

# NMR studies of cuprates pseudogap, correlations, phase diagram: past and future?



P. Mendels

NMR  
LPS, Orsay

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Oriented Crystallite Samples:

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·J.F. Marucco , V. Viallet



J. Bobroff



F. Rullier Albenque

Transport,  
SPEC (CEA, Saclay)  
Toulouse , LNCMP

Single Crystal Samples:

P. Lejay and D. Colson

# Observation = Amazing Phenomena



*H. Alloul , Introduction to the Physics of Electrons in Solids  
Editions de l'Ecole polytechnique  
English edition , Springer (to appear , december 2010)*

# NMR studies of cuprates : pseudogap, correlations, phase diagram: past and future?

- *Magnetic spin susceptibilities in NMR :*

  - Usual metals and superconductors

  - The case of cuprates:

    - Singlet spin pairing

    - Single spin fluid in the normal state

- *Dynamic susceptibilities and spin lattice relaxation :*

  - Magnetic correlations in the phase diagram

  - d- wave SC

- *The pseudogap and questions on the phase diagram*

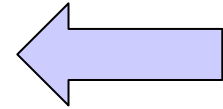
  - NMR as a local magnetic probe

- *Pseudogap, MIT and disorder*

  - NMR and high field transport measurements

- *SC Fluctuations and pseudogap*

  - Some answers about the phase diagram

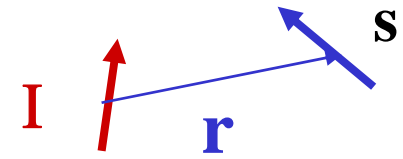


# Hyperfine Interactions - NMR Frequency Shifts

Interactions between nuclear moments  $I$  and electronic moments  $s$  et  $l$

**Dipolar**

$$H_{dd} = -\frac{\hbar^2 \gamma_n \gamma_e}{r^3} \left\{ \vec{I} \cdot \vec{s} - 3 \frac{(\vec{I} \vec{r})(\vec{s} \cdot \vec{r})}{r^2} \right\}$$



**Orbital**

$$H_{orb} = -\frac{\hbar^2 \gamma_n \gamma_e}{r^3} \vec{I} \cdot \vec{l}$$

• Filled atomic shells :

$$H_{orb} \equiv 0 ; H_{dd} \equiv 0$$

**Contact**

$$H_c = \frac{8\pi}{3} \hbar^2 \gamma_n \gamma_e \vec{I} \cdot \vec{s} \delta(\vec{r})$$

• Paramagnetic or diamagnetic compounds:

$$H_T = H_Z + H_{dd} + H_{orb} + H_c = -\hbar \gamma_n \vec{I} \cdot (\vec{B}_0 + \vec{B}_L)$$

$$\vec{B}_L = \langle \vec{B}_L \rangle + [\vec{B}_L - \langle \vec{B}_L \rangle]$$

Relaxation time

Mean field  
Linear  
response

$$\langle \vec{B}_L \rangle \propto \chi B_0$$

Frequency shift

Local measurement of the  
electronic susceptibility

Insulators  $H_{orb}$   
Chemical shift  
(orbital currents)

metals  $\chi_{Pauli}$   
Knight shift  
(unpaired electrons)

# Magnetic susceptibilities

## SQUID Measures

Ion cores

orbital

Spin

always

$$\chi_{\alpha}^m(T) = \chi^{dia} + \chi_{\alpha}^{orb} + \chi_{\alpha}^s + (\chi^{imp})$$

$$= \chi^{dia} + \sum_i [\chi_{i,\alpha}^{orb} + \chi_{i,\alpha}^s(T)]$$

$\alpha = (x,y,z)$

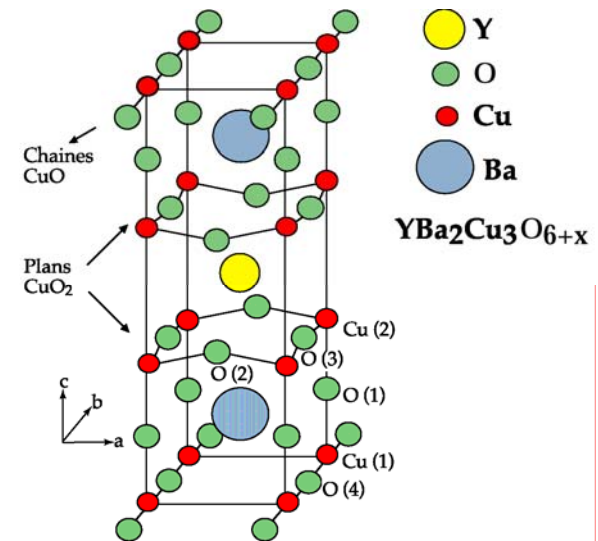
$i = \text{atomic sites}$

## NMR shift measures on each nuclear site $i$

$$K_{i,\alpha}(T) = K_i^{dia} + K_{i,\alpha}^{orb} + K_{i,\alpha}^s(T)$$

$$= K_i^{dia} + A_{i,\alpha}^{orb} \chi_{i,\alpha}^{orb} + A_{i,\alpha}^s \chi_{i,\alpha}^s(T)$$

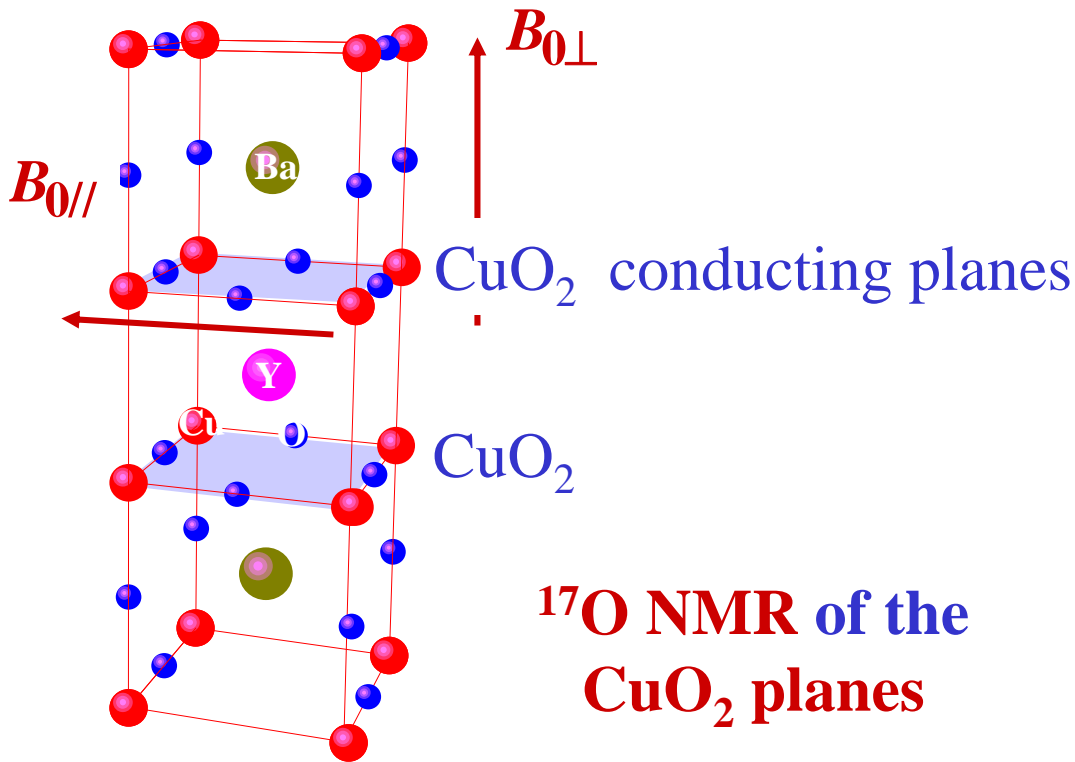
Local magnetic measurement  
on each nuclear site  $i$



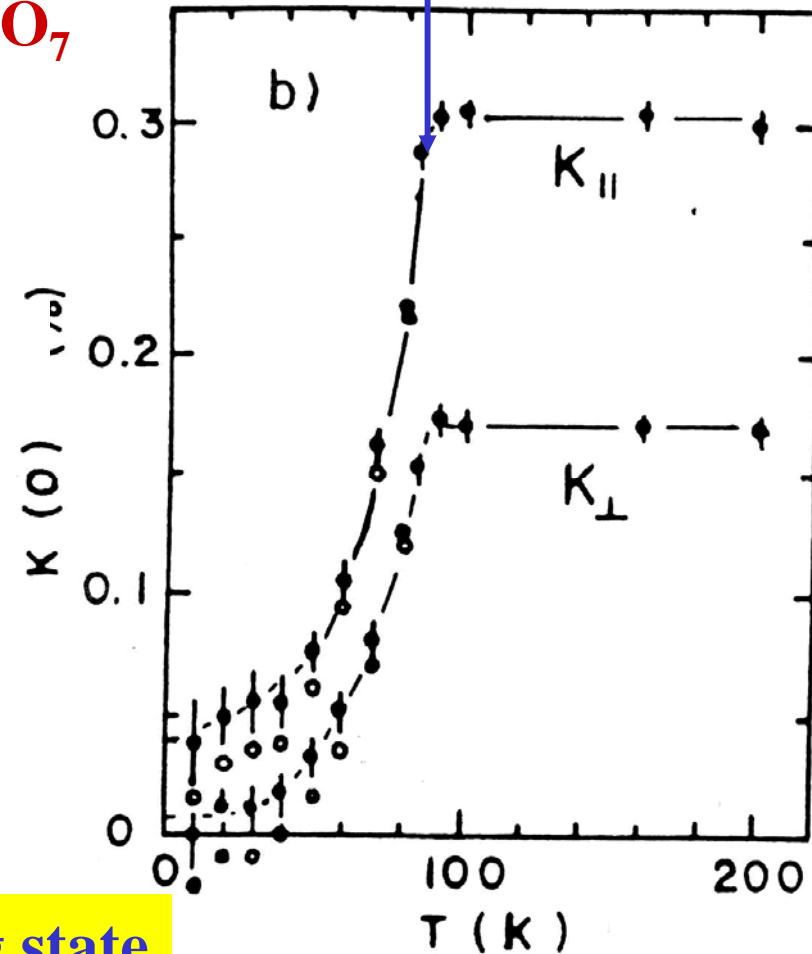


# Knight Shift in Superconductors

High  $T_c$  cuprates



$\text{YBCO}_7$



*M. Takigawa et al PRL 1989*

Vanishing of  $\chi_P$  in the superconducting state  
Cooper pairs are in a singlet state

# $^{89}\text{Y}$ NMR shift in the metallic state

H.A., T. Ohno and P. Mendels, PRL 1989

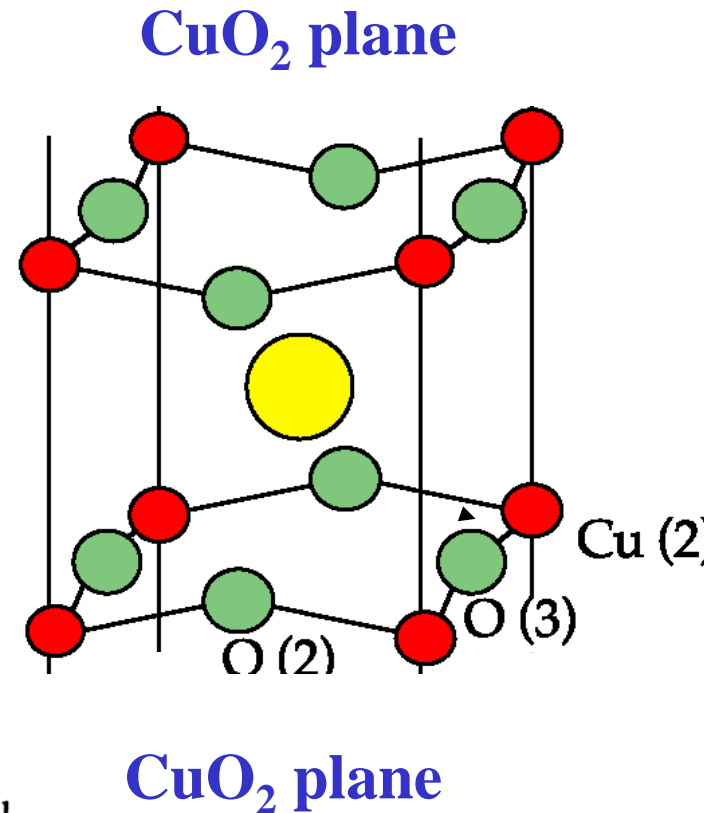
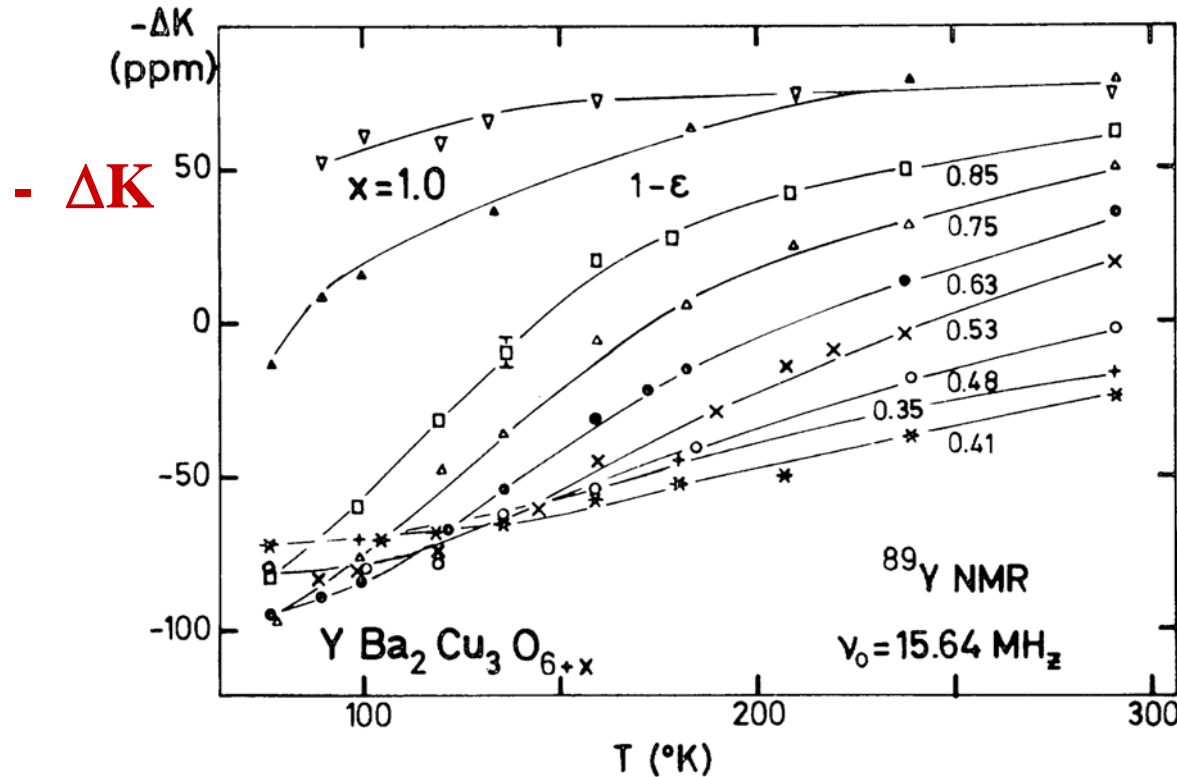


FIG. 1. The shift  $\Delta K$  of the  $^{89}\text{Y}$  line, referenced to  $\text{YCl}_3$  plotted vs  $T$ , from 77 to 300 K. The lines are guides to the eye.

