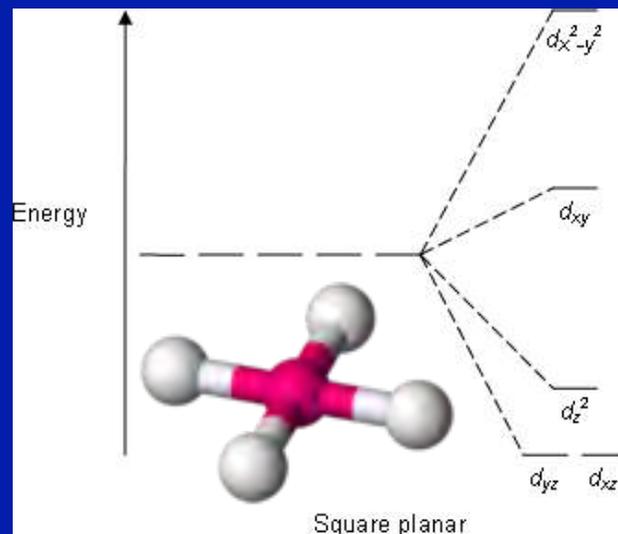
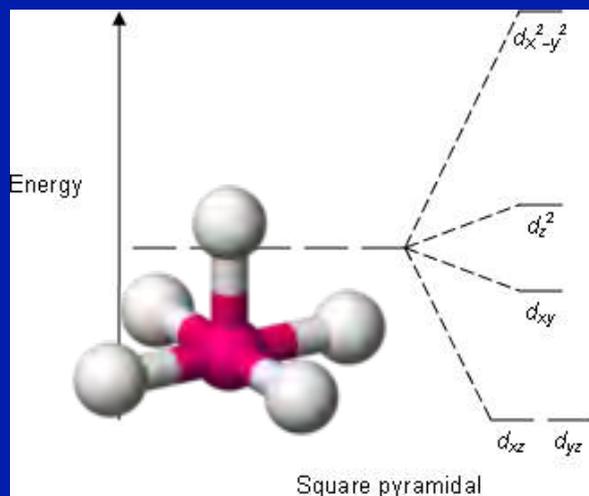
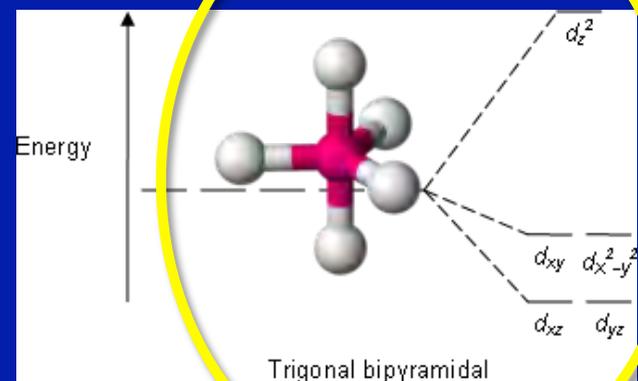
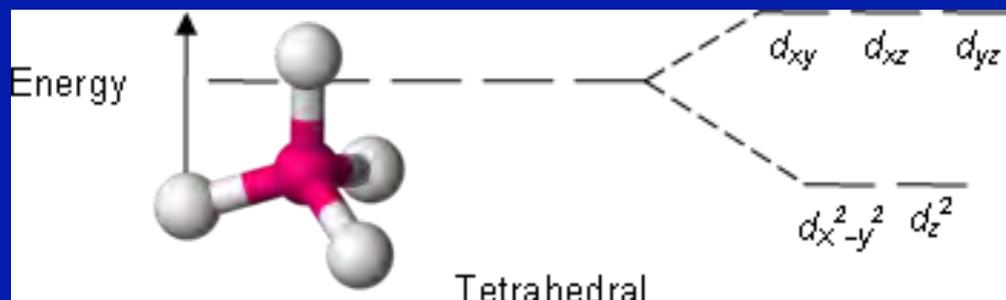
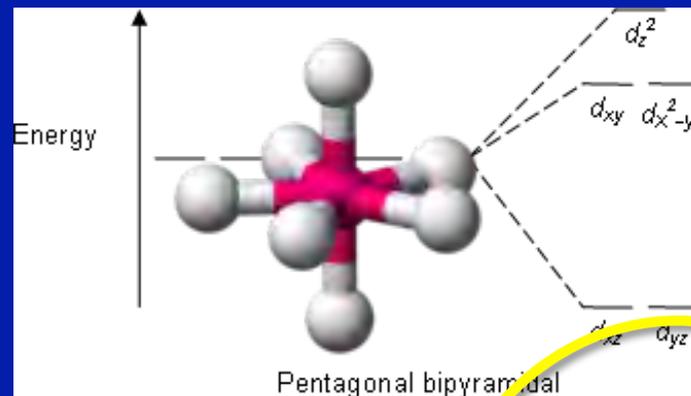
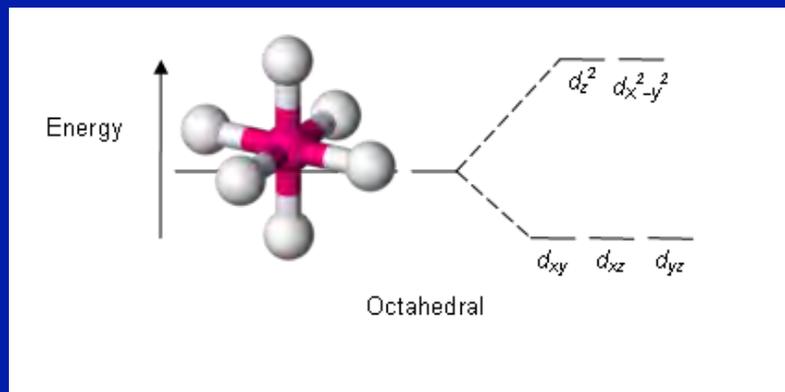
**Canted AFM,  $T_N \sim 147$  K**  
**Ferroelectric,  $T_C \sim 1050$  K**

Bossak, A.A. *et al. Chem. Mater.* **16**, 1751 (2004)  
 Wang, W. *et al. Phys. Rev. Lett.* **110**, 237601 (2013)  
 Moyer, J. A. *et al. APL Mater.* **2**, 12106 (2014).  
 H. Das, A. L. Wysocki, Y. Geng, W. Wu and  
 Disseler, S. M. *et al. Phys. Rev. Lett.* **114**, 217601 (2015)  
 C. J. Fennie, "Bulk Magnetoelectricity in the  
 Hexagonal Manganites and Ferrites,"  
*Nature Communications* **5**, 2998 (2014).



