

Transition metal oxides under strong electric fields, from resistive switching to artificial synapses and neurons

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What is Resistive Switching (in TMOs) ?

It is the sudden change in *resistance* due to a strong electric stress (V or I)

1) The change may be permanent, ie ***non-volatile***, and ***reversible***

(Obvious) Application as electronic memory device: **RRAM** (aka: ReRAM, OxRAM, memristors)

2) The change may be non-permanent ie ***volatile***

Less obvious applications are practical realizations of:

artificial synapses (1) and ***artificial neurons*** (2)

New functionalities of TMO materials

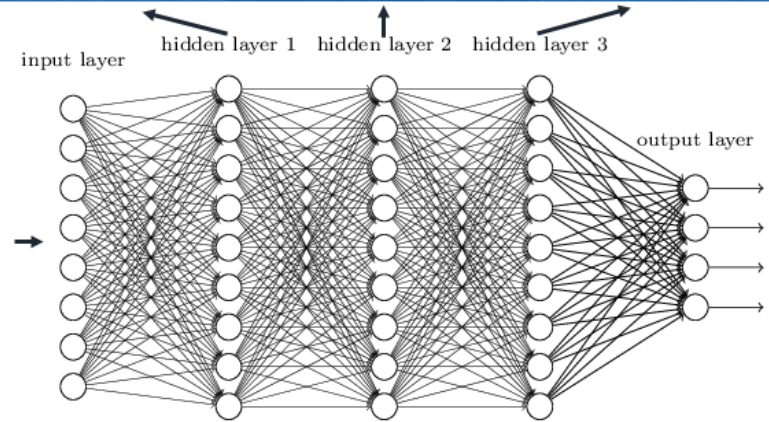
Neuromorphic circuits and computation is a very hot topic

Bio-chips (CMOS hardware)



Deep Neural Networks (software)

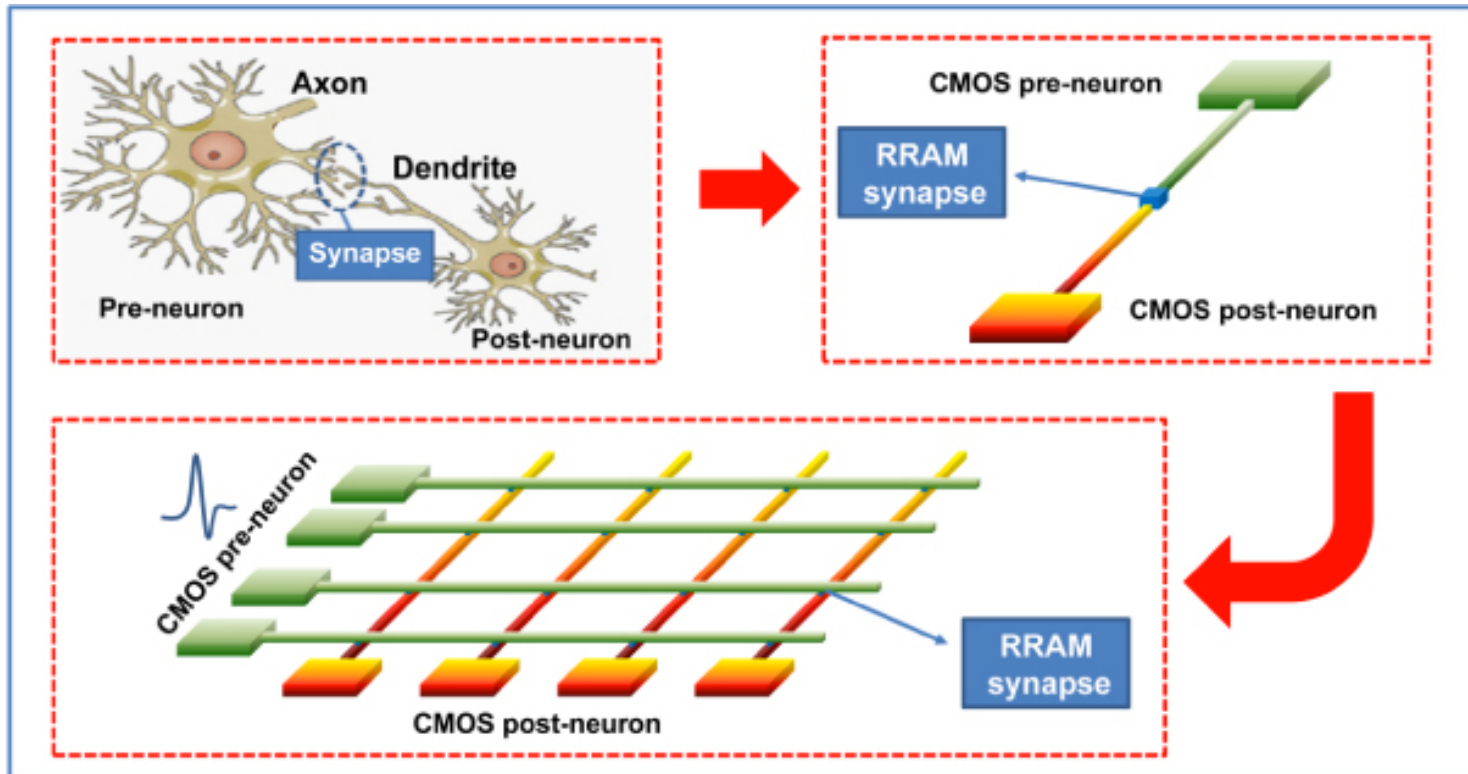
Deep neural networks learn hierarchical feature representations



- DARPA's Synapse Program
- EU Human Brain Project
- Facebook
- Google (DeepMind, AlphaGo)

human brain:
 10^{11} neurons
 10^{15} synapses

Novel electronic devices for neuromorphic systems



Park et al Nanotechnology '13

Neurons and Synapses:

Great opportunity for **oxyde electronics** !

1 - Non-volatile Resistive Switching

Basic concepts

Physical mechanism

Simple model

RS research is not new

it begun more than 50 years ago...

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VOLUME 33, NUMBER 9

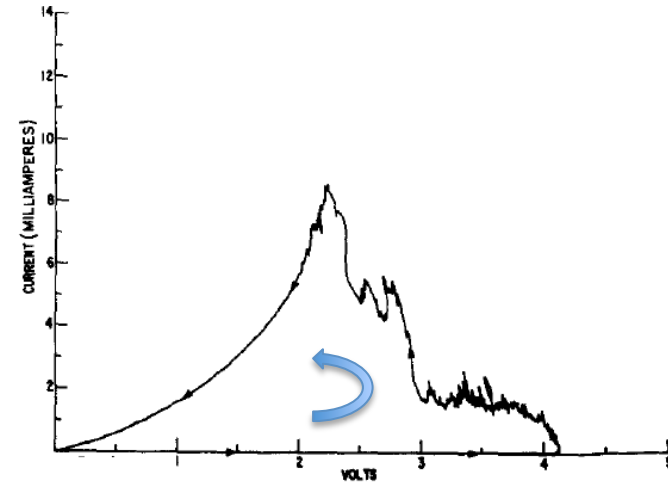
SEPTEMBER 1962

Low-Frequency Negative Resistance in Thin Anodic Oxide Films

T. W. HICKMOTT

General Electric Research Laboratory, Schenectady, New York

(Received February 5, 1962)



New Conduction and Reversible Memory Phenomena in Thin Insulating Films

J. G. Simmons; R. R. Verderber

Proceedings of the Royal Society of London. Series A, Mathematical and Physical Sciences, Vol. 301, No. 1464 (Oct. 3, 1967), 77-102.

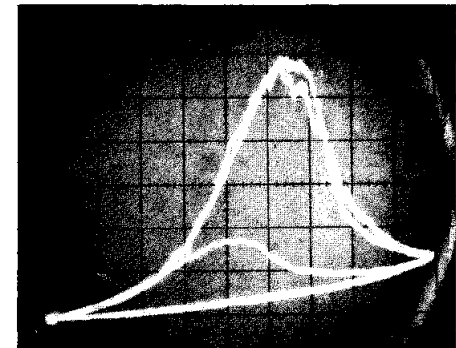
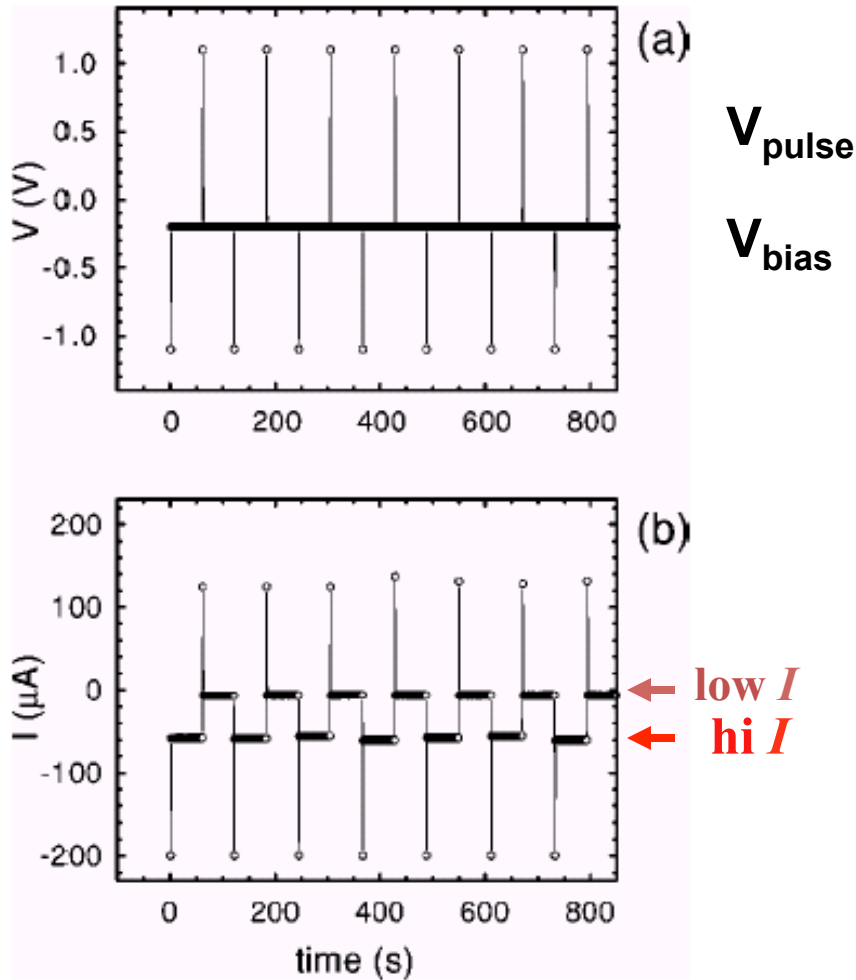
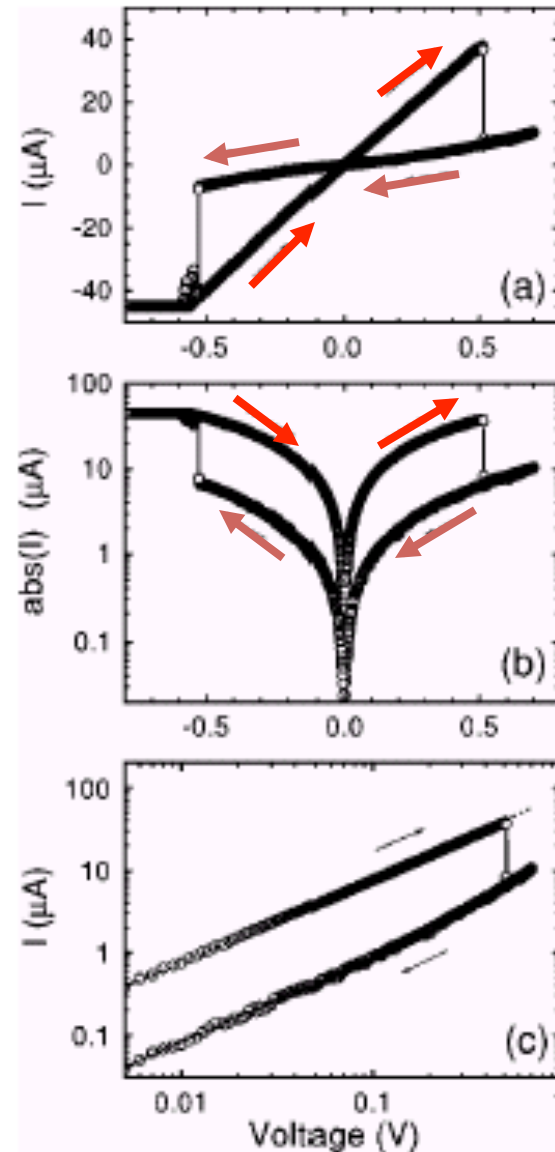


