



COLLÈGE
DE FRANCE
— 1530 —

*Chaire de Physique
de la Matière Condensée
Antoine Georges*

Contrôle des fonctionnalités des oxydes

Hétéro-structures, Impulsions Lumineuses

*Cours 2 – Oxydes, Interfaces et
Hétérostructures: de la structure à la
structure électronique*

Cycle 2016-2017
2 mai 2017



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Control of oxide functionalities:

Heterostructures, Light pulses

*Lecture 2 – Oxides, interfaces and
heterostructures: from structure
to electronic structure*

Most slides will be in English

2016-2017 Lectures
May 2, 2017

Lecture 1 (overview/summary)

- Strain – control of oxide functionalities.
- Carrier density control by gating/ionic liquids → more examples in online slides or end of this lecture, time permitting.
- Engineering a new functionality by combining two (or more) materials → see later in this lecture
- Creating 2D electron gases/liquids at oxide interfaces (e.g. LAO/STO with nominal carrier density up to $3 \cdot 10^{14} \text{ cm}^{-2}$).

Today's Lecture:

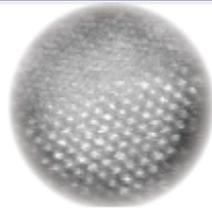
Basic notions about the
structure and electronic
structure of oxides
(with thin films and heterostructures
in mind)

Today's seminar – May 2nd

Alexandre Gloter et Odile Stephan
LPS-Orsay

*Explorer la physique aux interfaces d'oxydes
fortement corrélés: résultats récents et
perspectives en microscopie électronique.*

<https://www.stem.lps.u-psud.fr>



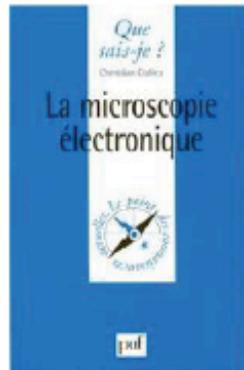
STEM@LPS

The stem group at the laboratoire de physique des solides

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La microscopie électronique

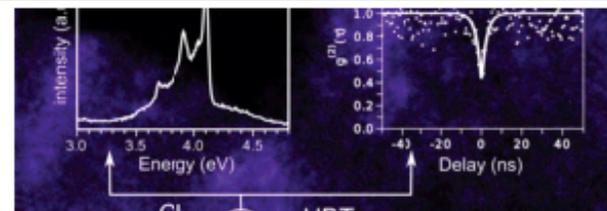


a book of
Christian Colliex

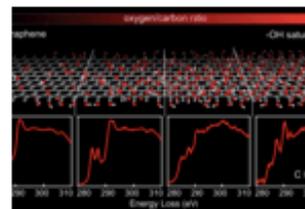
The STEM Group is a french world leading electron microscopy group, specialising in electron energy loss spectroscopy (EELS). Our scientific interests are large, and are including different parts of the physics and material sciences: nanoparticles, interfaces, nanophotonic... We are constantly developing instrumentation and methods in the fields of electron microscopies and spectroscopies.



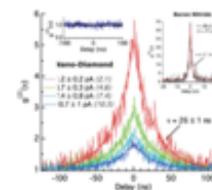
Recent Research Highlights



Point Defects in h-BN as efficient UV quantum emitters



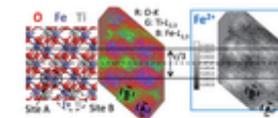
Revisiting Graphene Oxide Chemistry



Photon Bunching in Cathodoluminescence



Nanometric resolved luminescence in h-BN Flakes: excitons and stacking order



Direct Evidence of Fe²⁺/Fe³⁺ Charge Ordering in the Ferrimagnetic Hematite-Ilmenite Fe_{1.35}Ti_{0.65}O_{3-d} Thin Films

Tweets by @STEM_LPS



STEM_LPS
@STEM_LPS

1. Crystal structures

- Mostly perovskites -

Periodic Table of the Elements

Transition Metals

1A 1 H hydrogen 1.008																	8A 2 He helium 4.003						
3 Li lithium 6.941	4 Be beryllium 9.012																	5 B boron 10.81	6 C carbon 12.01	7 N nitrogen 14.01	8 O oxygen 16.00	9 F fluorine 19.00	10 Ne neon 20.18
11 Na sodium 22.99	12 Mg magnesium 24.31																	13 Al aluminum 26.98	14 Si silicon 28.09	15 P phosphorus 30.97	16 S sulfur 32.07	17 Cl chlorine 35.45	18 Ar argon 39.95
19 K potassium 39.10	20 Ca calcium 40.08	3B 21 Sc scandium 44.96	4B 22 Ti titanium 47.88	5B 23 V vanadium 50.94	6B 24 Cr chromium 52.00	7B 25 Mn manganese 54.94	8B 26 Fe iron 55.85	27 Co cobalt 58.93	28 Ni nickel 58.69	29 Cu copper 63.55	30 Zn zinc 65.39					31 Ga gallium 69.72	32 Ge germanium 72.64	33 As arsenic 74.92	34 Se selenium 78.96	35 Br bromine 79.90	36 Kr krypton 83.80		
37 Rb rubidium 85.47	38 Sr strontium 87.62	39 Y yttrium 88.91	40 Zr zirconium 91.22	41 Nb niobium 92.91	42 Mo molybdenum 95.94	43 Tc technetium (98)	44 Ru ruthenium 101.1	45 Rh rhodium 102.9	46 Pd palladium 106.4	47 Ag silver 107.9	48 Cd cadmium 112.4					49 In indium 114.8	50 Sn tin 118.7	51 Sb antimony 121.8	52 Te tellurium 127.6	53 I iodine 126.9	54 Xe xenon 131.3		
55 Cs cesium 132.9	56 Ba barium 137.3	57 La* lanthanum 138.9	72 Hf hafnium 178.5	73 Ta tantalum 180.9	74 W tungsten 183.9	75 Re rhenium 186.2	76 Os osmium 190.2	77 Ir iridium 192.2	78 Pt platinum 195.1	79 Au gold 197.0	80 Hg mercury 200.5					81 Tl thallium 204.4	82 Pb lead 207.2	83 Bi bismuth 208.98	84 Po polonium (209)	85 At astatine (210)	86 Rn radon (222)		
87 Fr francium (223)	88 Ra radium (226)	89 Ac~ actinium (227)	104 Rf rutherfordium (261)	105 Db dubnium (262)	106 Sg seaborgium (263)	107 Bh bohrium (264)	108 Hs hassium (265)	109 Mt meitnerium (266)	110 Ds darmstadtium (271)	111 Uuu (272)	112 Uub (277)					114 Uuq (289)	116 Uuh (288)	118 Uuo (?)					

- 3d transition metals
- 4d transition metals
- 5d transition metals

Rare earths and Actinides

Lanthanide Series*	58 Ce [Xe]4f ¹ 5d ¹ cerium 140.1	59 Pr [Xe]4f ³ praseodymium 140.9	60 Nd [Xe]4f ⁴ neodymium 144.2	61 Pm [Xe]4f ⁵ promethium (147)	62 Sm [Xe]4f ⁶ samarium (150.4)	63 Eu [Xe]4f ⁷ europium 152.0	64 Gd [Xe]4f ⁷ 5d ¹ gadolinium 157.3	65 Tb [Xe]4f ⁹ terbium 158.9	66 Dy [Xe]4f ¹⁰ dysprosium 162.5	67 Ho [Xe]4f ¹¹ holmium 164.9	68 Er [Xe]4f ¹² erbium 167.3	69 Tm [Xe]4f ¹³ thulium 168.9	70 Yb [Xe]4f ¹⁴ ytterbium 173.0	71 Lu [Xe]4f ¹⁴ 5d ¹ lutetium 175.0
Actinide Series~	90 Th [Rn]7s ² 6d ² thorium 232.0	91 Pa [Rn]7s ² 6d ¹ protactinium (231)	92 U [Rn]7s ² 6d ¹ 5f ³ uranium (238)	93 Np [Rn]7s ² 6d ¹ 5f ⁴ neptunium (237)	94 Pu [Rn]7s ² 6d ¹ 5f ⁶ plutonium (242)	95 Am [Rn]7s ² 5f ⁷ americium (243)	96 Cm [Rn]7s ² 5f ⁷ 6d ¹ curium (247)	97 Bk [Rn]7s ² 5f ⁹ berkelium (247)	98 Cf [Rn]7s ² 5f ¹⁰ californium (249)	99 Es [Rn]7s ² 5f ¹¹ einsteinium (254)	100 Fm [Rn]7s ² 5f ¹² fermium (253)	101 Md [Rn]7s ² 5f ¹³ mendelevium (256)	102 No [Rn]7s ² 5f ¹⁴ nobelium (254)	103 Lr [Rn]7s ² 5f ¹⁴ 6d ¹ lawrencium (260)

Electronic configuration of neutral isolated atom:



$[\text{He}]2s^2 2p^4$
oxygen
16.00

21 Sc $[\text{Ar}]4s^1 3d^2$ scandium 44.96	22 Ti $[\text{Ar}]4s^1 3d^3$ titanium 47.88	23 V $[\text{Ar}]4s^1 3d^4$ vanadium 50.94	24 Cr $[\text{Ar}]4s^1 3d^5$ chromium 52.00	25 Mn $[\text{Ar}]4s^2 3d^5$ manganese 54.94	26 Fe $[\text{Ar}]4s^2 3d^6$ iron 55.85	27 Co $[\text{Ar}]4s^2 3d^7$ cobalt 58.93	28 Ni $[\text{Ar}]4s^2 3d^8$ nickel 58.69	29 Cu $[\text{Ar}]4s^1 3d^{10}$ copper 63.55	30 Zn $[\text{Ar}]4s^2 3d^{10}$ zinc 65.39
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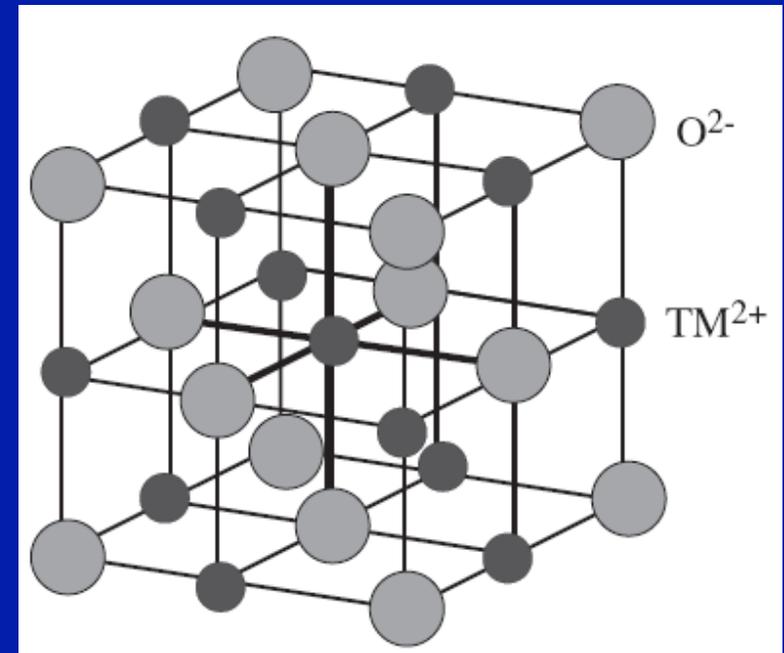
Structures

2.1 Monoxides **MO**: not much controllability...

NaCl structure

Nominal valences:
(quite ionic)

- Oxygen takes 2 electrons
→ Ligand shell $2s^2 2p^6$ full
- M gives out two electrons:
→ M^{2+}



TiO, VO: ~ metals
(O-deficient)

MnO, CoO, NiO: Mott
(or charge-transfer)
magnetic insulators
(historic example: NiO)

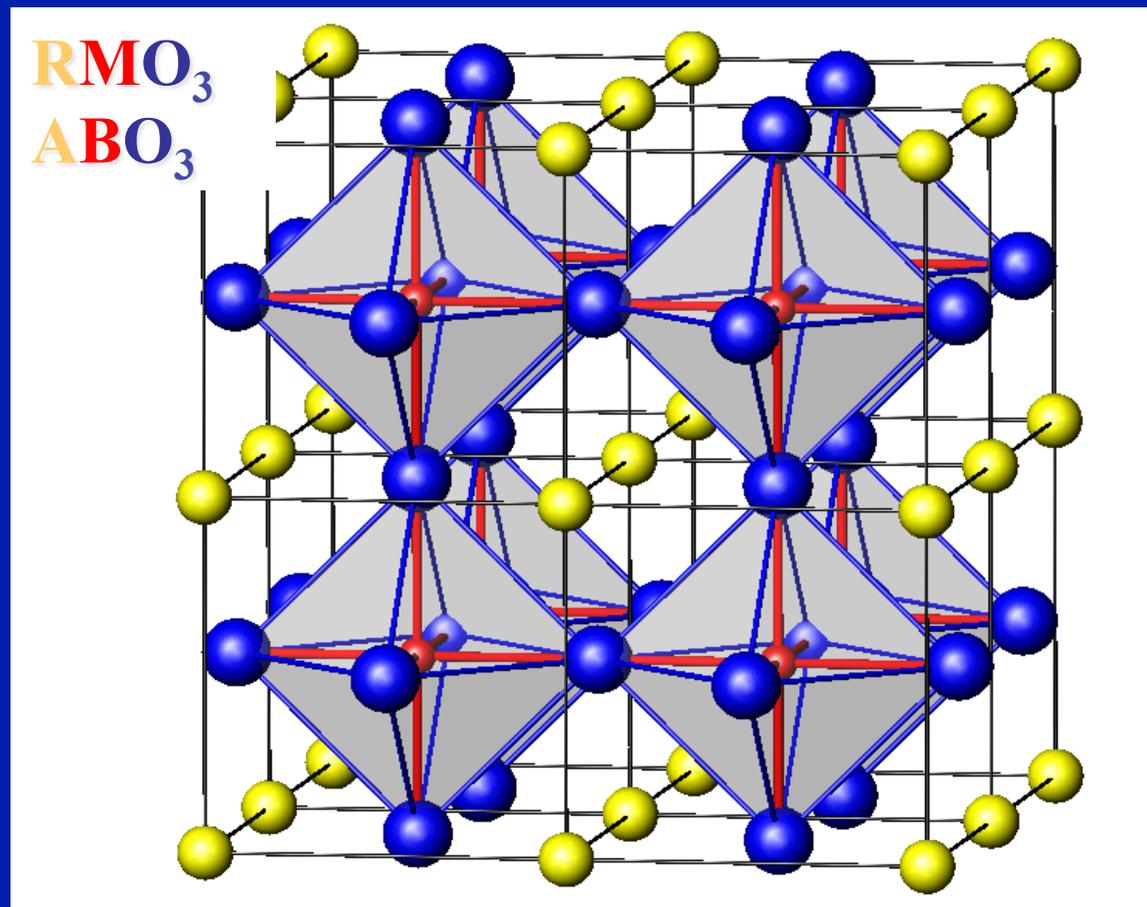
Oxide	Electronic Configuration		Insulating gap (eV)	Néel Temperature (K)
	O^{2-}	TM^{2+}		
MnO	[He] $2s^2 2p^6$	[Ar] $3d^5$	3.6–4.2 ^a	118 ^d
CoO	[He] $2s^2 2p^6$	[Ar] $3d^7$	2.5–6 ^b	289 ^d
NiO	[He] $2s^2 2p^6$	[Ar] $3d^8$	3.1–4.3 ^c	523 ^d

Ternary compounds (and more): the simple (and beautiful) perovskite structure and its descendants...