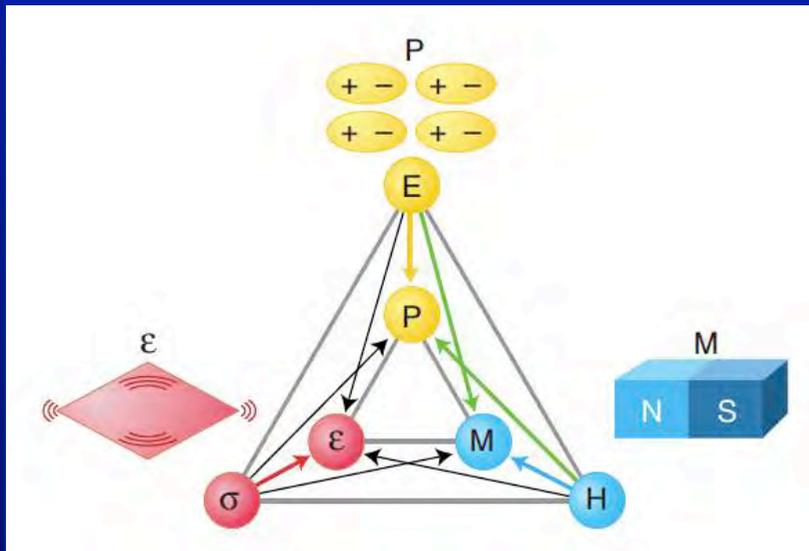
# From Lecture #2

## Other environments



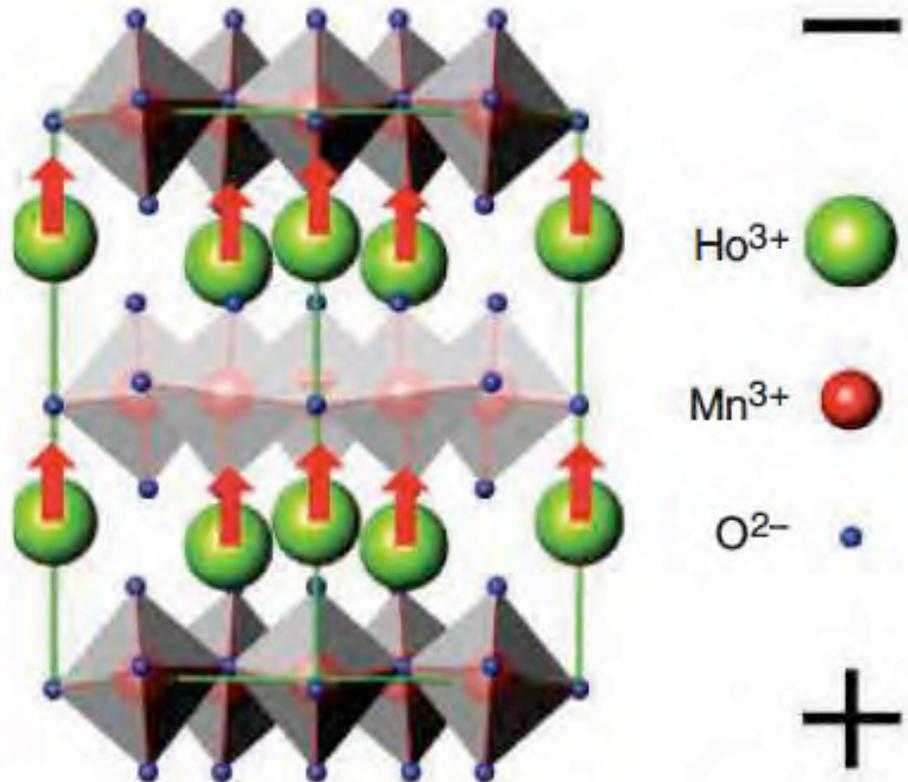
# From Lecture #1

## Ferroelectricity + Magnetism: *Multiferroics*



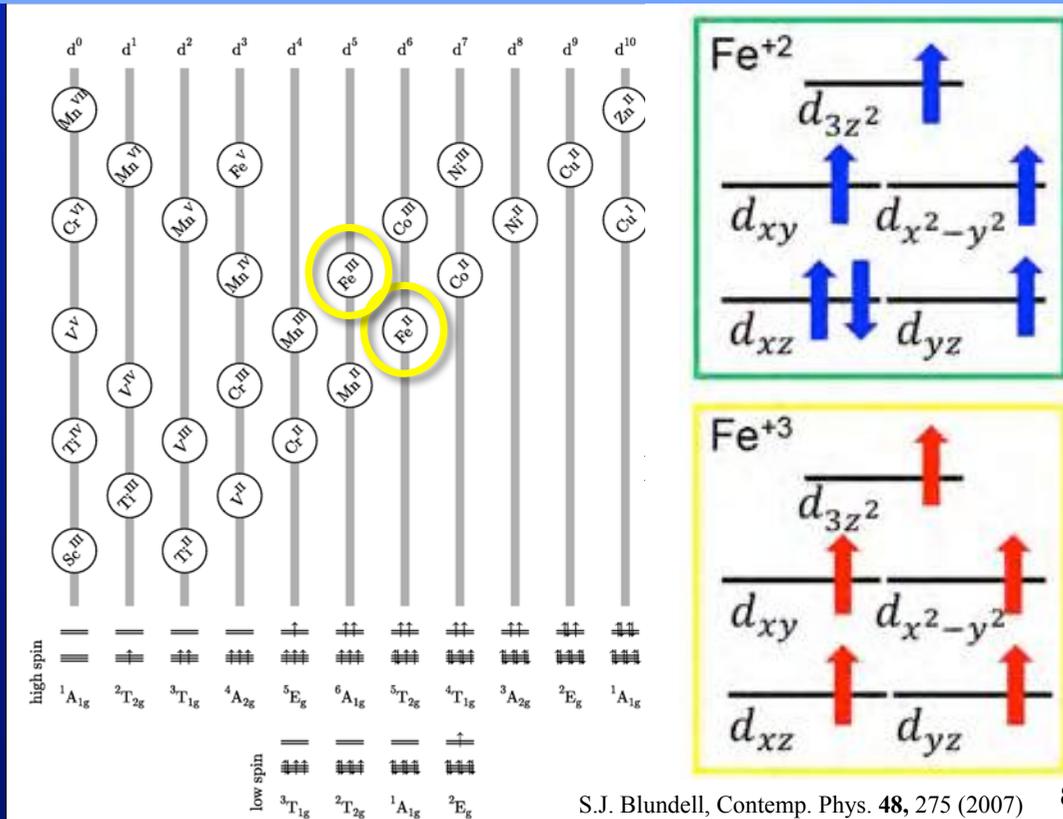
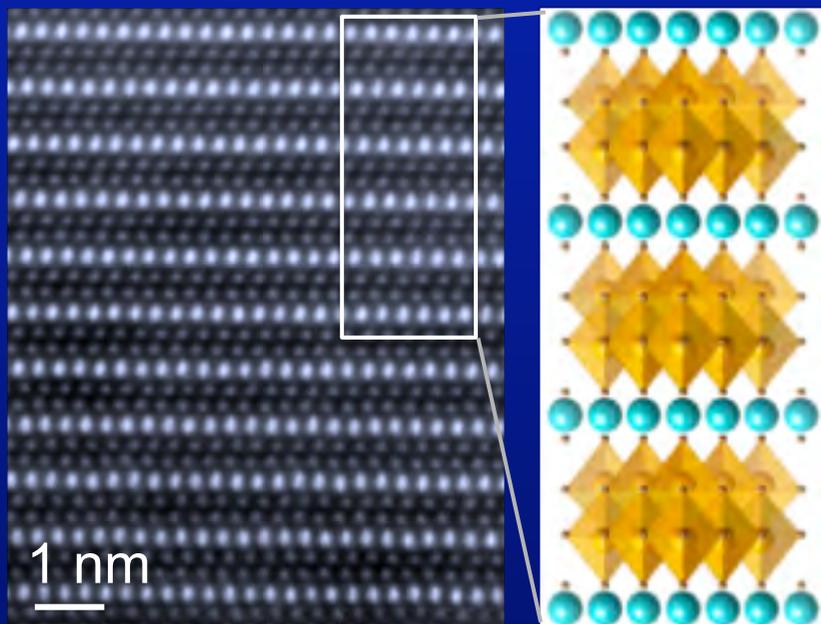
**Phase control in ferroics and multiferroics.** The electric field  $E$ , magnetic field  $H$ , and stress  $\sigma$  control the electric polarization  $P$ , magnetization  $M$ , and strain  $\epsilon$ , respectively. In a ferroic material,  $P$ ,  $M$ , or  $\epsilon$  are spontaneously formed to produce ferromagnetism, ferroelectricity, or ferroelasticity, respectively. In a multiferroic, the coexistence of at least two ferroic forms of ordering leads to additional interactions. In a magnetoelectric multiferroic, a magnetic field may control  $P$  or an electric field may control  $M$  (green arrows).

N.Spaldin, Science, 2005



**Structure of multiferroic  $\text{HoMnO}_3$ .** Hexagonal  $\text{HoMnO}_3$  is ferroelectric, because the oxygen bipyramids surrounding each  $\text{Mn}^{3+}$  ion are tilted and shifted relative to the  $\text{Ho}^{3+}$  ions. It is also magnetic, with ferromagnetic alignment of the  $\text{Ho}^{3+}$  magnetic moments combined with antiferromagnetic  $\text{Mn}^{3+}$  ordering. Therefore, hexagonal  $\text{HoMnO}_3$  is multiferroic.

# The Idea: $(\text{LuFeO}_3)_m / (\text{LuFe}_2\text{O}_4)_n$ Superlattices



**Ferrimagnetic,  $T_C \sim 240$  K**

Ikeda, N. *et al. Nature* **436**, 1136–1138 (2005).

Iida, J. *et al. J. Phys. Soc. Jpn.* **62** (1993) 1723-1735.

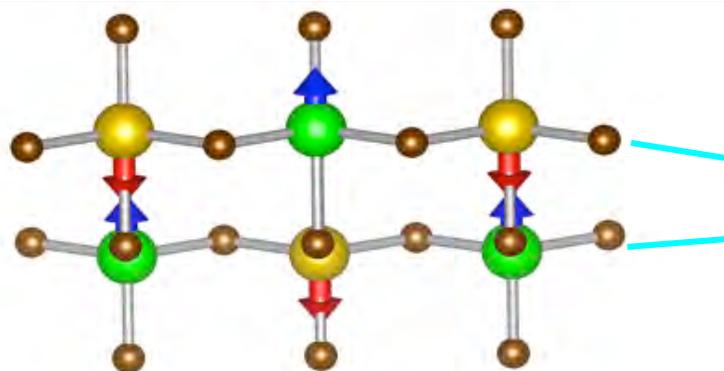
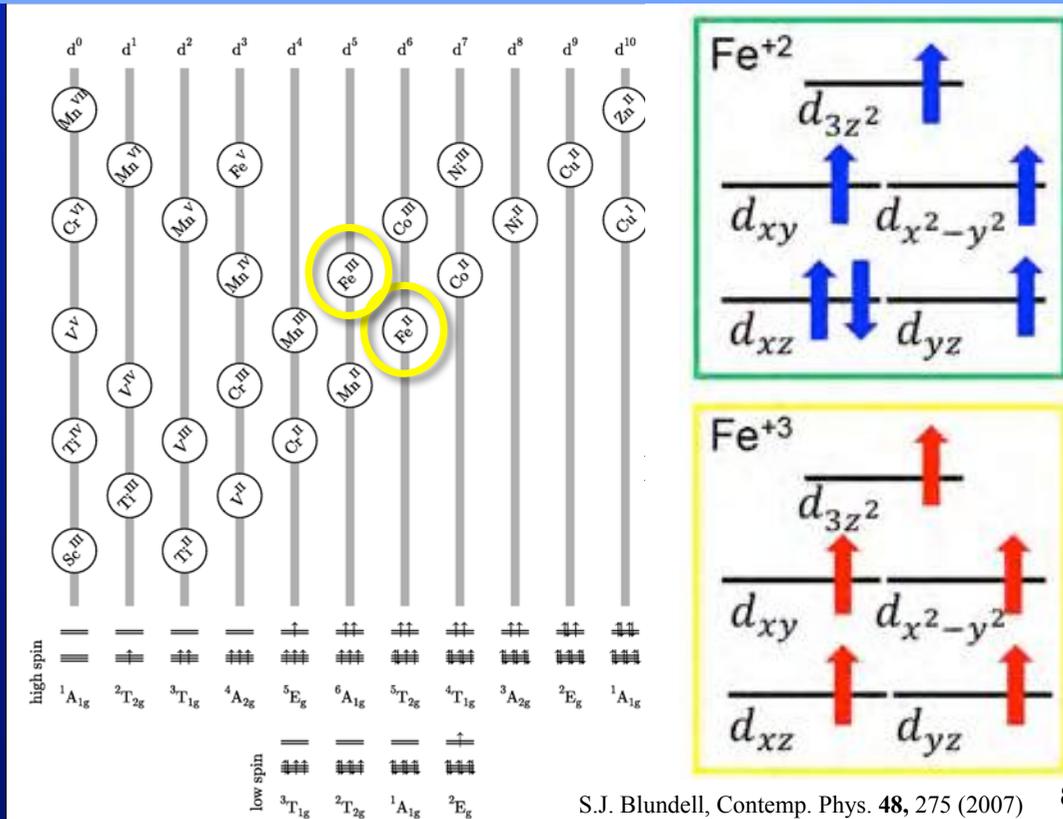
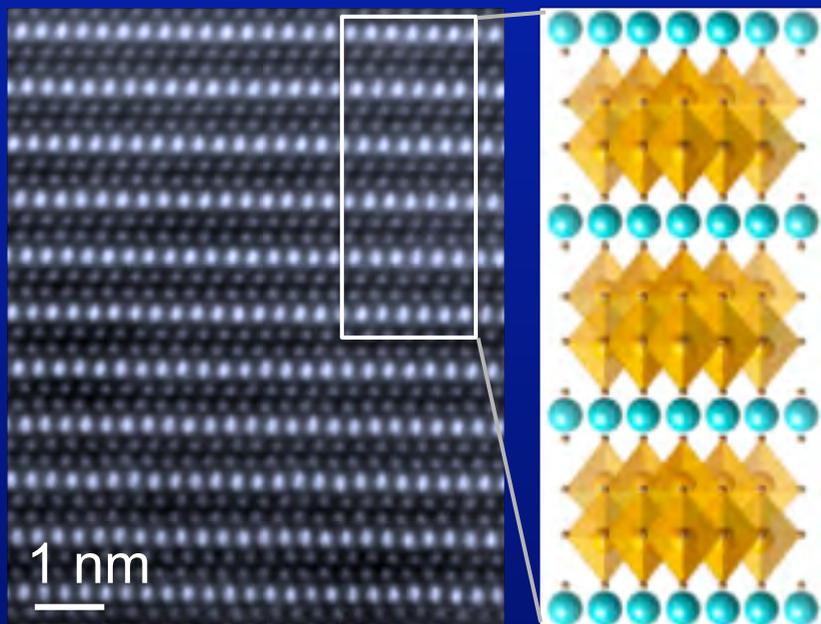
**Non-Polar**