FIGURE 10. Photograph of X-Y oscilloscope $V-I$ trace for a complete voltage cycle between 0 and 9 V at (a) 300 °K and (b) 77 °K. Scales are $x = 1$ V/div, $y = 10$ mA/div.

switching



hysteresis (I - V)



Cr-doped SrZrO
IBM group APL'00

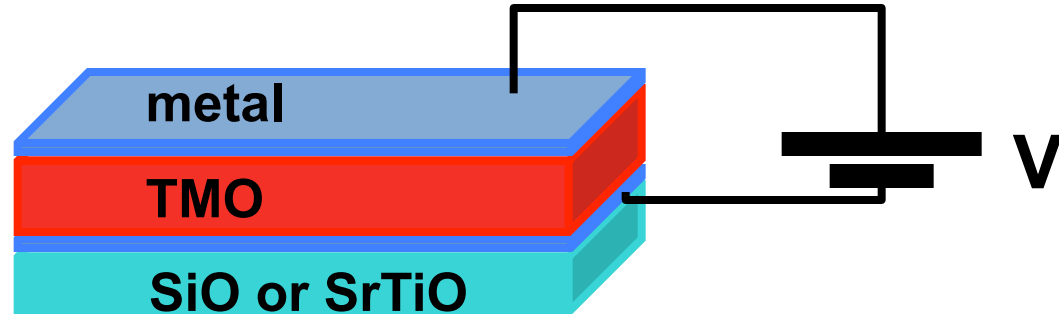
Typical RRAM systems (aka ReRAM, OxRAM, memristor)

PLD made films

~100nm

Au, Pt, Ag, SrRuO₃, etc

PrLaCaMnO, YBaCuO, LaCuO, SrTiO₃, SrZrO, TiO, CuO, NiO, etc...



IBM-Zurich patent

PCT WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

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(71) Applicant (for all designated States except US): INTERNATIONAL BUSINESS MACHINES CORPORATION [US]; New Orchard Road, Armonk, NY 10504 (US).

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(74) Agent: HEUSCH, Christian; International Business Machines Corporation, Stamenstrasse 4, CH-8803 Rueschlikon (CH).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LI, MC, NL, PT, SE), OAPI patent (BR, BI, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published With international search report.

(54) Title: MICROELECTRONIC DEVICE FOR STORING INFORMATION AND METHOD THEREOF

Novell Colossal Magnetoresistive Thin Film Nonvolatile Resistance Random Access Memory (RRAM)

W. W. Zhuang¹, W. Pan¹, B. D. Ulrich¹, J. J. Lee¹, L. Stecker¹, A. Burmaster¹, D. R. Evans¹, S. T. Hsu¹, M. Tajiri², A. Shimaoka², K. Inoue², T. Naka², N. Awaya², K. Sakiyama², Y. Wang¹, S. Q. Liu¹, N. J. Wu¹, and A. Ignatiev¹

¹ Sharp Laboratories of America, 5700 NW Pacific Rim Blvd, Canas, WA 98607, USA
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³ Texas Center for Superconductivity and Advanced Material, University of Houston, Houston, Texas 77204-5002 USA

UT Houston & Sharp group

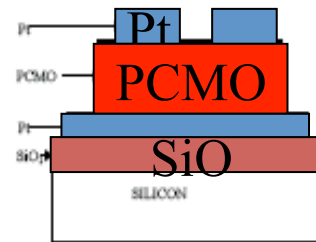


Fig.2 Spin-coating deposited (MOD) memory resistor structure. Both top and bottom electrode is Pt. The thickness of PCMO is 100nm to 200nm

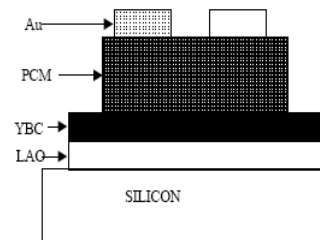


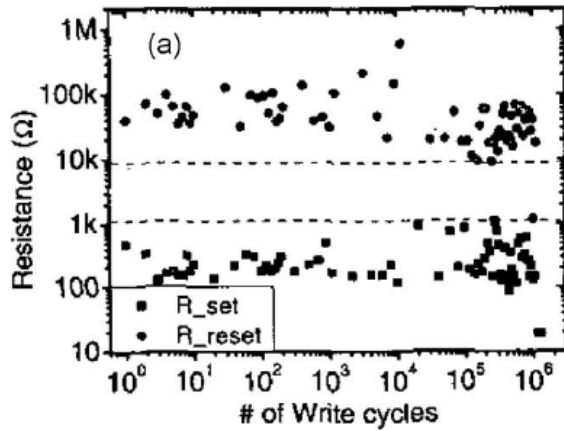
Fig.1 Pulsed Laser Deposited (PLD) test memory resistor structure. The memory material is PCMO (Pr_{0.7}Ca_{0.3}MnO₃). The double bottom electrode is formed with YBCO (YBa₂Cu₃O_x) on LAO (LaAlO₃)

Key issues

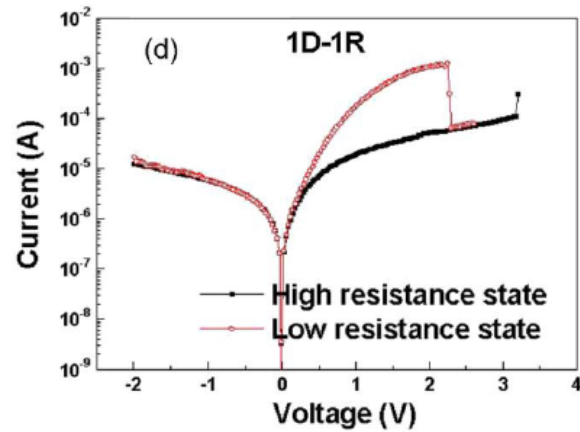
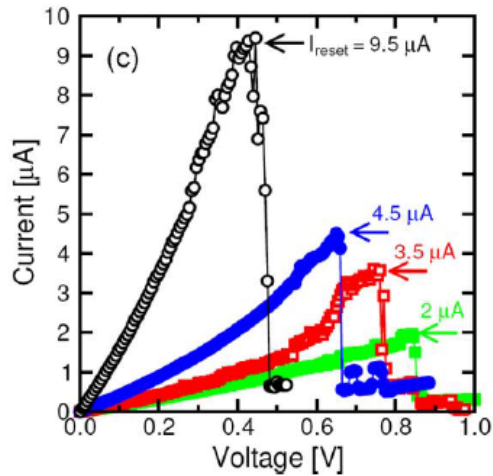
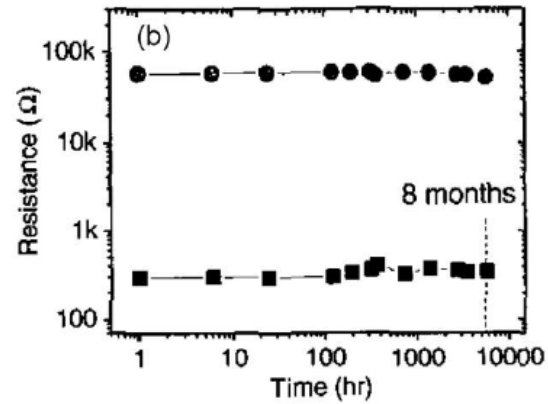
- Endurance
- Retentivity
- Resistance on-off ratio
- Power dissipation
- Commutation speed