Perfectly cubic
perovskite RMO_3 :
(often noted ABO_3)

- transition-metal
ion **M** at center of
oxygen octahedra
→ MO_6 structural units
- R+O form fcc lattice
- Rare-earth ions **R**
form simple cubic lattice

For example: SrVO_3

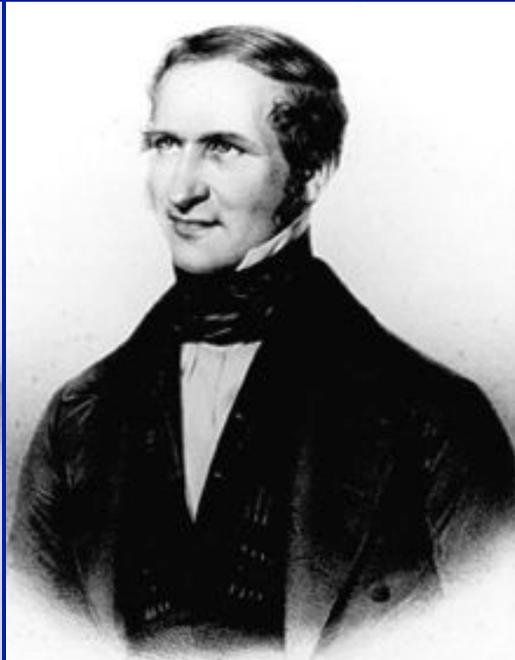


[L.A Perovski 1792-1856
Oural mountains samples
discovery of CaTiO_3 G.Rose, 1839]

Perovskite: discovery and origin of the name



Count
Lev Alexeievich
Perovski
(1792-1856)
Minister of Interior
under Nicolas 1st
and Mineralogist



Gustav Rose
(1798-1873)
German mineralogist



Roselite
Armand Levy
1824



Perovskite CaTiO_3
described in 1839
by Gustav Rose
*who named it
after Count Perovski*

Perovskite: identification of crystal structure



Victor Moritz Goldschmidt
(1888 Zurich-1947 Oslo)
Pioneer of geochemistry
First described perovskite structure. Introduced 'tolerance factor' and coined 'lanthanide contraction' (among many achievements)
Mountain ridge Goldschmidt fjella (Spitzberg)



Helen Dick Megaw
(1907-2002)
Irish crystallographer
X-ray crystallography pioneer
Established perovskite structure
CaSnO₃: Megawite
Megaw island-Antarctica (work on ice crystals)

Controlling dimensionality: the Ruddlesden-Popper series $R_{n+1}M_nO_{3n+1}$ ('layered perovskite')

Unit-cell

$n=1$

$n=2$

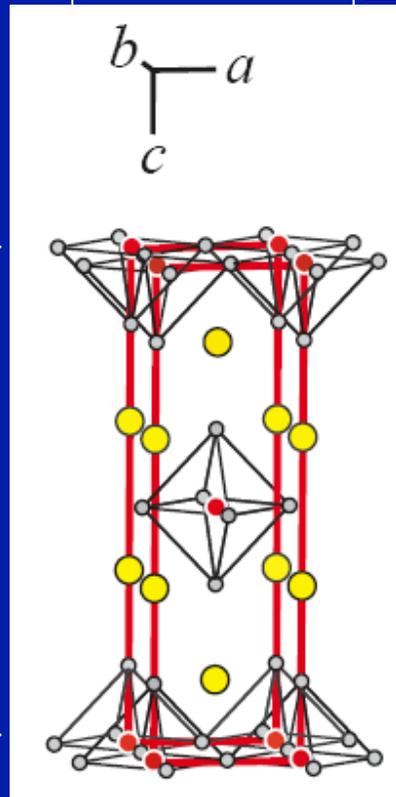
$Sr_3Ru_2O_7$

$I4/mmm$

MO_2 layer →

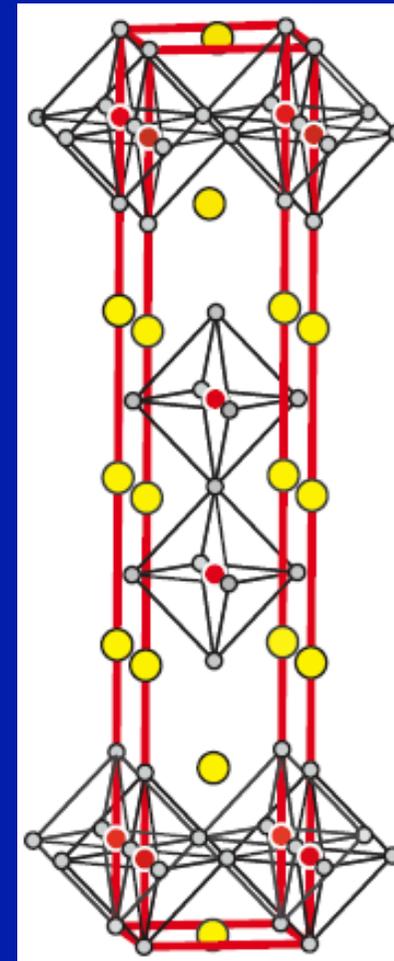
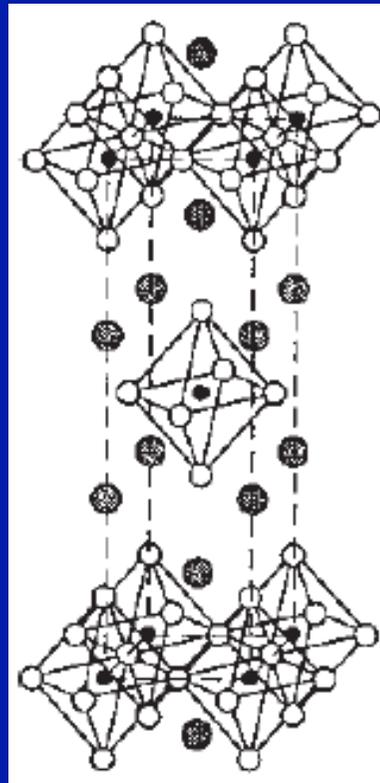
'single-layer' material

MO_2 layer →



$I4/mmm$

Sr_2RuO_4



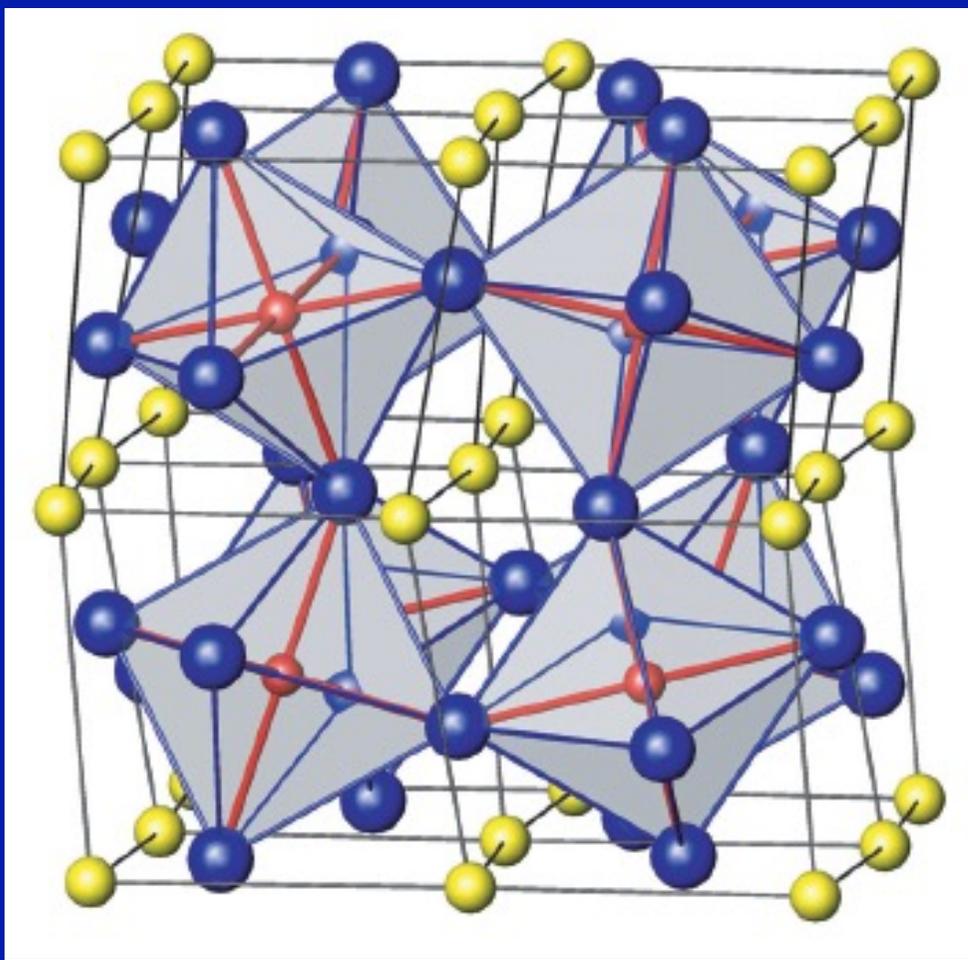
bilayer material

Increasing n : 'from $d=2$ to $d=3$ '

Usual perovskite $RM O_3$ corresponds to $n \rightarrow \infty$

Distorted perovskites

Depending on the ionic radii of the 3 ions, the material often adopts a structure which breaks perfect cubic symmetry
e.g. orthorombic → See later in the lecture for more details



Example:

' GdFeO_3 ' distortion

- Octahedra remain perfect (no or very small Jahn-Teller)
- Rotation of octahedra along $[100] = [010]$ and $[001]$ a - a - c +
- Orthorombic symmetry
- 4 M-atoms per unit cell, all equivalent by symmetry
- M-O-M angle becomes $\pi - \theta$

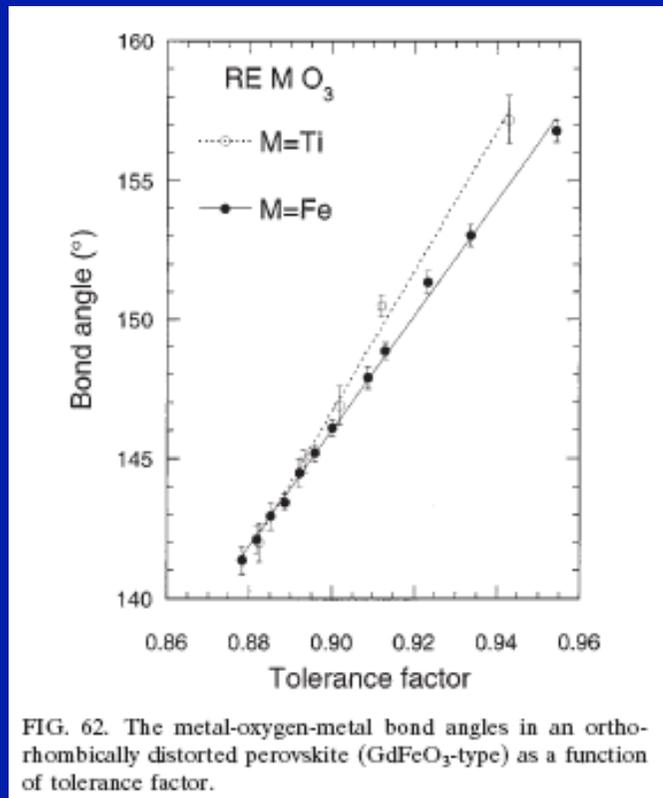
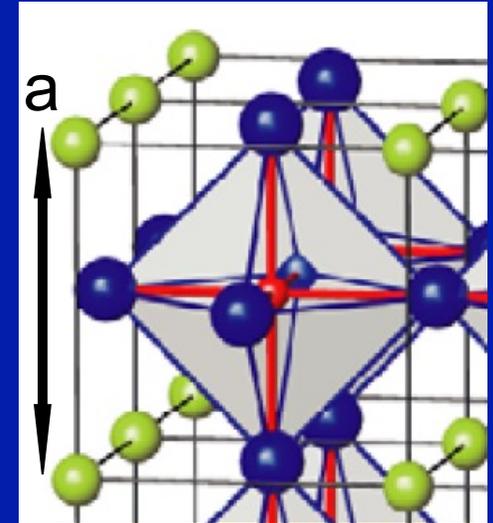
Other possible distortions

e.g rhomboedric, rotation $[111]$

RMO₃ : ‘Tolerance factor’ (Goldschmidt)

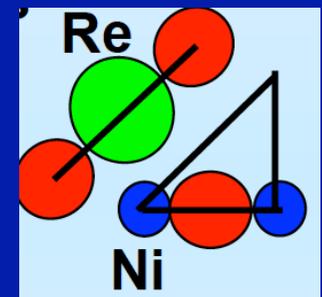
- Consider close packing by hard spheres of radii r_R , r_M , r_O (ionic radii)
- Call ‘a’ the lattice spacing (cubic cell)

$$d(O - R) = \frac{a}{\sqrt{2}}, \quad d(O - M) = \frac{a}{2}$$



- Ideal packing will have:

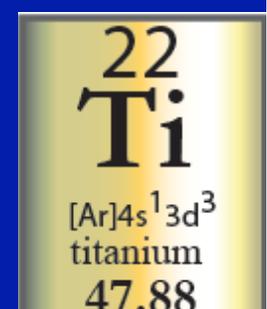
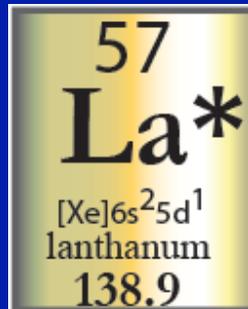
$$t \equiv \frac{r_R + r_O}{\sqrt{2}(r_M + r_O)} = 1$$