De Groot, J. *et al. Phys. Rev. Lett.*

**108**, 187601 (2012).

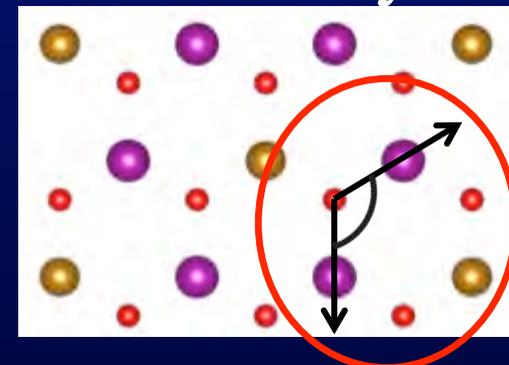
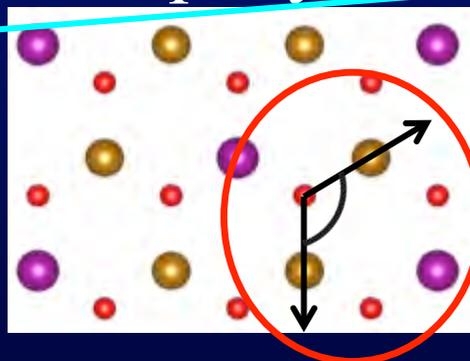
# The Idea: $(\text{LuFeO}_3)_m / (\text{LuFe}_2\text{O}_4)_n$ Superlattices

$\text{LuFe}_2\text{O}_4$



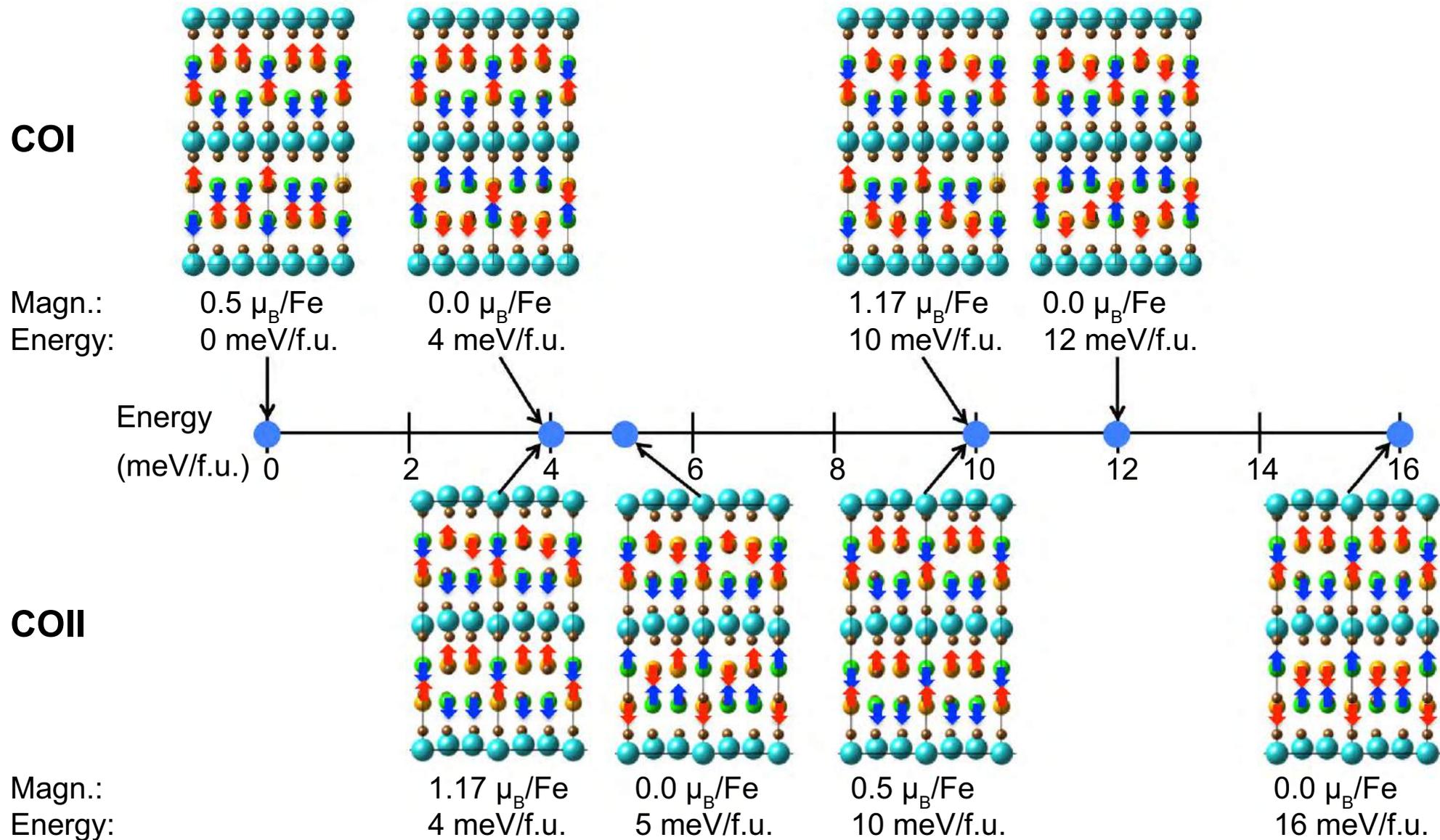
$$M = g\mu_B \left( \frac{3S_{\text{Fe}^{3+}}}{5/2} - \frac{3S_{\text{Fe}^{2+}}}{4/2} \right) / 6 = 0.5 \mu_B / \text{Fe}$$

In-plane interactions  
top layer → bottom layer



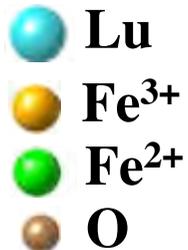
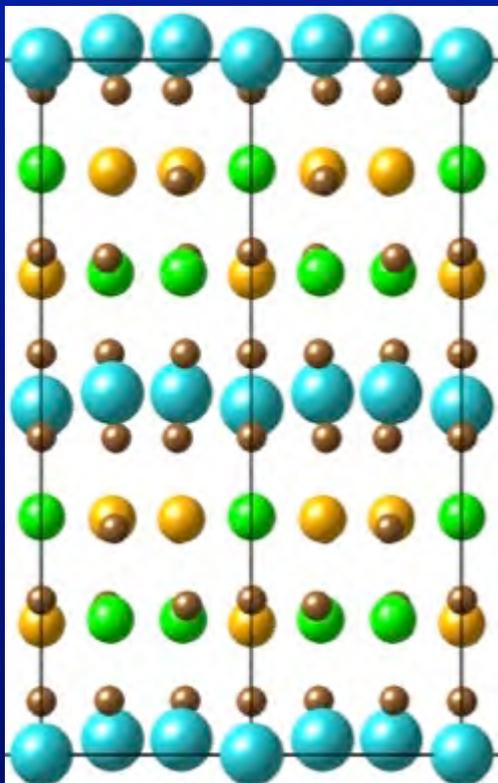
# First-Principles DFT: $\text{LuFe}_2\text{O}_4$