NiO
Baek et al 2004

High Endurance



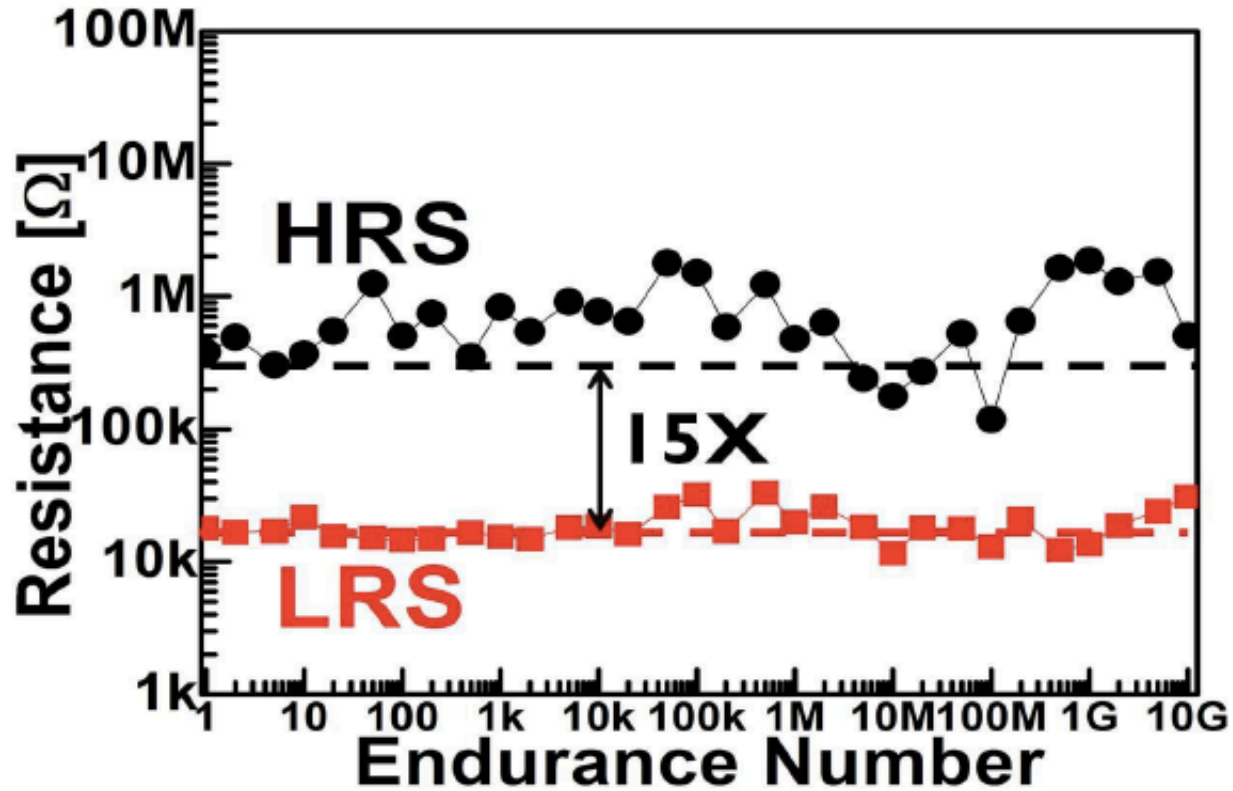
High Retentivity



High Resistance on-off ratio

Low Power dissipation

Fast commutation speed nsec





By balancing the SET pulse $WL=1V, BL=1.8V, 5ns$ and RESET pulse $WL=3V, SL=1.8V, 10ns$, 10^{10} pulse endurance could be achieved on 40nm Hf/HfO₂ ITIR devices.

Key issues

- Endurance
- Retentivity
- Resistance on-off ratio
- Power dissipation
- Commutation speed
- **Physical mechanism ?!?**
Voltage – time dilemma

The Periodic Table of the Elements

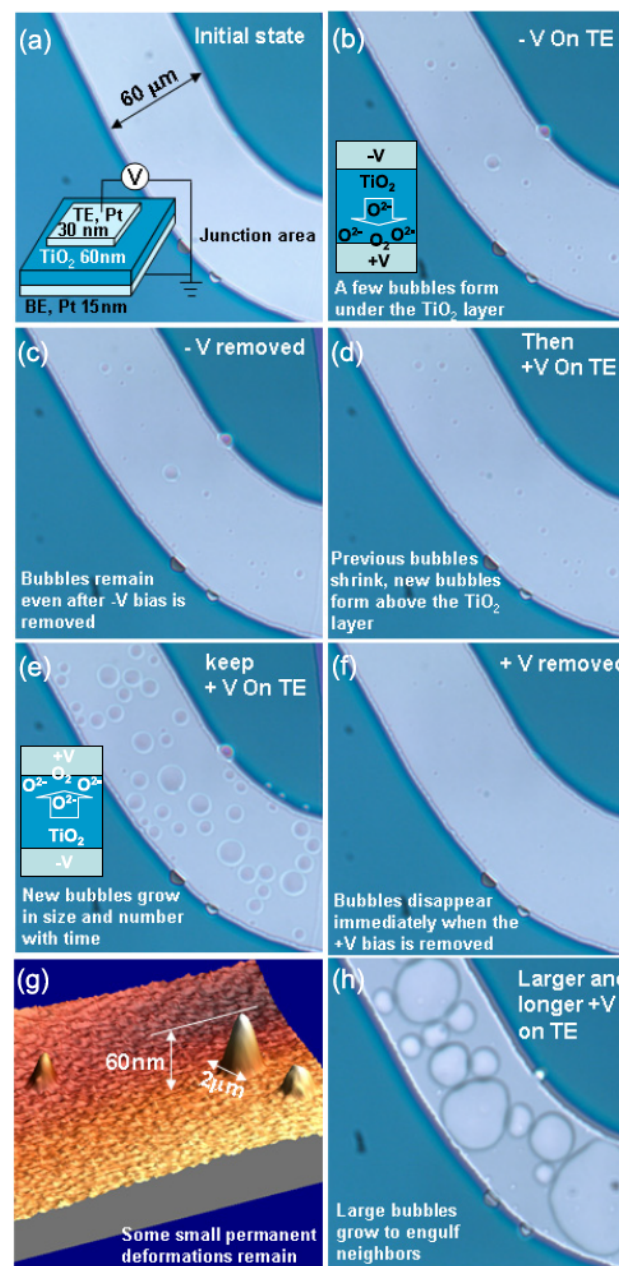
 corresponding binary oxide that exhibits bistable resistance switching
 metal that is used for electrode

1 H																	1 H	2 He
3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne	
11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar	
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr	
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe	
55 Cs	56 Ba	57 La	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn	
87 Fr	88 Ra	89 Ac	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110	111	112		114		116		118	
		58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu			
		90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr			

Astonishingly universal!

Oxygen vacancies are involved in RS!

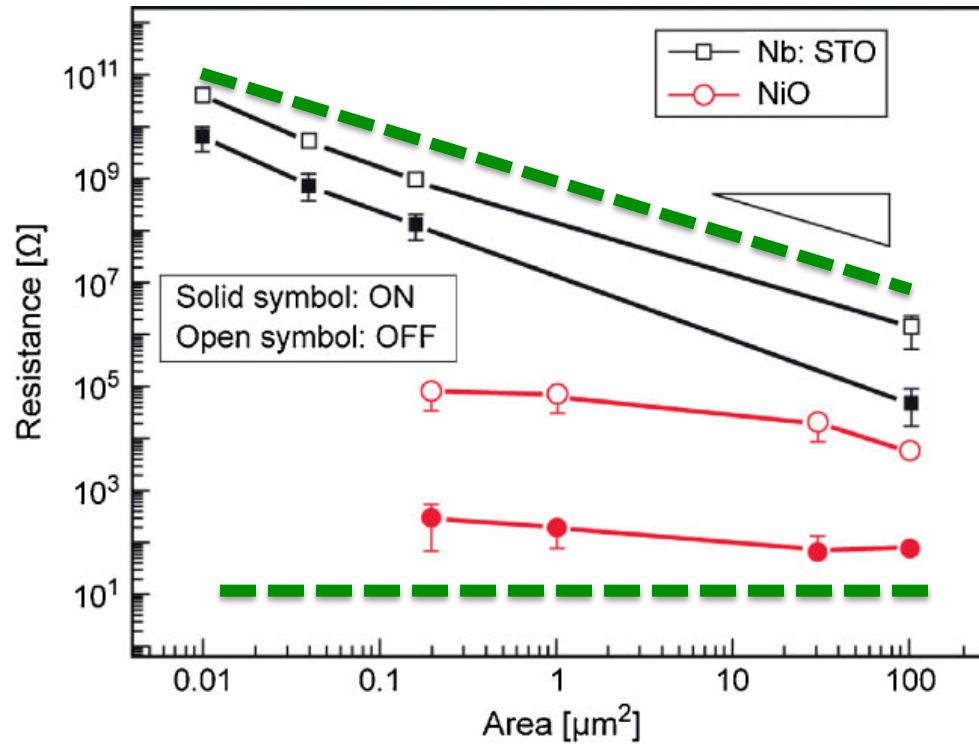
Not surprising...
 Universal functionality of TMOs
 and
 Universal presence of O in TMOs



← Oxygen bubbles!

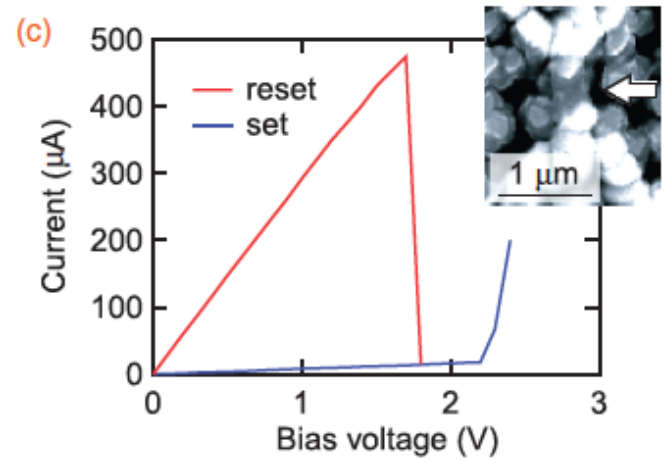
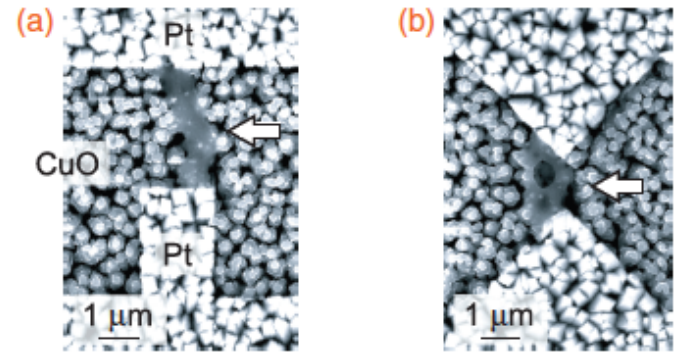
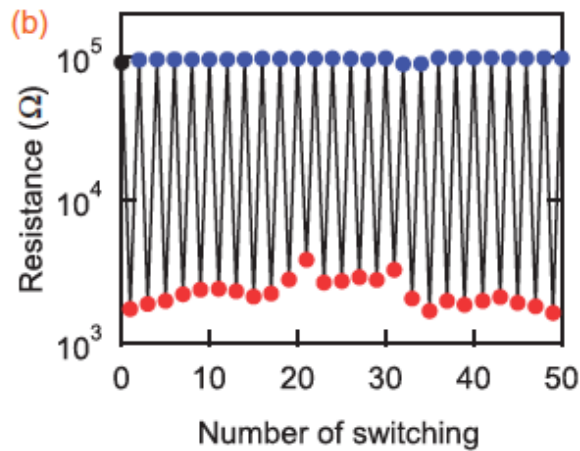
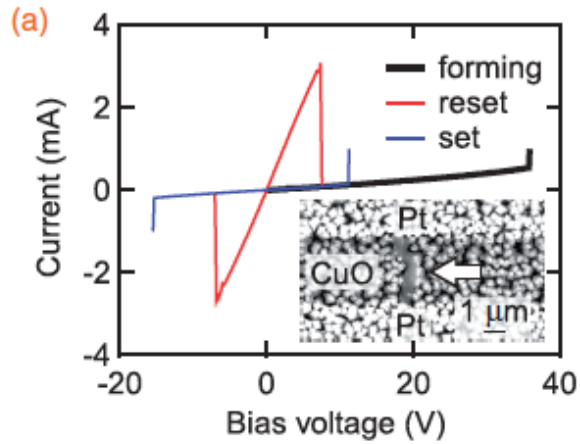
Figure 2. Gas bubble behavior under (b), (c) negative bias, then under (d)–(h) positive bias. (g) Atomic force micrograph of eruption features remaining after the bias voltage was removed. Videos of bubble evolution are available in the supplemental information (available at stacks.iop.org/Nano/20/215201).