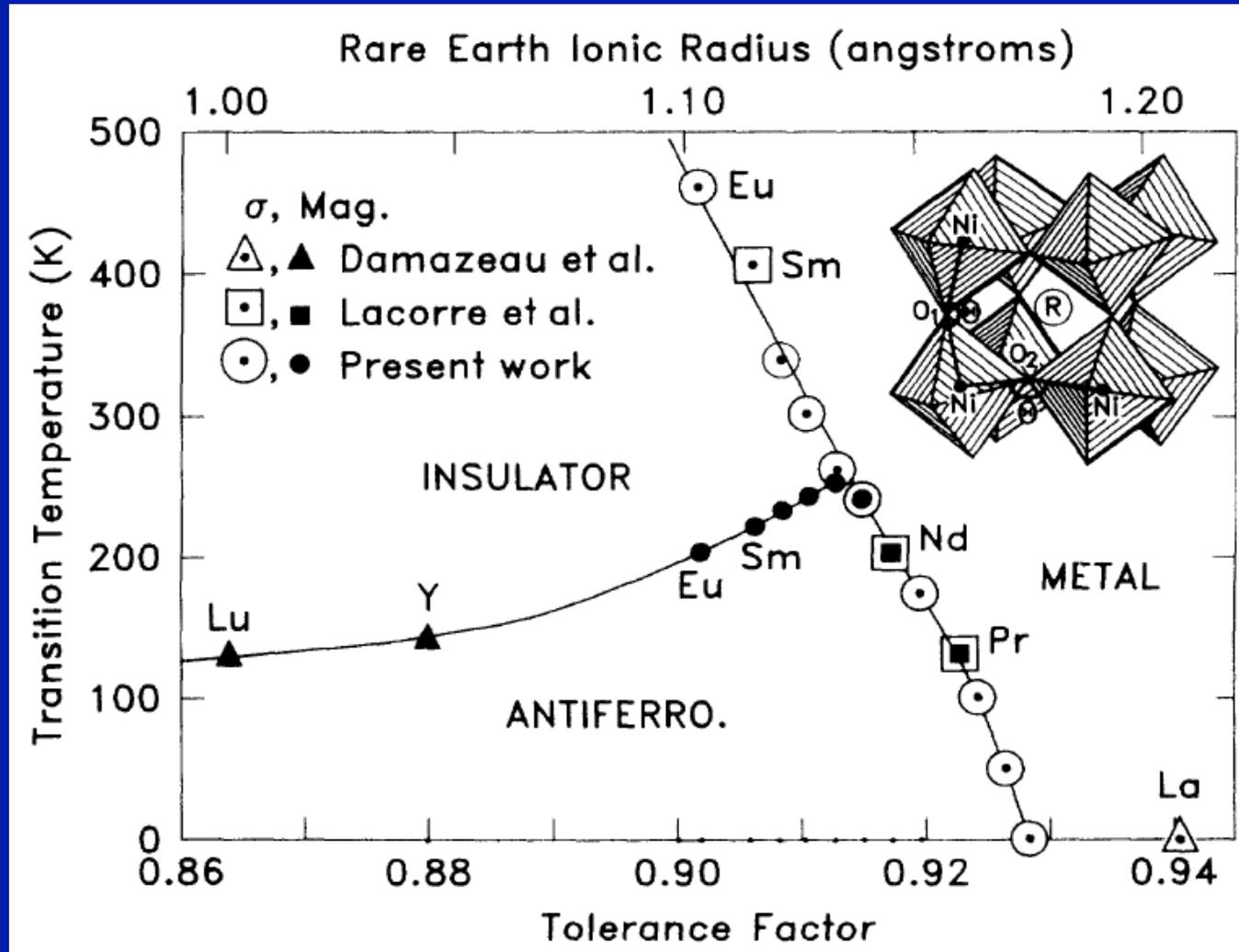
- * $t=1$: ideal cubic perovskite
- * $t < 1$: deforms to rhomboedral, then orthorhombic
- * t too small (< 0.86): unstable

All this offers control on the material:

- Substitutions on R-site allow for a control of the (nominal) valence of M-ion ('doping')
- e.g: $\text{LaTiO}_3 \rightarrow \text{La}^{3+} [\text{Xe}], \text{Ti}^{3+} : [\text{Ar}]3d^1$ config.
- $\text{SrTiO}_3 \rightarrow \text{Sr}^{2+} [\text{Xe}], \text{Ti}^{4+} : [\text{Ar}]3d^0$ config.
- Iso-valent substitutions on R-site allow for a control of the distortion, hence of:
 - Bandwidth (see below)
 - And importantly of the splitting between d-levels

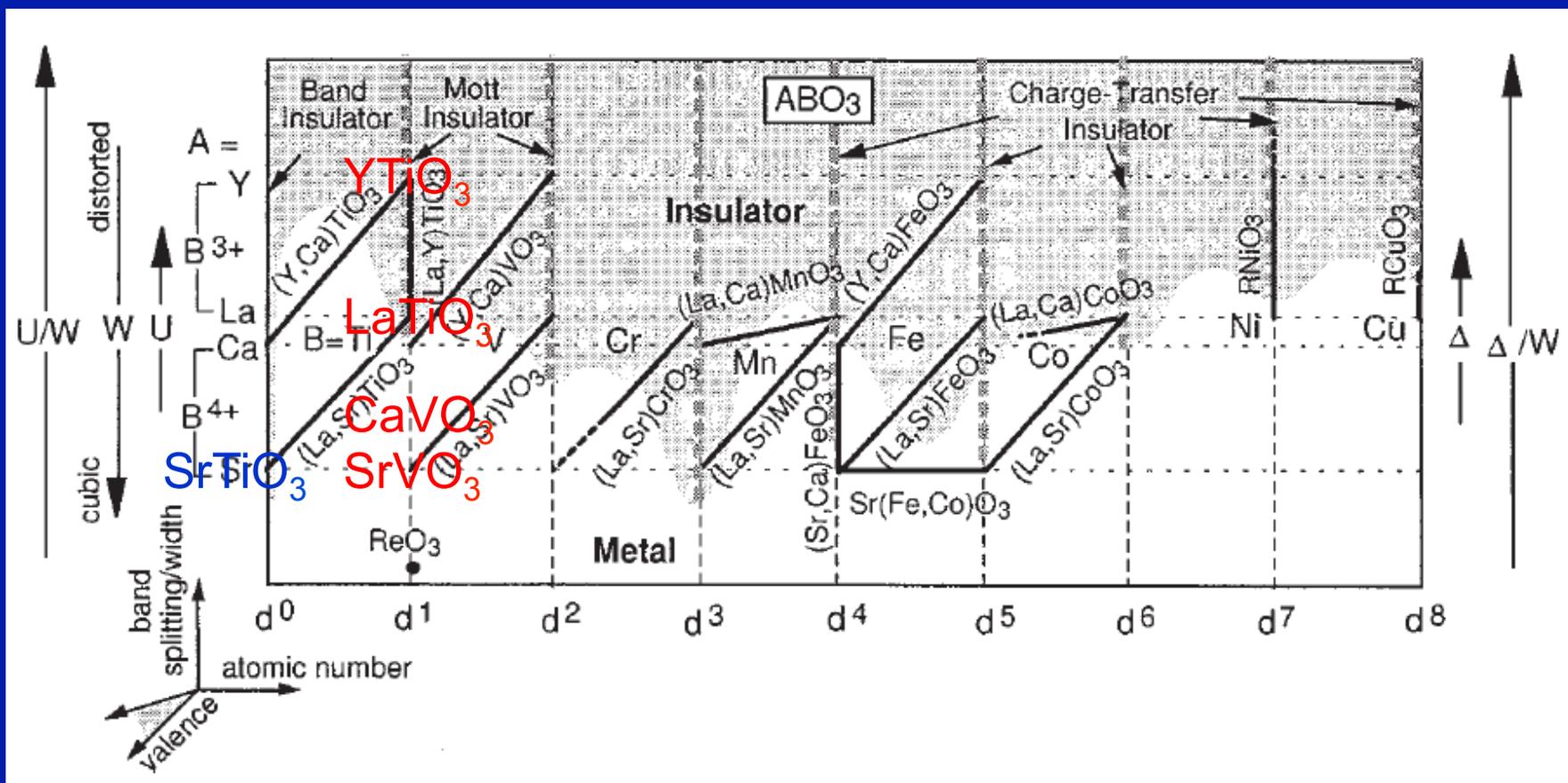


Sensitivity to distortion: $RNiO_3$ compounds (cf lectures 3-4)



Torrance et al. Phys Rev B 45 (1992) 8209

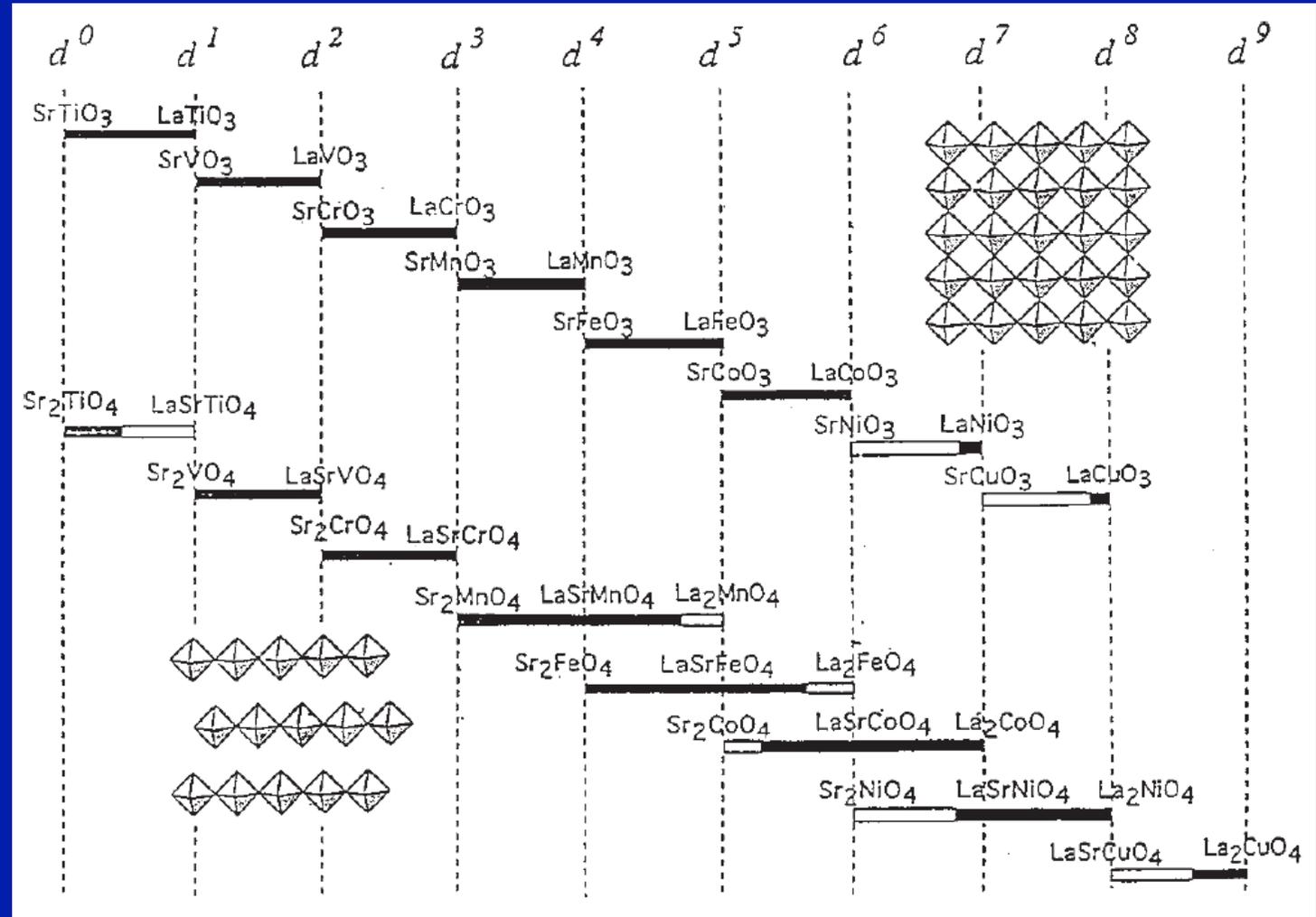
The Mott phenomenon plays a key role



“Atsushi Fujimori’s map of RMO₃ perovskites”
 J.Phys Chem Sol. 53 (1992) 1595

Partially filled d-shells... and yet often insulators

Alloying
on R-site
changes
nominal
valence
of M



$\text{Sr}_{n+1}\text{M}_n\text{O}_{3n+1}$: $2(n+1)+4n-2(3n+1)=0 \rightarrow \text{M}$ remains $4+$ for all n

e.g. $\text{M}=\text{Ti}$ $3d^1$ shell (titanates); $\text{M}=\text{Ru}$ $4d^4$ shell (ruthenates)

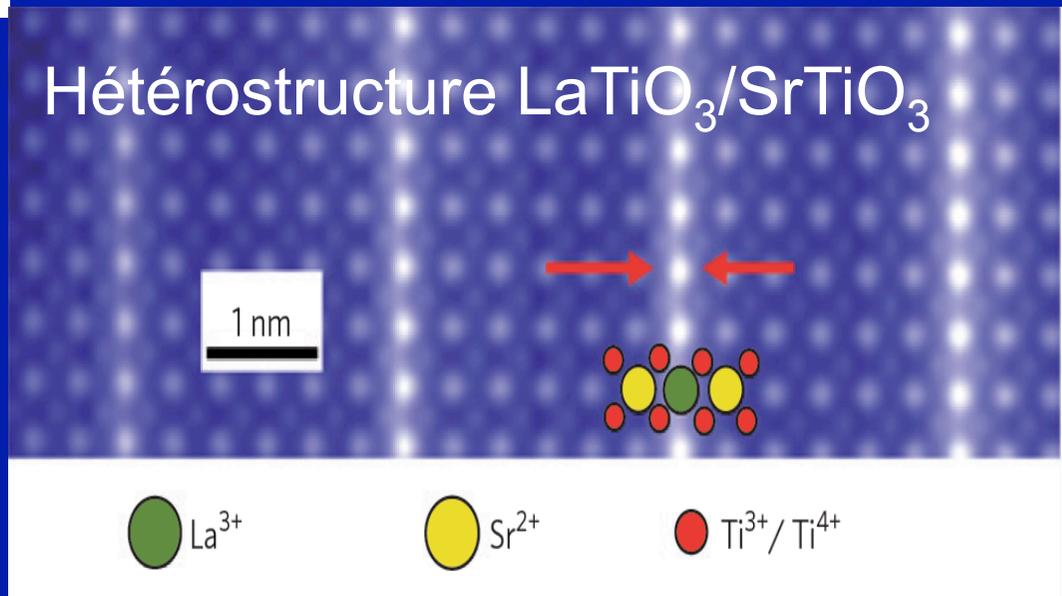
$\text{La}_{n+1}\text{M}_n\text{O}_{3n+1}$: $\text{La}^{3+} \rightarrow$ nominal valence of M is $(3n-1)/n +$

e.g. La_2CuO_4 parent compound of hi-Tc SC: Cu^{2+} $3d^9$

**Artificial charge-modulation
in atomic-scale perovskite
titanate superlattices**

A. Ohtomo, D. A. Muller, J. L. Grazul & H. Y. Hwang

Bell Laboratories, Lucent Technologies, Murray Hill, New Jersey 07974, USA



Creating a Metal out of two Insulators...