## Spin Configurations

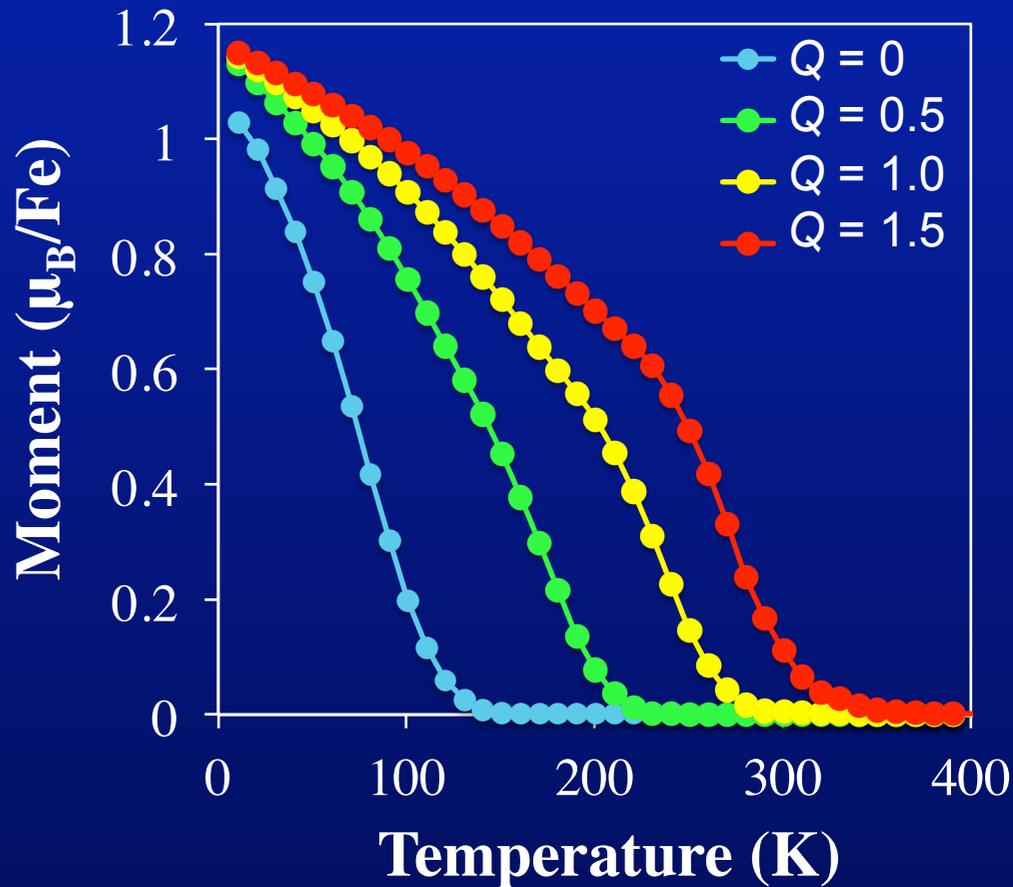


# First-Principles DFT: $\text{LuFe}_2\text{O}_4$

COII



~ 4 meV/Fe  
*Cm*  
~1.16  $\mu_B$ /Fe  
Ferroelectric

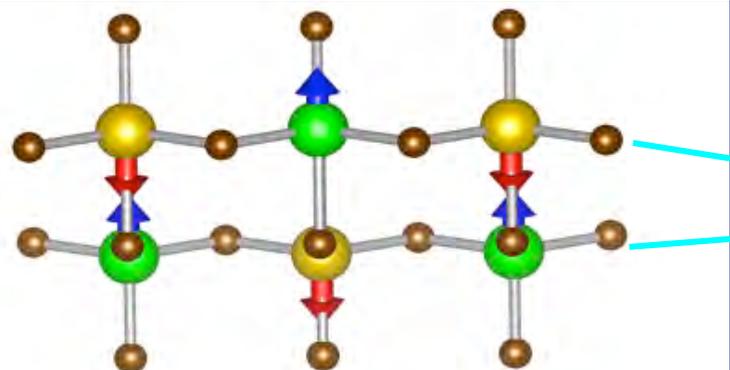
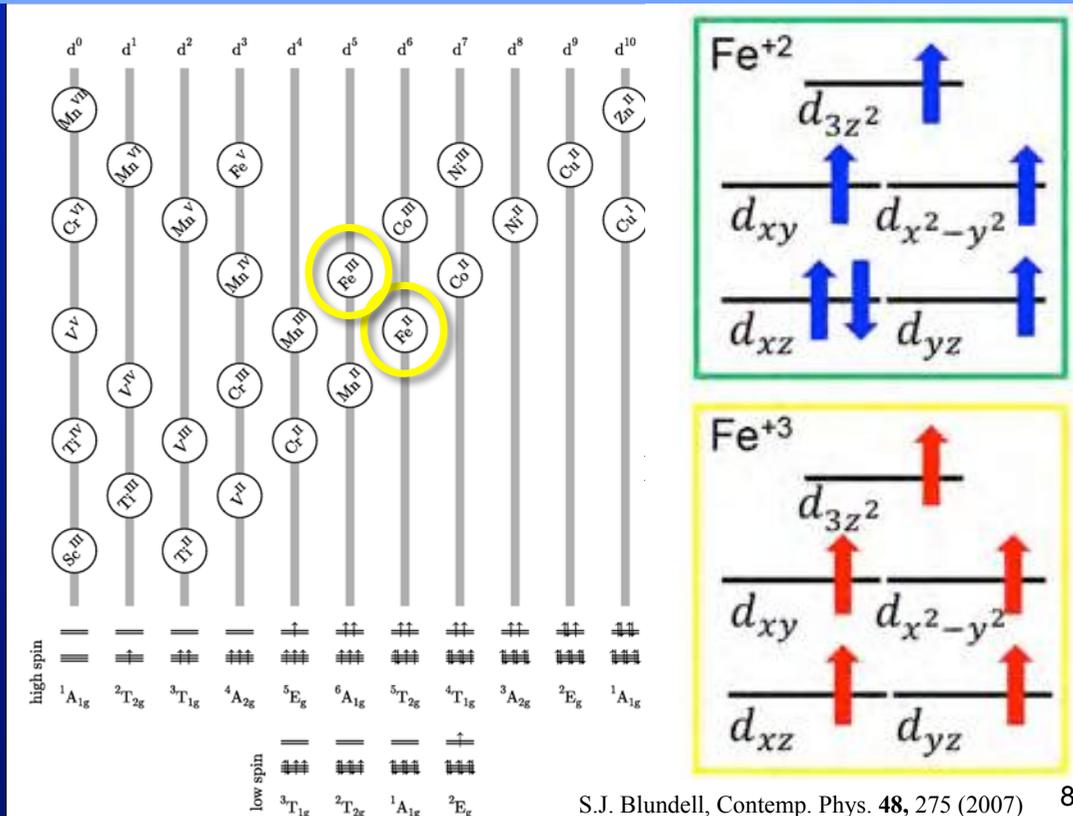
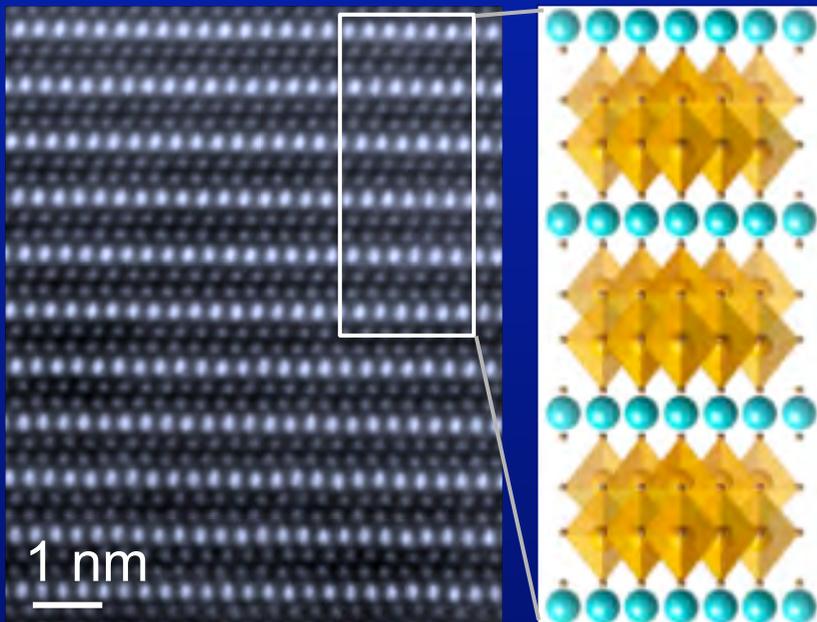


Lu Distortions (“*Q*”) increase magnetic transition in  $\text{LuFe}_2\text{O}_4$  to above room-temperature.

**Energy:**  
**Group:**  
**Moment:**

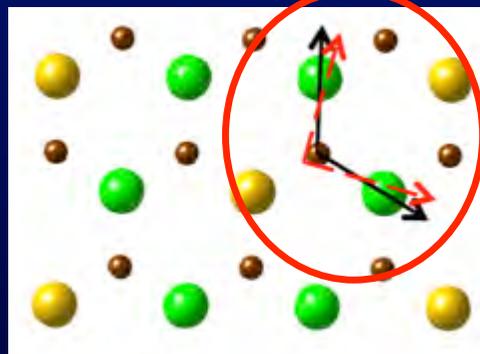
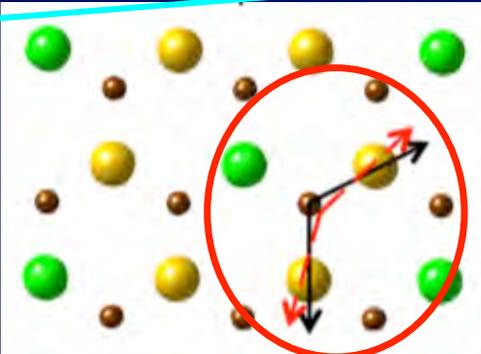
# The Idea: $(\text{LuFeO}_3)_m / (\text{LuFe}_2\text{O}_4)_n$ Superlattices

$\text{LuFe}_2\text{O}_4$



$$M = g\mu_B \left( \frac{3S_{\text{Fe}^{3+}}}{5/2} - \frac{3S_{\text{Fe}^{2+}}}{4/2} \right) / 6 = 0.5 \mu_B / \text{Fe}$$

**In-plane interactions**  
 top layer → bottom layer



# Lu<sub>2</sub>Fe<sub>3</sub>O<sub>7</sub>: A Natural (LuFeO<sub>3</sub>)<sub>1</sub> / (LuFe<sub>2</sub>O<sub>4</sub>)<sub>1</sub> Superlattice

Lu<sub>2</sub>Fe<sub>3</sub>O<sub>7</sub>  
T<sub>C,FM</sub> ~ 270 K

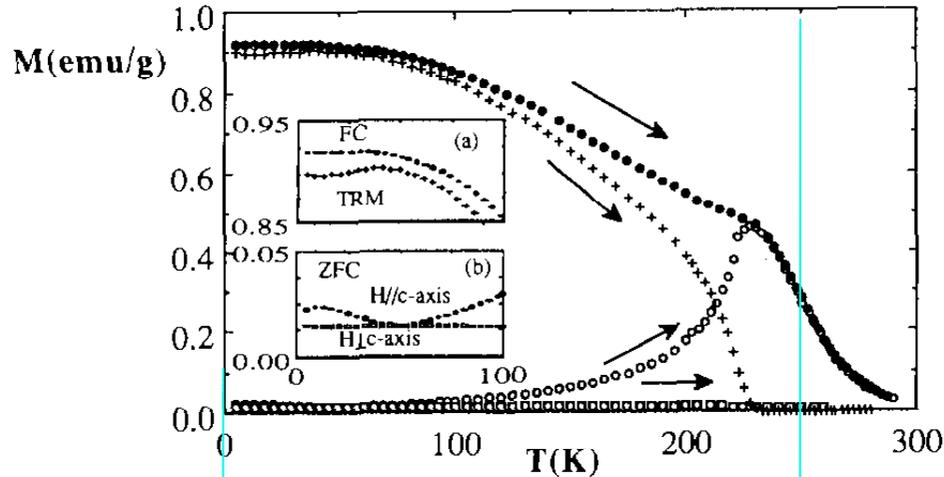
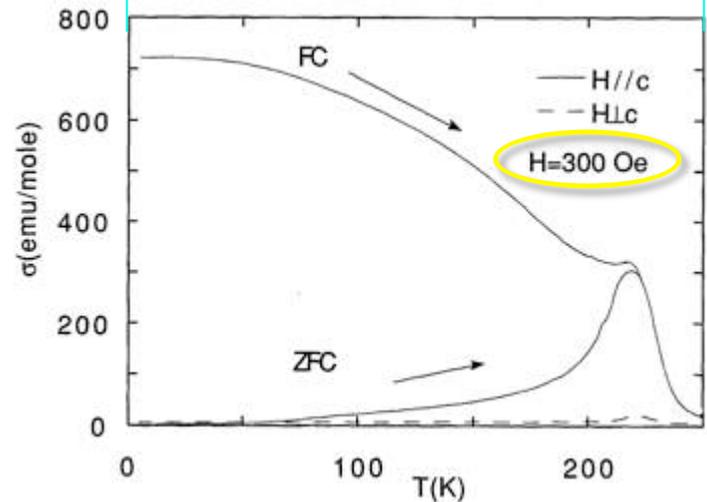