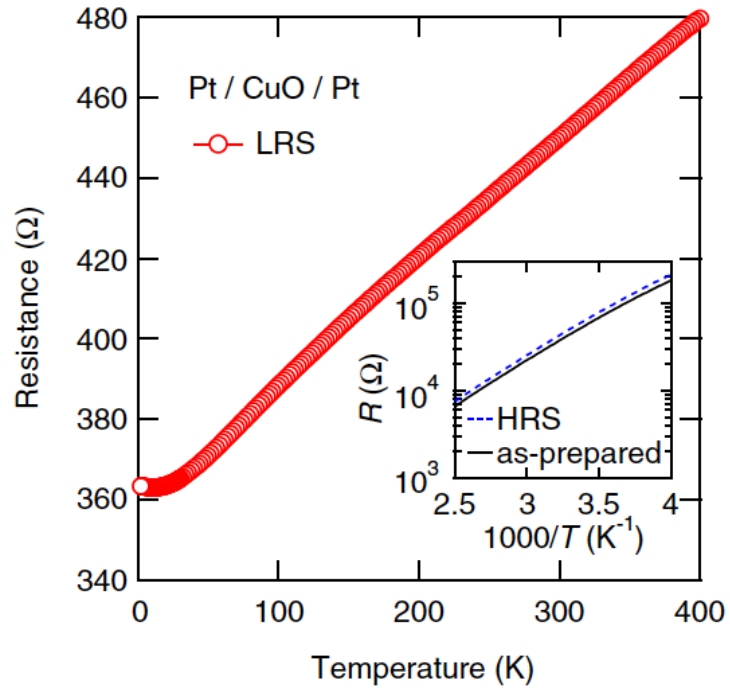
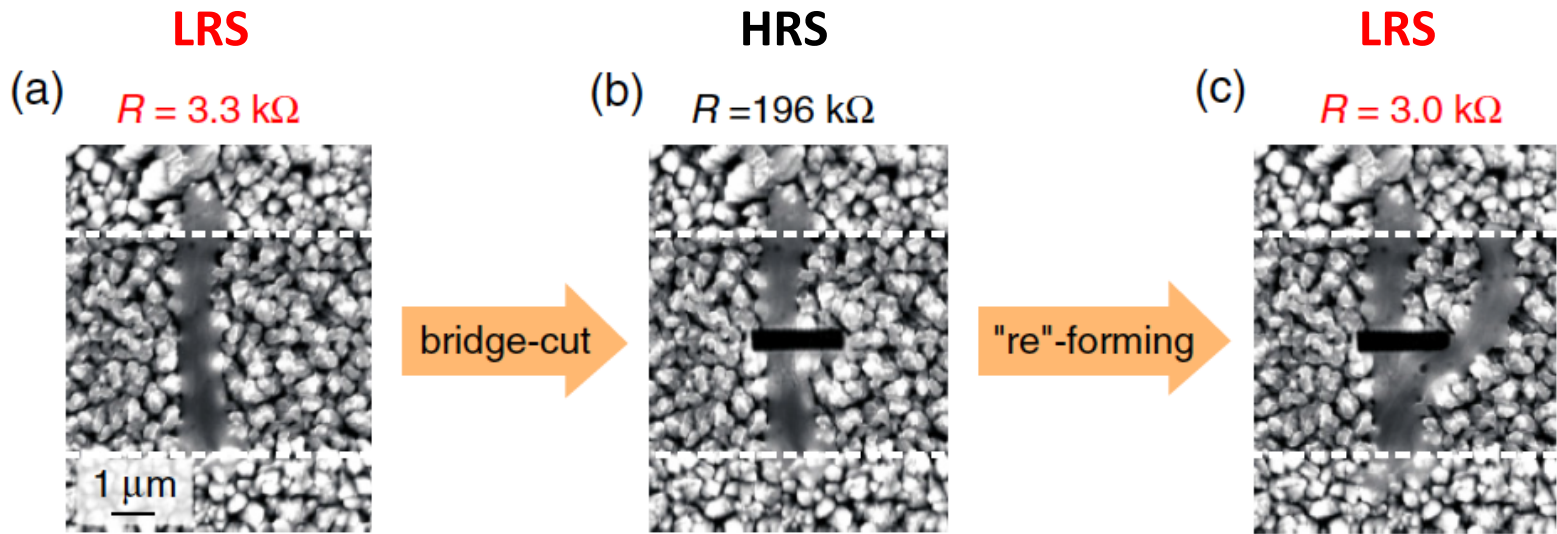
Evidence of different type of switching Filamentary and non filamentary conductive structures



Sim et al 2005

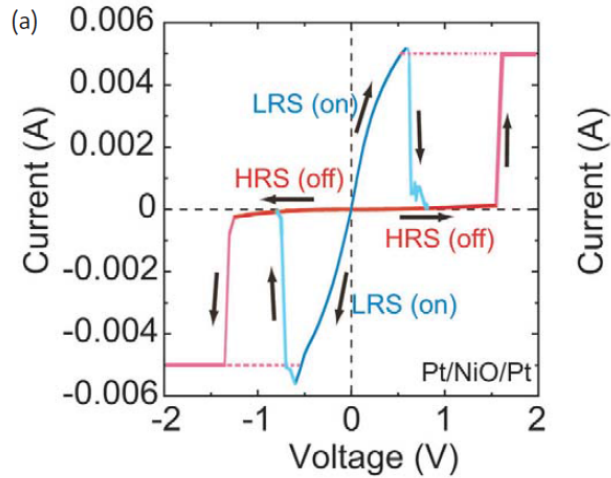
Observing the filaments (CuO)



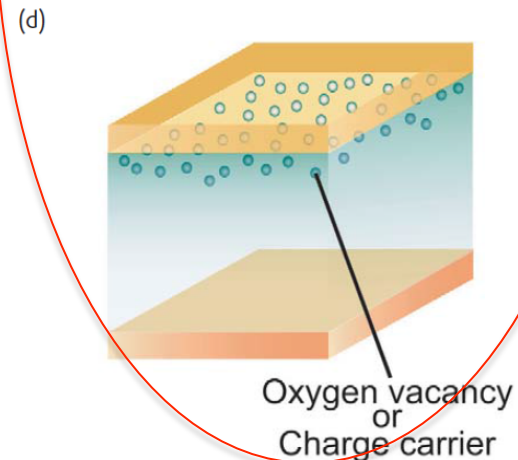
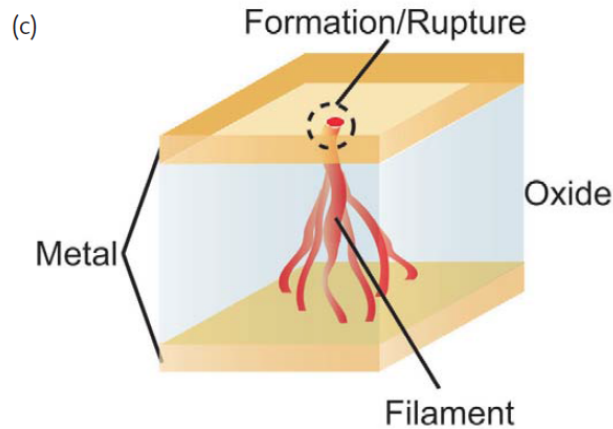
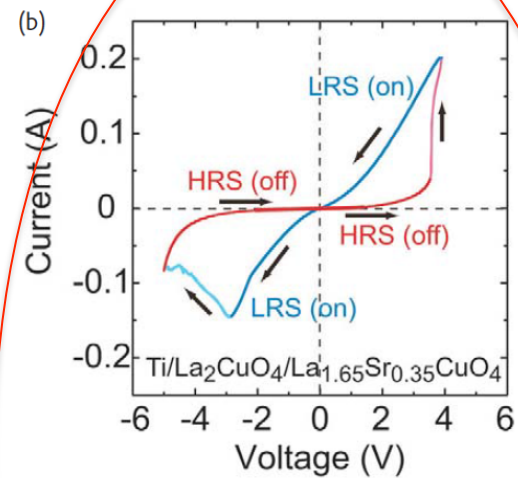


There are two main types of Non-volatile Resistive Switching:

Non-Polar



Bi-Polar

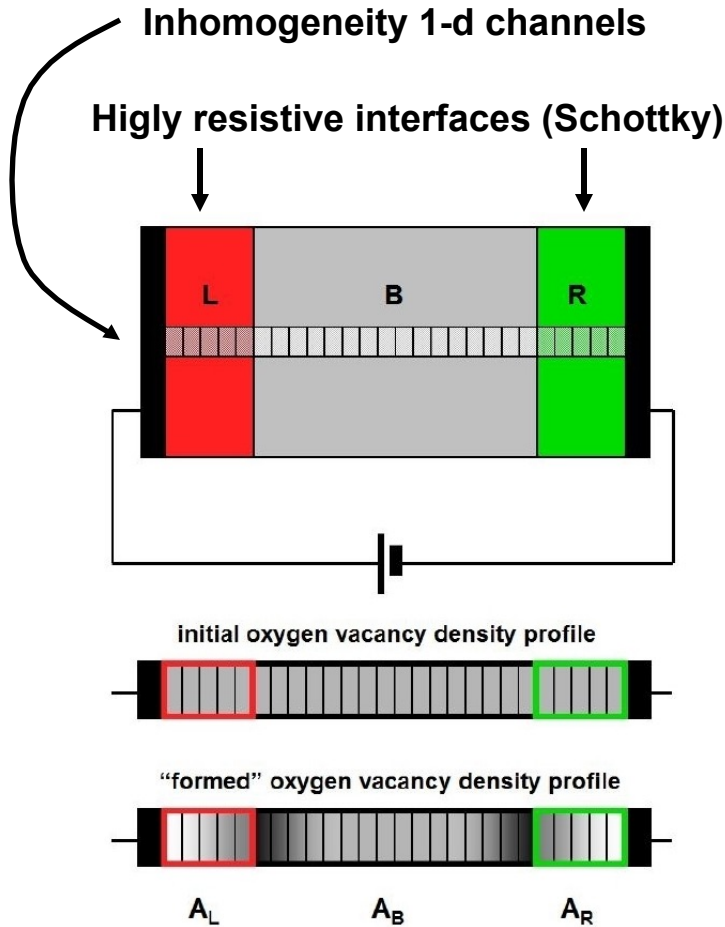


A simple model for bi-polar RS

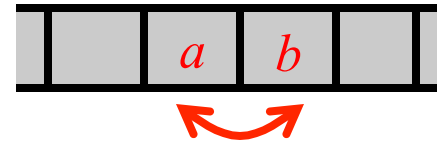
Voltage-enhanced Oxygen drift (VEOD) model

MR, Sanchez, Weht, Levy, Acha PRB '10

(see also Jeong, Schroeder and Waser et al PRB'09 and R. Meyer et al NVMTS2008)

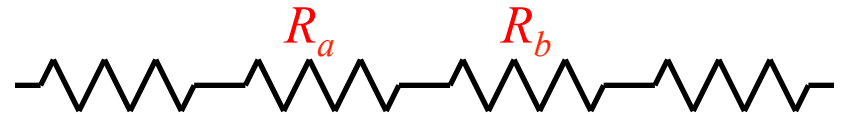


Oxygen drift (enhanced by V)

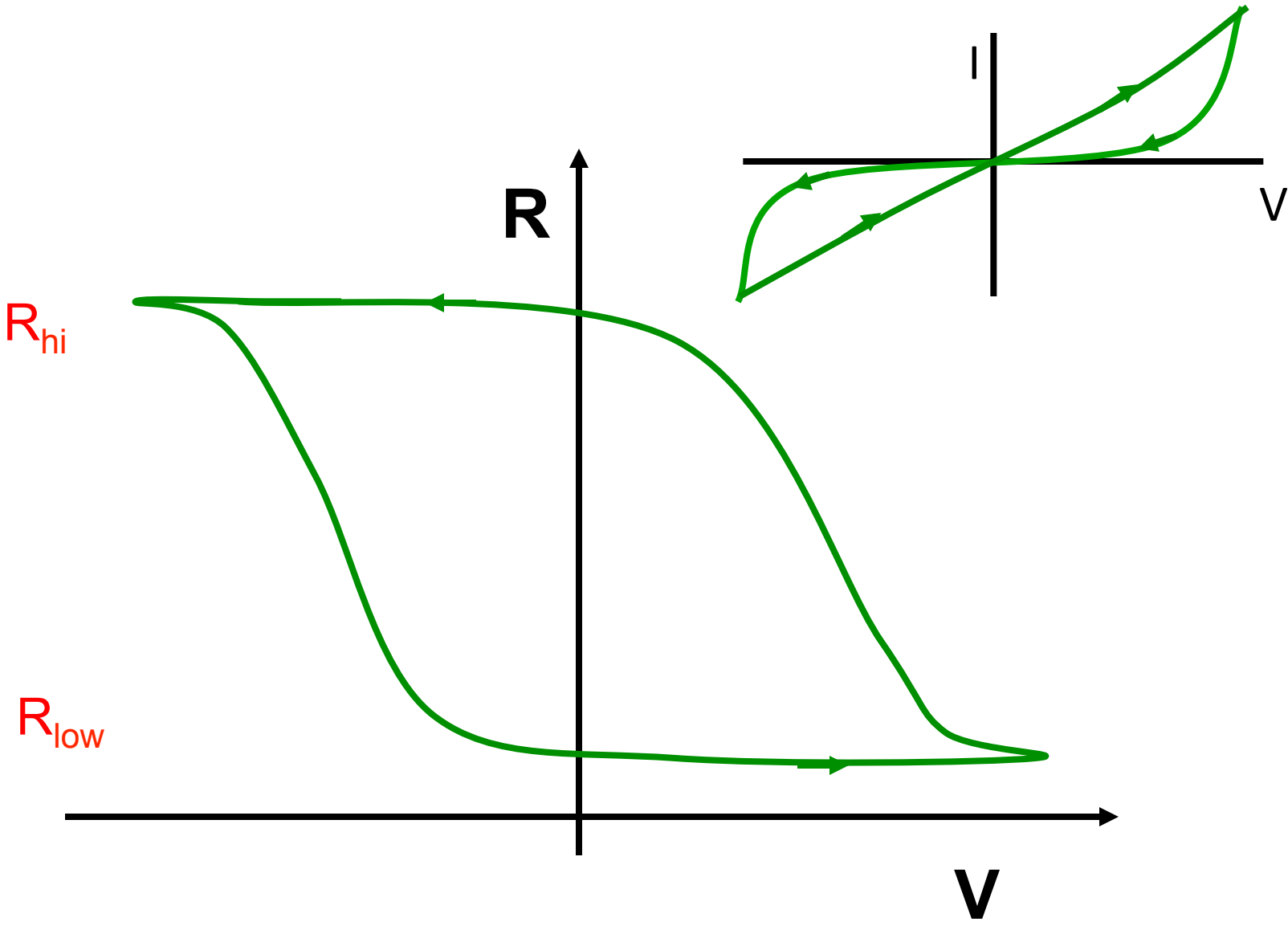


$$p_{ab} = \delta_a (1 - \delta_b) \exp(-V_0 + \Delta V_a)$$

$$\rho_i = A_\alpha \delta_i$$

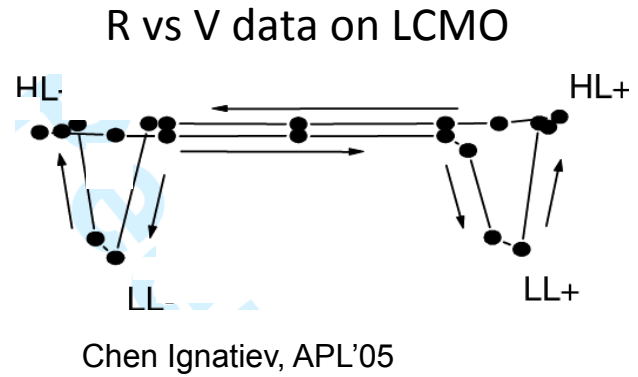
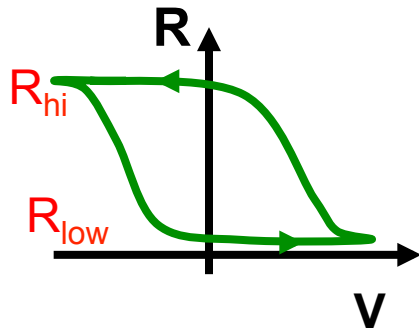


$$R_T = c \sum_{i=1}^N \rho_i = \sum_{\alpha} \sum_{i \in \alpha} A_\alpha \delta_i$$

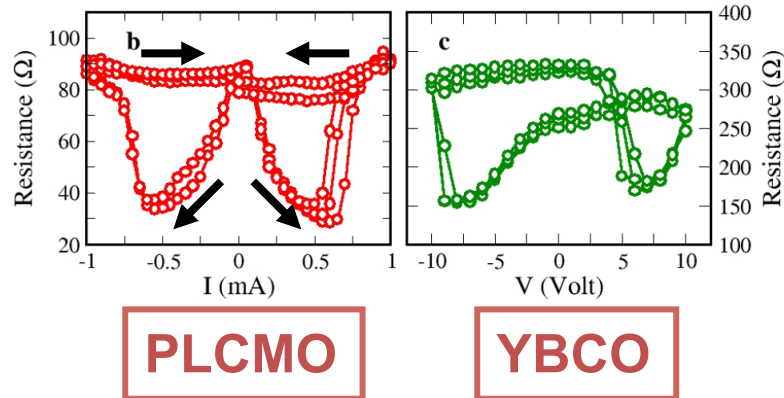


Non-trivial test: "Table with legs mystery"