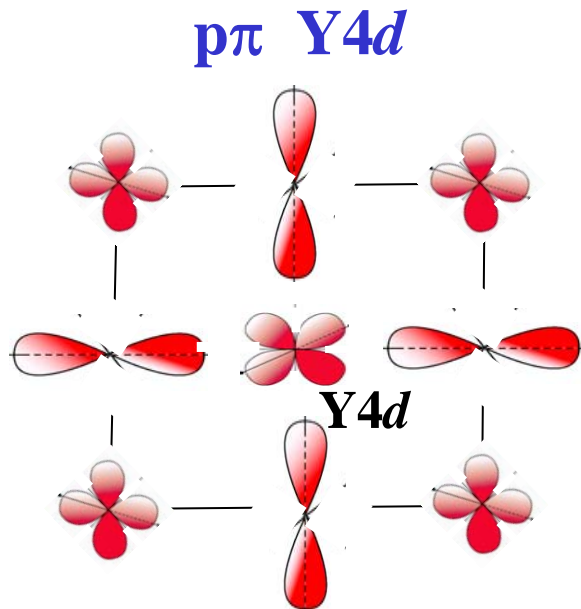
$$K_{i,\alpha}(T) = K_i^{dia} + A_{i,\alpha}^{orb} \chi_{i,\alpha}^{orb} + A_{i,\alpha}^s \chi_{i,\alpha}^s(T)$$

Local magnetic measurement

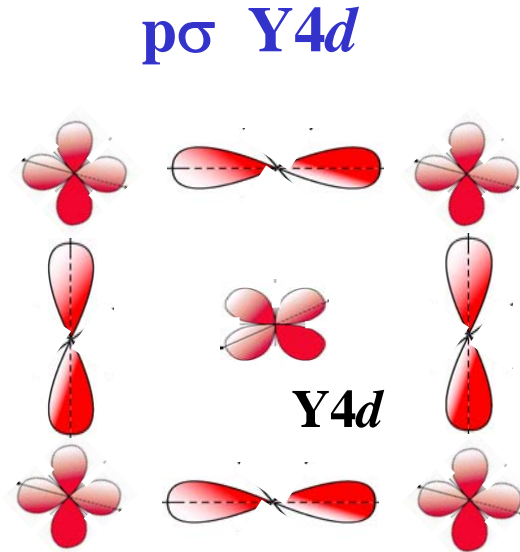
But transferred hyperfine couplings

# Sign of $^{89}\text{Y}$ NMR shift

Negative sign comes from  $Y4d$  orbitals: core polarization



Very weak



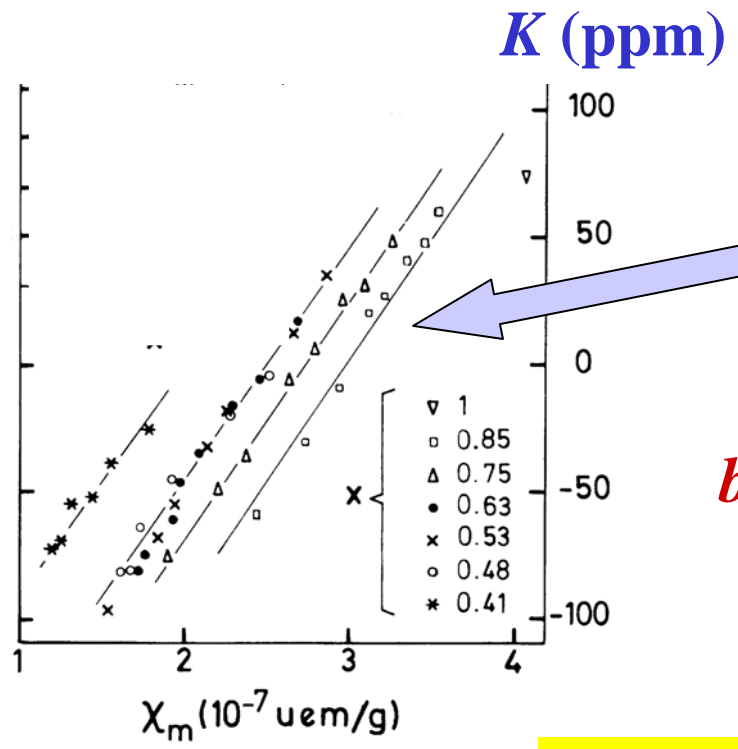
OK

So negative sign comes from  $p\sigma$  -  $Y4d$  hybridization



# Is there an independent oxygen band at the Fermi level?

*H.A. , T. Ohno and P. Mendels, PRL 1989*



$$K^s(T) = A \chi^s(T)$$

**does not change with hole doping**

*A is driven  
by (Y4d-O2pσ)-Cu(3d) covalency*

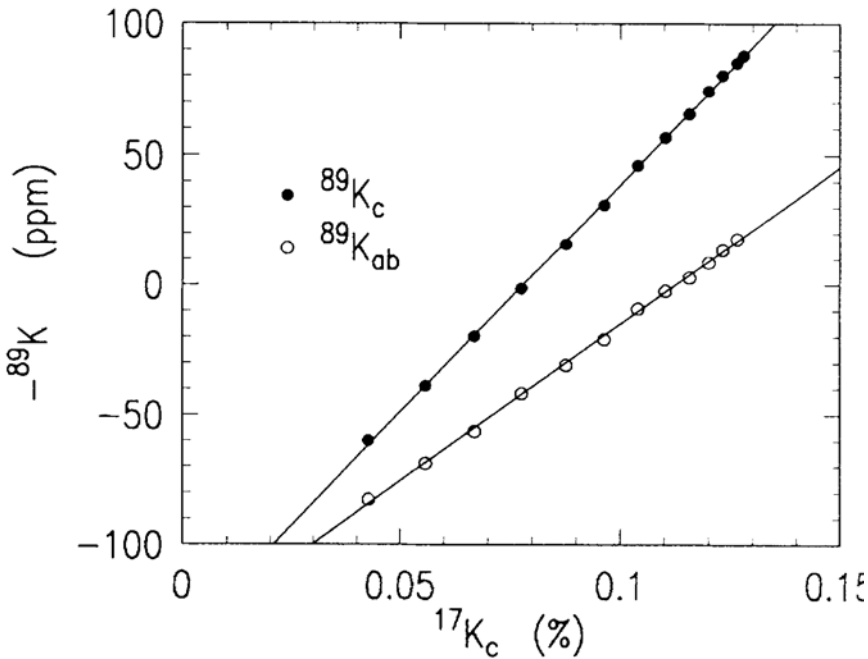
*So there is no independent oxygen spin degree of freedom at  $E_F$*

# Single spin fluid behaviour

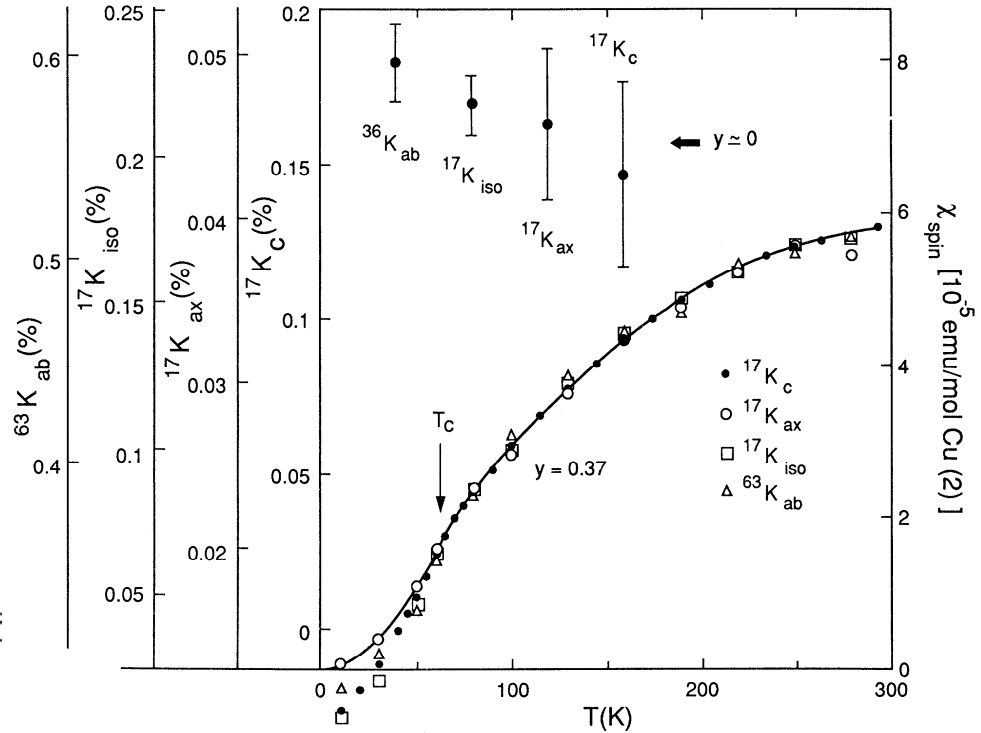
**YBCO<sub>6.63</sub>**

$$K_{i,\alpha}(T) = K_i^{dia} + A_{i,\alpha}^{orb} \chi_{i,\alpha}^{orb} + A_{i,\alpha}^s \chi_{i,\alpha}^s(T)$$

*M. Takigawa et al 1991, 1993*



**<sup>89</sup>Y versus <sup>17</sup>O**

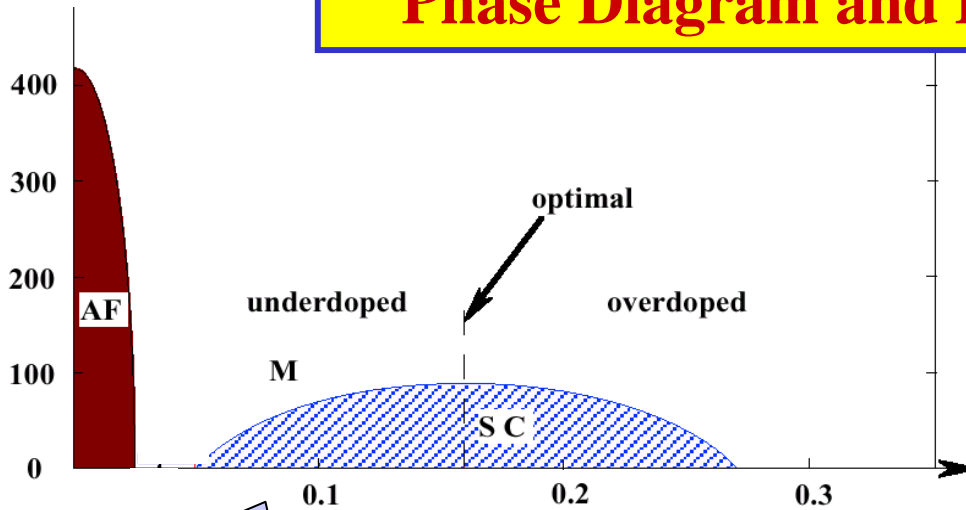


**<sup>63</sup>Cu versus <sup>17</sup>O**

***A single T dependence for  $K_{i,\alpha}(T)$ : due to  $\chi_{Cu}(T)$***

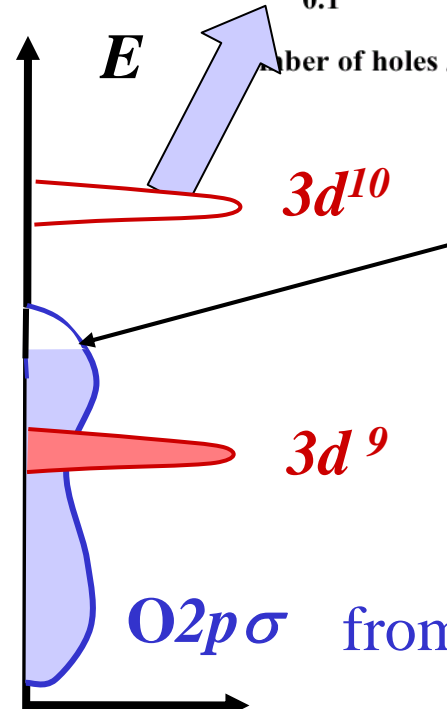
**Notice: this allows determinations of the shift references for all nuclei**

# Phase Diagram and Band Structure



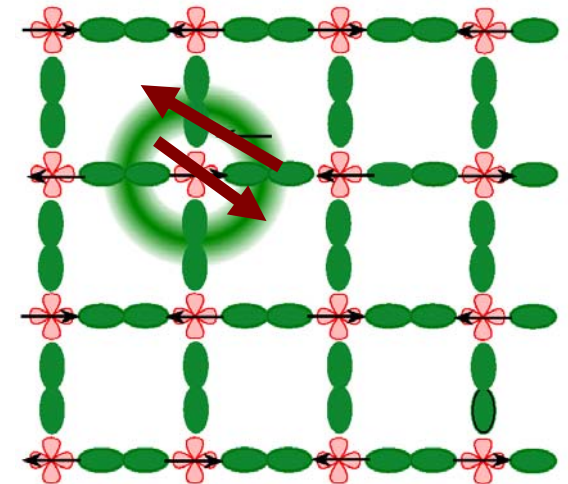
*There is a single spin fluid*

Zhang Rice spin singlets  
 $\text{Cu}3d - \text{O}2p\sigma$

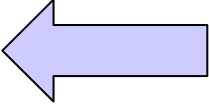


**NO!!**  
Strong  $\text{O}2p - \text{Cu}3d$   
hybridization  
Magnetic correlations

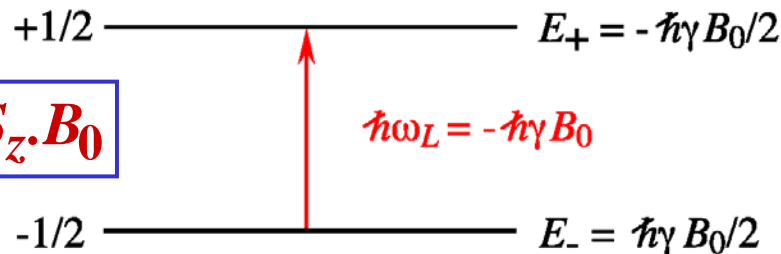
The holes steal spins  
from the copper hole background



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- *The pseudogap and questions on the phase diagram*
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# Physical Origin of the Spin Lattice Relaxation



$$H_Z = -\hbar\gamma S_z \cdot B_0$$

rf exciting field  
perturbation for  $H_Z$

$$H_{rf} = -\hbar\gamma \mathbf{S} \cdot \mathbf{B}_1 \cos \omega_L t$$

transitions  $|-1/2\rangle \rightarrow |1/2\rangle$   
if  $\langle 1/2 | H_{rf} | -1/2 \rangle \neq 0$

$$\vec{B}_L = \langle \vec{B}_L \rangle + \left[ \vec{B}_L - \langle \vec{B}_L \rangle \right]$$

$\mathbf{B}_1 \perp z$

**Relaxation:** transverse components of the  
fluctuating field at the Larmor frequency

Transition probability

$$\frac{1}{T_1} = \gamma_n^2 \int_{-\infty}^{\infty} \langle B_L^+(t) B_L^-(0) \rangle \exp(-i\omega_n t) dt$$

Correlation function of the local field

$T_1$  results from the coupling with the equilibrium  
fluctuations of the electron spins degrees of freedom



# Spin lattice relaxation in a free electron metal

$$\frac{1}{T_1} = \frac{2A^2}{\hbar^2 \gamma_e^2} k_B T \frac{\chi_T''(\omega_n)}{\omega_n}$$

$$\chi_T''(\omega_n) = \sum_q \chi_T''(q, \omega_n)$$

Al metal

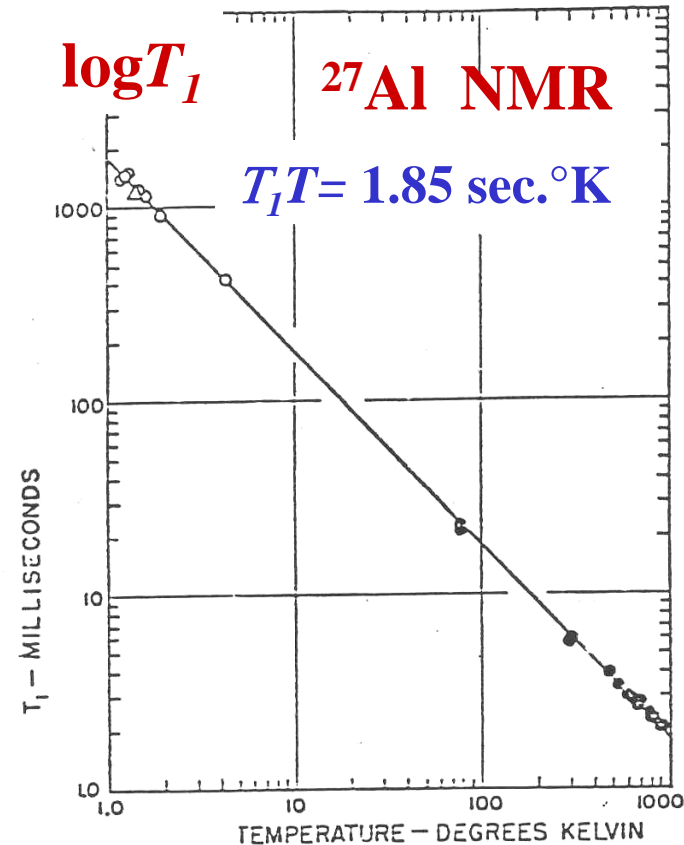
For a free electron gas  $\chi''(q, \omega_n)$  is  $q$  independent

$$\chi_T(\omega) = \frac{1}{2} \hbar^2 \gamma_e^2 \left\{ n(E_F) + i \pi \hbar \omega n^2(E_F) \right\}$$

$$\frac{1}{T_1} = \frac{\pi}{\hbar} A^2 n^2(E_F) k_B T$$

$$K = \frac{A}{\hbar^2 \gamma_e \gamma_n} \chi_P = \frac{A \gamma_e}{2 \gamma_n} n(E_F)$$

$$T_1 T K^2 = \frac{\hbar}{4 \pi k_B} \left( \frac{\gamma_e}{\gamma_n} \right)^2 = S_0$$



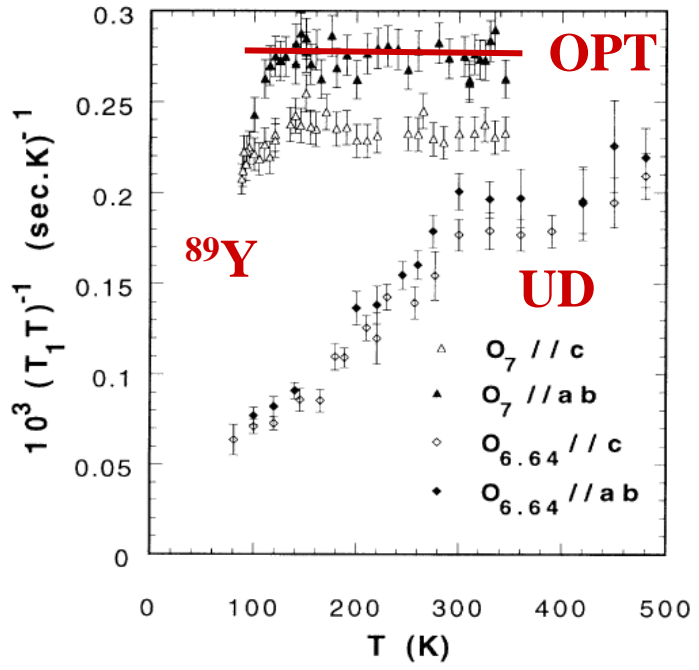
Korringa law for a metal

Thermometry

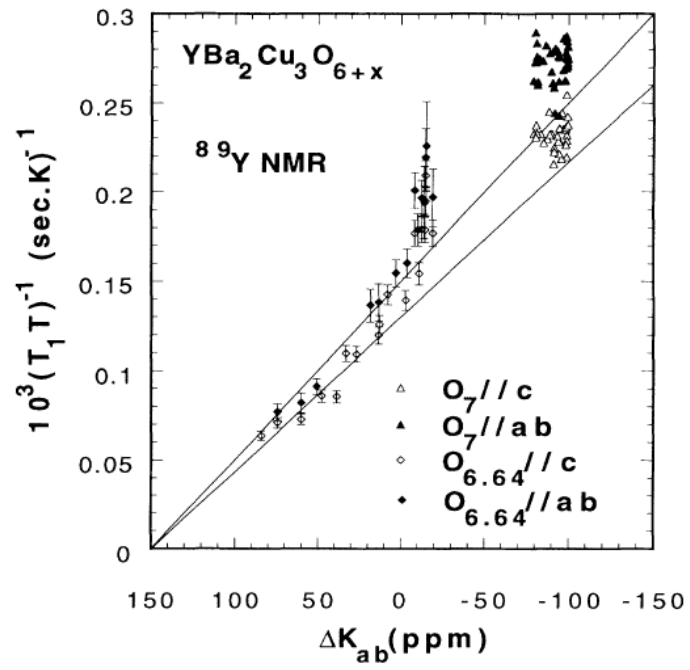
$\log(1/T)$

**Comparison of  $(T_1 T)^{-1}$  on  $^{89}\text{Y}$  and  $^{17}\text{O}$**

$(T_1 T)^{-1}$



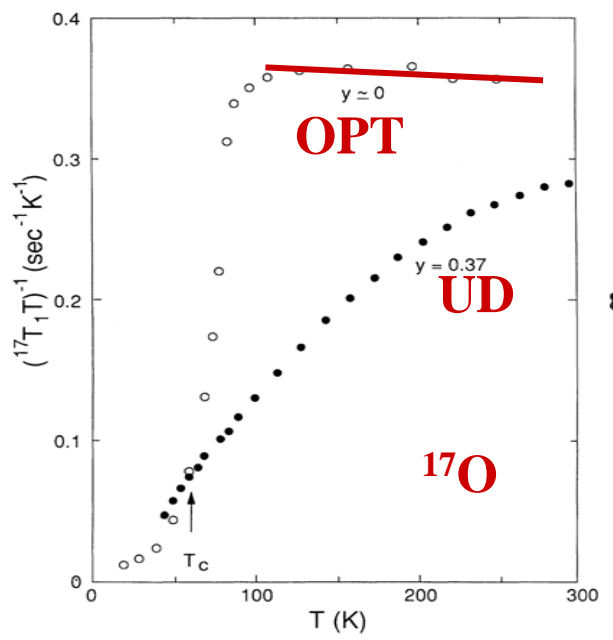
In  $\text{YBCO}_7$ ,  $T_1 T$  is nearly constant on  $^{17}\text{O}$  and  $^{89}\text{Y}$   
Like in a free electron metal