Fig. 1. Thermomagnetization curves of single crystal Lu<sub>2</sub>Fe<sub>3</sub>O<sub>7</sub> in 300 Oe. Circles and squares show those of the

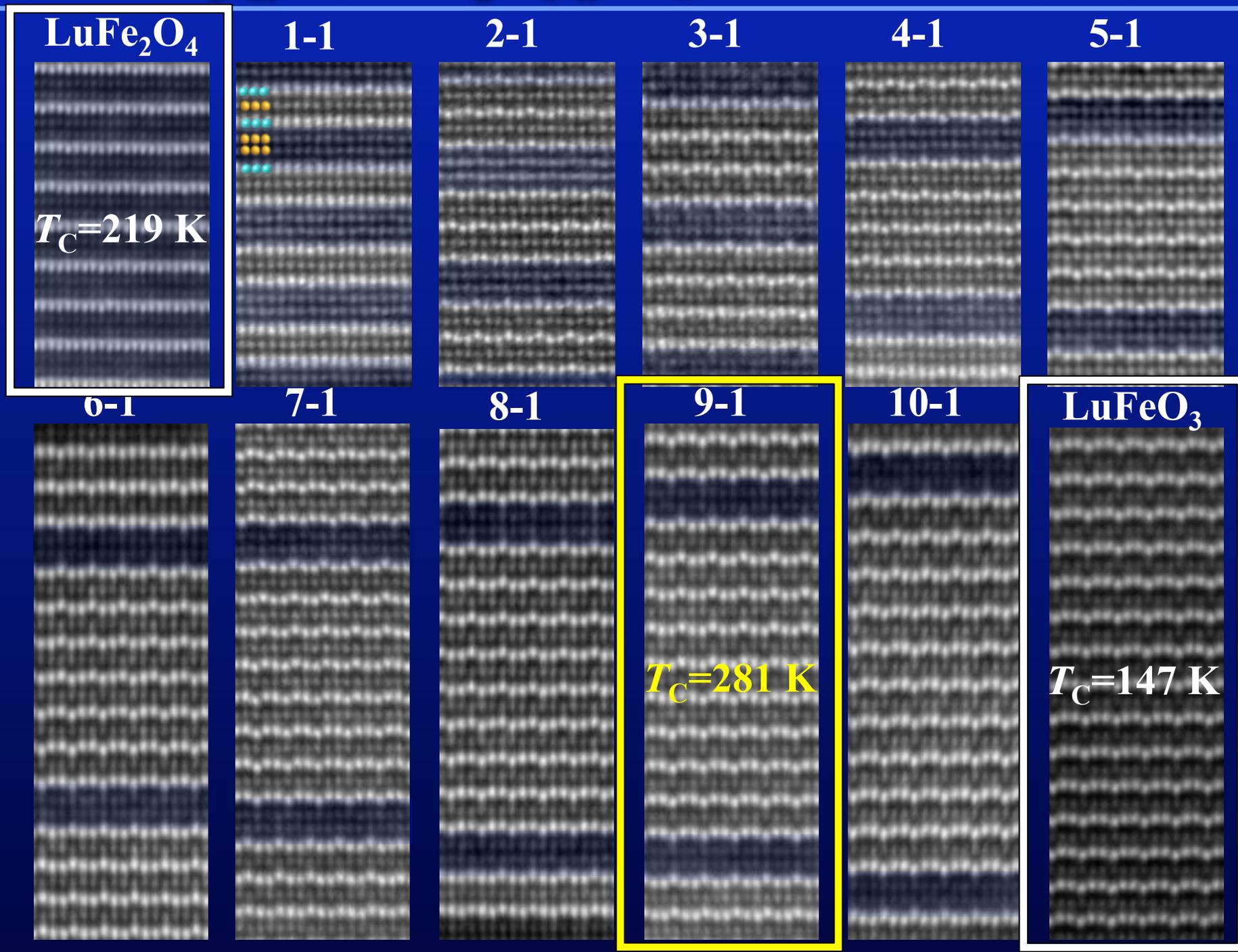
LuFe<sub>2</sub>O<sub>4</sub>  
T<sub>C,FM</sub> ~ 240 K



J. Iida, M. Tanaka, Y. Nakagawa,  
S. Funahashi, N. Kimizuka,  
and S. Takekawa,  
*Journal of the Physical Society of Japan* **62**  
(1993) 1723-1735.

J. Iida, M. Tanaka, and S.  
Funahashi,  
“Magnetic Property of Single  
Crystal Lu<sub>2</sub>Fe<sub>3</sub>O<sub>7</sub>,”  
*J. Magn. Magn. Mater.* **104-107**  
(1992) 827-828.

# $(\text{LuFeO}_3)_m / (\text{LuFe}_2\text{O}_4)_n$ Superlattices on (111) YSZ



# Ferroelectric Puckering *enhances* Ferrimagnetism

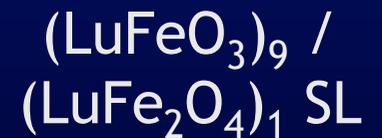
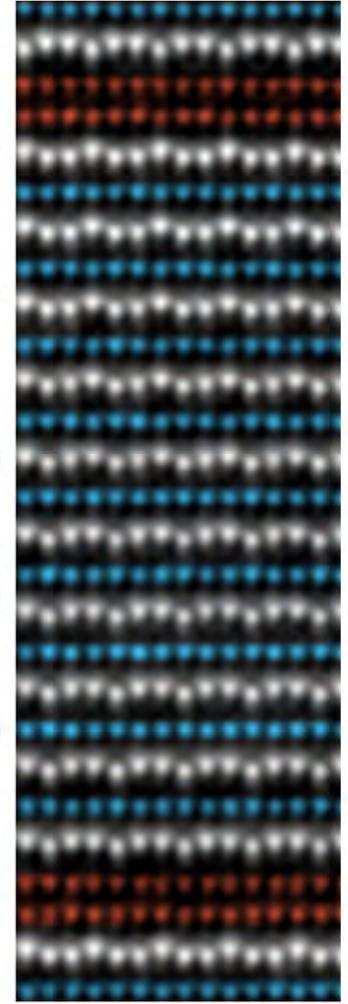
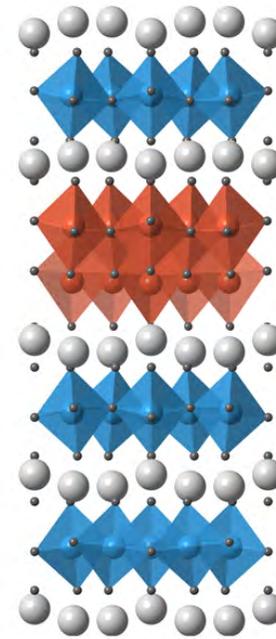
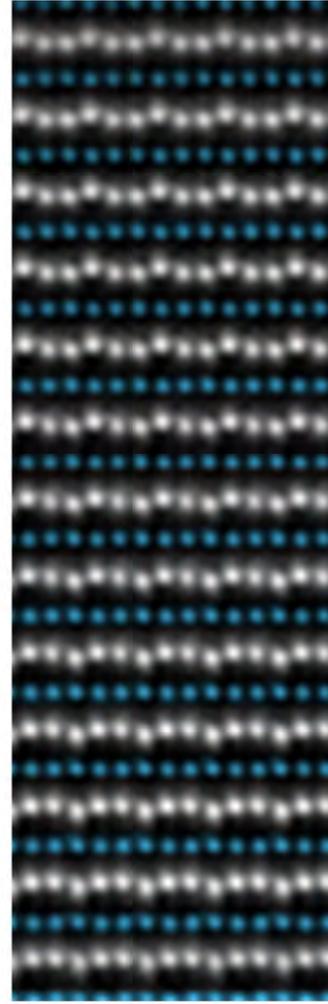
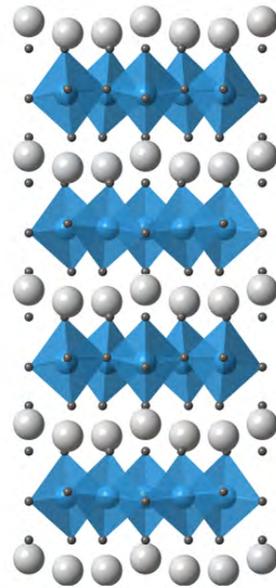
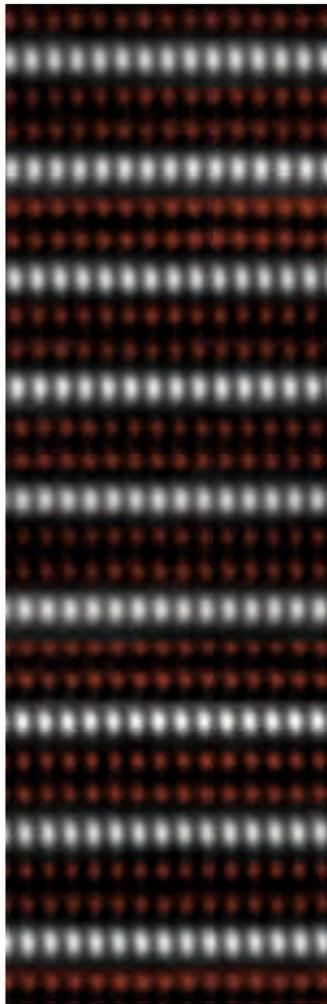
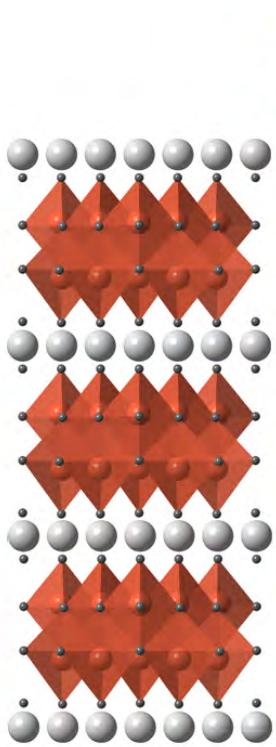
Ferrimagnetic