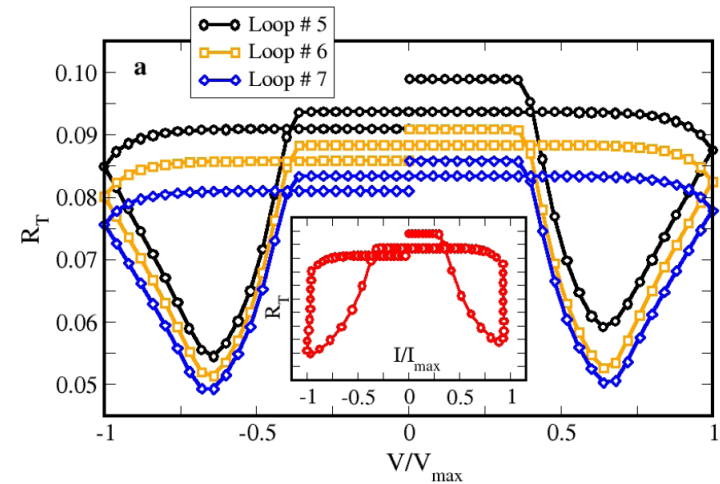
MR, Sanchez, Weht, Levy, Acha PRB '10



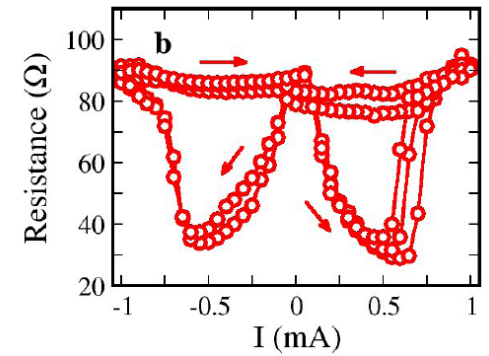
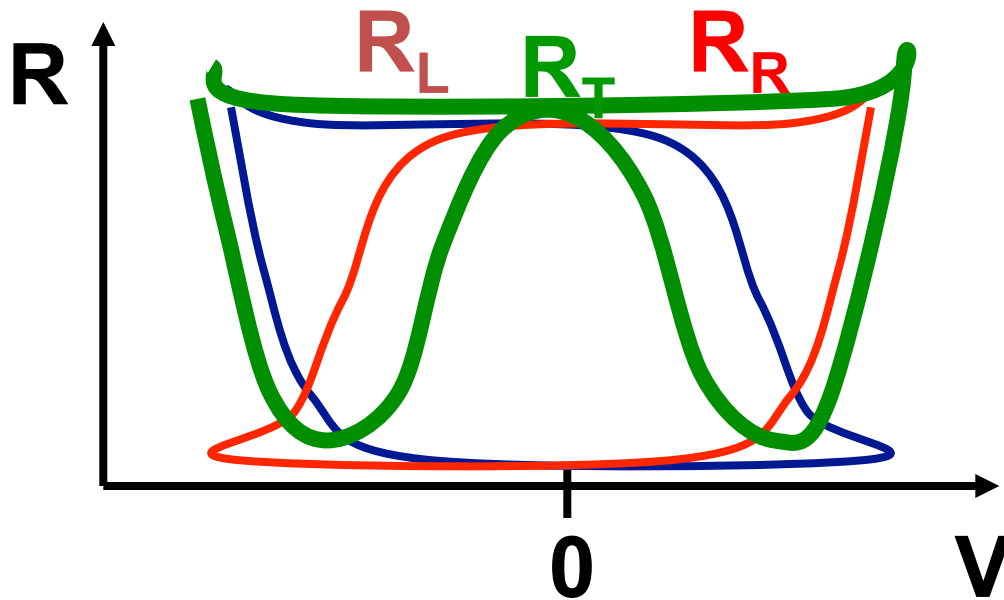
experiments



model simulations



Sum of two symmetric interface contributions

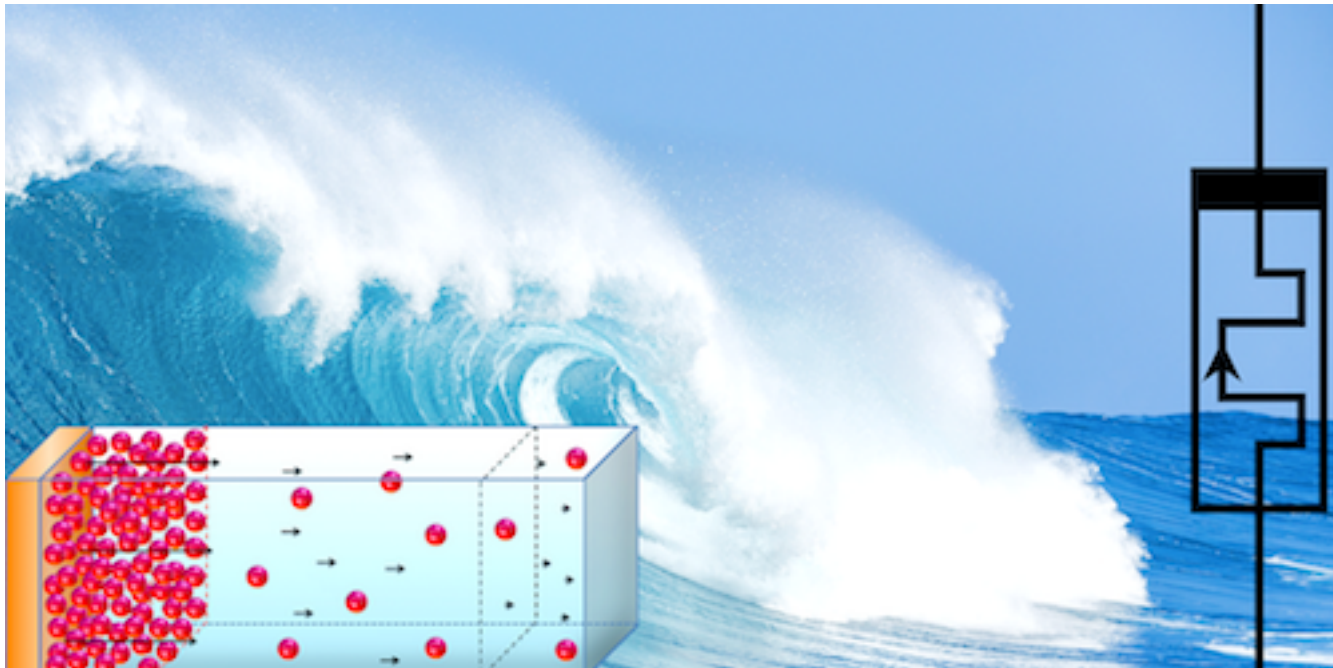


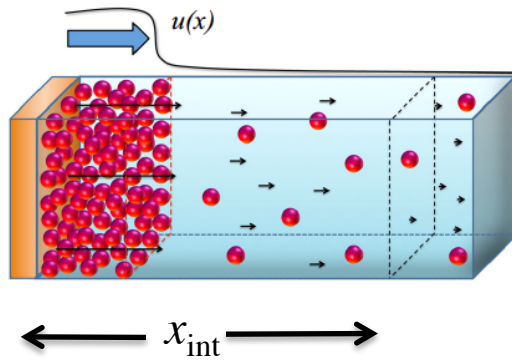
Some new theoretical insight

Shock Waves and Commutation Speed of Memristors

Phys. Rev. X 6, 011028 (2016)

Physics Synopsis: Waves That Shock Resistance





$$\delta R(t^*) = 1 - \ln(1 + t^*/\tau_2) / \ln(2)$$

$$\tau_2(I) = (x_{\text{int}}^2 / D I R_{\text{HI}}) \exp(-I R_{\text{HI}} / x_{\text{int}})$$

x_{int} width of Schottky barrier

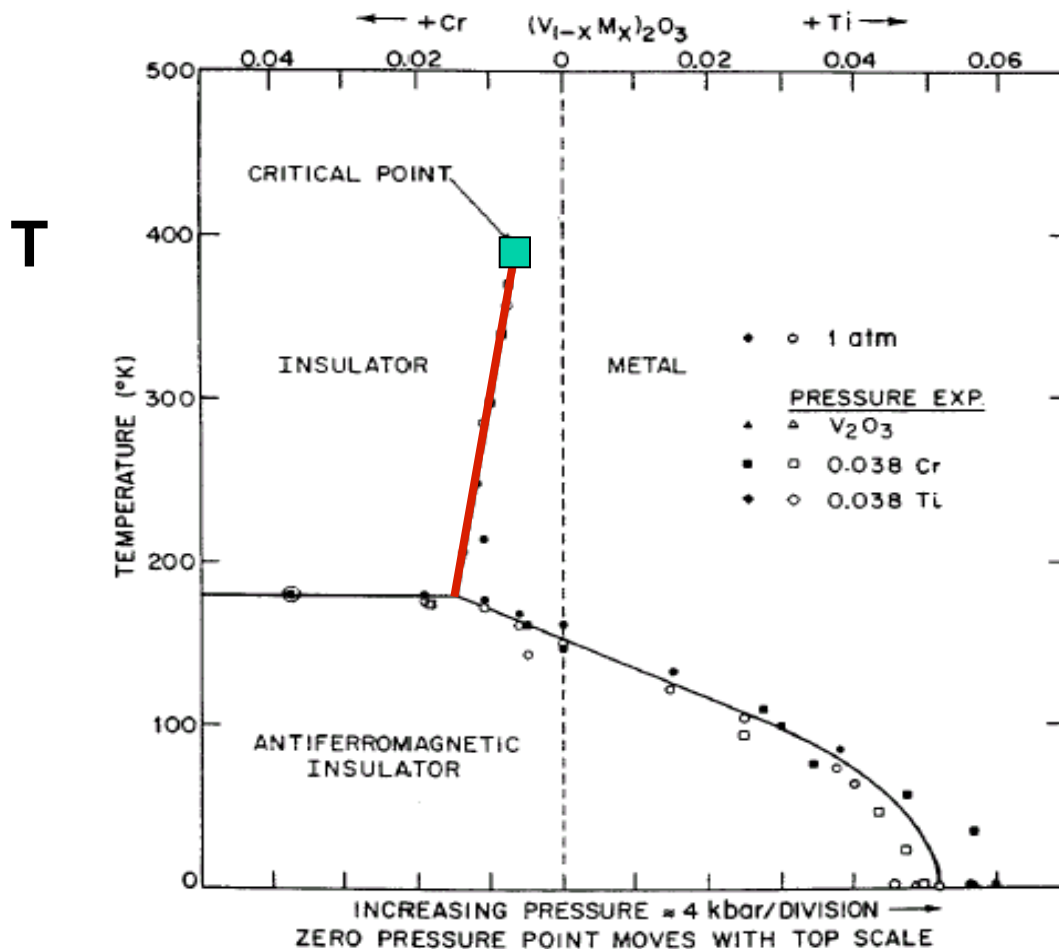
R_{HI} resistance of HI-R state

Strong correlation effects?