In  $\text{YBCO}_{6.6}$

$$\frac{1}{T_1 T} \propto K$$

*Metallic like component*

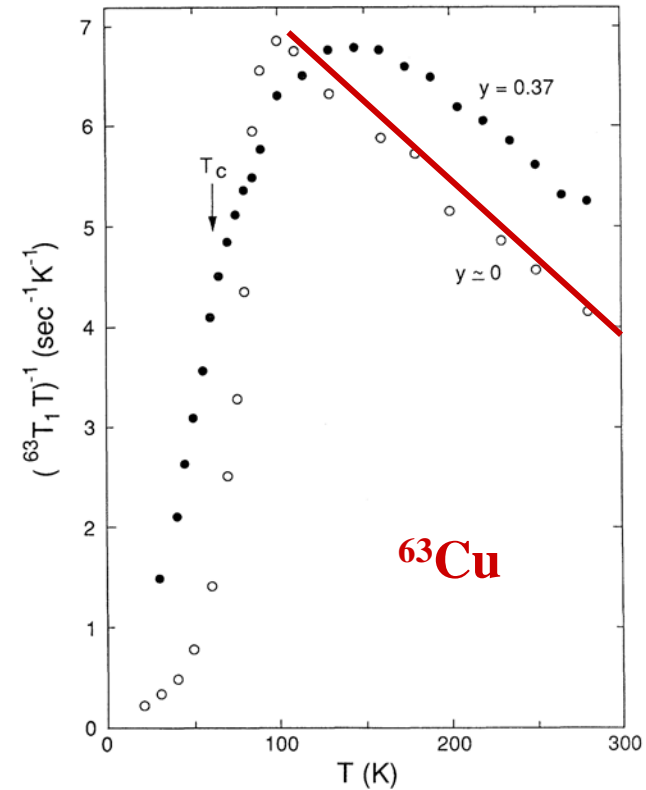
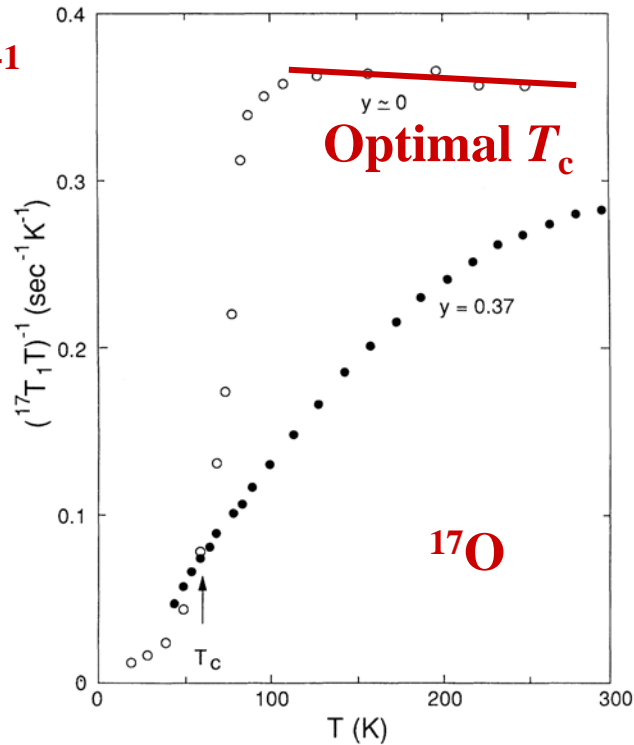
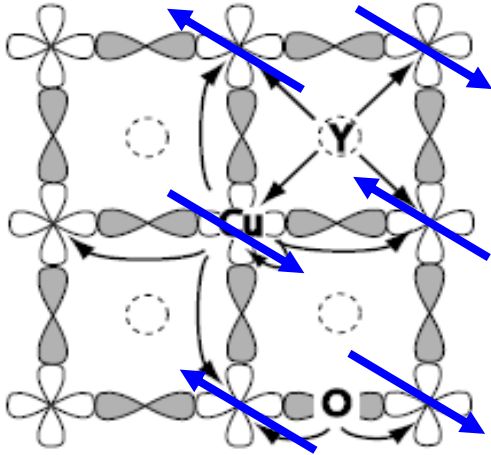


**$^{89}\text{Y}$  NMR Evidence for a Fermi-Liquid Behavior in  $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$**

H. Alloul, T. Ohno,<sup>(a)</sup> and P. Mendels  
Physique des Solides, Université de Paris-Sud, 91405 Orsay, France  
(Received 15 May 1989)

# Distinct behaviour of $(T_1T)^{-1}$ on the Cu site: AF correlations

$(T_1T)^{-1}$

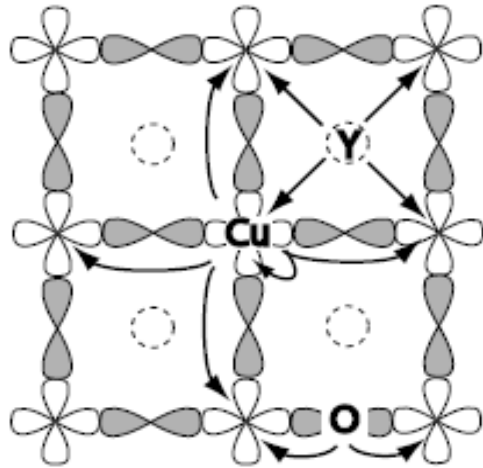


In YBCO<sub>7</sub>  $T_1T$  is nearly constant on  $^{17}\text{O}$  but increases at low  $T$  for  $^{63}\text{Cu}$   
**O and Y are insensitive to AF correlations while Cu probes them fully**

**Increase of AF correlations at low  $T$   
 Even more for the underdoped case**

# T<sub>1</sub> for nuclei coupled to neighbouring sites

Non local hyperfine coupling  
q dependence of the HF coupling



$$A_{O,\alpha}^s(\mathbf{q}) = A_{O,\alpha}^s \sum_{\mathbf{r}_i} \exp(i \mathbf{q} \cdot \mathbf{r}_i)$$

**<sup>89</sup>Y**  $A_{Y,\alpha}^s(\mathbf{q}) = 8D_\alpha (\cos q_x a / 2 \cos q_y a / 2)$

**<sup>17</sup>O**  $A_{O,\alpha}^s(\mathbf{q}) = 2C_\alpha \cos q_x a / 2$

**<sup>63</sup>Cu**  $A_{Cu,\alpha}^s(\mathbf{q}) = A_\alpha + 2B_\alpha (\cos q_x a + \cos q_y a)$

For Y and O, A(q) vanishes for  $\mathbf{q}_{AF} = (\pi/a, \pi/a)$   
The AF fluctuations are filtered out by A(q)

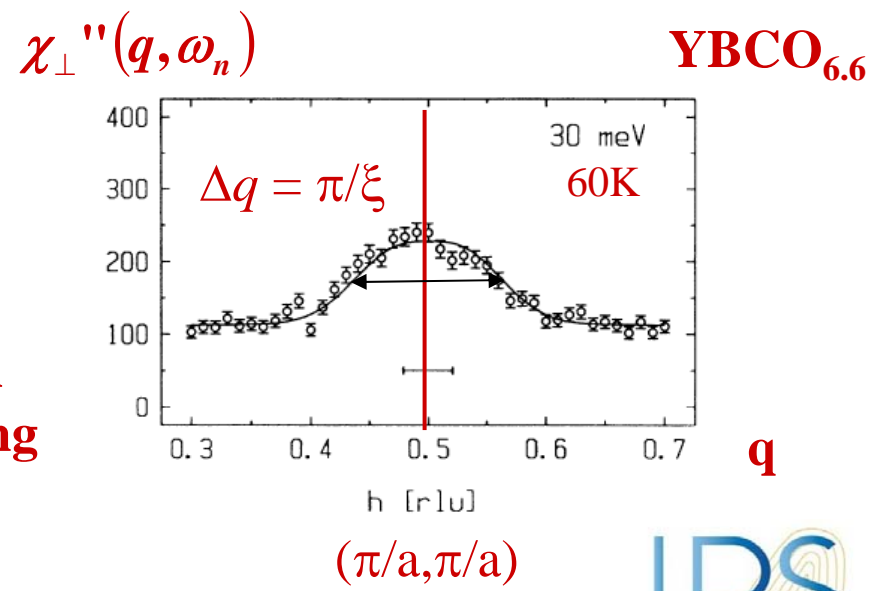
$$\frac{1}{T_1} = \frac{2k_B T}{\hbar^2 \gamma_e^2} \sum_{\mathbf{q}} A^2(\mathbf{q}) \chi_{\perp}''(\mathbf{q}, \omega_n) / \omega_n$$

$\xi(T)$  is the AF correlation length probed by <sup>63</sup>Cu NMR

$\omega \rightarrow 0$

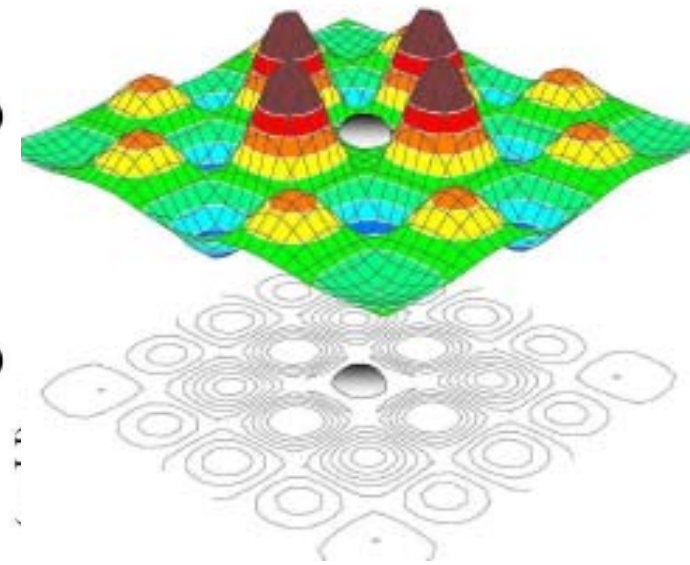
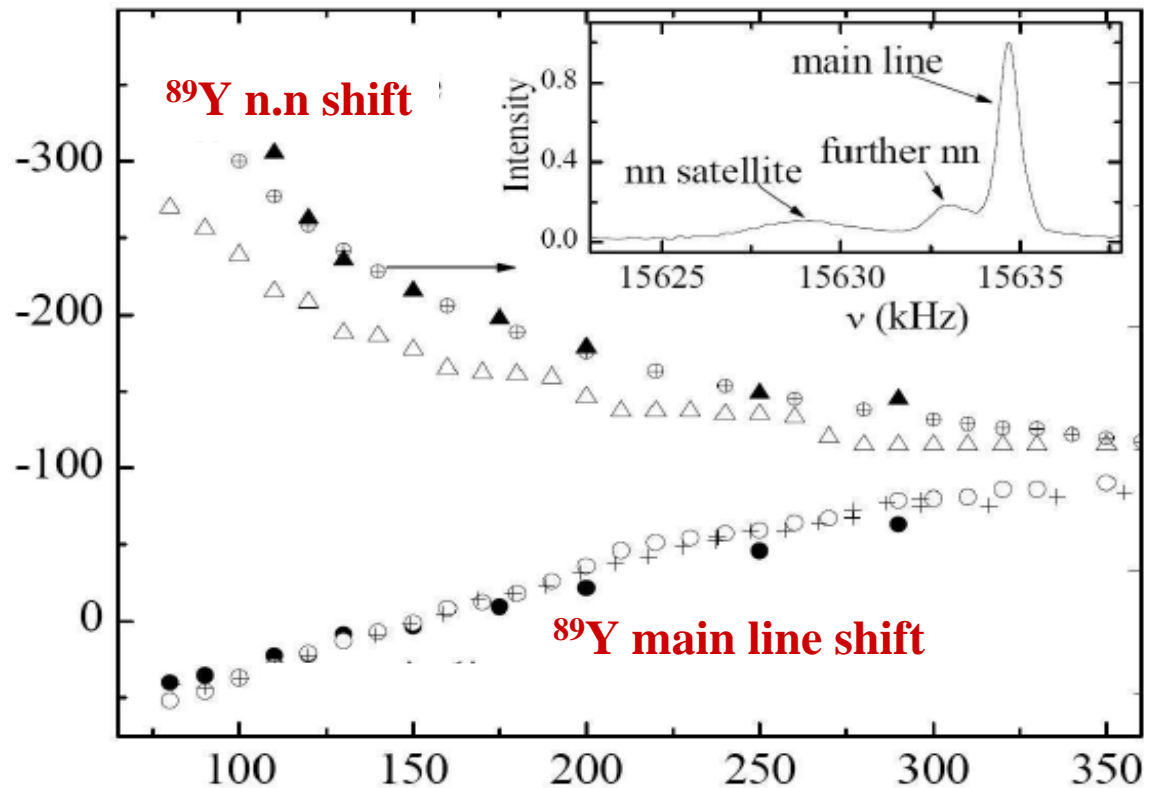
↓

Neutron scattering



**Zn<sup>2+</sup> or Li<sup>+</sup> (no spin) substituted to Cu<sup>2+</sup> (spin 1/2)**

**<sup>89</sup>Y NMR shift**



**<sup>7</sup>Li NMR shift**

**Empty symbols: Zn<sup>2+</sup>**

**Full symbols : Li<sup>+</sup>**

*A. Mahajan, H.A ...PRL 1994;*

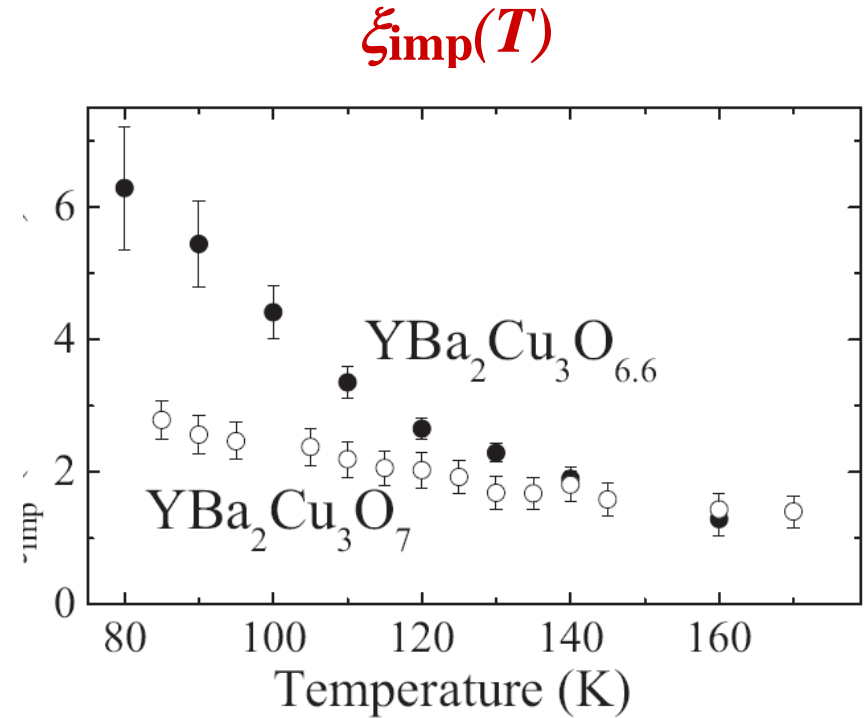
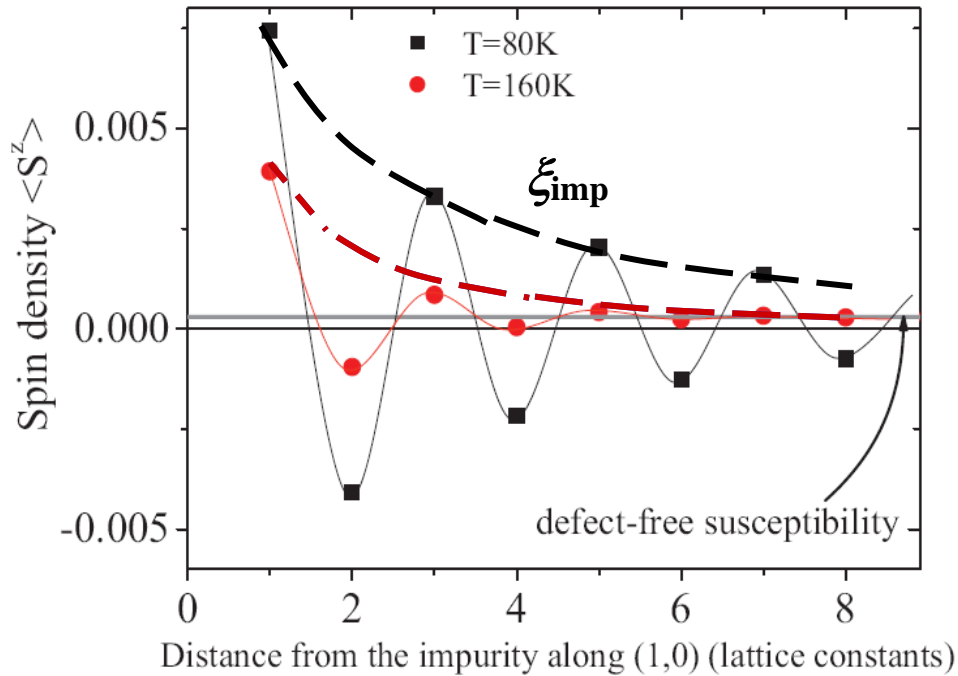
*J. Bobroff, H. A ... . PRL, 1999*