LaTiO_3 Mott insulator $\text{Ti}^{3+} 3d^1$

SrTiO_3 band insulator $\text{Ti}^{4+} 3d^0$

Engineering a new functionality by combining two
(or more) materials

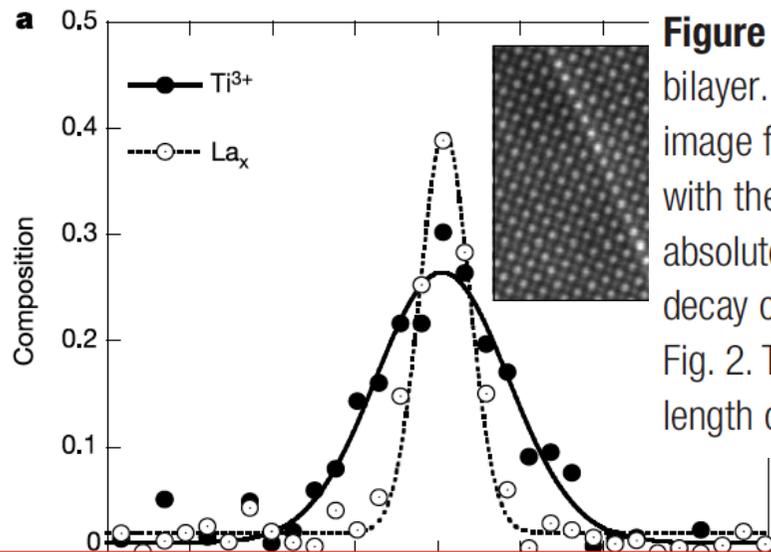
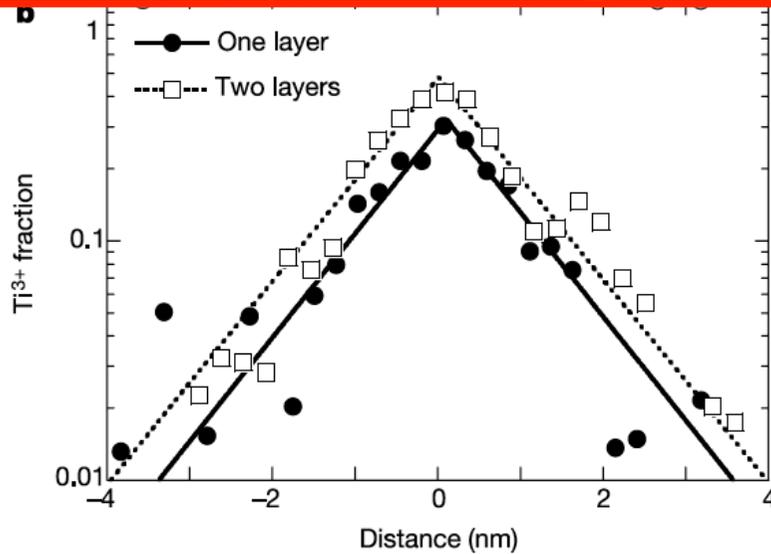


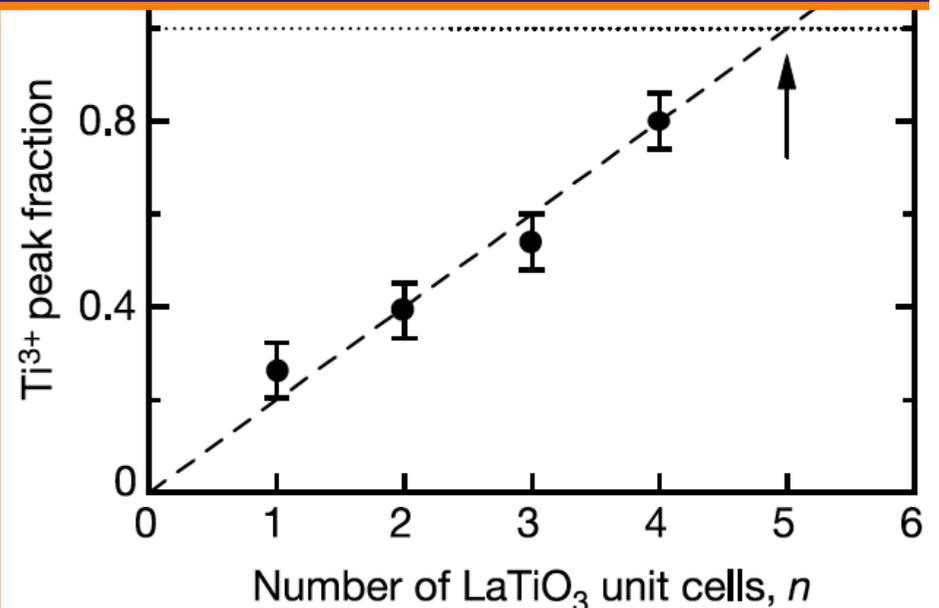
Figure 3 Spatial distribution of the Ti^{3+} signal in the vicinity of the LaTiO_3 layer and bilayer. **a**, EELS profiles for La and Ti recorded across a LaTiO_3 monolayer. Inset, the ADF image for the monolayer (layer '1' in Fig. 1). The La M edge is recorded simultaneously with the Ti L edge, yet the Ti^{3+} signal is considerably wider than that of the La. The absolute fractions of La and Ti^{3+} were calibrated from bulk LaTiO_3 and SrTiO_3 . **b**, The decay of the Ti^{3+} signal away from the LaTiO_3 monolayer of **a** as well as the bilayer of Fig. 2. The tails of the Ti^{3+} signal for both structures fit an exponential decay with a decay length of $\lambda = 1.0 \pm 0.2$ nm.



1-layer $(\text{LTO})_1(\text{STO})_{10}$ - EELS
 Only ~ 30% of Ti in Ti^{3+}
 configuration

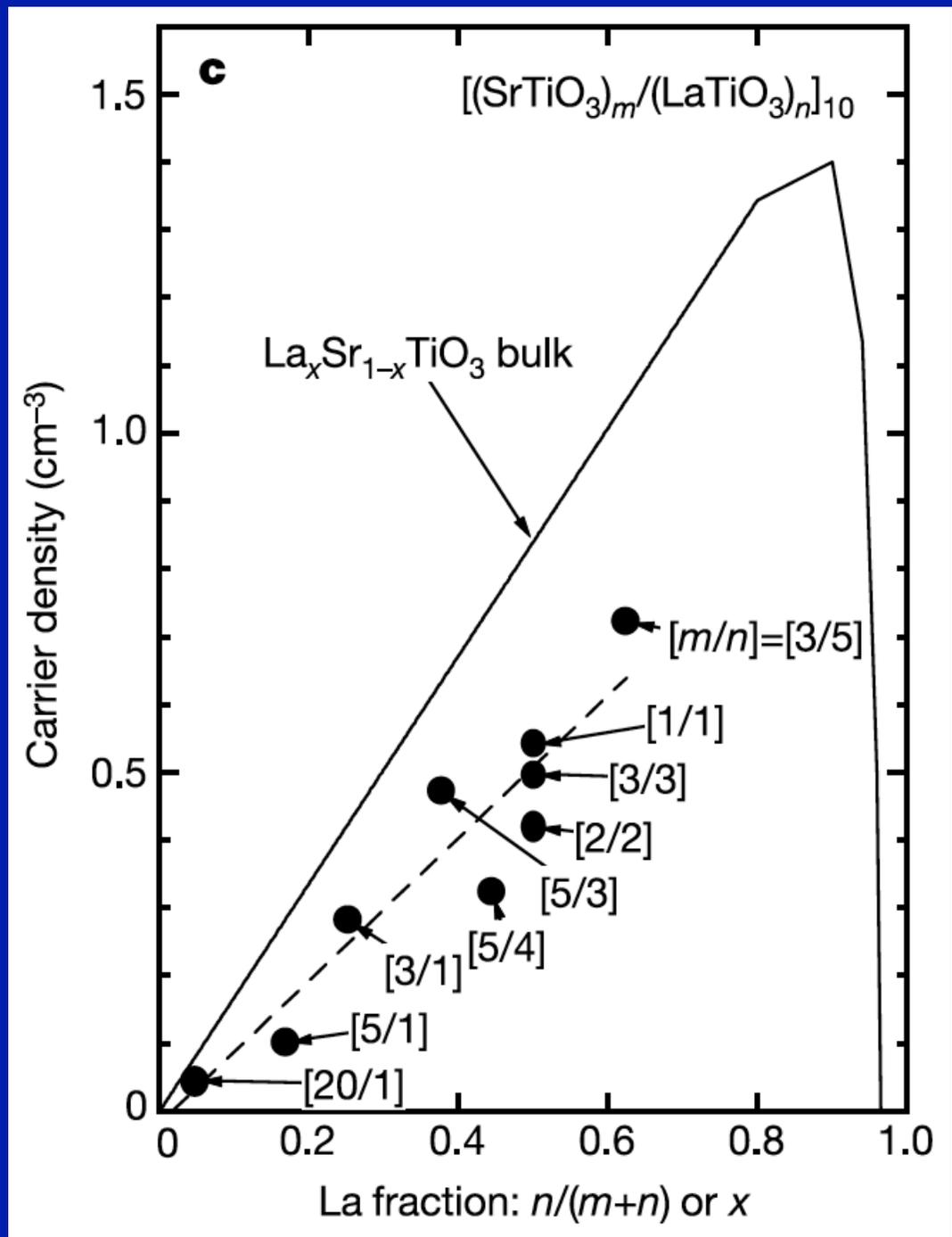
Very rapid variation over distance from interface:
 exponential with characteristic length ~ 1nm

It takes about 5 layers
 to reach 100% of Ti in Ti^{3+}
 configuration, as in bulk



$(\text{STO})_m (\text{LTO})_n$
 samples are
 metallic, as is
 $\text{La}_x\text{Sr}_{1-x}\text{TiO}_3$
 in bulk

Carrier density
 from Hall effect



2. Crystal-Field Theory

Simple notions

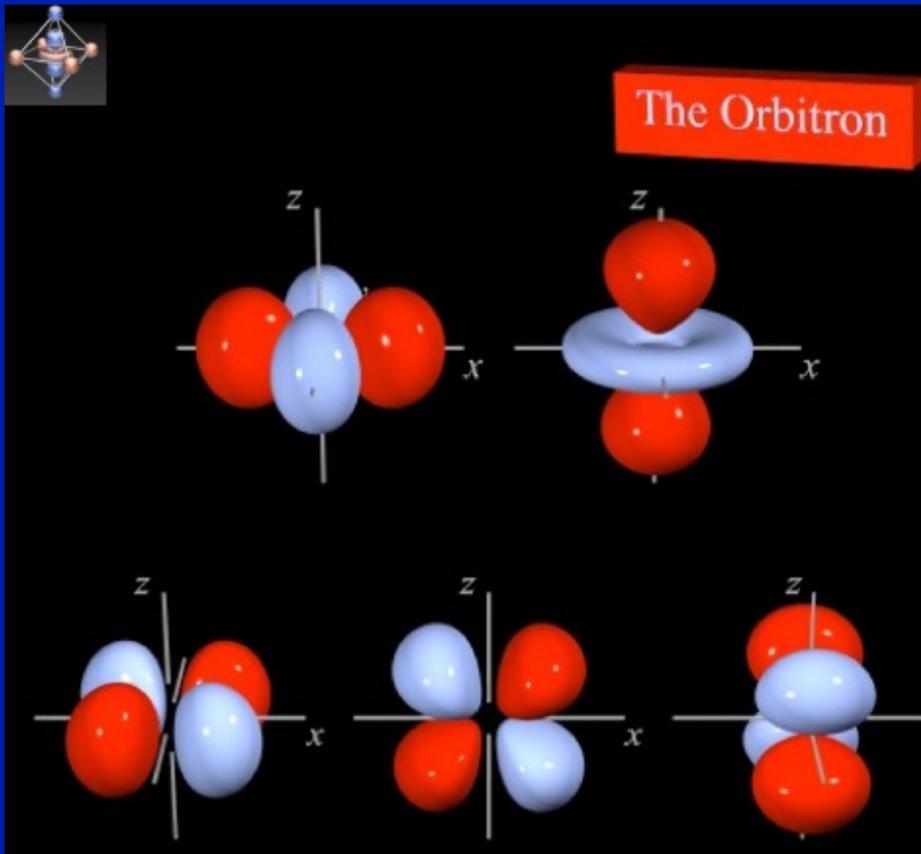
(Bethe, van Vleck ~ 1930s)

How the electronic levels (of e.g. the d-shell) are split by the crystalline environment, and how this depends on the geometry and symmetry of this environment.