2 – Volatile Resistive Switching

in 3-dimensional **Mott** insulators
« *Mottronics* »

The classic example: Mott transition in V_2O_3



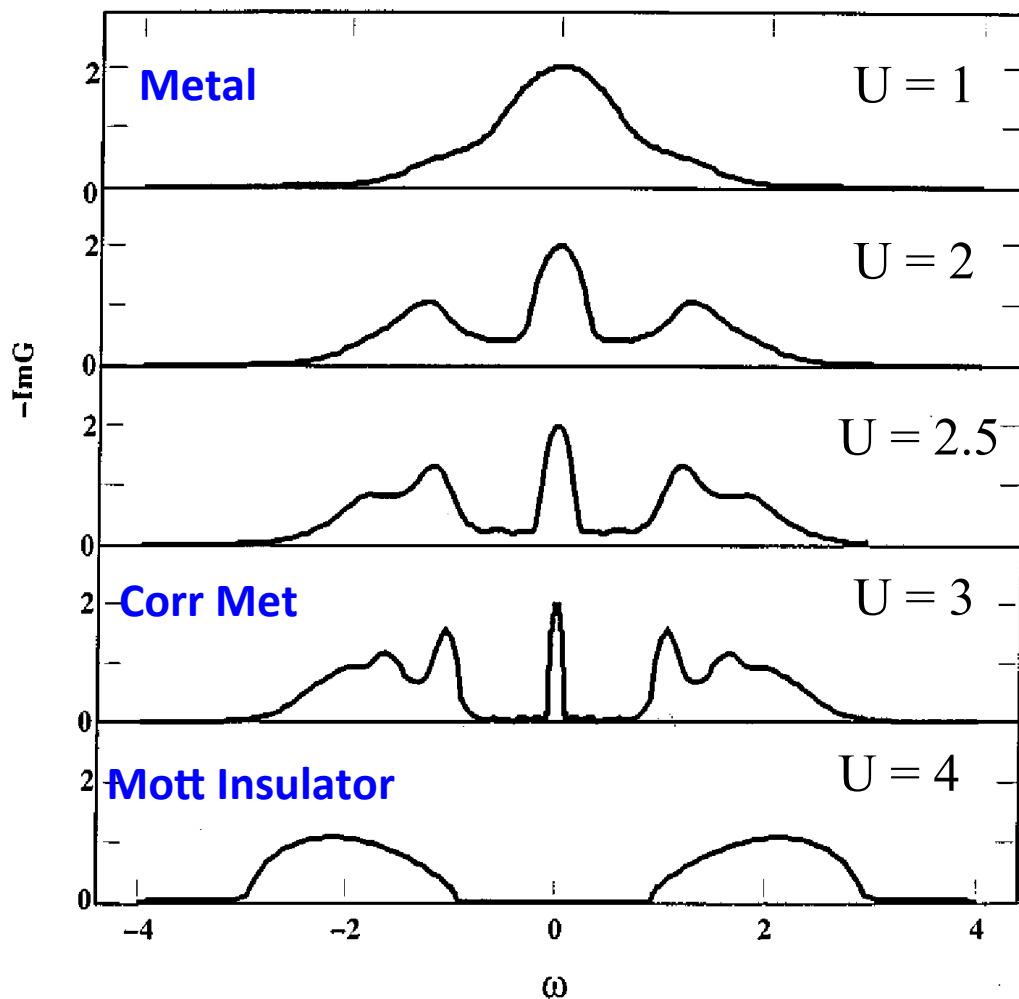
pressure or chemical substitution

DMFT of the Mott – Hubbard transition

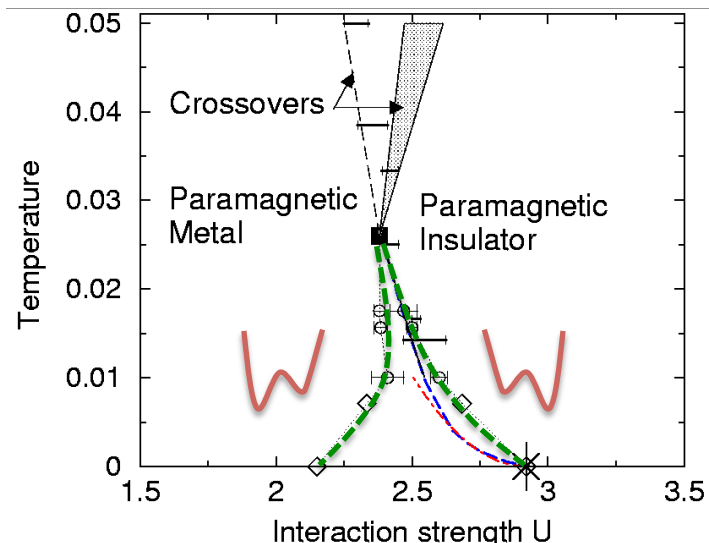
Georges, Kotliar, Krauth & MR, RMP '96

Georges, Kotliar PRB '92

Zhang, MR, Kotliar PRL '92



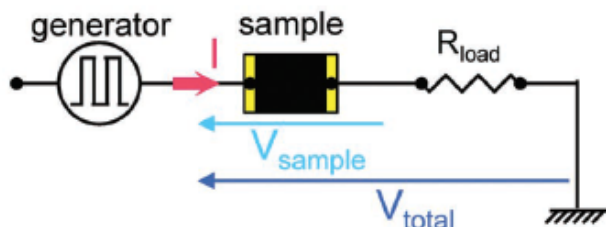
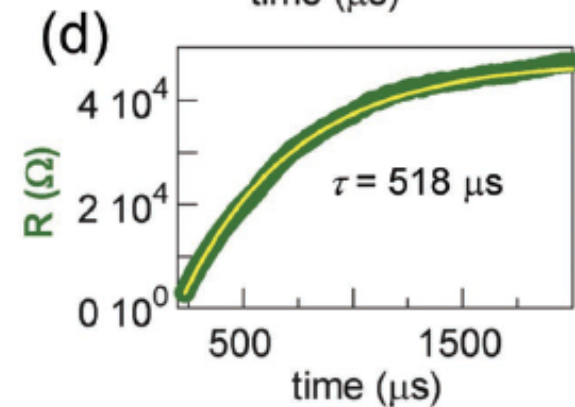
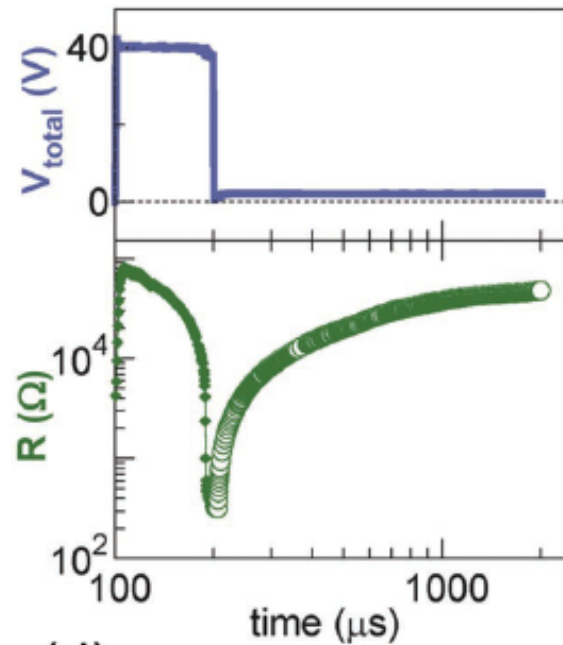
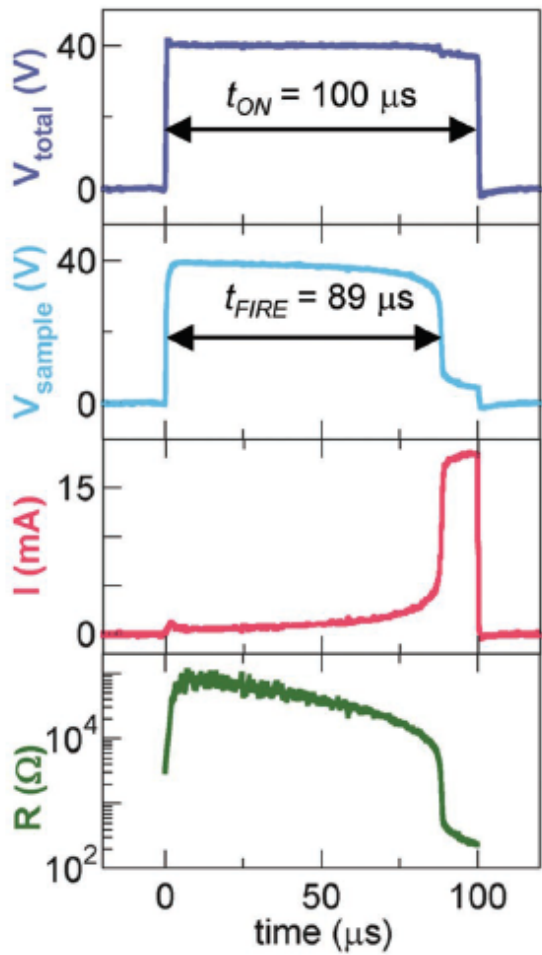
Coexistence region: 2 solutions



Mott physics + electronics
« Mottronics »

Applying strong E-fields to
Mott systems

Volatile RS in 3D Mott insulators

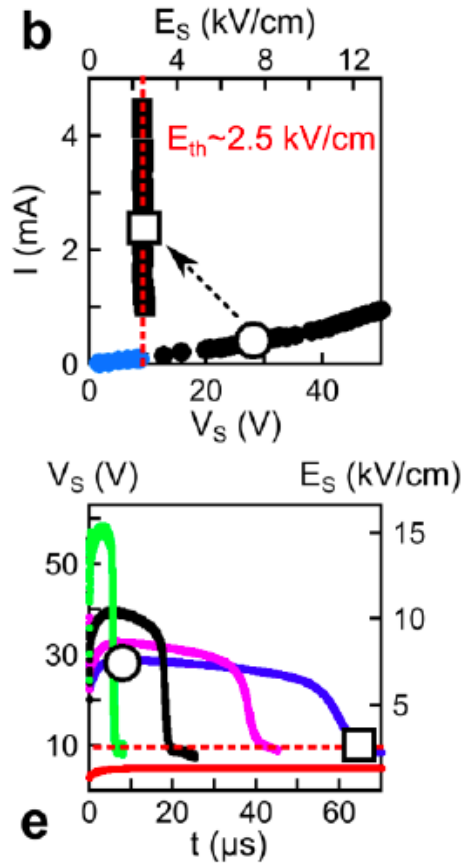


Perfectly reproducible!!