**The spinless character of the impurity dominates the magnetic response**



# Spatial extent of the staggered moment

*S. Ouazi, J. Bobroff, H. A., PRB 2004*



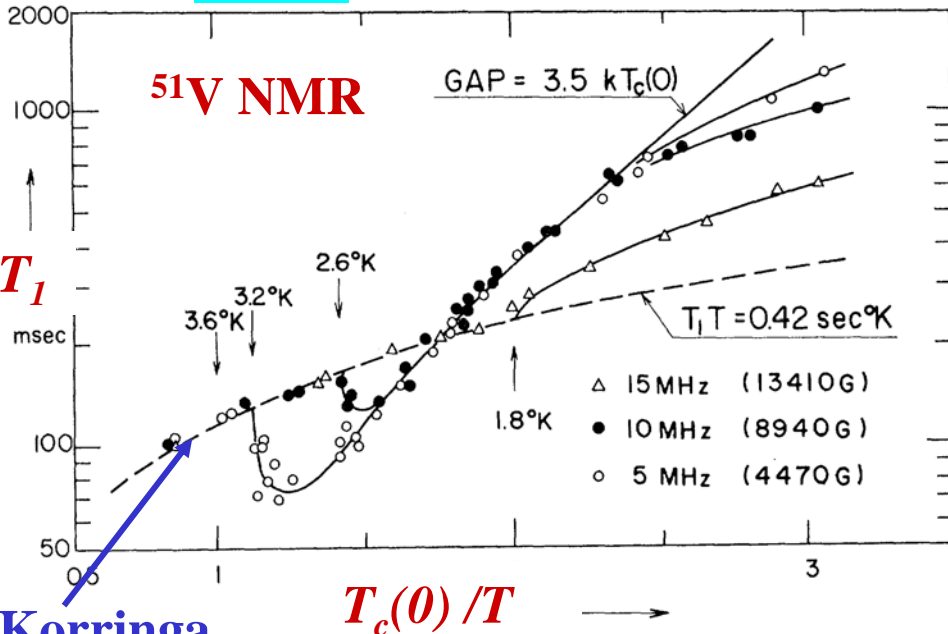
$\xi_{\text{imp}}(T)$  varies smoothly with  $T$  and doping

*Review article: H.A, J. Bobroff, P. Hirschfeld and M. Gabay, RMP 2009*

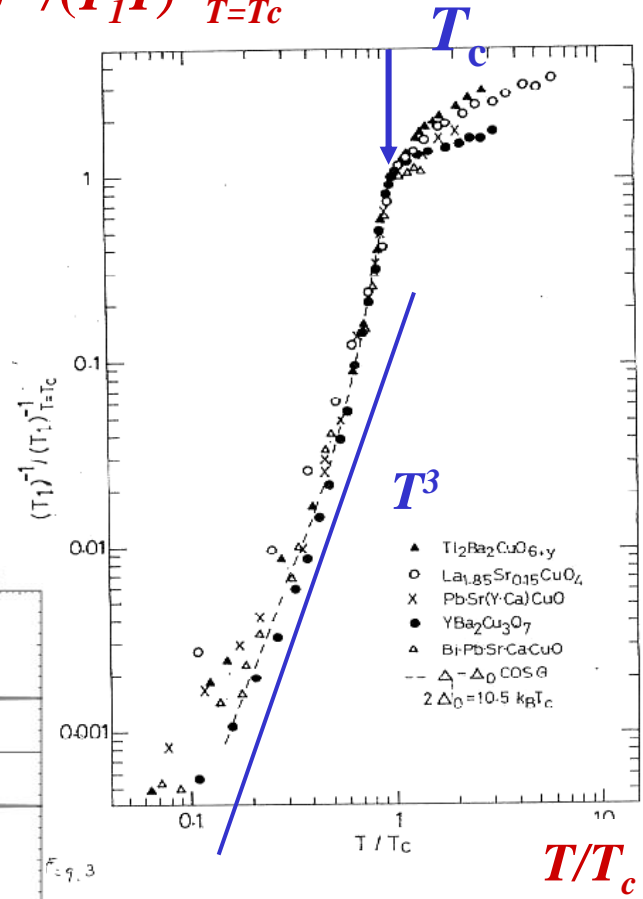
V<sub>3</sub>Sn

# T<sub>1</sub> in the superconducting state

Cuprates



$$(T_1 T)^{-1} / (T_1 T)^{-1}_{T=T_c}$$



**s wave superconductor**

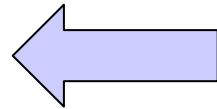
$$(T_1 T)^{-1} \sim \exp(-\Delta/k_B T) \text{ for } T \ll T_c$$

T<sub>1</sub> minimum below T<sub>c</sub>  
(Hebel-Slichter peak in 1/T<sub>1</sub>)

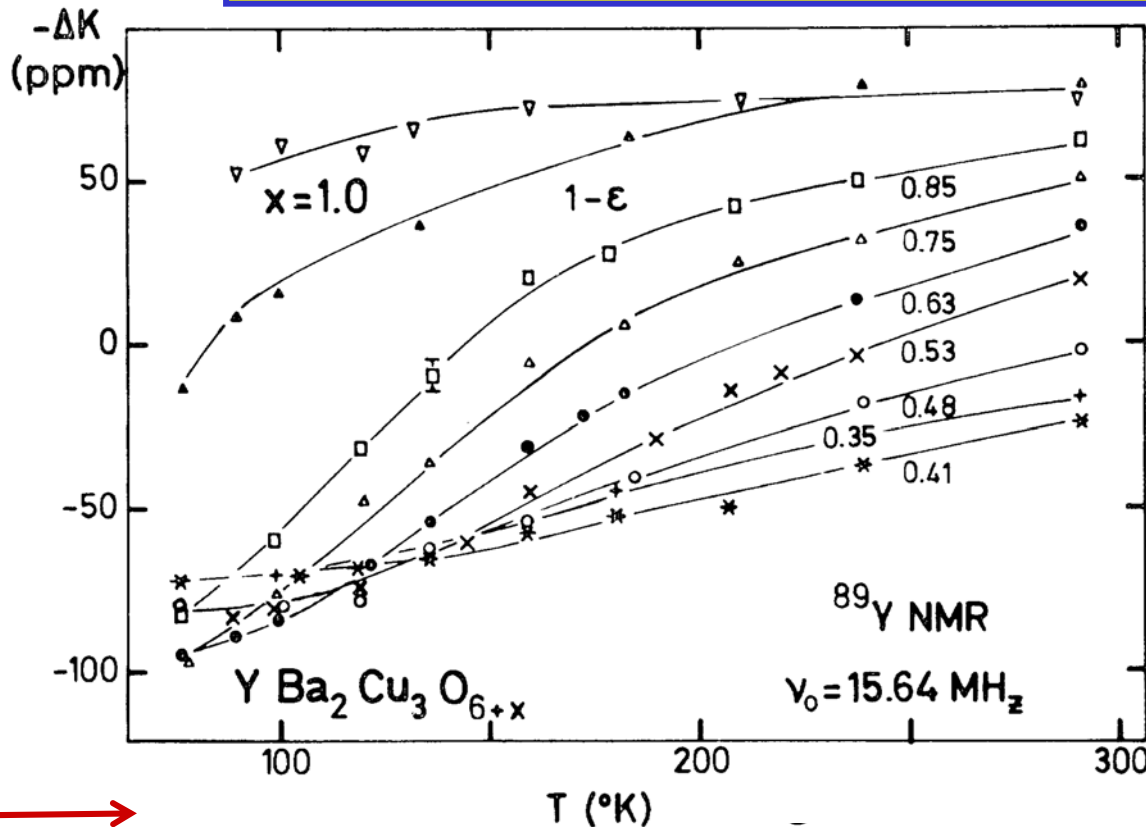
**d wave superconductivity**  
**T<sup>3</sup> variation for T << T<sub>c</sub>**

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# What about the origin of this $T$ dependence ?



Large decrease  
(nearly full loss)  
of  $\chi^s(T)$  above  $T_c$

Pseudogap in the  
electronic excitations



Origin for  $K^s$

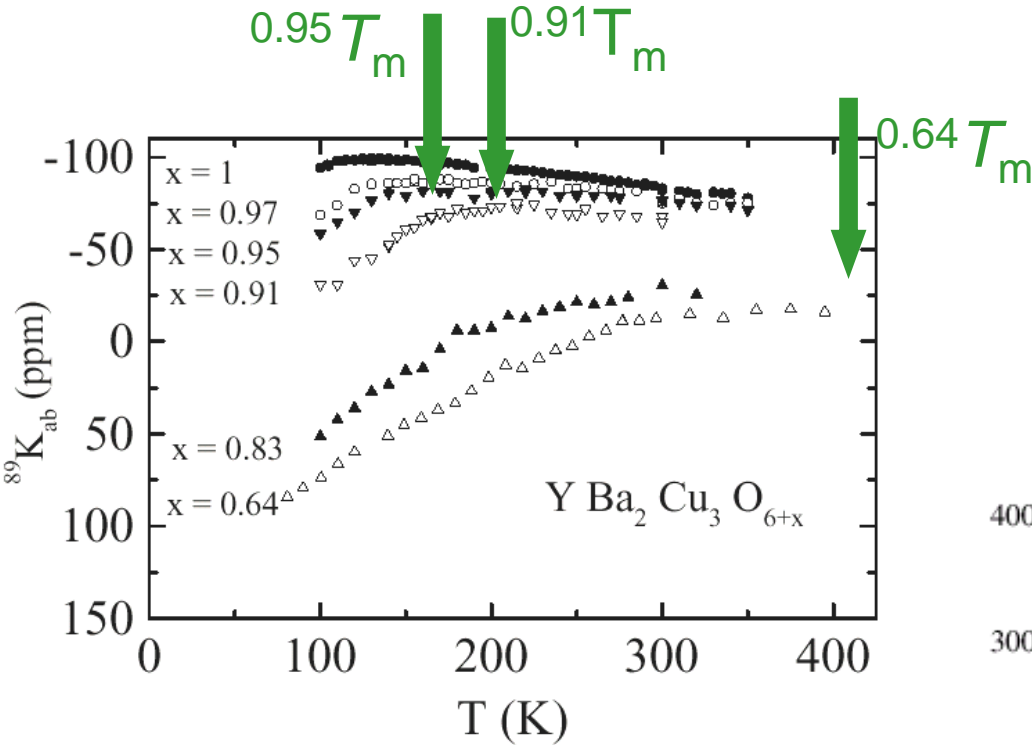
*H.A, T. Ohno and P. Mendels,  
PRL 1989*

tuations on the Cu than on the Y or O, which are symmetric sites for the AF lattice of the  $O_6$  compound.<sup>7</sup> In the band picture, AF correlations might induce a **pseudogap**, as suggested by Friedel,<sup>24</sup> which could explain the reduction of  $\chi_s$  at low  $T$ . However, it is less clear whether this approach is compatible with the smooth variation of  $\chi_s$  and  $K_s$  from the metal to the insulat

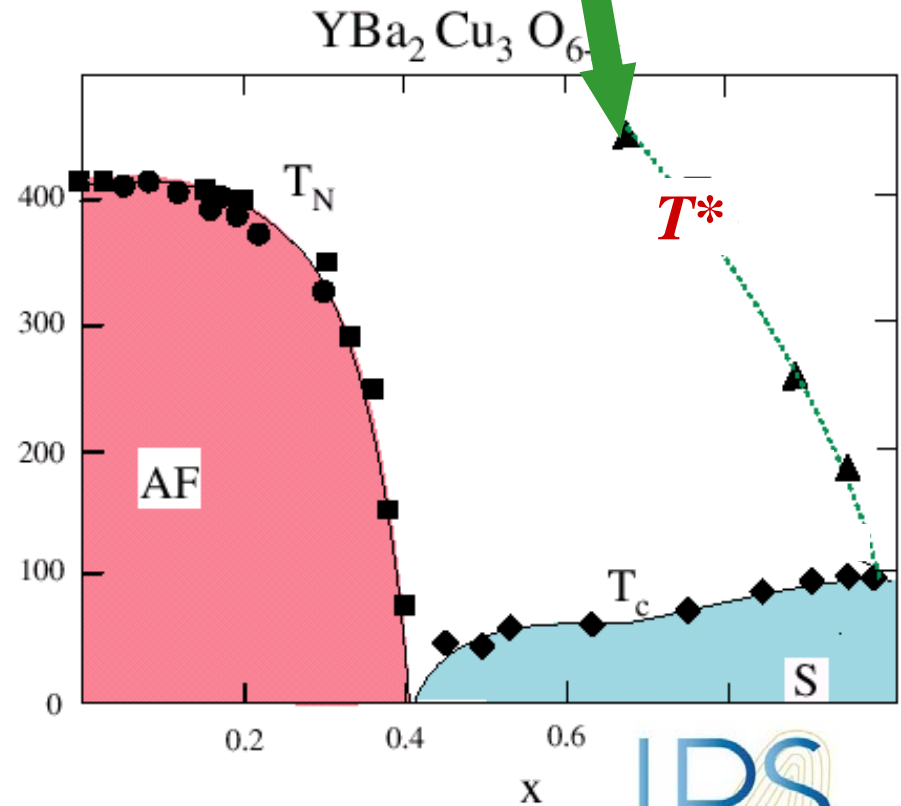
# Phase Diagram and Pseudogap

$^{89}\text{Y}$  NMR shift

Low  $T$  decrease of the susceptibility:  
opening of the pseudogap



*Alloul et al, ????*





# The drop of $\chi(T)$ is generic of underdoped cuprates

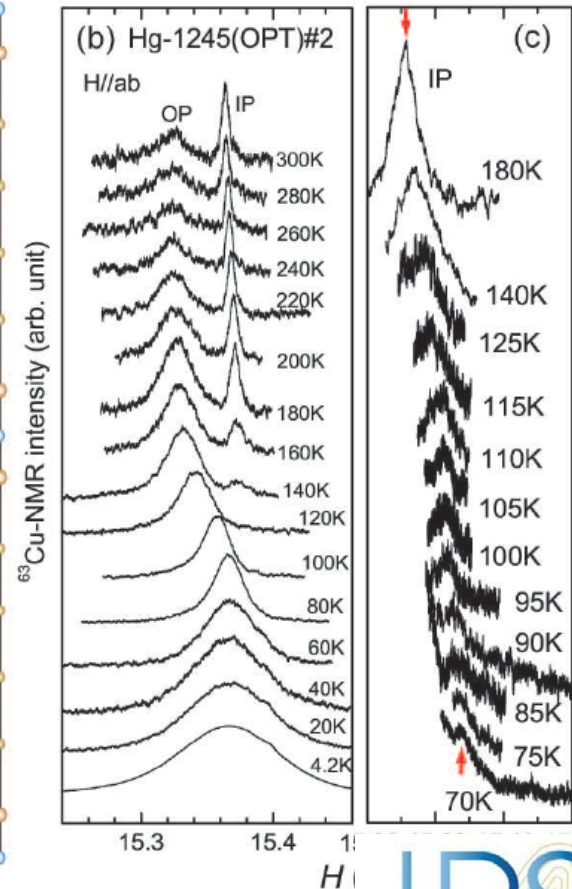
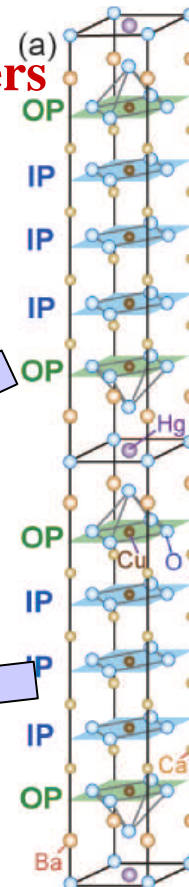
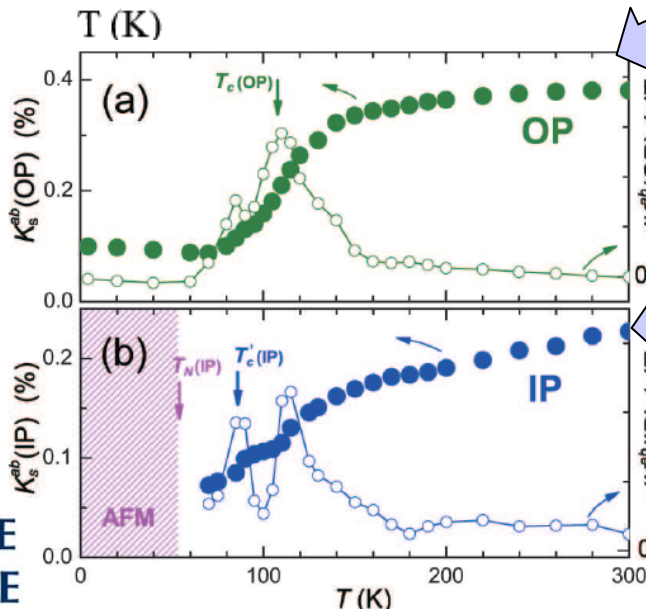
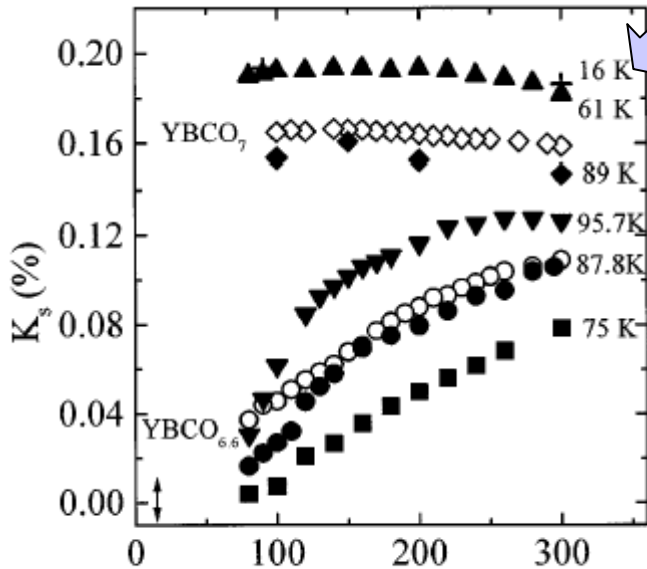
$^{17}\text{O}$  NMR Hg1201 : one  $\text{CuO}_2$  layer, *J. Bobroff, H.A.,... PRL 1997*

Can be used to estimate the hole doping!