Orbitals: from the isolated atom to the solid

Crystal-field splitting (Bethe, van Vleck)

Cubic-symmetry adapted
3d-orbitals:



3d orbitals are quite localized:
- No nodes in radial part
- Large centrifugal barrier $l(l+1)/r^2$

$$d_{x^2-y^2}, d_{3z^2-r^2}$$

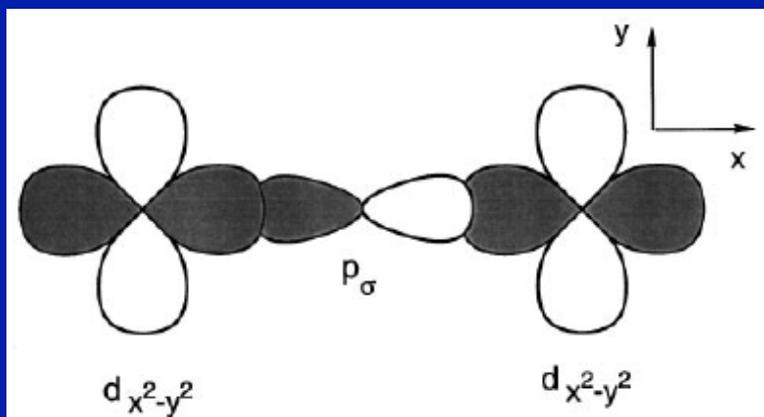
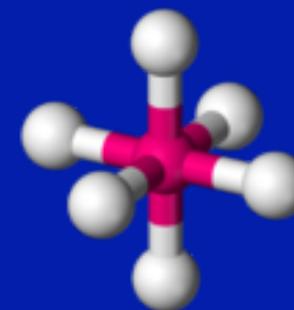
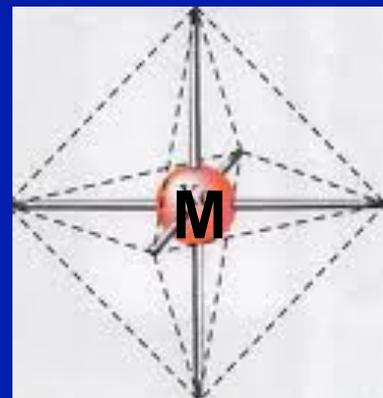
→ The e_g doublet

$$d_{xy}, d_{xz}, d_{yz}$$

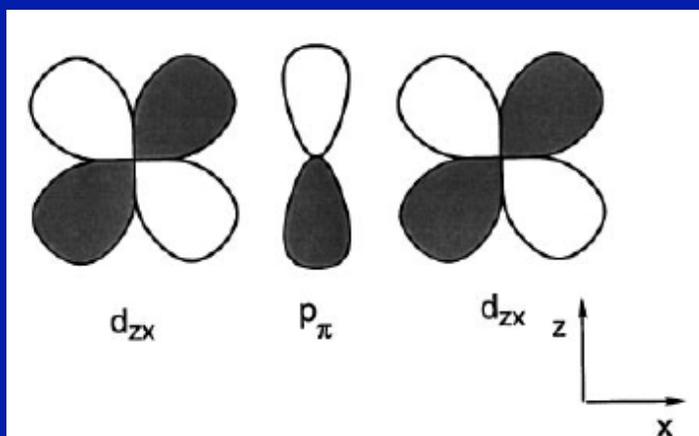
→ The t_{2g} triplet

<http://winter.group.shef.ac.uk/orbitron>

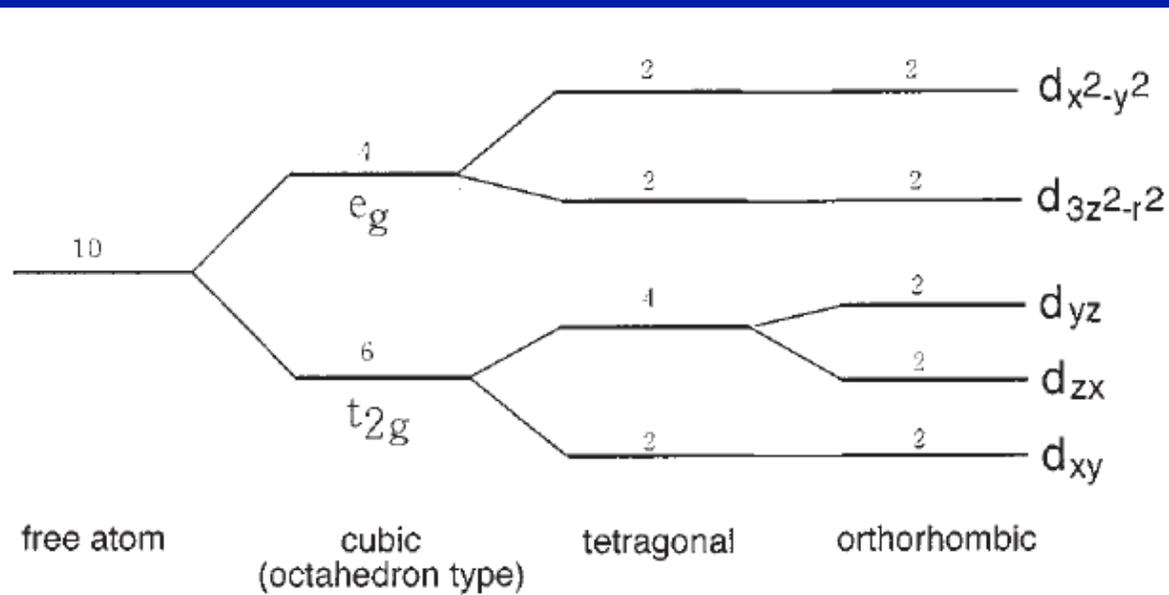
Crystal-field splitting in octahedral environment :



e_g orbitals point towards oxygen atoms (sigma-bonding)
 → feel larger Coulomb potential
 → pushed to higher energy



t_{2g} orbitals point away from oxygen atoms (pi-bonding)
 → feel smaller Coulomb potential
 → lower energy than e_g



Intra e_g splitting

Intra- t_{2g} splitting

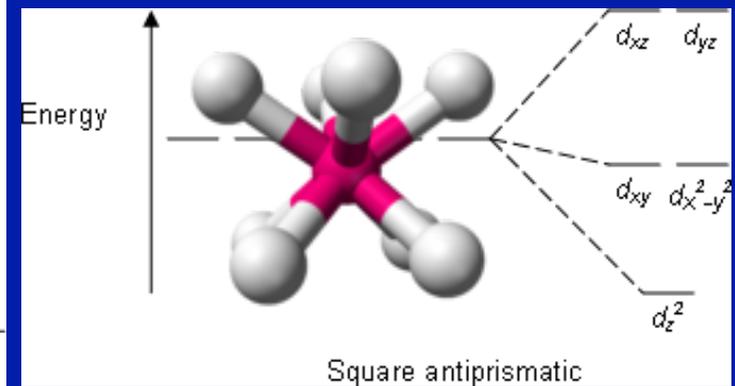
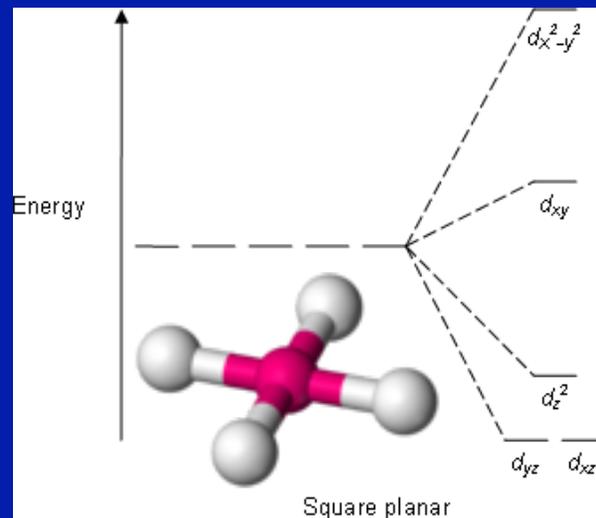
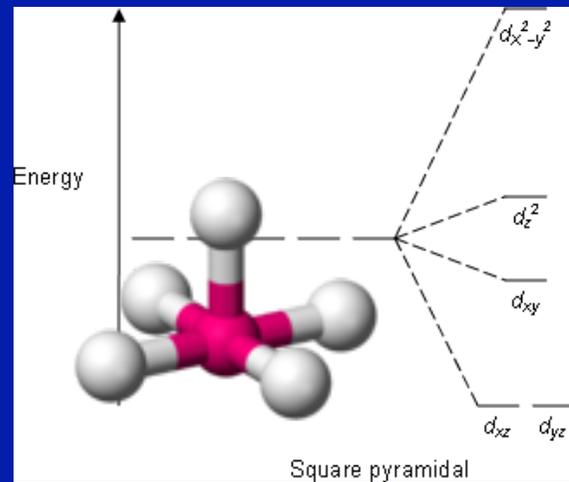
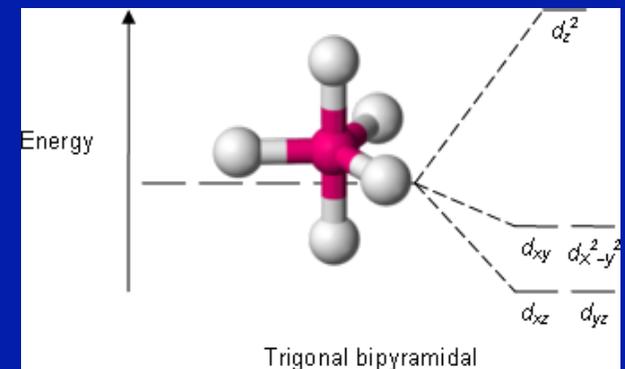
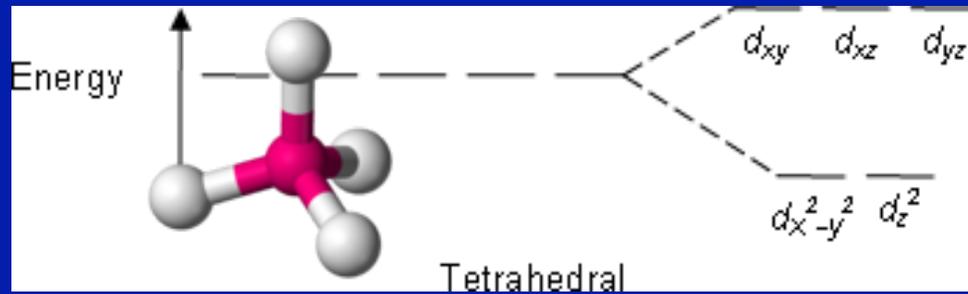
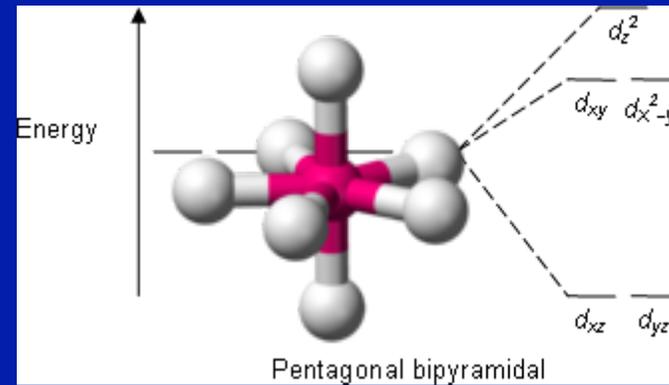
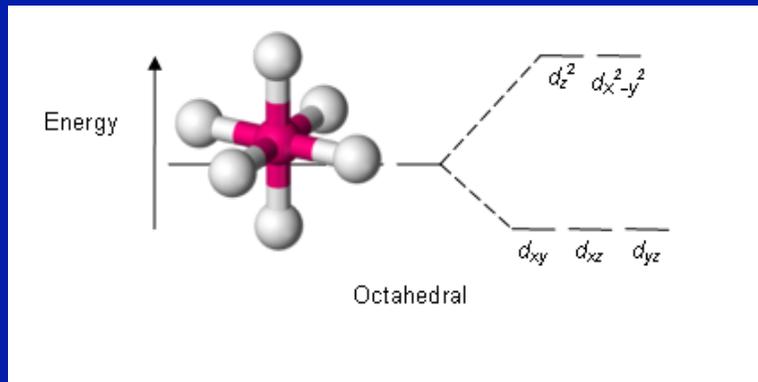
FIG. 2. Crystal-field splitting of 3d orbitals under cubic, tetragonal, and orthorhombic symmetries. The numbers cited near the levels are the degeneracy including spins.

Lowering further the crystal symmetry (distort from cubic)
Induces additional lifting of degeneracy

Orthorhombic perovskite → Fully lifted

Tetrahedral environment (MO_4):
 e_g has lower energy, t_{2g} higher

Other environments



A crystal-field theory primer...

Hydrogen atom wave-functions with $l = 2$ (d -shell). Spherical coordinates: $\vec{r} = r (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta)$.

$$\psi_m(\theta, \phi) = R(r) Y_2^m(\theta, \phi)$$

with:

$$Y_2^0 \sim 3 \cos^2 \theta - 1, \quad Y_2^{\pm 1} \sim \sin 2\theta e^{\pm i\phi}, \quad Y_2^{\pm 2} \sim \sin^2 \theta e^{\pm 2i\phi}$$

Cubic harmonics, transforming under irreducible representations of cubic group:

$$xy : \chi_{xy}(\theta, \phi) \sim \frac{xy}{r^2} \sim \sin \theta \cos \phi \sin \theta \sin \phi \sim \sin^2 \theta \sin 2\phi \sim Y_2^{+2} - Y_2^{-2}$$

Similarly:

$$\chi_{xz} \sim Y_2^{+1} + Y_2^{-1}, \quad \chi_{yz} \sim Y_2^{+1} - Y_2^{-1}, \quad \chi_{x^2-y^2} \sim Y_2^{+2} + Y_2^{-2}, \quad \chi_{3z^2-r^2} \sim Y_2^0$$

MO₆ octahedron: Potential created by point charge on O-sites at center of cube, d being distance to center:

$$V(\vec{r}) \equiv \frac{Ze^2}{4\pi\epsilon_0} \sum_{i=1}^6 \frac{1}{\|\vec{r} - \vec{R}_i\|} \quad (3.1)$$

Expand:

$$\frac{4\pi\epsilon_0}{Ze^2} V(r) = \frac{6}{d} + \frac{7\sqrt{\pi}}{3} \frac{r^4}{d^5} \left[Y_4^0(\theta, \phi) + \sqrt{\frac{5}{14}} (Y_4^4(\theta, \phi) + Y_4^{-4}(\theta, \phi)) \right] + \dots \quad (3.2)$$

Treat second term as perturbation $\delta V(r, \theta, \phi)$: breaks spherical symmetry.
Because of proper choice of cubic orbital above:

$$\begin{aligned} \langle \chi_m | \delta V | \chi_{m'} \rangle &= \Delta_t \delta_{mm'} \text{ for } m = xy, xz, yz \\ &= \Delta_e \delta_{mm'} \text{ for } m = x^2 - y^2, 3z^2 - r^2 \end{aligned}$$

with:

$$\Delta_e - \Delta_t = 1.67 \frac{Ze^2}{4\pi\epsilon_0 d^5} \int_0^\infty r^4 R(r)^2 r^2 dr \equiv "10Dq"$$

Why do we care about energies of crystal-field level ?

- Which levels get occupied determines electronic configuration, hence crucial for physics and chemistry
- Low-spin vs. High-spin, Optical properties etc...
- Criterion determining whether a material is a metal or Mott insulator crucially dependent on energy splitting between levels/lifting of degeneracy of d-shell and subshells.