+

Ferroelectric

=

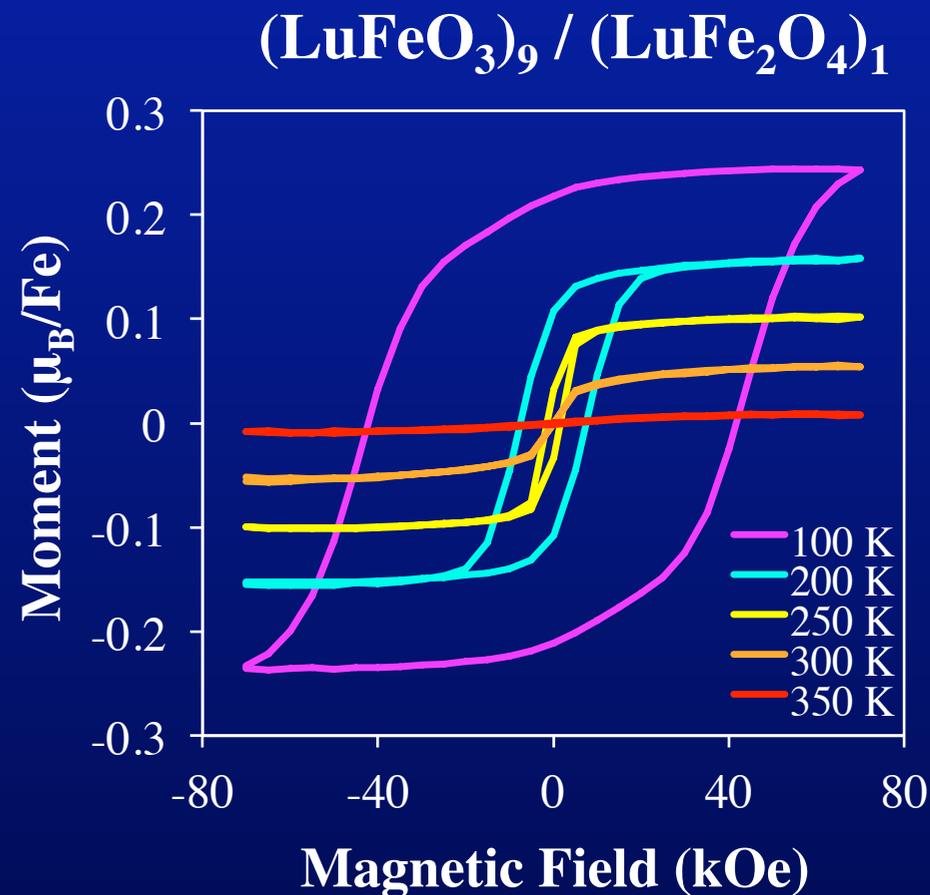
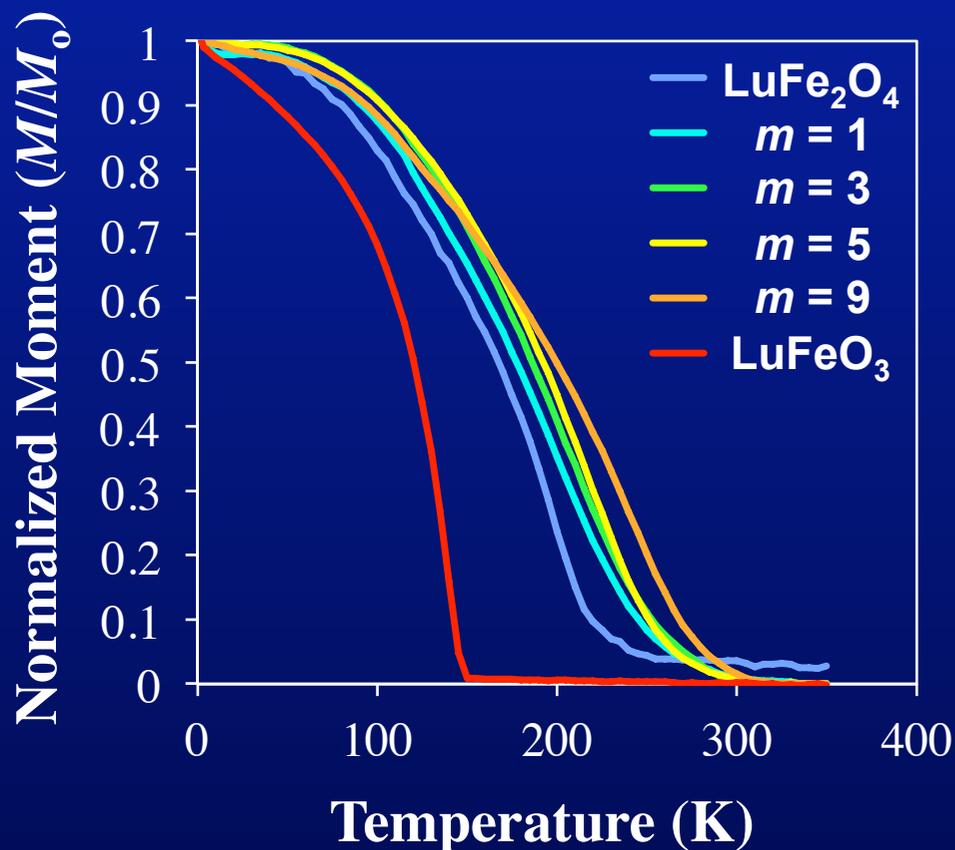
Multiferroic



# Quantifying Magnetic Moment:



## SQUID Magnetometry

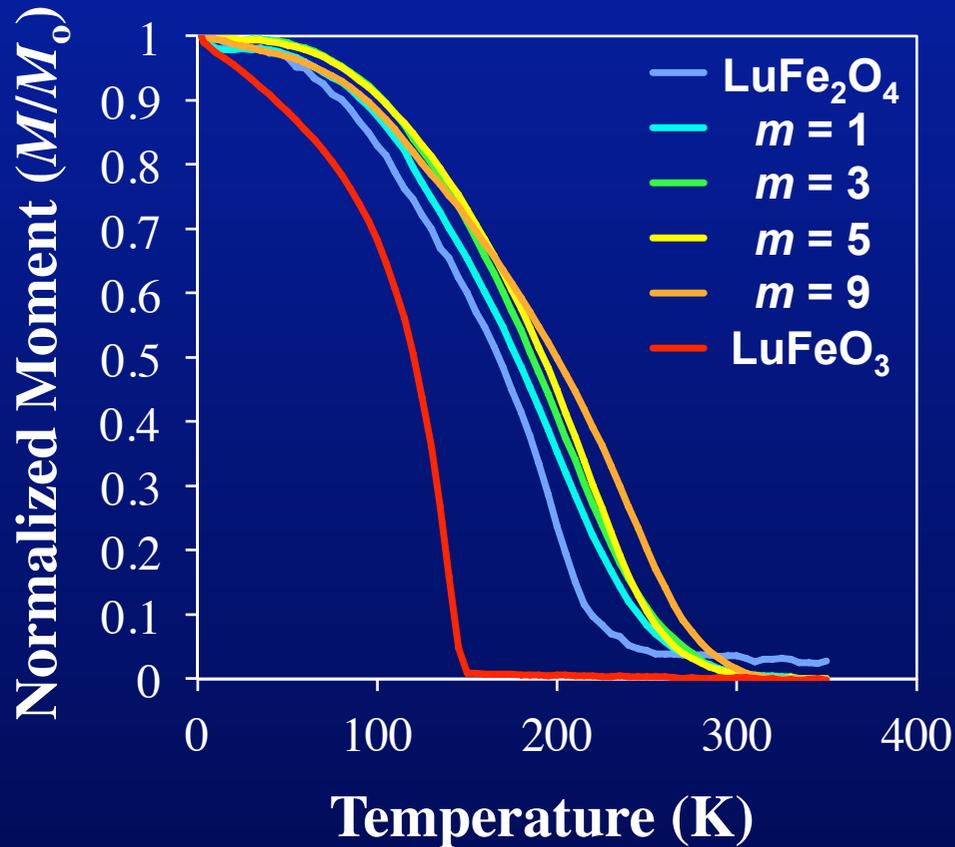


All  $(\text{LuFeO}_3)_m / (\text{LuFe}_2\text{O}_4)_1$  superlattices have a higher  $T_C$  than both LuFeO<sub>3</sub> and LuFe<sub>2</sub>O<sub>4</sub>

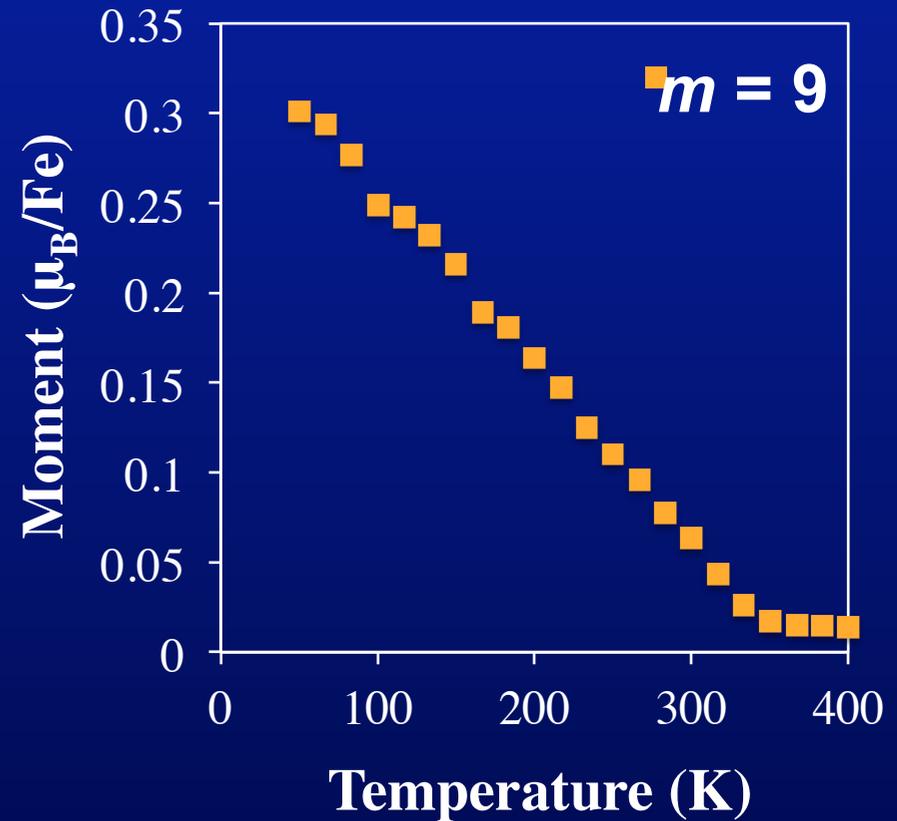
# Quantifying Magnetic Moment:



## SQUID Magnetometry

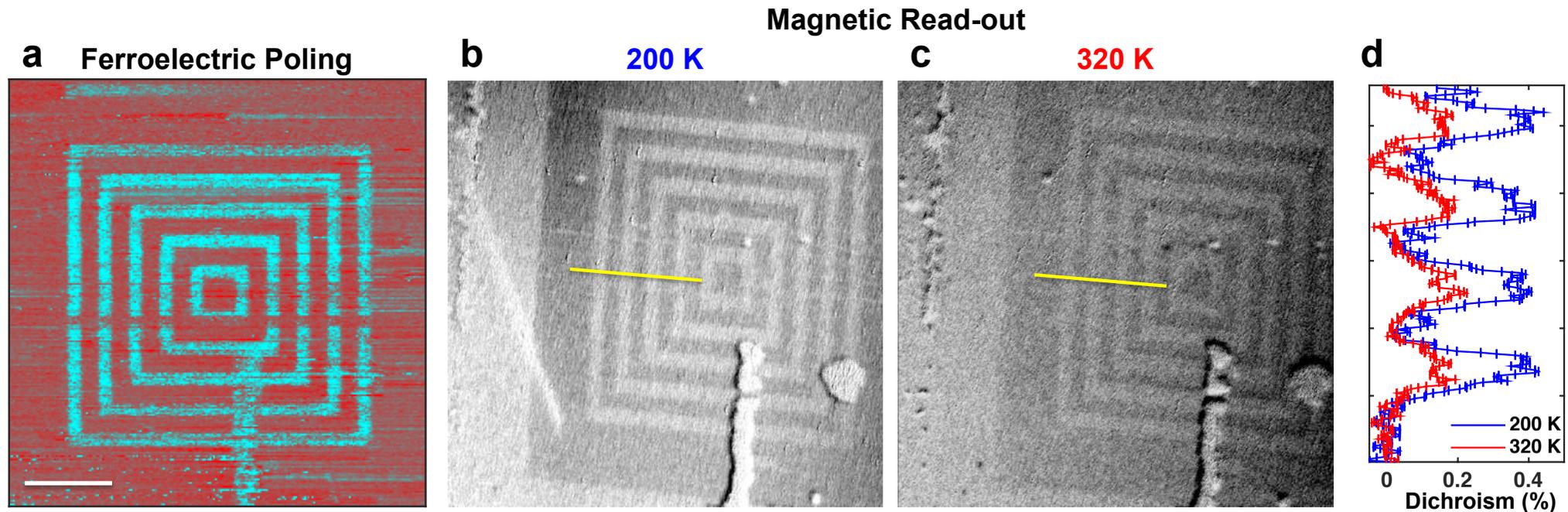


## (LuFeO<sub>3</sub>)<sub>9</sub> / (LuFe<sub>2</sub>O<sub>4</sub>)<sub>1</sub>



Ferromagnetic fluctuations in (LuFeO<sub>3</sub>)<sub>9</sub> / (LuFe<sub>2</sub>O<sub>4</sub>)<sub>1</sub> superlattice persist above room-temperature

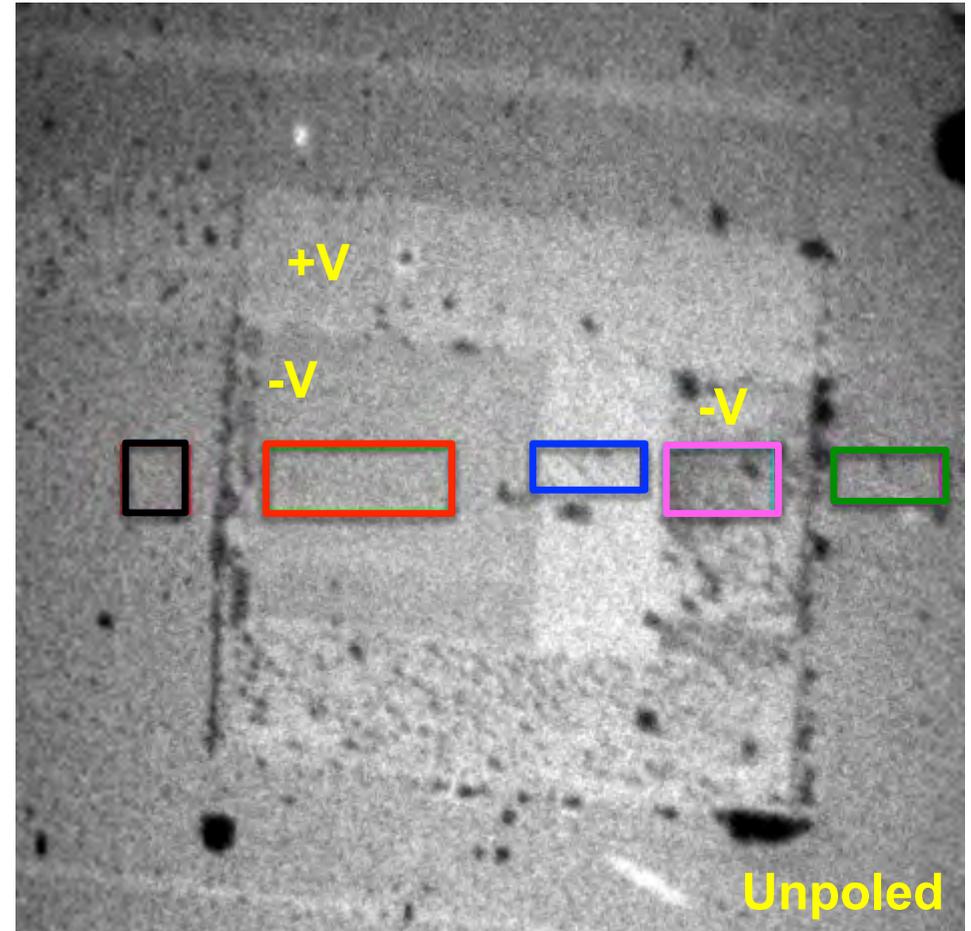
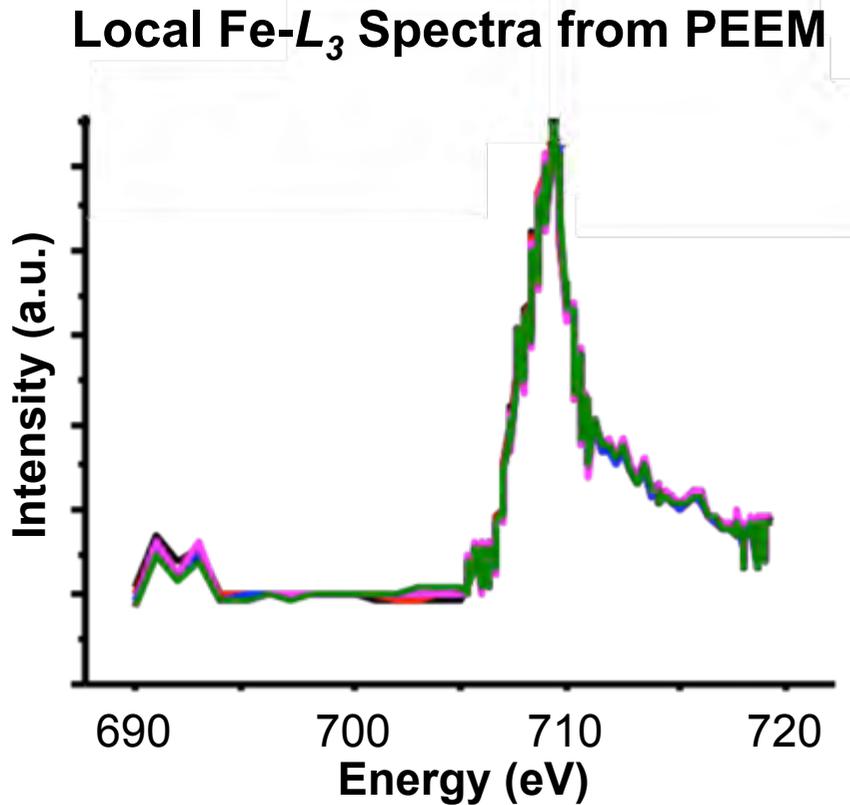
# Polarization coupled to Magnetism!