Bi2223 : three  $\text{CuO}_2$  layers, *A Trokiner et al, ...*

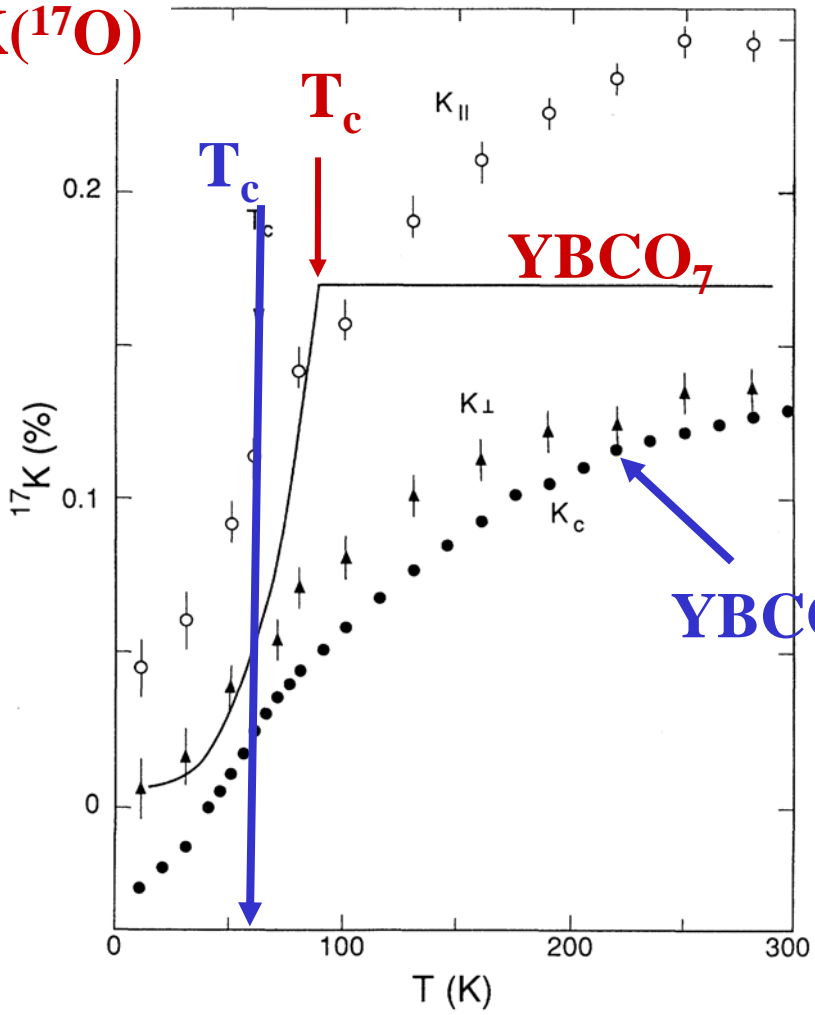
five  $\text{CuO}_2$  layers  
Hg1245

*Mukuda et al,  
JPSJ 2008*



# Knight Shift in underdoped YBCO

$K(^{17}\text{O})$



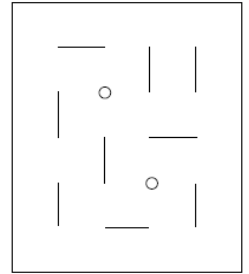
*M. Takigawa et al PRB*

The large drop of  $\chi_s(T)$  above  $T_c$  could be due to **Cooper pair formation**  
 $T_c$  being then due to **phase coherence** of these preformed pairs  
**Bose Einstein condensation ?**

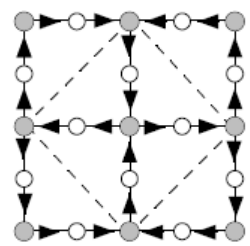
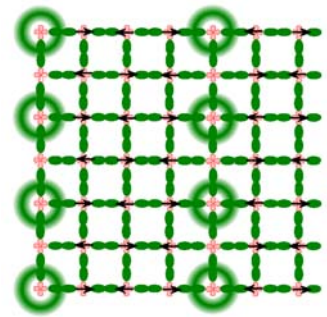
**Other scenarios**

RVB spin liquid

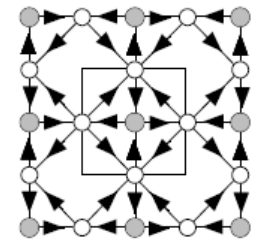
competing magnetic orders



Stripes



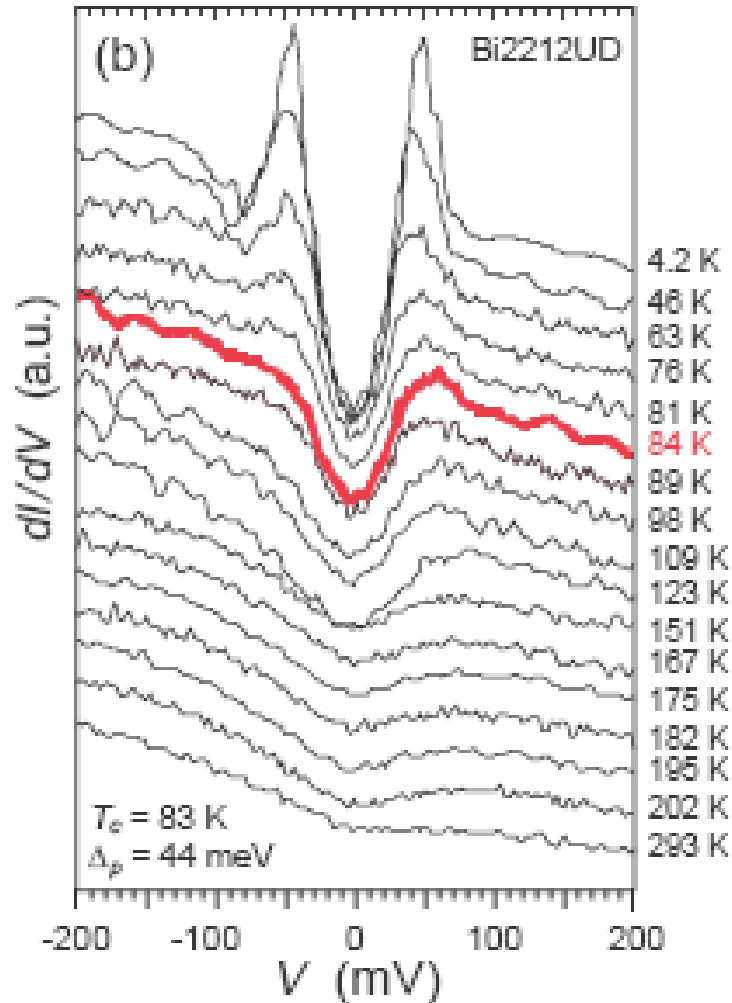
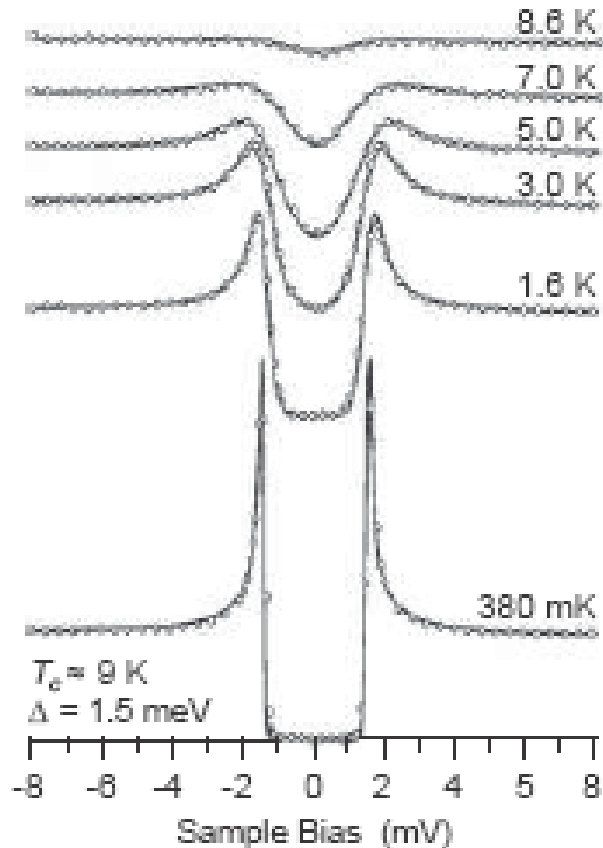
ddw order



Orbital currents

# Superconductivity in $\text{Bi}_2\text{r}_2\text{CaCu}_2\text{O}_{8+x}$ $T_c=95\text{K}$

(a) Nb tip / Au



**PSEUDOGAP**

**Niobium BCS**

**Underdoped cuprate**

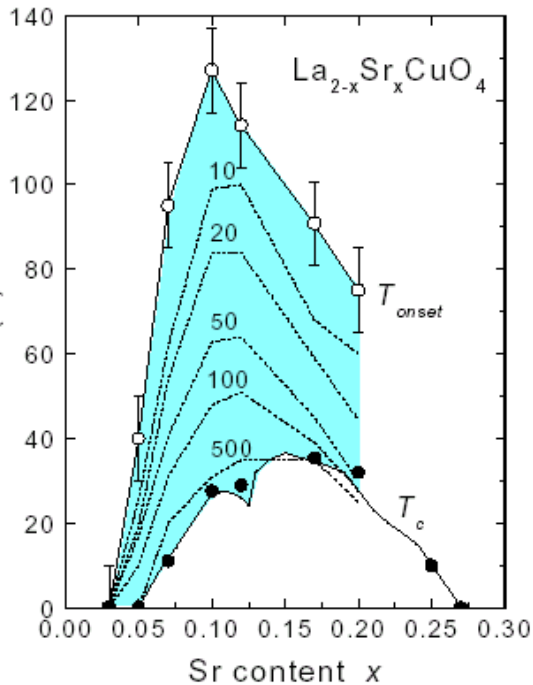
*C. Renner et al, PRL 1998*

# Superconducting fluctuations in the normal state of cuprates

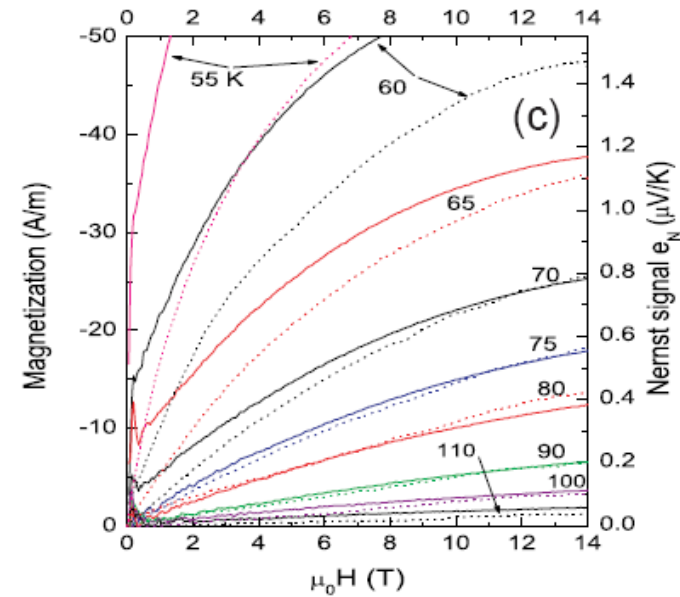
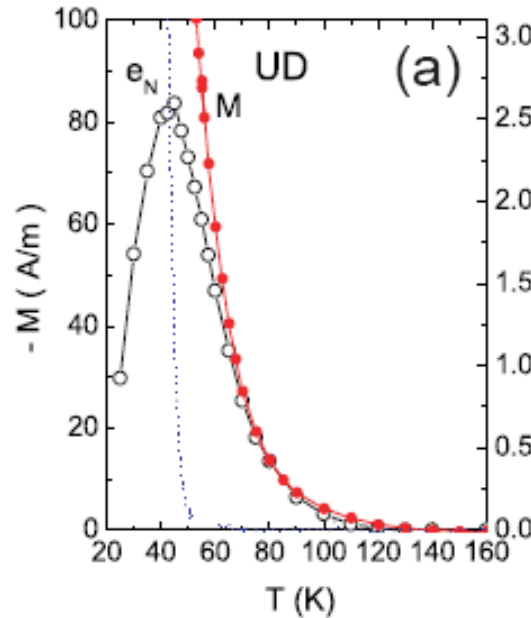
Bi 2212

Nernst effect

$\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$



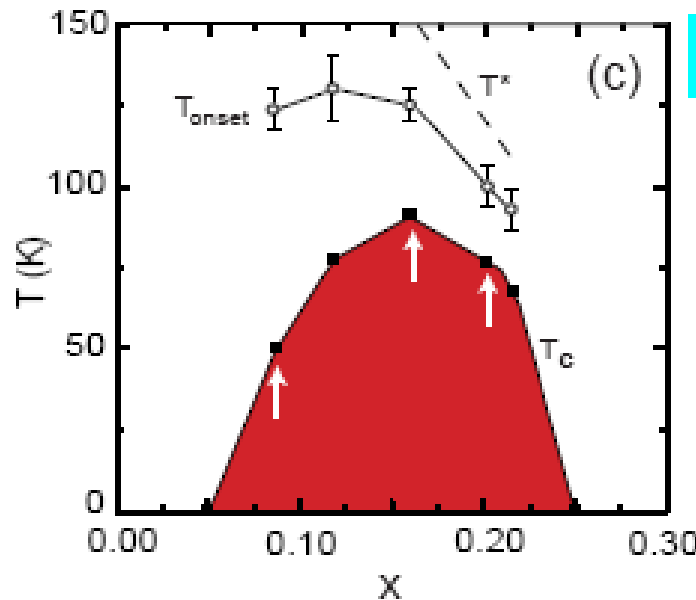
Wang et al, PRB 64 (2001)



High field diamagnetism

Wang et al, PRB 64 (2005)

Precursor pairing ?





# Inhomogeneities in BiSCCO viewed by STM

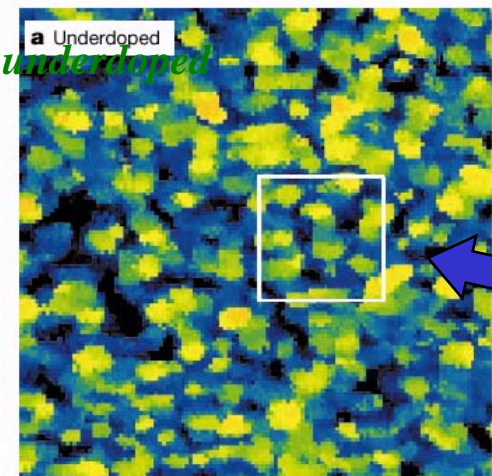
*Cren et al, PRL 84,147 (2000); Howald et al PRB 64 10054-1(2001)*

DOS depends on the STM tip location :

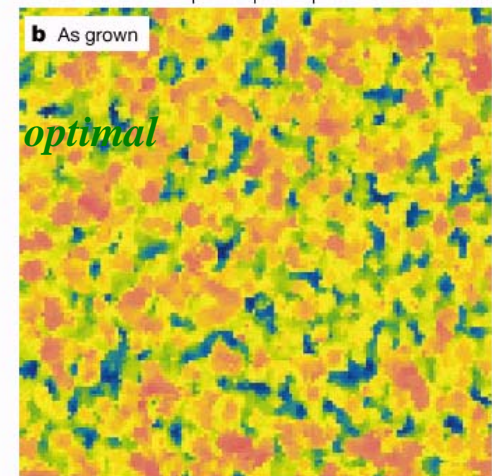
2D maps of the gap magnitude

*Pan et al, Nature 413, 282 (2001)*

Local distribution of hole doping

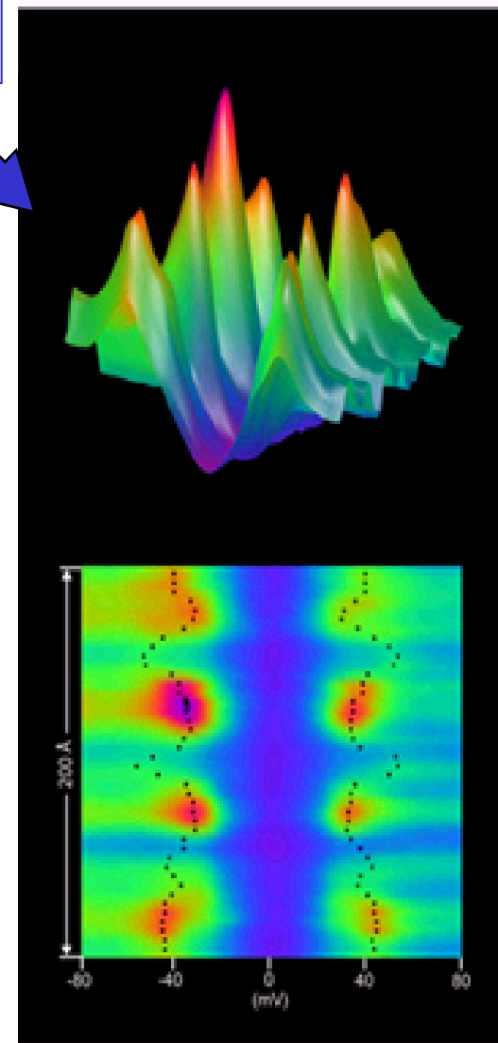
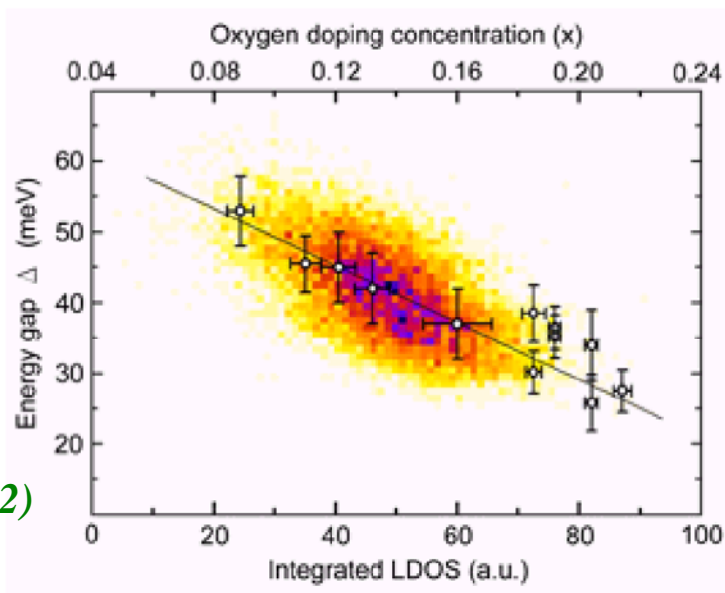