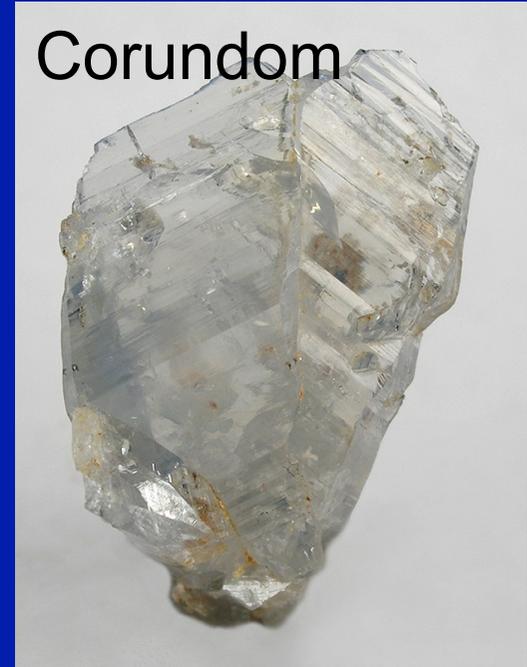
Why is ruby red ?

cf e.g. <http://www.chm.davidson.edu/vce/CoordChem/CFT.html>



Ruby

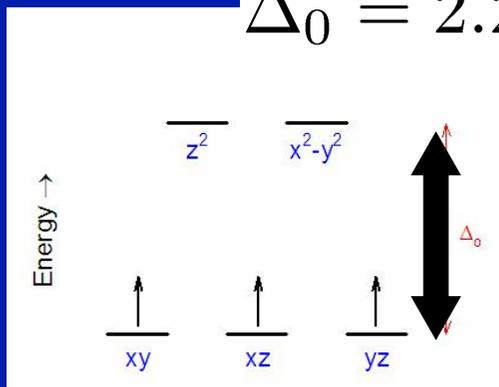
Ruby: small amount
Of Cr^{3+} impurities
Substituting Al^{3+} in
 Al_2O_3 (corundum),
a large-gap
transparent insulator



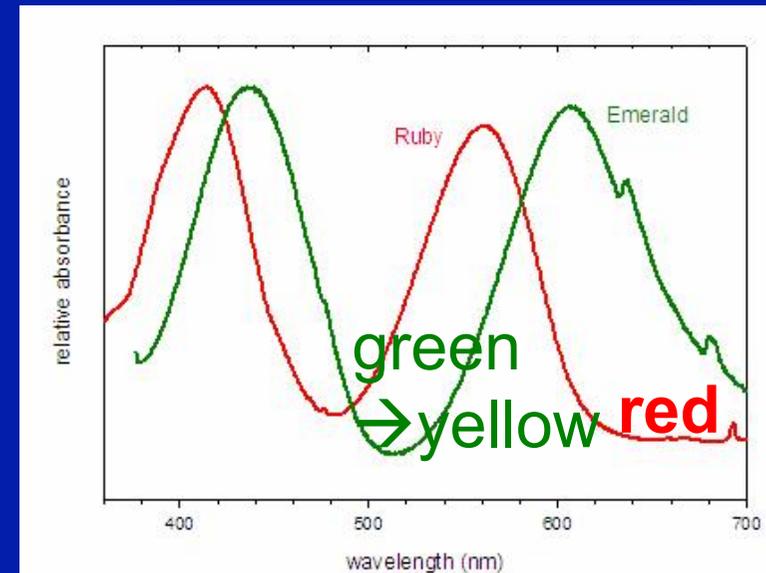
Corundom

Cr^{3+} ($3d^3$) 3 electrons in t_{2g} shell

$$\Delta_0 = 2.21 \text{ eV} \rightarrow \lambda \simeq 561 \text{ nm}$$



absorption of most visible
wavelength beyond red

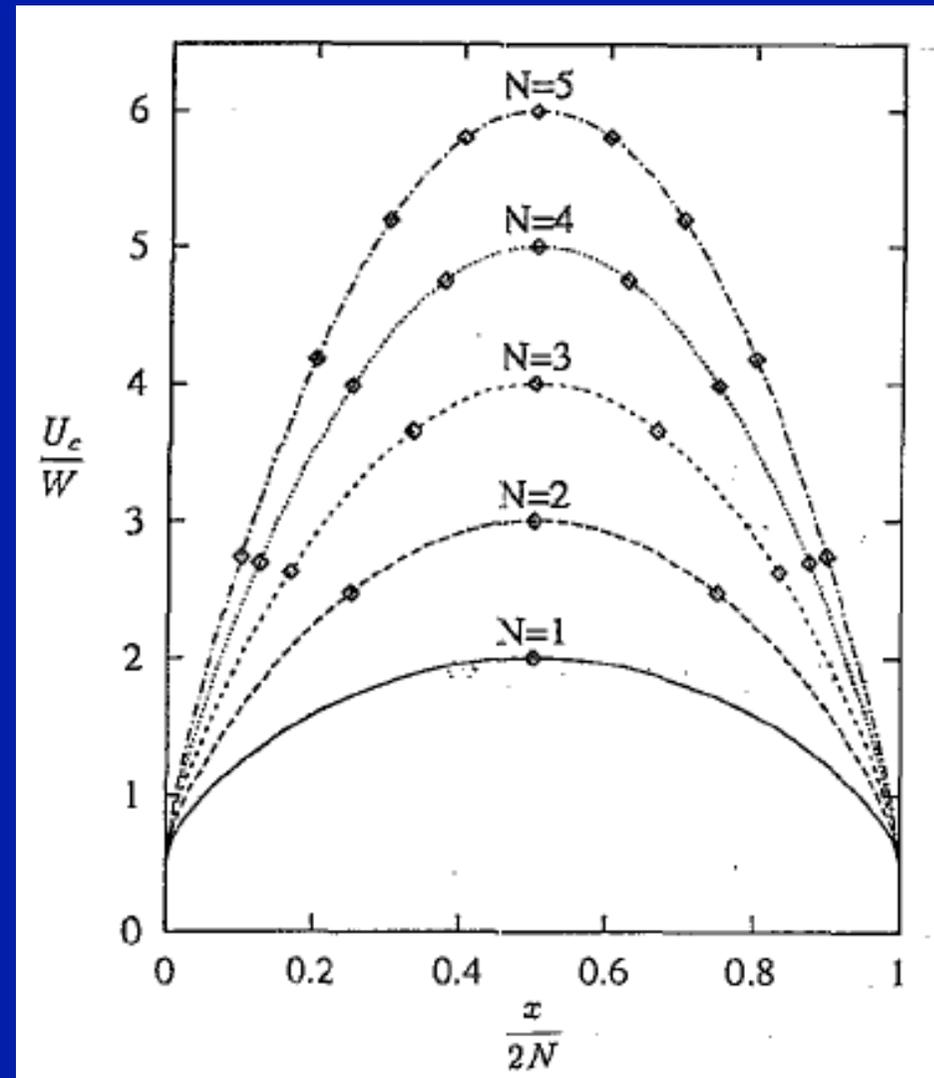


Dependence on Mott critical U/W on the number of orbitals

x electrons in N orbitals
(filling $x/2N$)
Gutzwiller approximation
J.P. Lu Phys
Rev B 49 (1994) 5687

$$U_c(N,x) = \frac{[\sqrt{x(2N-x+1)} + \sqrt{(x+1)(2N-x)}]^2}{2N-x} |\bar{\epsilon}(x)|$$

Note: this dependence on filling is unphysical, due to the neglect of the Hund's coupling. In reality, the $\frac{1}{2}$ -filled shell has the **SMALLEST** U_c



4. More on distortions of perovskites

(In the bulk and in thin films and heterostructures)

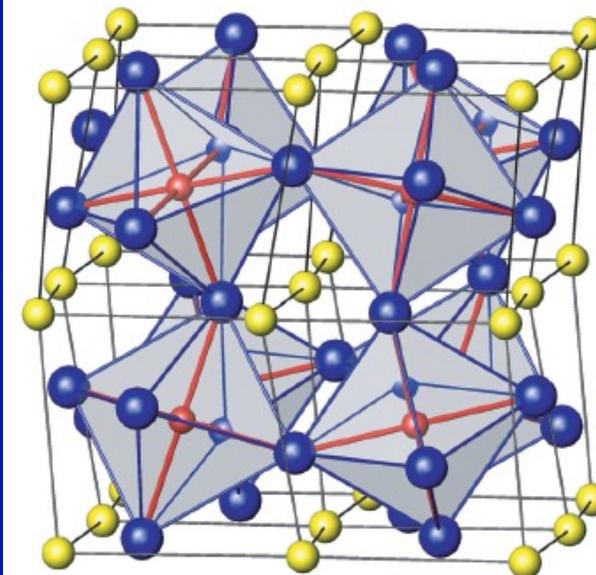
- Discussions gratefully acknowledged with:
- Jean-Marc Triscone, Jennifer Fowlie (Geneva)
- Claude Ederer, Alex Hampel (ETH-Zurich)

Different types of distortions

- Tilts and Rotations of Octahedra
- Deformation of the local octahedral shape: Jahn-Teller distortion (often appearing when electronic configuration is degenerate, such as to lift degeneracy)
- Off-centering of Metal (B-cation) → Ferroelectric distortion
- Other distortion patterns: breathing mode cf. Nickelates lectures 3-4

Tilts and Rotations

- Introduction to Glazer notations



Acta Cryst. (1972). B28, 3384

The Classification of Tilted Octahedra in Perovskites

BY A. M. GLAZER

Crystallographic Laboratory, Cavendish Laboratory, Cambridge, England

(Received 4 May 1972)

A simple method for describing and classifying octahedral tilting in perovskites is given and it is shown how the tilts are related to the unit-cell geometries. Several examples from the literature are listed and predictions about hitherto unknown structures of some materials are made.

Glazer, *Acta Cryst* (1972) B28, 3384; (1975) A31, 756

Woodward, *Acta Cryst* (1997) B53, 32 ; Howard *Acta Cryst* B54 (1998) 782

Use Rotation angles about axis of (pseudo-)cubic structure:

$$a [100] \rightarrow \alpha, b[010] \rightarrow \beta, c[001] \rightarrow \gamma$$

Glazer notations:

aaa = equal rotation angle about all three axes ($\alpha=\beta=\gamma$)

aac $\rightarrow \alpha=\beta \neq \gamma$

abc $\rightarrow \alpha\beta\gamma$ all different

etc.

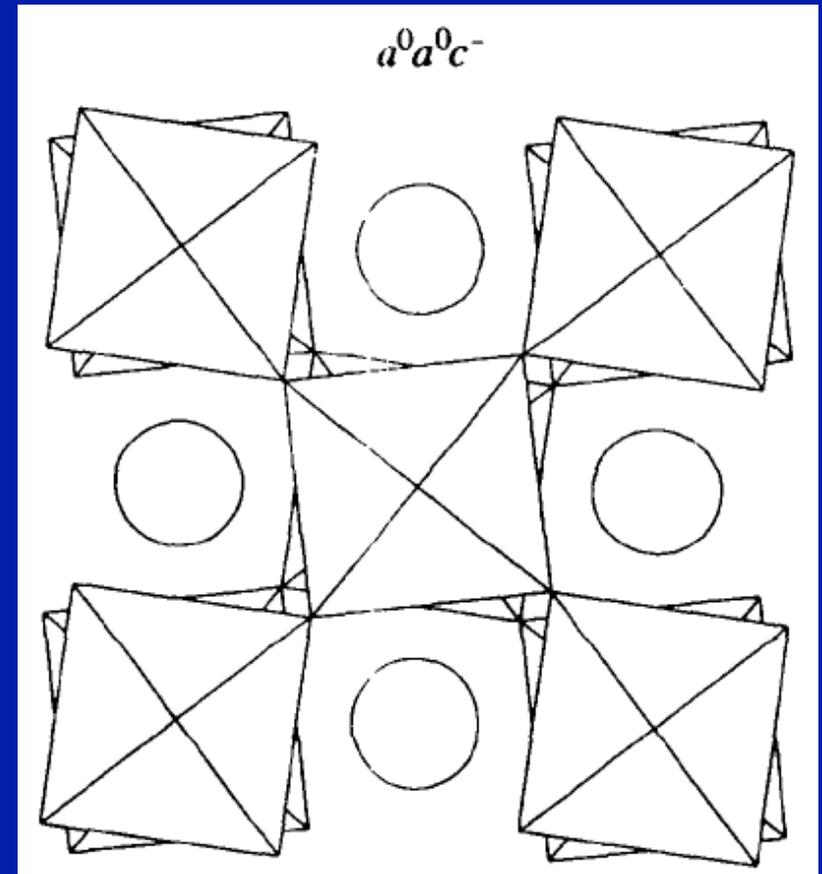
Upper +/- index:

+: octahedra along a given axis
are rotated in-phase about this axis

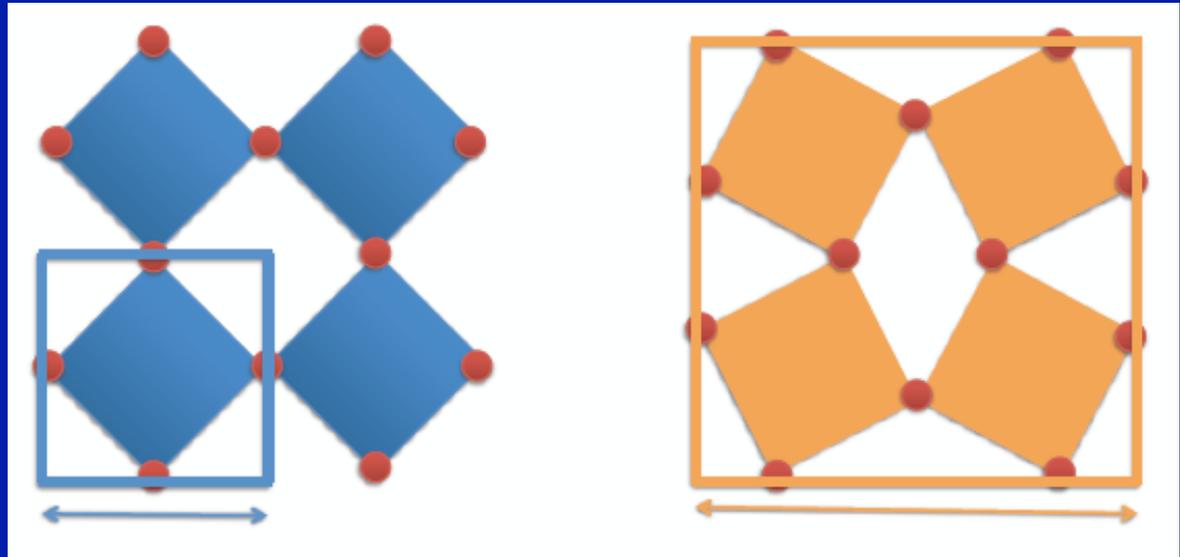
-: they are rotated out of phase

0: no tilt.