$(\text{LuFeO}_3)_9 / (\text{LuFe}_2\text{O}_4)_1$  superlattice

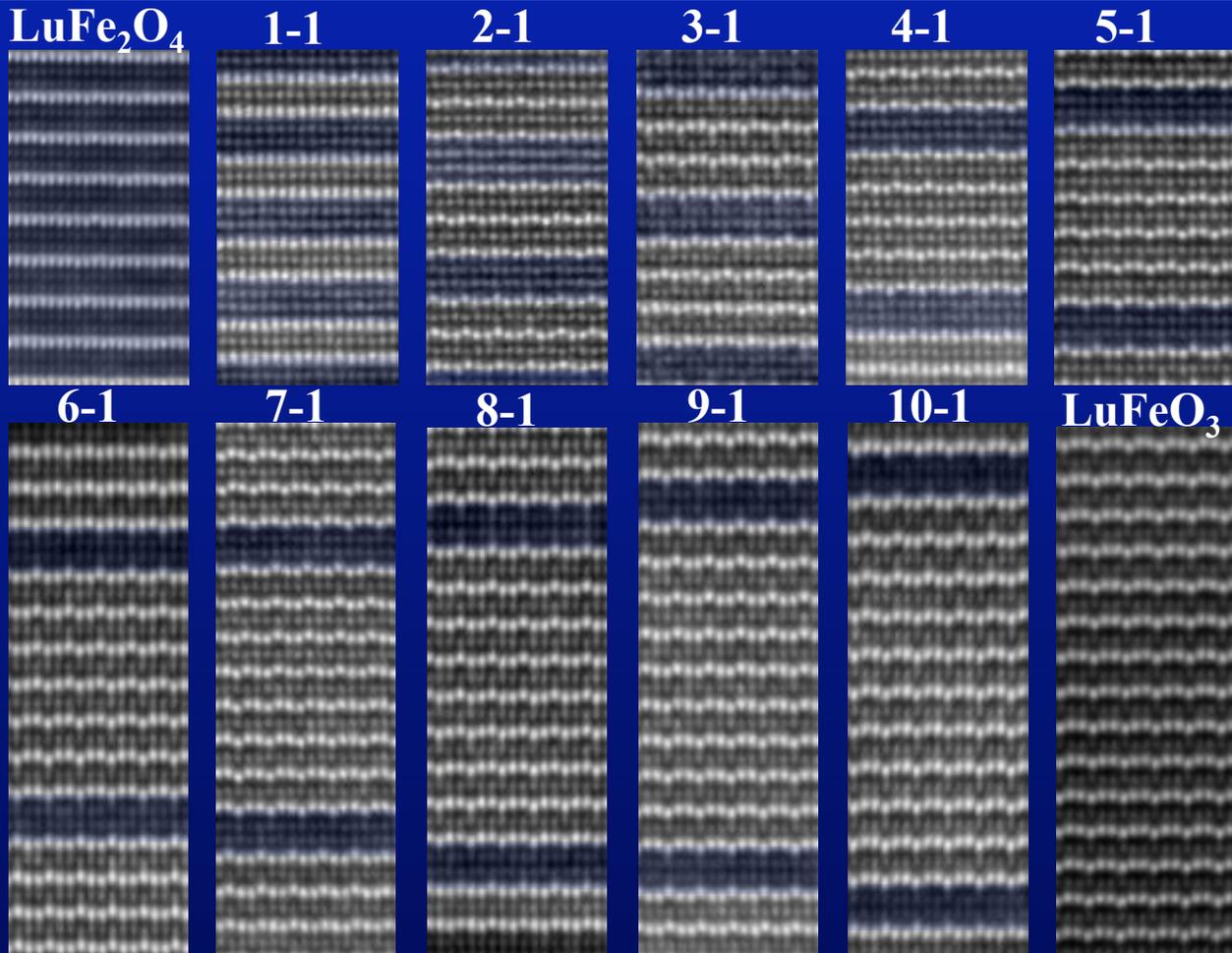
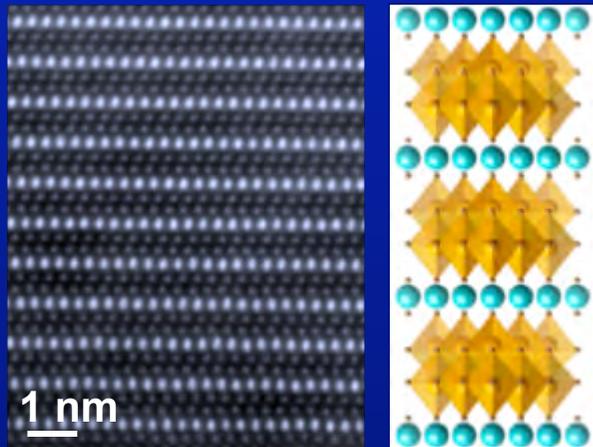
J.A. Mundy, C.M. Brooks, M.E. Holtz, J.A. Moyer, H. Das, A.F. Rébola, J.T. Heron, J.D. Clarkson, S.M. Disseler, Z. Liu, A. Farhan, R. Held, R. Hovden, E. Padgett, Q. Mao, H. Paik, R. Misra, L.F. Kourkoutis, E. Arenholz, A. Scholl, J.A. Borchers, W.D. Ratcliff, R. Ramesh, C.J. Fennie, P. Schiffer, D.A. Muller, and D.G. Schlom, *Nature* **537** (2016) 523–527.

# Electrical Poling Does Not Induce Local Modulation in Fe Valence



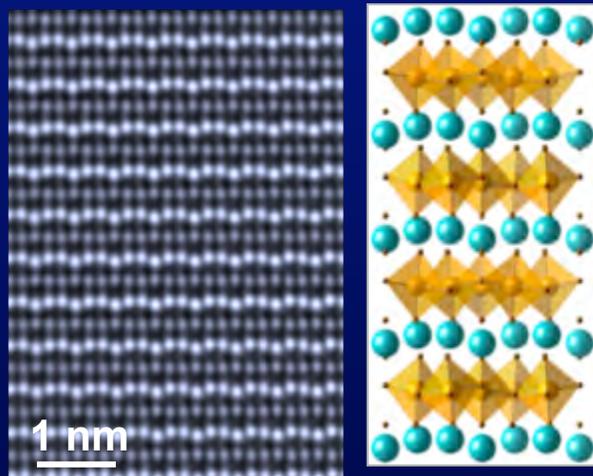
- PEEM is used to locally record Fe valence spectra demonstrating no detectable shift in the spectra (e.g. valence modulation) post-poling.
- XMCD-PEEM from the same region showed distinct magnetic order between the poled regions in this sample.

# Highest $T_C$ Ferrimagnetic Ferroelectric



$\text{LuFe}_2\text{O}_4$   
(a ferrimagnet)

+



$\text{LuFeO}_3$

(a geometric ferroelectric)

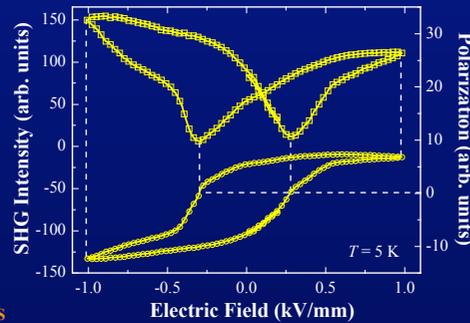
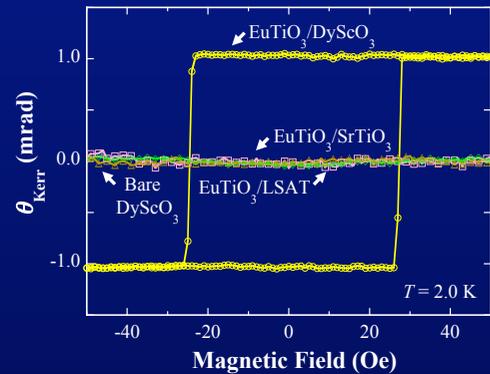
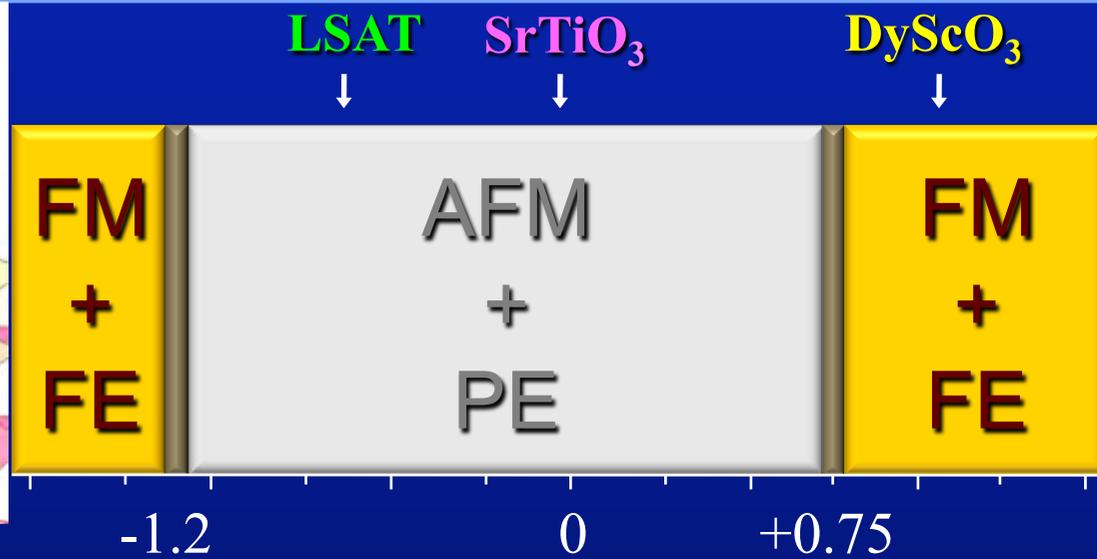
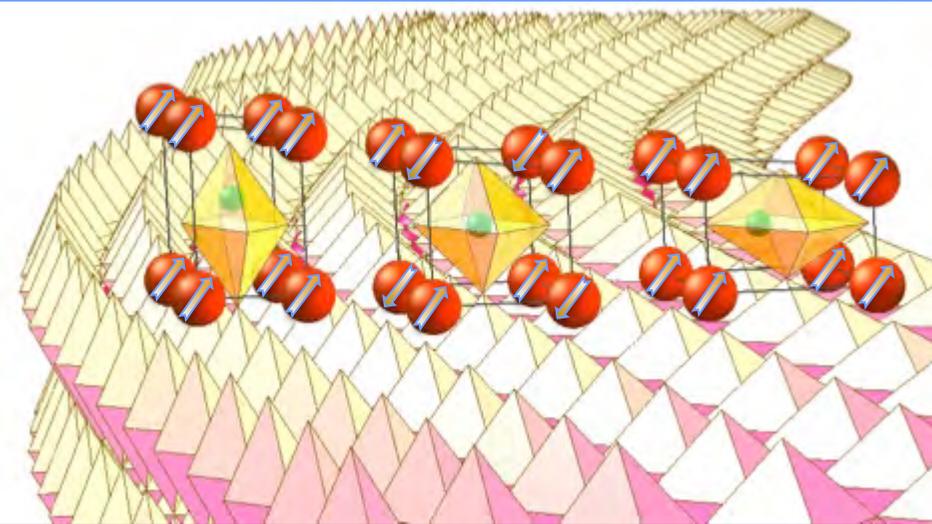
=

$(\text{LuFeO}_3)_m / (\text{LuFe}_2\text{O}_4)_n$  superlattices

**Ferrimagnetic Ferroelectric**

(puckering and polarization-doping from ferroelectric *enhance* ferrimagnetism)

# Strongest Ferromagnetic Ferroelectric



Biaxial Strain (%),  $\epsilon_s$

C.J. Fennie and K.M. Rabe  
*Physical Review Letters* **97** (2006) 267602.

**22 nm thick EuTiO<sub>3</sub>** (a boring dielectric) **+** **1.1% Strain** (by growing it commensurately) **=** **Multiferroic** (1000× stronger than prior ferromagnetic ferroelectrics)

J.H. Lee, L. Fang, E. Vlahos, X. Ke, Y.W. Jung, L.F. Kourkoutis, J-W. Kim, P.J. Ryan, T. Heeg, M. Roeckerath, V. Goian, M. Bernhagen, R. Uecker, P.C. Hammel, K.M. Rabe, S. Kamba, J. Schubert, J.W. Freeland, D.A. Muller, C.J. Fennie, P. Schiffer, V. Gopalan, E. Johnston-Halperin, and D.G. Schlom, *Nature* **466** (2010) 954-958.

# Conclusions

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## Using Thin-Film Alchemy:

- Hidden Ground States can be Accessed
- Superior Properties shown to Exist
- Theory + Synthesis is a Powerful Combination (Materials by Design)
- Imagine what Properties Await!