20 meV 64 meV



560 Å

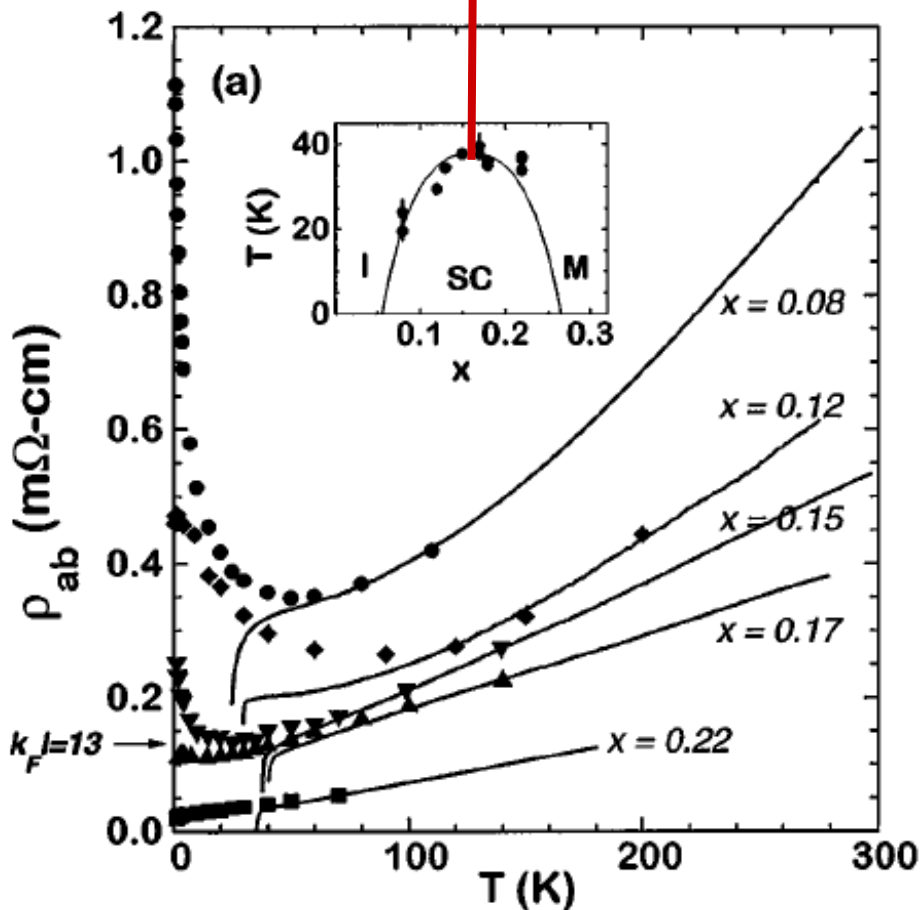
*Lang et al, Nature 412, 415 (2002)*





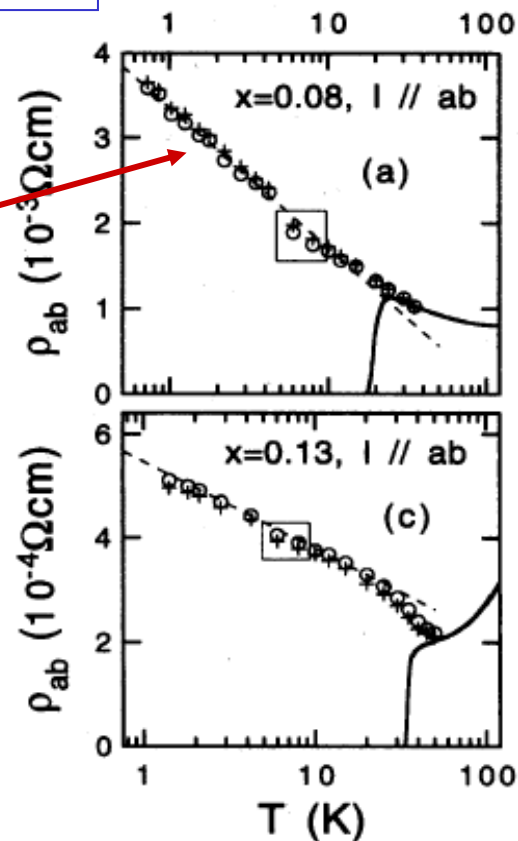
# Metal insulator transition

## High field measurements



*G.S. Boebinger, Y. Ando et al PRL 1996*

$\text{Log } T$

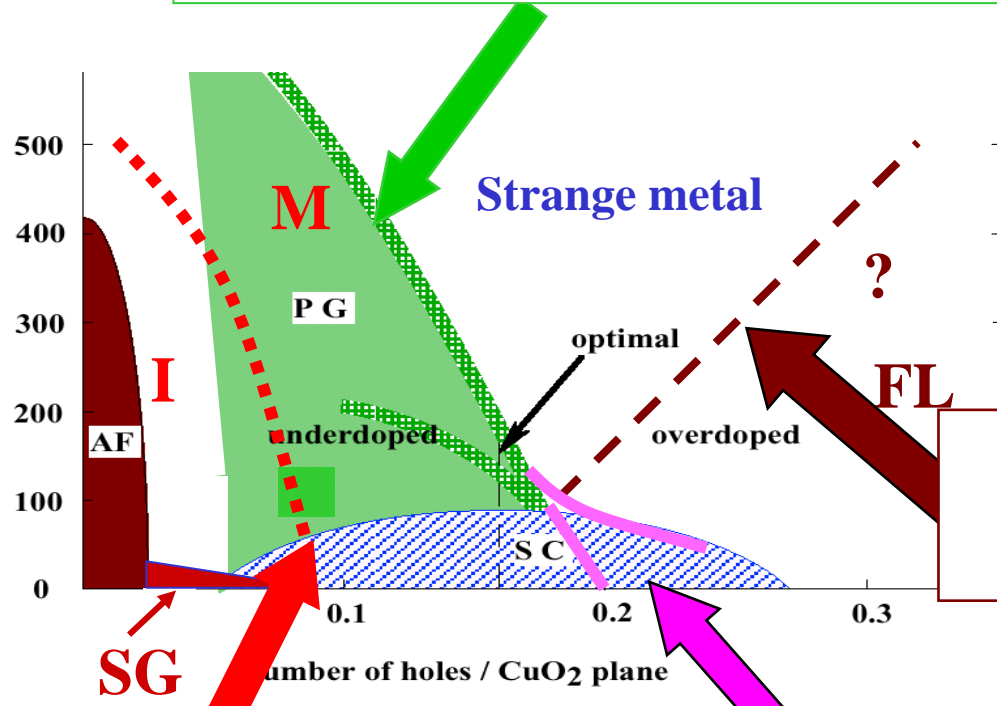


*Y. Ando, G.S. Boebinger et al PRL 1995*

Insulating behaviour  
at optimal doping

# Questions About the Phase Diagram

Pseudogap: Preformed pairs?  
Phase transition? Crossover? Order parameter

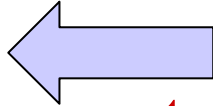


Transition to a Fermi liquid?

MIT and Disorder?

Pseudogap joins T<sub>c</sub> curve or QCP ??

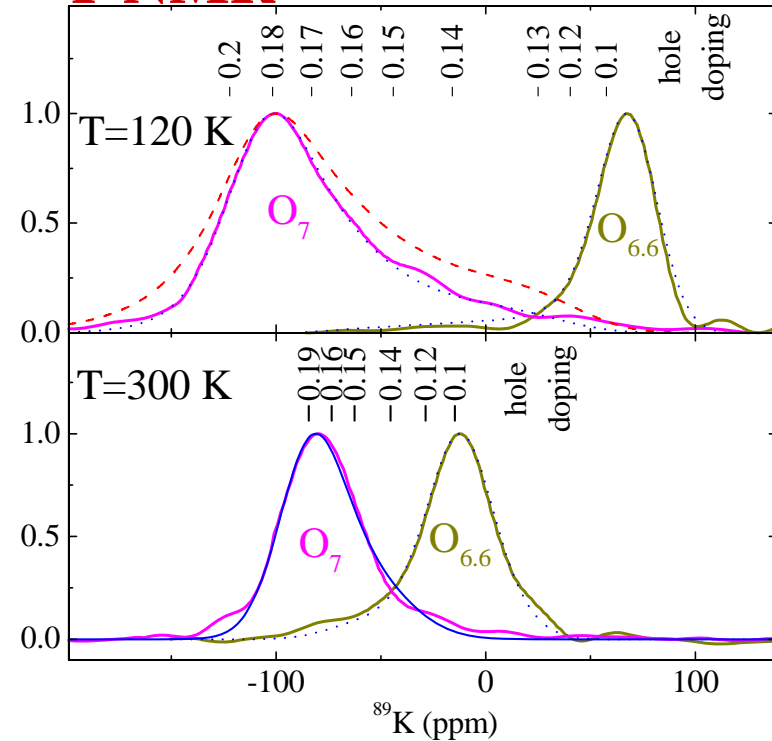
# NMR studies of cuprates : pseudogap, correlations, phase diagram: past and future?

- *Magnetic spin susceptibilities in NMR :*
  - Usual metals and superconductors
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  - NMR and high field transport measurements**
- *SC Fluctuations and pseudogap*
  - Some answers about the phase diagram

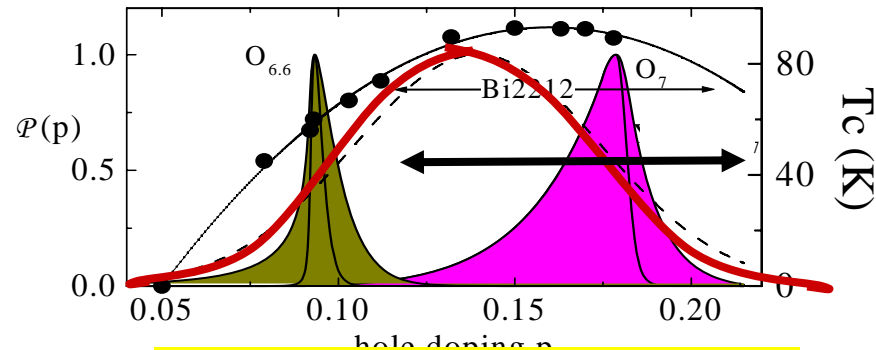
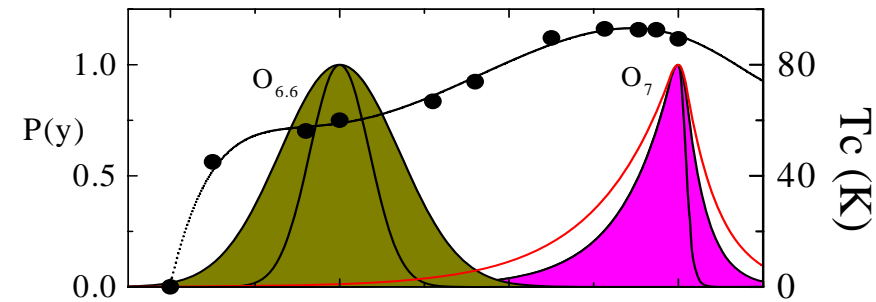
# NMR Spectra: histograms of the hole content

<sup>89</sup>Y NMR

*J. Bobroff, H.A.,... PRL 2002*



## Distribution of oxygen content



## Distribution of hole content

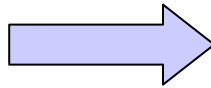
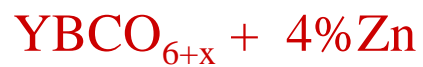
### Detailed analysis of the spectra versus $T$

The **maximum** distribution of hole content is

- much narrower than in Bi2212 (STM) or LSCO (RMN)
- seen **on large samples** (0.5g)
- likely of macroscopic origin

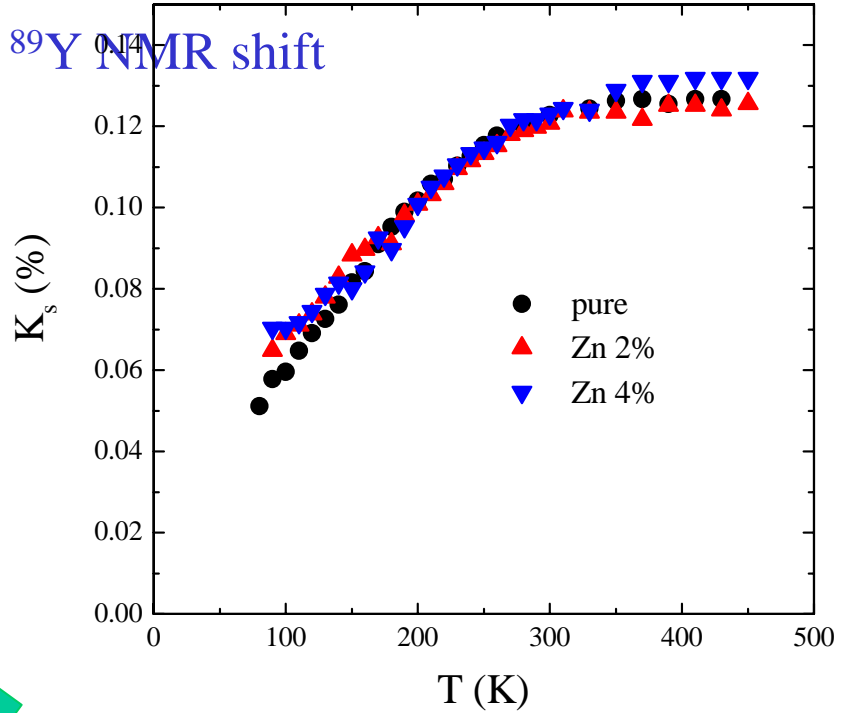
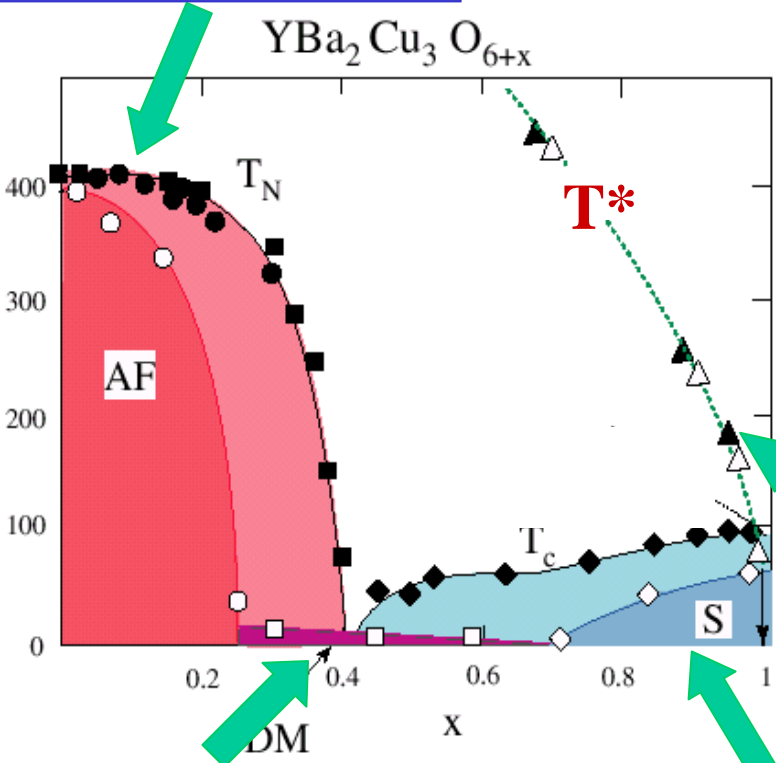
**YBCO is very homogeneous**  
**Only weak charge disorder**

# Influence of defects on $T_c$ and on the pseudogap



No change of hole doping

Dilution effect on  $T_N$



No change of  $T^*$  : the pseudogap is not sensitive to disorder

*H. Alloul, P. Mendels et al, PRL 67, 3140 (1991)*

Increase of the disordered magnetism range

Large depression of  $T_c$



## Correlations between Magnetic and Superconducting Properties of Zn-Substituted $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$

H. Alloul,<sup>(1)</sup> P. Mendels,<sup>(1)</sup> H. Casalta,<sup>(1)</sup> J. F. Marucco,<sup>(2)</sup> and J. Arabski<sup>(1)</sup>

<sup>(1)</sup>*Laboratoire de Physique des Solides, Université Paris-Sud, 91405 Orsay, France*

<sup>(2)</sup>*Laboratoire des Composés Non Stoechiométriques, Université Paris-Sud, 91405, Orsay, France*