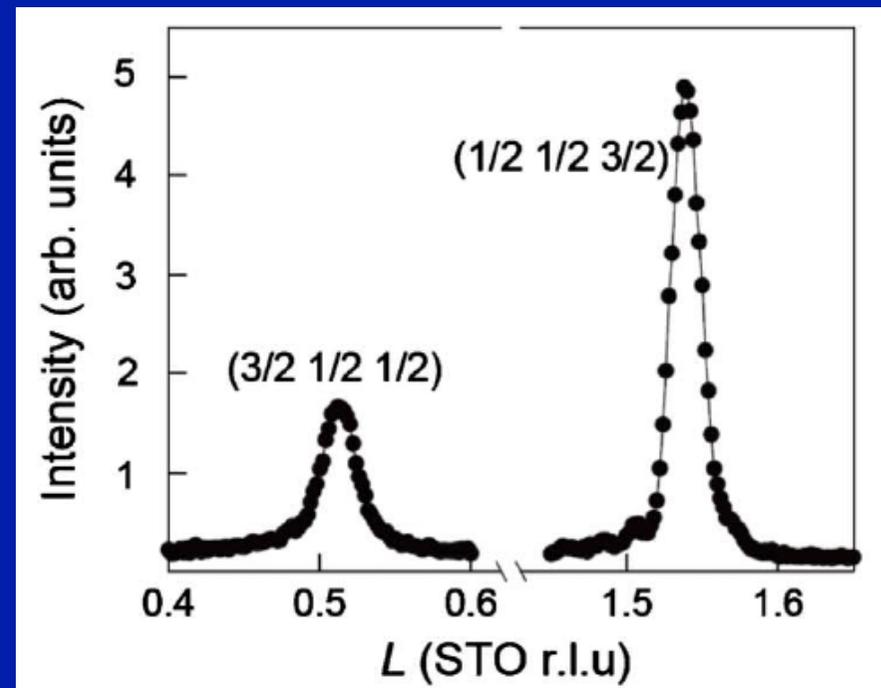
Ex: $a^0a^0c^-$ seen along c-axis



Tilts/ Rotations double the unit cell

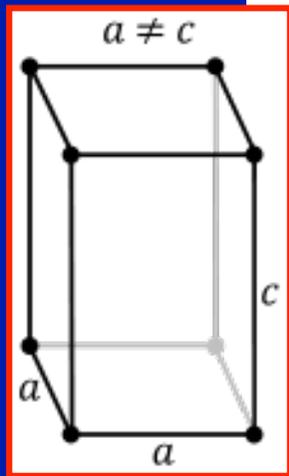


This leads to **new Bragg peaks** with $\frac{1}{2}$ -integer h, k, l in X-ray diffraction
→ analysis of form factor allows to identify/quantify rotations.
cf. May et al.
Phys Rev B 82 (2010) 014110

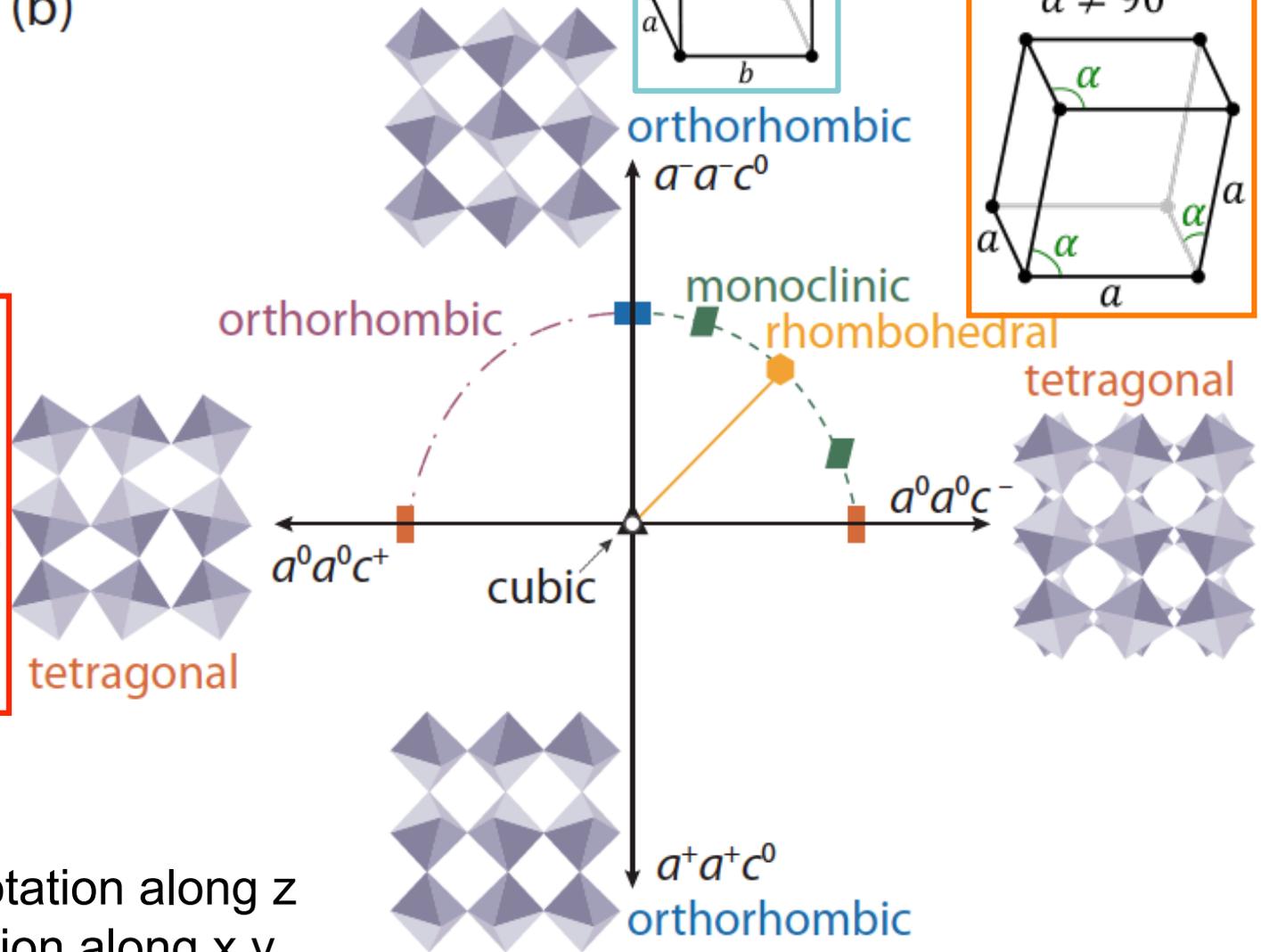


LaNiO₃ on SrTiO₃

Tilts/Rotations lower the symmetry



(b)



Horizontal axis: rotation along z
Vertical axis: rotation along x,y

Table 1. Complete list of possible simple tilt systems

Serial number	Symbol	Lattice centring	Multiple cell	Relative pseudocubic subcell parameters	Space group
Three-tilt systems					
(1)	$a^+b^+c^+$	<i>I</i>	$2a_p \times 2b_p \times 2c_p$	$a_p \neq b_p \neq c_p$	<i>Immm</i> (No. 71)
(2)	$a^+b^+b^+$	<i>I</i>		$a_p \neq b_p = c_p$	<i>Immm</i> (No. 71)
(3)	$a^+a^+a^+$	<i>I</i>		$a_p = b_p = c_p$	<i>Im3</i> (No. 204)
(4)	$a^+b^+c^-$	<i>P</i>		$a_p \neq b_p \neq c_p$	<i>Pmnm</i> (No. 59)
(5)	$a^+a^+c^-$	<i>P</i>		$a_p = b_p \neq c_p$	<i>Pmnm</i> (No. 59)
(6)	$a^+b^+b^-$	<i>P</i>		$a_p \neq b_p = c_p$	<i>Pmnm</i> (No. 59)
(7)	$a^+a^+a^-$	<i>P</i>		$a_p = b_p = c_p$	<i>Pmnm</i> (No. 59)
(8)	$a^+b^-c^-$	<i>A</i>		$a_p \neq b_p \neq c_p \quad \alpha \neq 90^\circ$	<i>A2₁/m11</i> (No. 11)
(9)	$a^+a^-c^-$	<i>A</i>		$a_p = b_p \neq c_p \quad \alpha \neq 90^\circ$	<i>A2₁/m11</i> (No. 11)
(10)	$a^+b^-b^-$	<i>A</i>		$a_p \neq b_p = c_p \quad \alpha \neq 90^\circ$	<i>Pmnb</i> (No. 62)*†
(11)	$a^+a^-a^-$	<i>A</i>		$a_p = b_p = c_p \quad \alpha \neq 90^\circ$	<i>Pmnb</i> (No. 62)*†
(12)	$a^-b^-c^-$	<i>F</i>		$a_p \neq b_p \neq c_p \quad \alpha \neq \beta \neq \gamma \neq 90^\circ$	<i>F$\bar{1}$</i> (No. 2)
(13)	$a^-b^-b^-$	<i>F</i>		$a_p \neq b_p = c_p \quad \alpha \neq \beta \neq \gamma \neq 90^\circ$	<i>I2/a</i> (No. 15)*
(14)	$a^-a^-a^-$	<i>F</i>		$a_p = b_p = c_p \quad \alpha = \beta = \gamma \neq 90^\circ$	<i>R$\bar{3}c$</i> (No. 167)
Two-tilt systems					
(15)	$a^0b^+c^+$	<i>I</i>	$2a_p \times 2b_p \times 2c_p$	$a_p < b_p \neq c_p$	<i>Immm</i> (No. 71)
(16)	$a^0b^+b^+$	<i>I</i>		$a_p < b_p = c_p$	<i>I4/mmm</i> (No. 139)†
(17)	$a^0b^+c^-$	<i>B</i>		$a_p < b_p \neq c_p$	<i>Bmmb</i> (No. 63)
(18)	$a^0b^+b^-$	<i>B</i>		$a_p < b_p = c_p$	<i>Bmmb</i> (No. 63)
(19)	$a^0b^-c^-$	<i>F</i>		$a_p < b_p \neq c_p \quad \alpha \neq 90^\circ$	<i>F2/m11</i> (No. 12)
(20)	$a^0b^-b^-$	<i>F</i>		$a_p < b_p = c_p \quad \alpha \neq 90^\circ$	<i>Imcm</i> (No. 74)*
One-tilt systems					
(21)	$a^0a^0c^+$	<i>C</i>	$2a_p \times 2b_p \times c_p$	$a_p = b_p < c_p$	<i>C4/mmb</i> (No. 127)
(22)	$a^0a^0c^-$	<i>F</i>	$2a_p \times 2b_p \times 2c_p$	$a_p = b_p < c_p$	<i>F4/mmc</i> (No. 140)
Zero-tilt system					
(23)	$a^0a^0a^0$	<i>P</i>	$a_p \times b_p \times c_p$	$a_p = b_p = c_p$	<i>Pm3m</i> (No. 221)

23 tilt systems and corresponding symmetry group

(See: Glazer 1975, Woodward 1998 – op. cit.)

Only 15 of them can actually be realized ?

see Howard and Stokes Acta Cryst (1998) B54 782

How Strain (controlled by choice of substrate in thin films) influences Tilts/Rotations

- Given the difficulty of determining experimentally oxygen positions accurately (see today's seminar: STEM, EELS), **electronic structure calculations (DFT and beyond) are particularly useful here.**
- See in particular: J.Rondinelli and N.Spaldin Adv. Mater. 23 (2011) 3363; May et al. Phys Rev B 82 (2010) 014110; Peil et al Phys Rev B 90, 045128 (2014)

Accommodating strain...

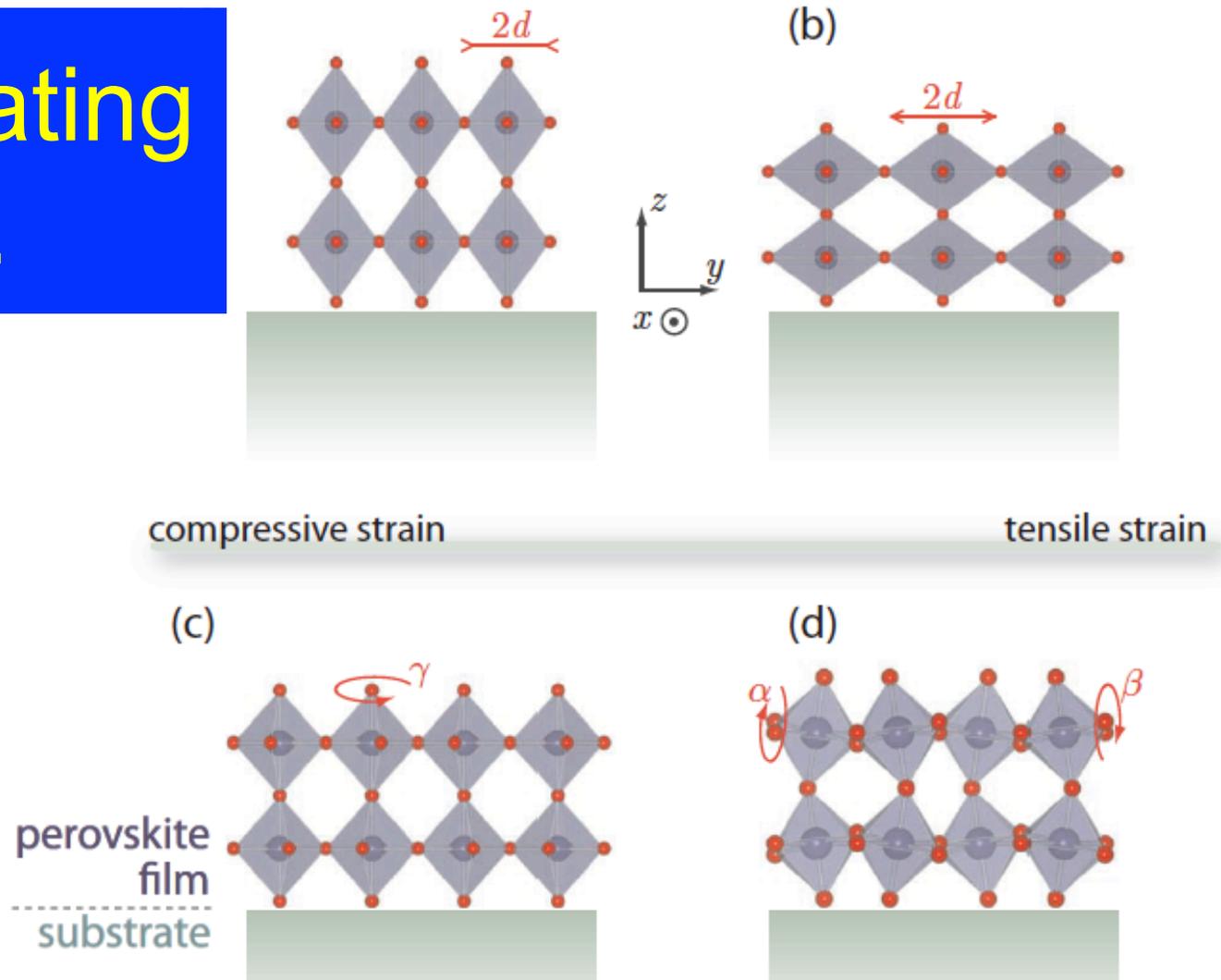
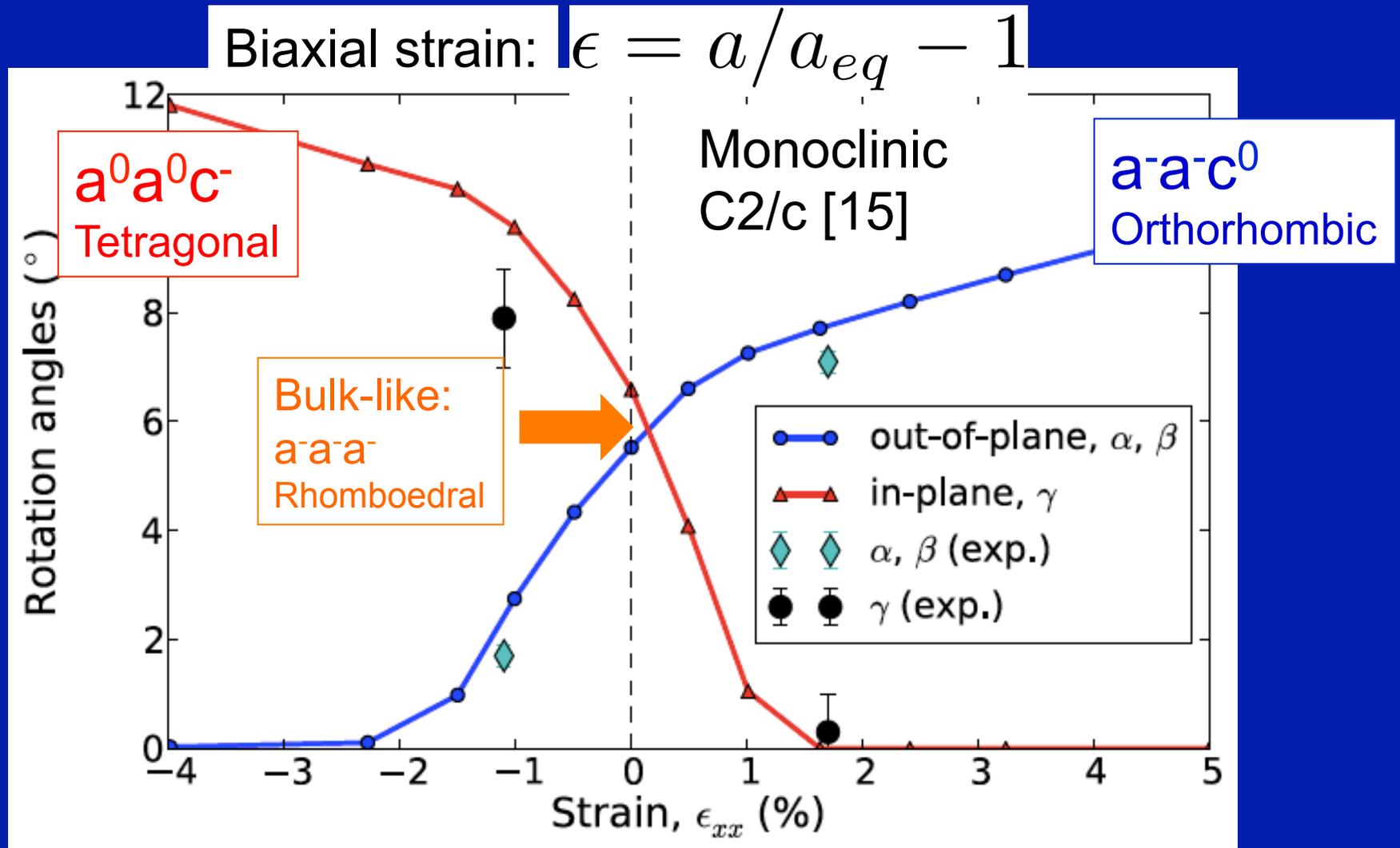