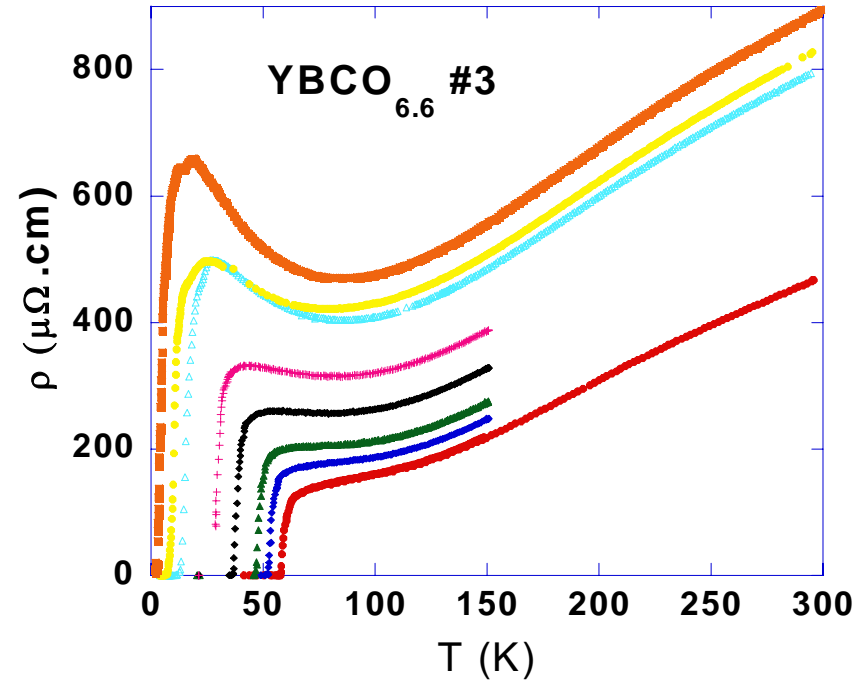
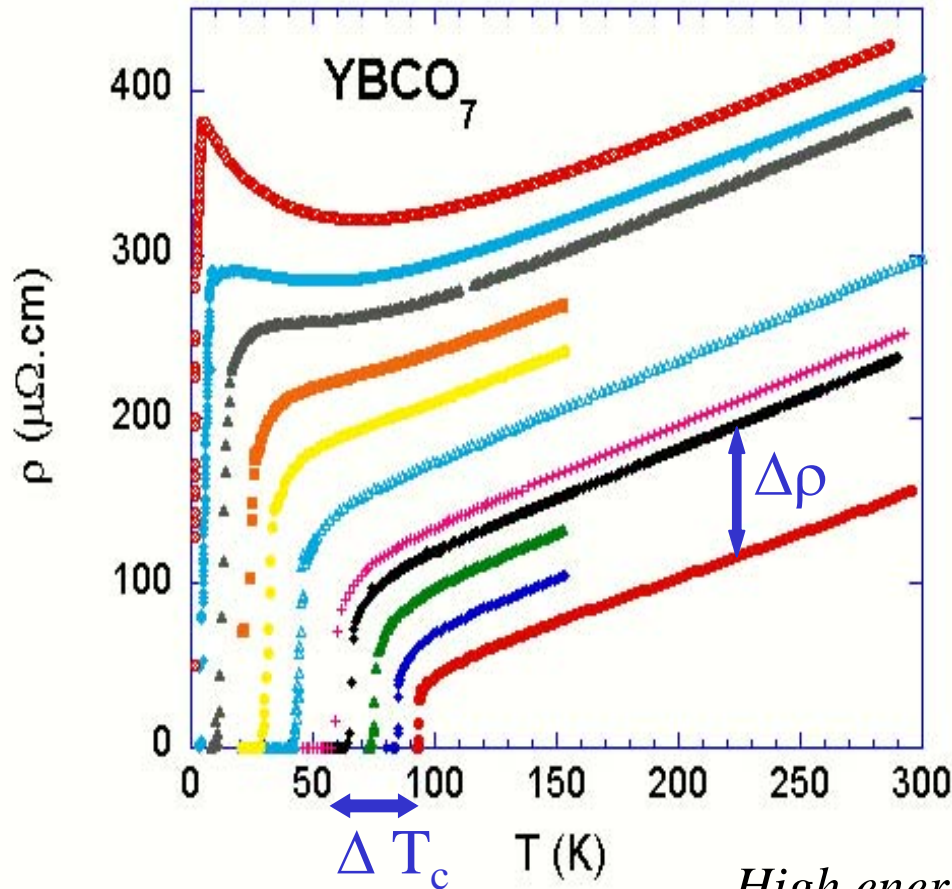
(Received 8 August 1991)

$T_c$  and  $T_N$  (Néel) have been measured for a series of  $\text{YBa}_2(\text{Cu}_{0.96}\text{Zn}_{0.04})_3\text{O}_{6+x}$  samples. The  $T$  variations of the homogeneous susceptibility  $\chi_s$  of the  $\text{CuO}_2$  planes, given by the shift of the  $^{89}\text{Y}$  NMR line, are found to be nearly unchanged with respect to pure samples for  $x > 0.5$ , which implies that the charge transfer is negligibly modified by Zn, and that **the magnetic pseudogap is not associated with superconducting pairing**. Detection of an unusual Curie contribution to the  $^{89}\text{Y}$  NMR width for  $x \geq 0.5$  provides evidence that Zn induces magnetic moments in the  $\text{CuO}_2$  planes, which play a role in the depression of  $T_c$ .

PACS numbers: 74.70.Hk, 75.20.Hr, 75.30.Kz, 76.60.Cq



# Influence of irradiation defects on transport properties



Same single crystal

High energy (MeV) electron irradiation at low T  
Cu and O vacancies in the CuO<sub>2</sub> Planes

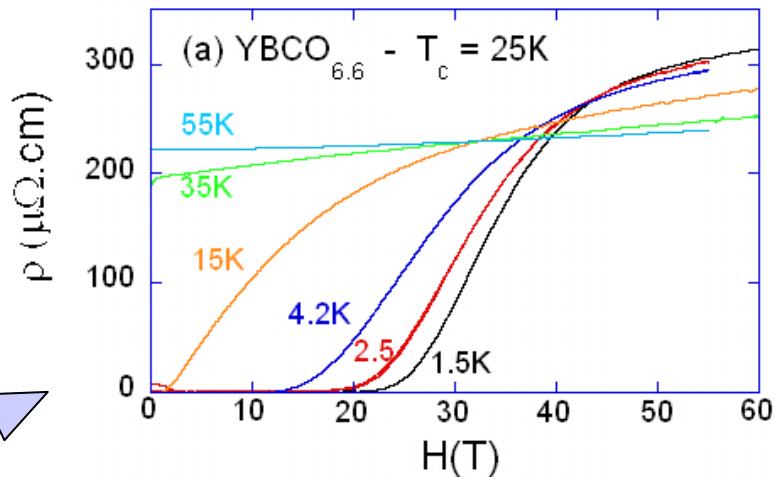
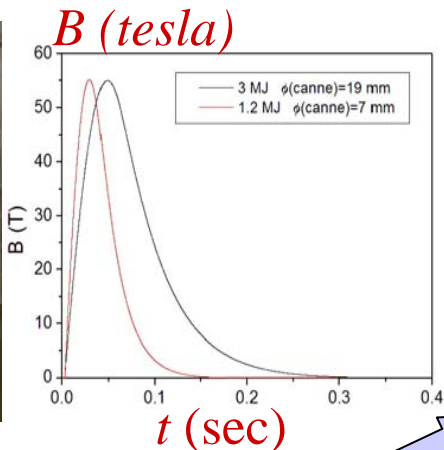
The transition curves remain very sharp



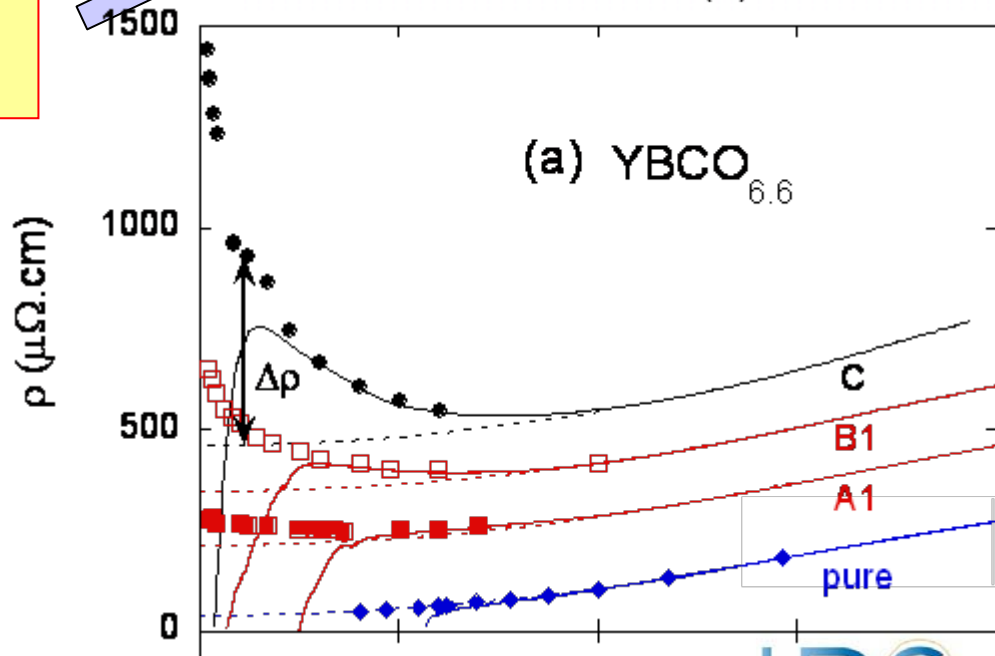
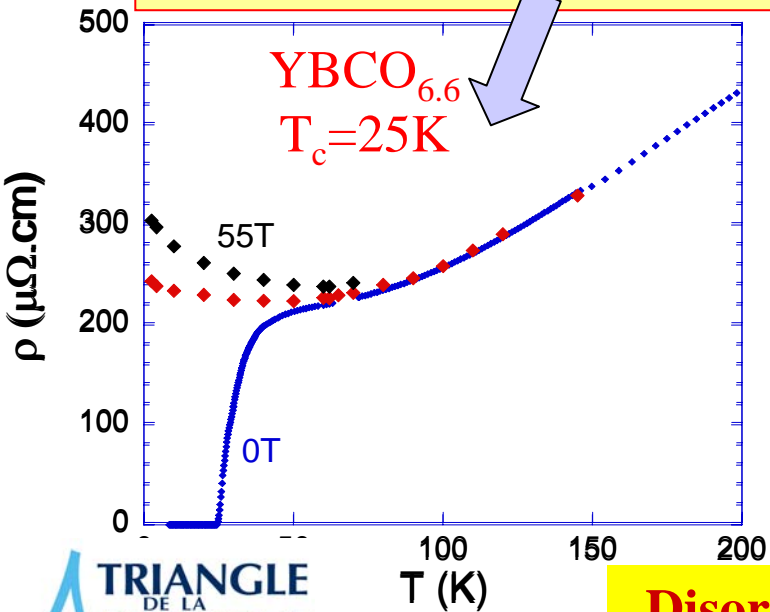
Homogeneous damage

Excellent control of defect content

# Pulsed magnetic field facility in Toulouse

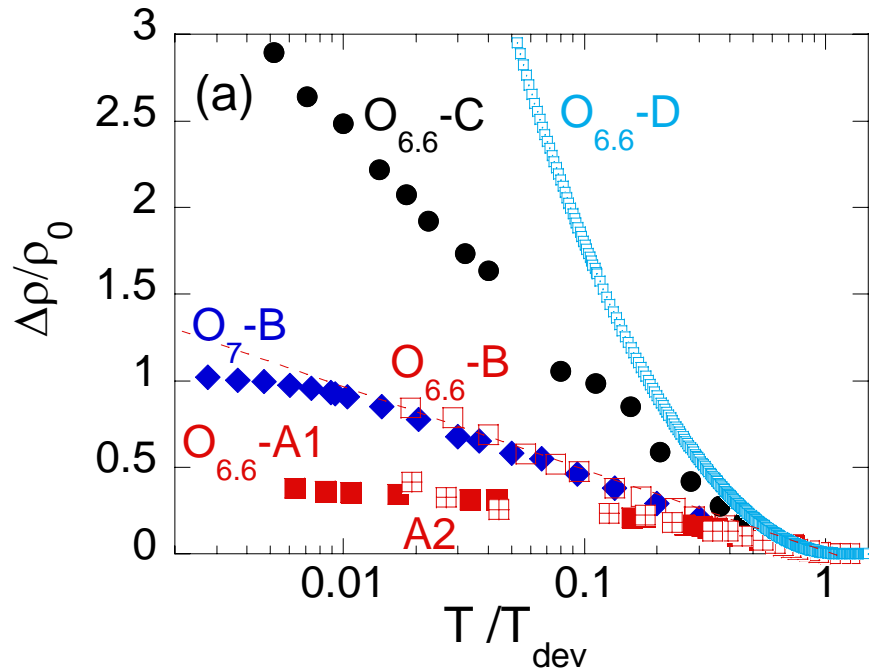


High field suppresses SC reveals resistivity upturns



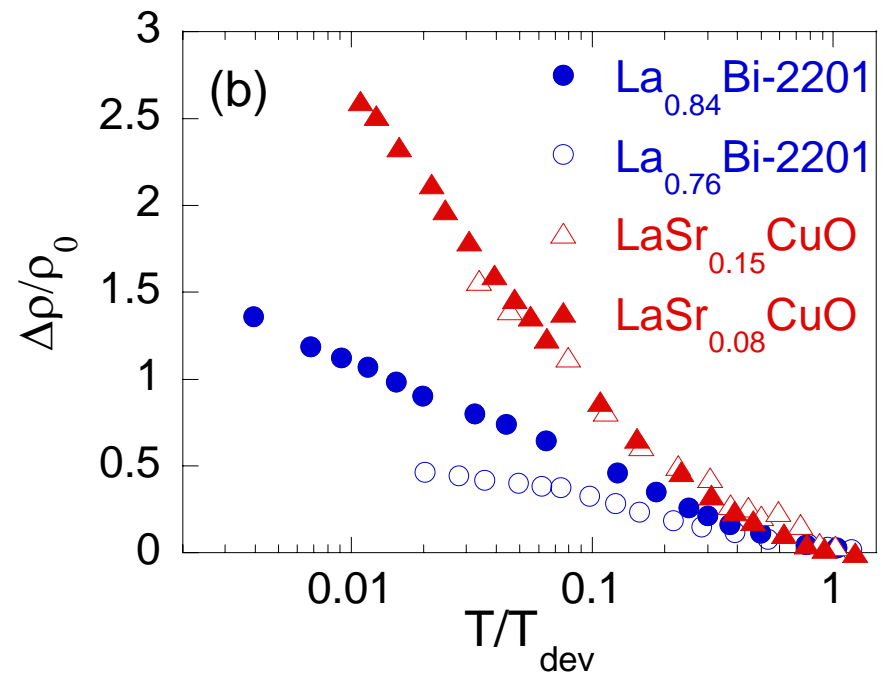
Disorder Induces MIT ?

## Irradiated YBCO



Controlled disorder

## « Pure » low $T_c$ Cuprates



System Specific disorder reduces  $T_c$

The upturns are quantitatively similar

The disorder is not generic  
MIT is driven by disorder

*F. Rullier-Albenque, H. A. et al, EPL 2008.*

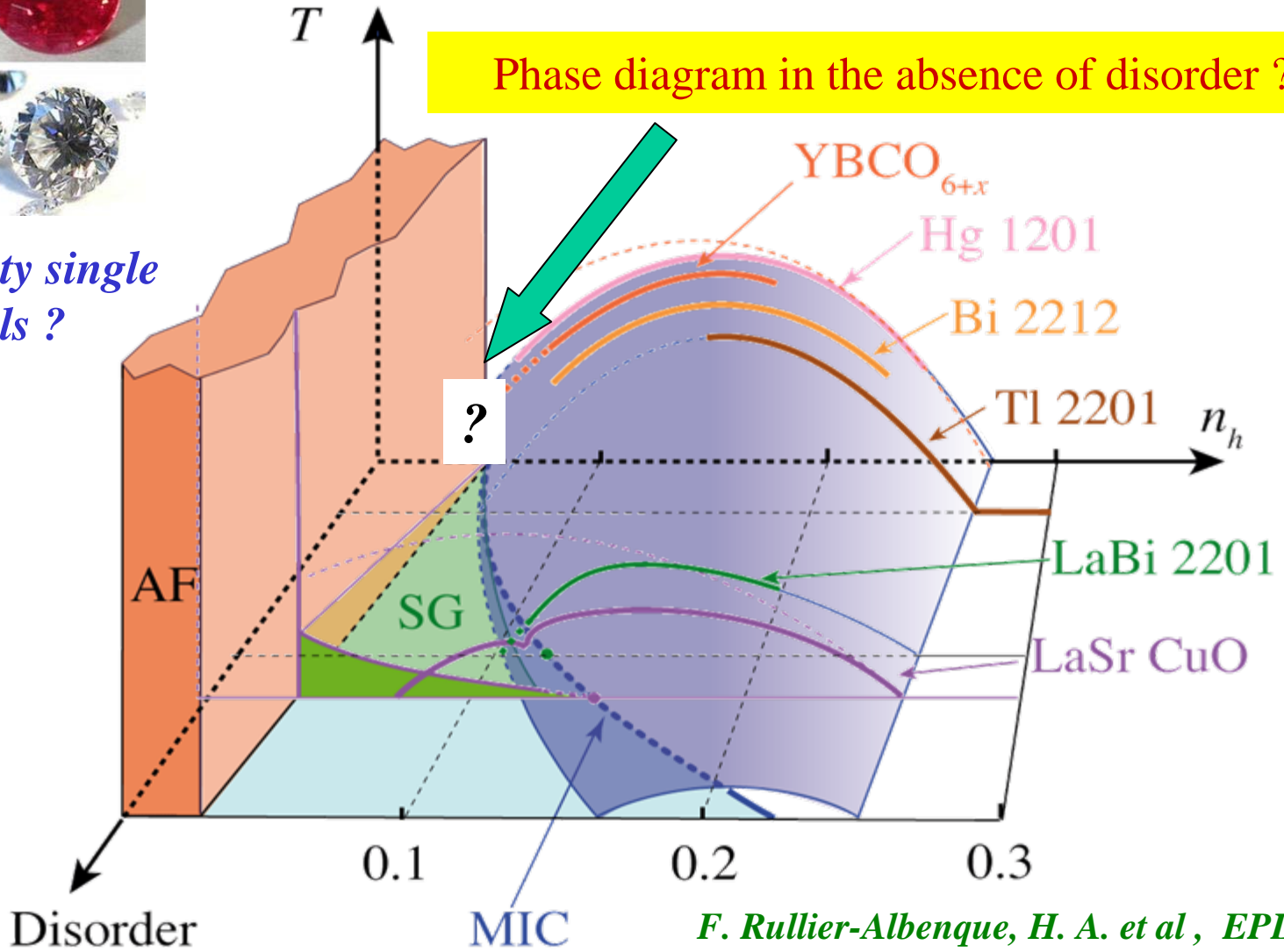
*H. Alloul, Cours A. Georges CDF, 9/11/2010*

# The various cuprate families



High quality single crystals ?

Phase diagram in the absence of disorder ??

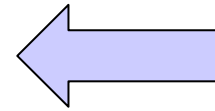


*F. Rullier-Albenque, H. A. et al, EPL 2008.*

**SG and MIT are determined by disorder**

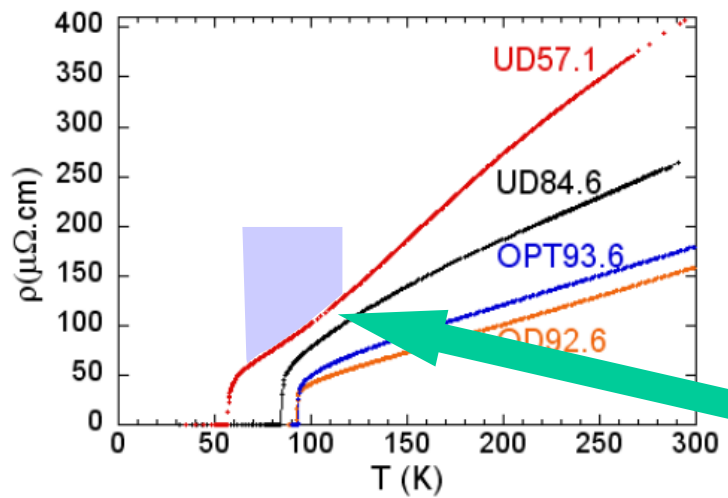
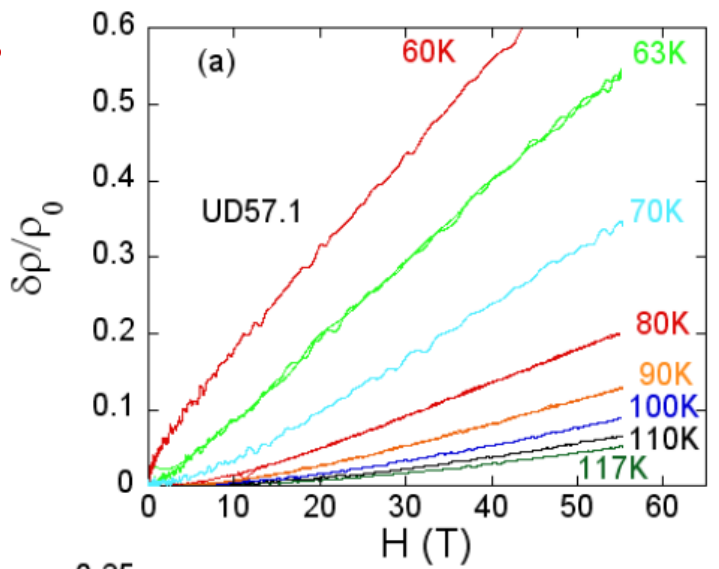
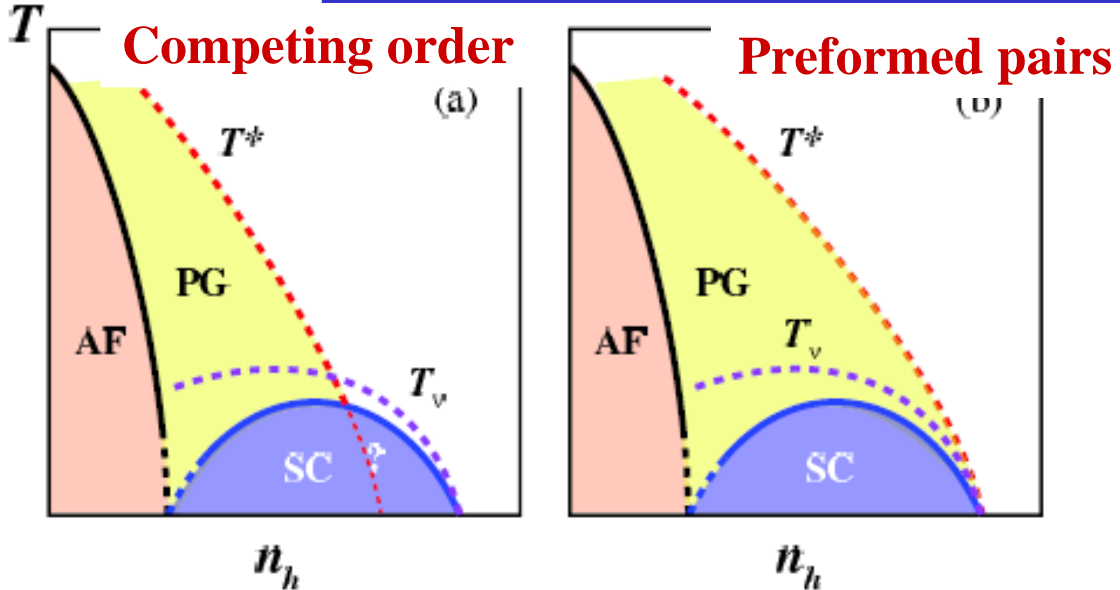
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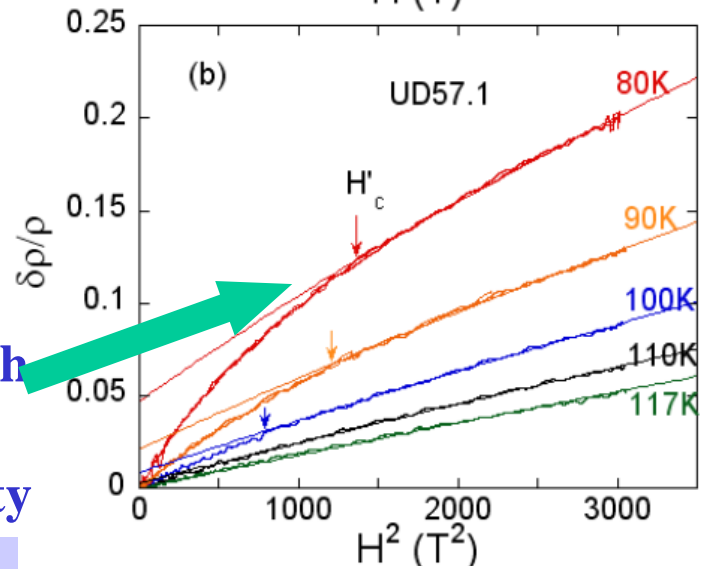




# Superconducting fluctuations and pseudogap



A new approach  
to determine  
SCF conductivity



Total suppression of SC  
fluctuations with large fields

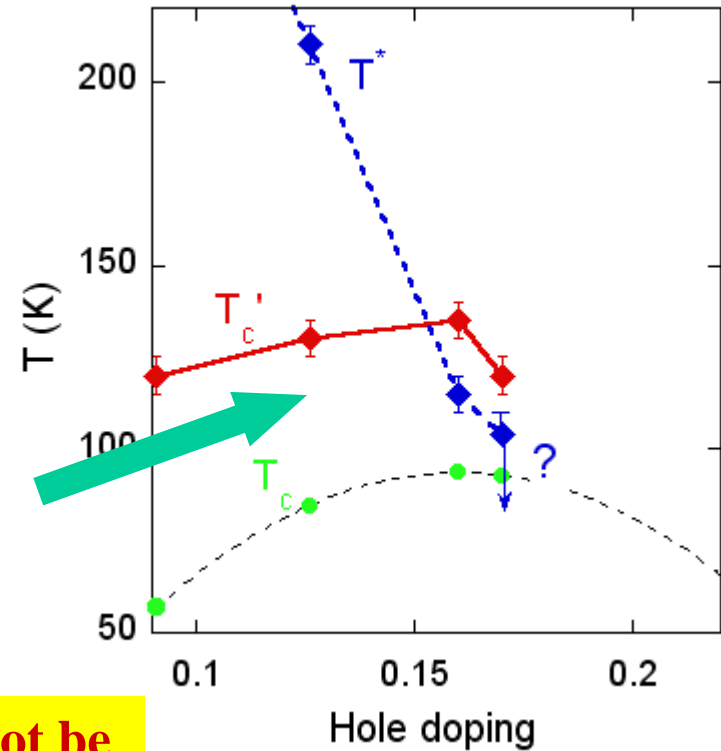
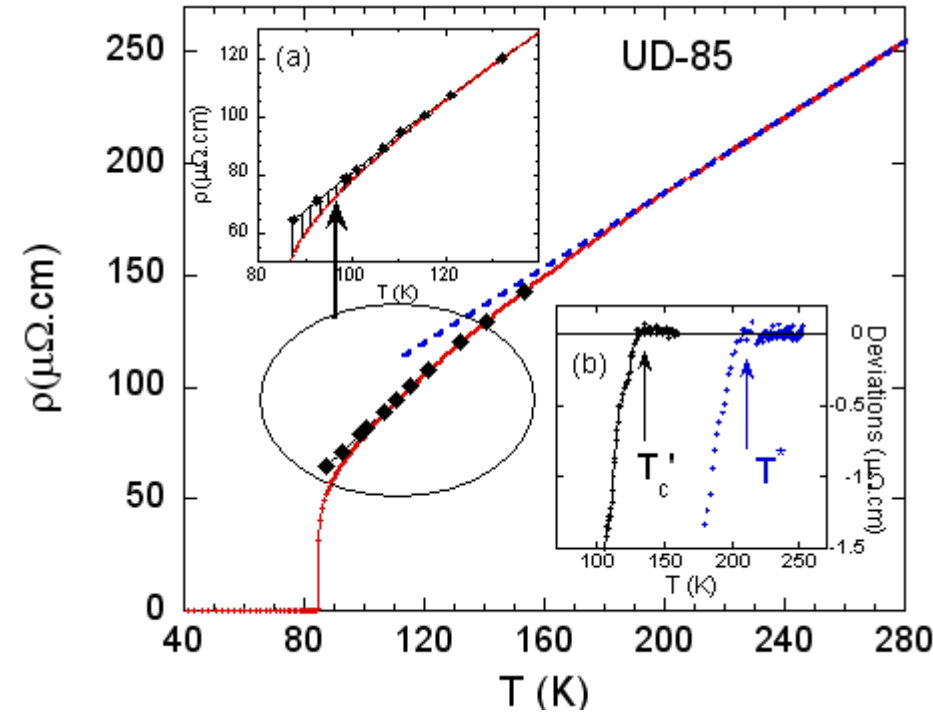
*F. Rullier-Albenque,  
H. A. et al, PRL 2007*



# Determination of $T^*$ and $T'_c$ onset of SC Fluctuations

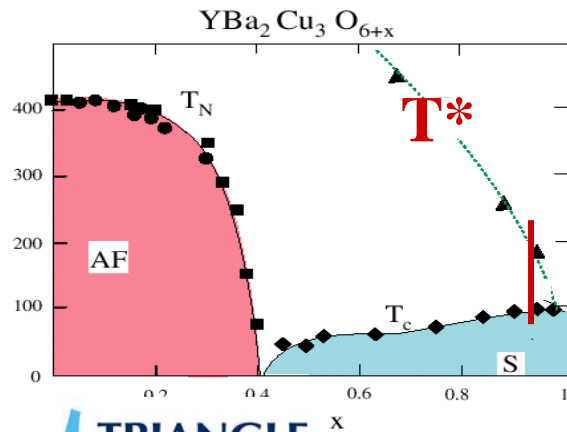
$T^*$  and  $T'_c$  and  $\sigma_{SCF}(T,H)$   
can be measured in a  
single experiment

*H.A, F. Rullier-Albenque,  
EPL 2010*



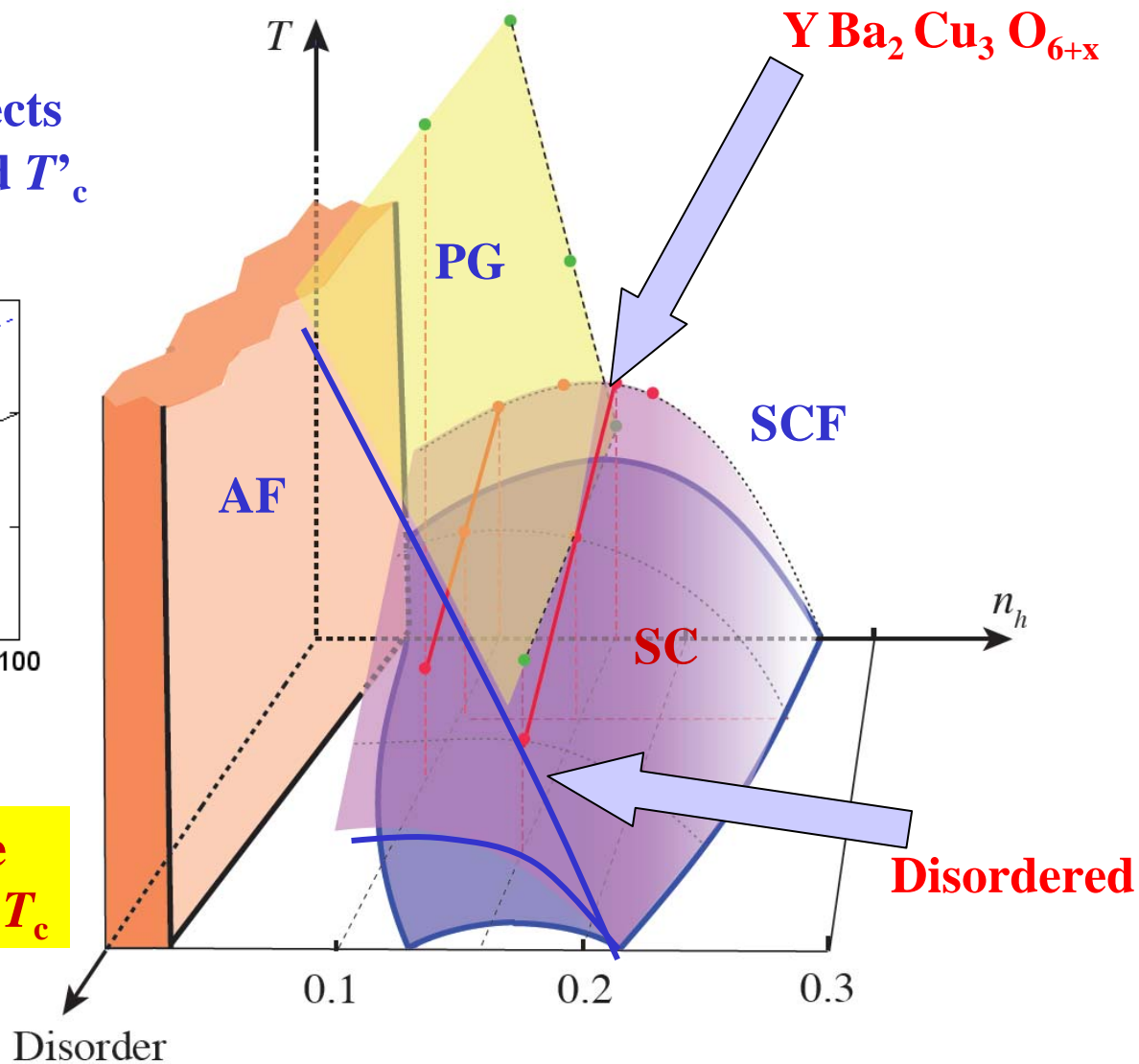
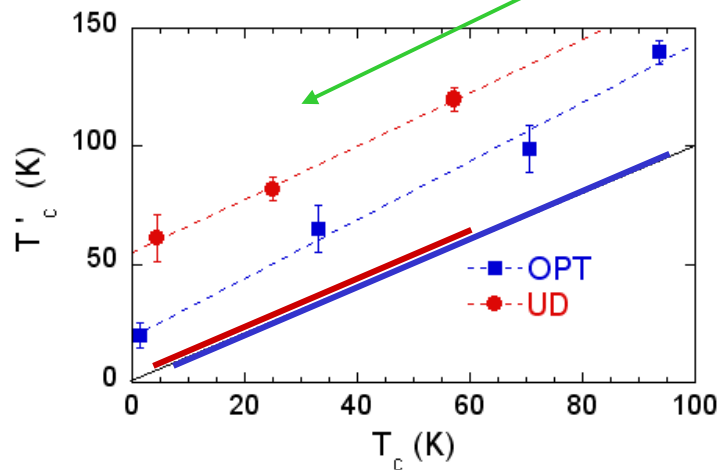
$T^*$  crosses  $T'_c$   
at optimal doping

The pseudogap cannot be  
the onset of pairing



# The real phase diagram of cuprates

Irradiation defects  
decrease of  $T_c$  and  $T'_c$   
with disorder



SCF range does not change  
but increases with respect to  $T_c$

H.A, F. Rullier-Albenque... EPL 2010

F. Rullier-Albenque, H.A et al to be published...

H. Alloul, Cours A. Georges CDF, 9/11/2010

## Some conclusions

- *Magnetic spin susceptibilities in NMR :*
  - Singlet spin pairing
  - Single spin fluid in the normal state
  - The pseudogap is generic and robust to disorder
- *Dynamic susceptibilities and spin lattice relaxation :*
  - Magnetic correlations up to the Optimal doping
  - Metallic like at  $q=0$ , AF correlations for  $q=(\pi/a, \pi/a)$
  - d- wave SC
- *The pseudogap and questions on the phase diagram*
  - Importance of disorder in the phase diagram
  - MIT and SG phases governed by disorder
- *SC Fluctuations and pseudogap*
  - SC Fluctuations follow  $T_c$  versus hole doping , remain with disorder
  - A preformed pair scenario does not apply
  - Pseudogap is intimately linked with magnetism (competing order?)
  - NMR will be helpful to check possible models