Figure 3. In coherently strained perovskite films, the BO_6 octahedra can distort through contraction (a) or elongation (b) of the equatorial $B-O$ bond lengths d due to compressive or tensile strain, respectively. Simultaneously or alternatively, the octahedra can accommodate the substrate-induced change of the in-plane lattice parameters by rotation perpendicular to the substrate as in (c), and/or about an axis parallel to the substrate plane (d).

Example: Strained LaNiO_3

Peil, Ferrero and Georges Phys Rev B 90, 045128 (2014)
see also: May et al. Phys Rev B 82, 014110 (2010)



Commonly used substrates

Table 2. Lattice parameters, crystal structures and tilt systems of common substrate materials used in oxide thin film growth. Pseudo-cubic lattice parameters are given in parentheses. For rhombohedral space groups $a_{pc} \sim a/\sqrt{2}$. For orthorhombic substrates values in parentheses correspond to the average pseudocubic spacing $[\sqrt{(a^2 + b^2)}/2]$ along the [110] direction.

Substrate	Structure	Temperature [K]	Tilt System	Lattice constants [Å]	Reference
SrTiO ₃ (STO)	Cubic (221, $Pm\bar{3}m$)	>105	$a^0a^0a^0$	$a = 3.905$	[181]
	Tetragonal (140, $I4/mcm$)	<105	$a^0a^0c^-$	$c/a = 1.0056$	
LaAlO ₃ (LAO)	Cubic (221, $Pm\bar{3}m$)	>800	$a^0a^0a^0$	$a = 3.81$	[182]
	Rhombohedral (167, $R\bar{3}c$)	<800	$a^-a^-a^-$	$a = 5.36$ (3.79)	[183]
LSAT	Cubic (221, $Pm\bar{3}m$)	>150	$a^0a^0a^0$	$a = 3.87$	[184]
	Tetragonal (140, $I4/mcm$)	<150	$a^0a^0c^-$	$a = 5.46$ (3.86), $c = 7.73$ (3.87)	
LaGaO ₃ (LGO)	Rhombohedral (167, $R\bar{3}c$)	>420	$a^-a^-a^-$	$a = 5.58$ (3.94)	[185]
	Orthorhombic (62, $Pnma$)	<420	$a^+b^-b^-$	$a = 5.49$, $b = 5.53$; (3.90), $c = 7.78$ (3.89)	[186]
DyScO ₃ (DSO)	Orthorhombic (62, $Pnma$)		$a^+b^-b^-$	$a = 5.44$, $b = 5.53$; (3.88), $c = 7.89$ (3.95)	[187]

From:
 J.Rondinelli and N.Spaldin
 Adv. Mater. 23 (2011) 3363

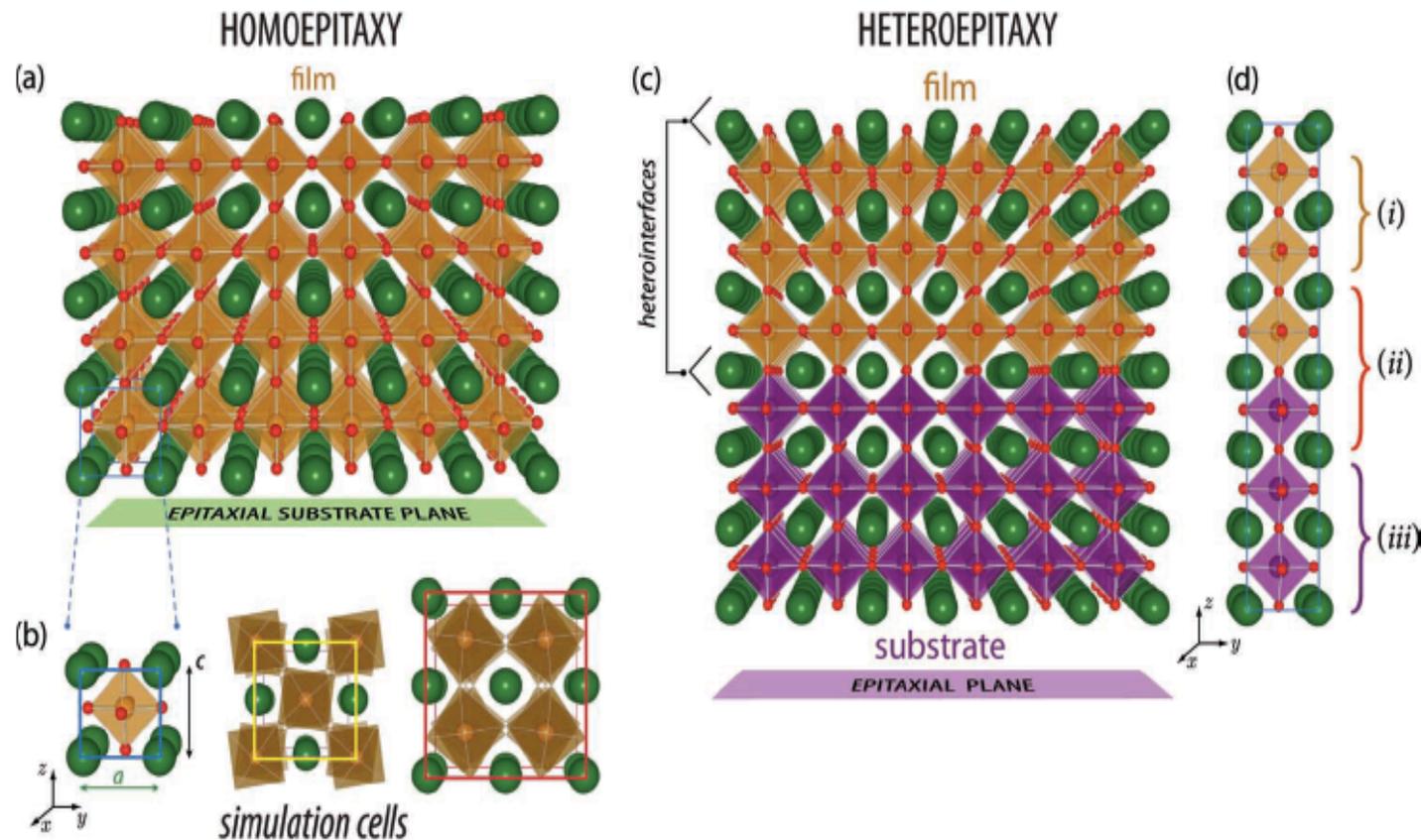


Figure 4. Illustration of the homoepitaxial and heteroepitaxial strain models. Possible choices for the fundamental unit cell used to represent the homoepitaxial film (a) in a periodic boundary condition DFT-calculation are shown in (b). The left cartoon shows a primitive 5-atom perovskite unit cell, and $\sqrt{2} \times \sqrt{2} \times 2$ and $2 \times 2 \times 2$ supercells are shown center and right. The particular cell size is selected in order to accommodate arrangements of various structural and electronic internal degrees freedom. In the heteroepitaxial strain calculations, the substrate and film are both present in the primitive heterostructure cell (d).

DFT calculations: without and with explicit inclusion of the substrate [Rondinelli-Spaldin, Adv. Mater, op. cit.]

Imaging octahedral distortions in thin films and heterostructures

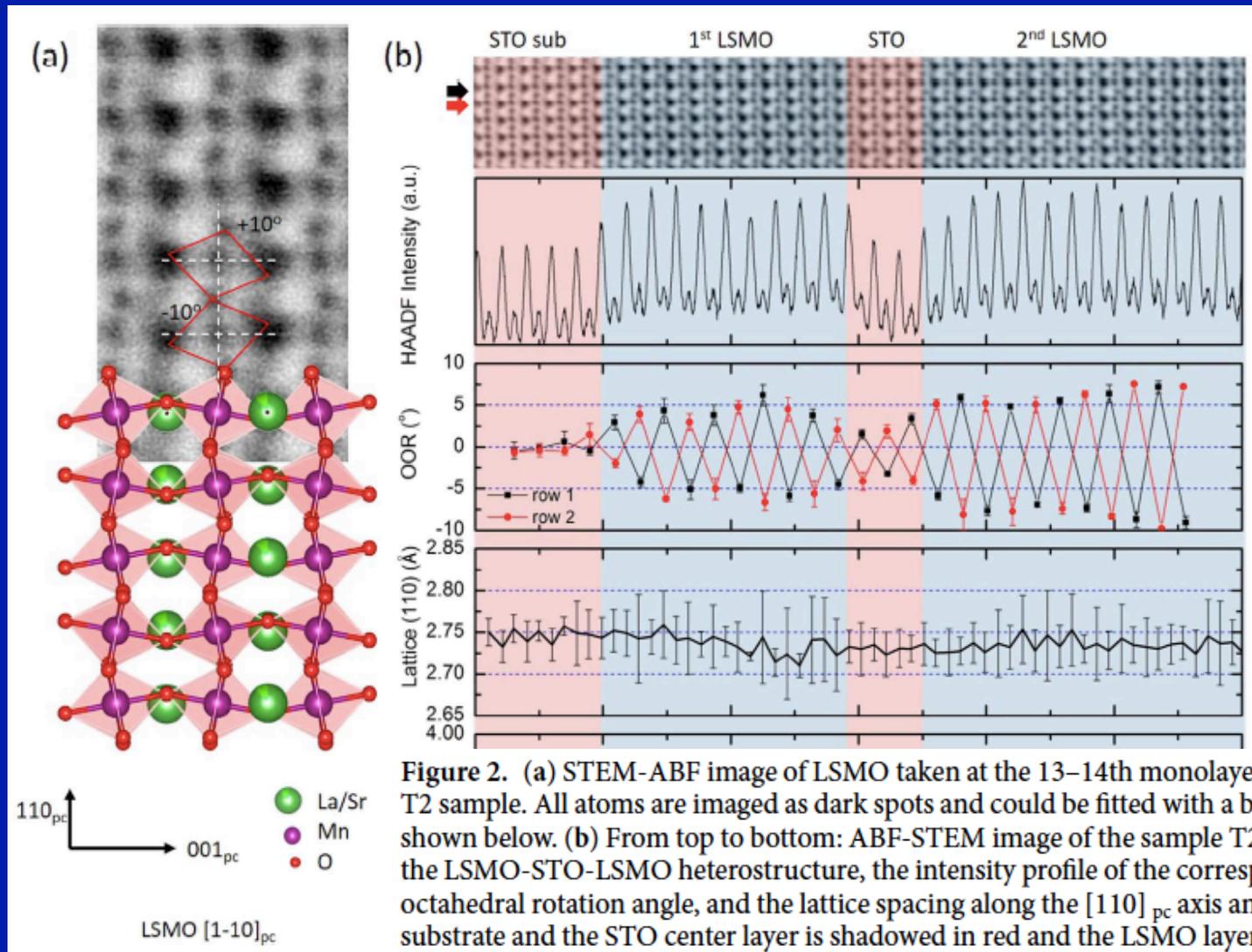


Figure 2. (a) STEM-ABF image of LSMO taken at the 13–14th monolayer of the top LSMO layer of the trilayer T2 sample. All atoms are imaged as dark spots and could be fitted with a bulk-like LSMO structure model shown below. (b) From top to bottom: ABF-STEM image of the sample T2 showing both the STO substrate and the LSMO-STO-LSMO heterostructure, the intensity profile of the corresponding HAADF image, the oxygen octahedral rotation angle, and the lattice spacing along the [110]_{pc} axis and the out-of-plane *c* axis. The STO substrate and the STO center layer is shadowed in red and the LSMO layers are shadowed in blue. The line profiles are the average values of all atomic rows presented in the ABF image and the error bars are the standard deviation using the formulation $\sqrt{\frac{\sum(x - \bar{x})^2}{(n - 1)}}$.

Li et al.
 Scientific
 Reports
 doi:10.1038/
 srep40068

5. From Crystal-Field Theory to Band Structure

... to be continued in Lecture 3