GaTa₄Se₈ single x-tal @ 74K
A. Camjayi, et al PRL 2014

Volatile RS in 3D Mott insulators

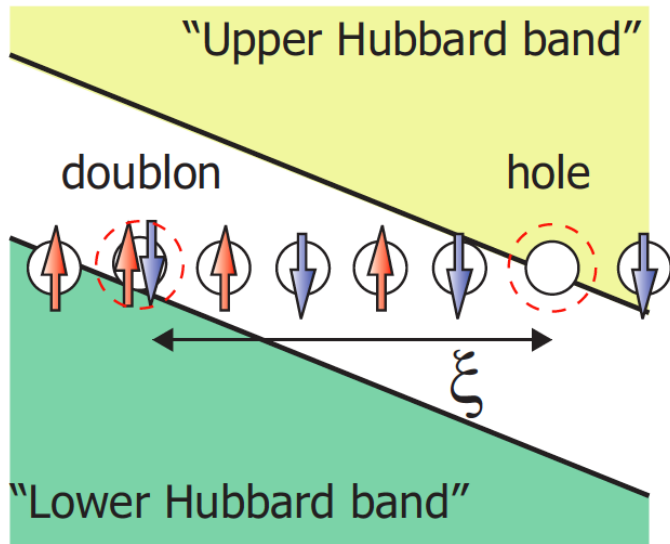
GaTa₄Se₈



P. Stolar et al Adv. Mater. (2013)

What is the origin of the Mott electric-breakdown?

Hubbard model 1D

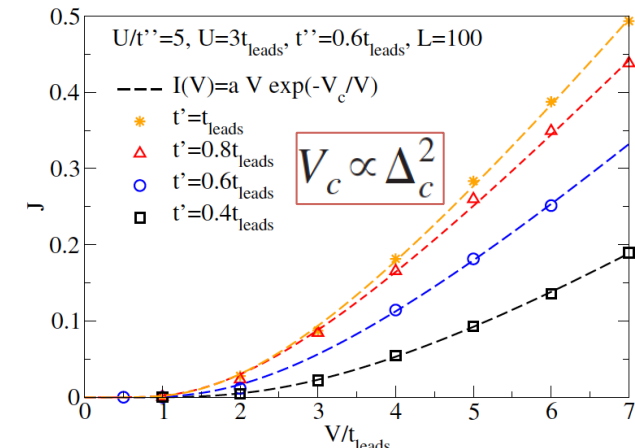


$$F_{\text{th}} \propto \Delta_{\text{Mott}}^2$$

$$1/\xi \sim \Delta_{\text{Mott}} \quad (1\text{D})$$

$$F_{\text{th}} \simeq \frac{\Delta_{\text{Mott}}}{2\xi}$$

$$J \sim \Gamma_p = \frac{F_0}{2\pi} \exp\left(-\pi \frac{F_{\text{th}}}{F_0}\right)$$



T. Oka et al. '03 '05 '10 '12
F. Heidrich-Meisner et al '10

M. Eckstein et al. '09 '10 '11 (DMFT)
M. Schiro and M. Fabrizio '10 (TGW)
A. Amaricci et al. '12 (DMFT)

$$??? 1/\xi \sim \Delta_{\text{Mott}} \quad (3\text{D})$$

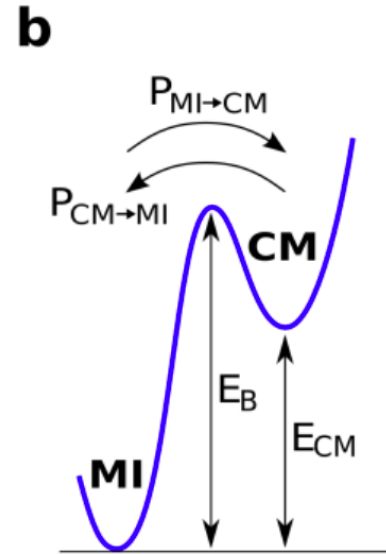
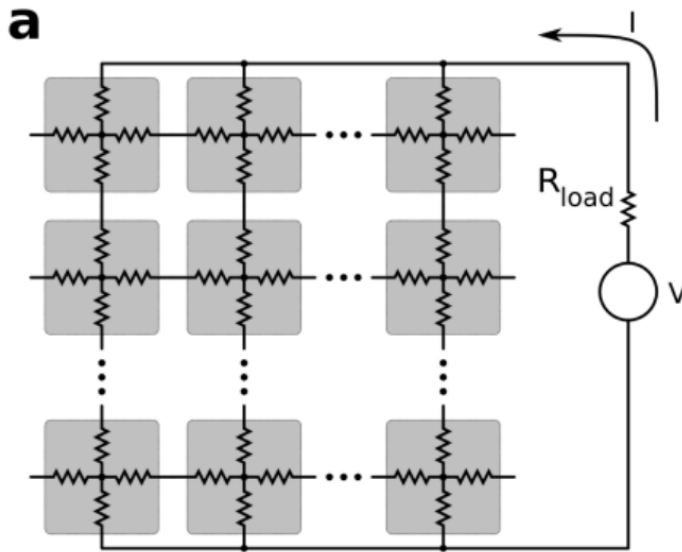
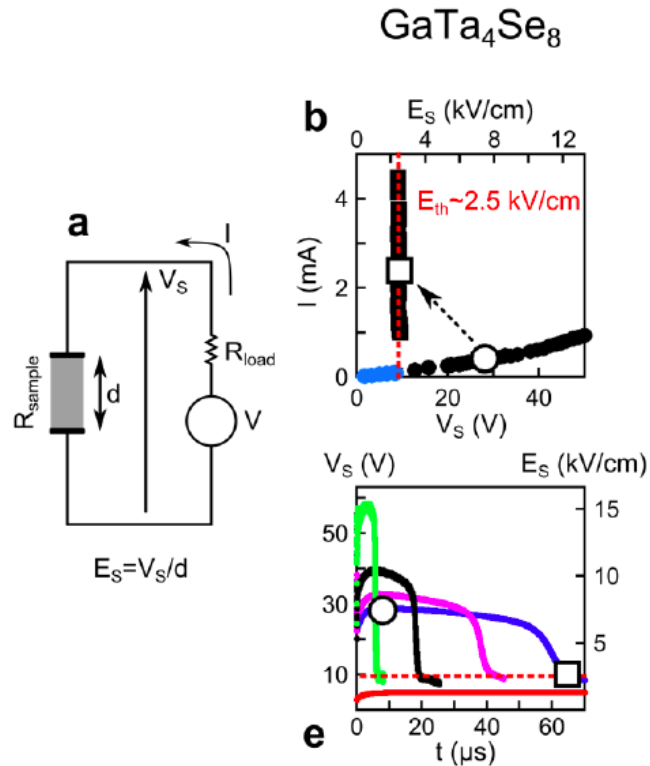
$$??? \xi \sim \mu\text{m} \quad (3\text{D})$$

$\Delta \sim 10^{-1} \text{ eV}$
 $\xi \sim 1 \text{ nm} = 10^{-7} \text{ cm}$
 $F_{\text{Th}} \sim 1 \text{ MV/cm}$

$E_{\text{Th}} \sim 1 \text{ KV/cm} \quad \text{!!!!}$

Model of the Mott resistive transition (with inspiration from DMFT)

P. Stoliar et al Adv. Mater. (2013)



Two states: MI – Mott insulator
CM – Correlated metal

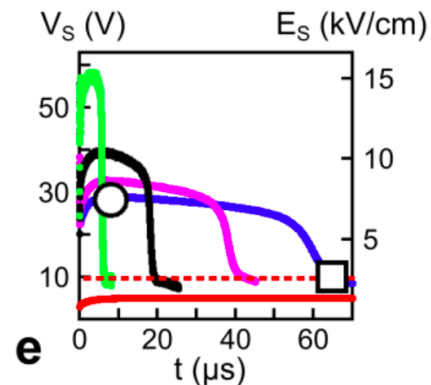
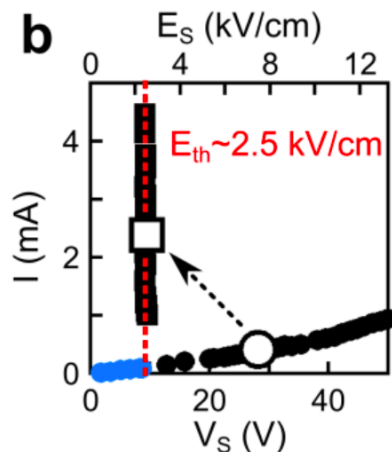
$$R_{MI} \gg R_{CM}$$

$P_{MI \rightarrow CM}$ and $P_{CM \rightarrow MI}$ are transition probabilities

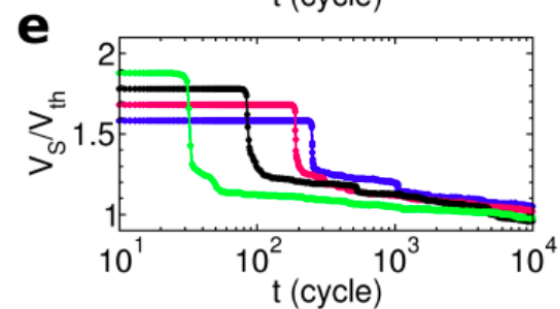
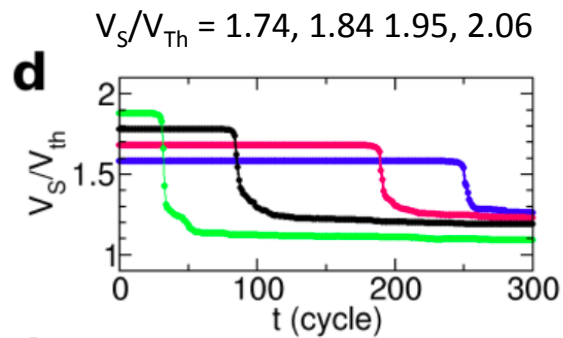
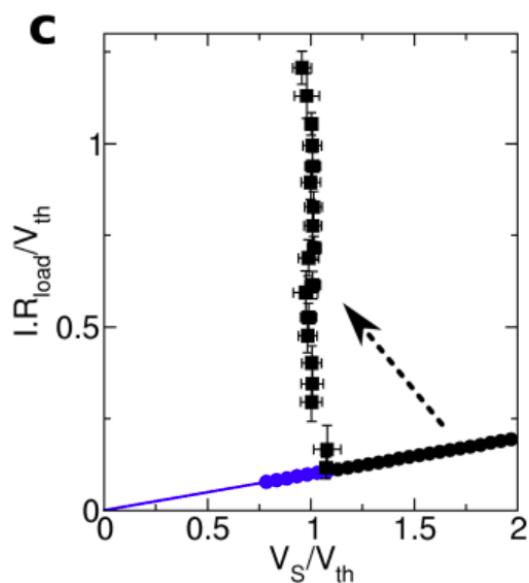
$$P_{MI \rightarrow CM} = \nu e^{-(E_B - q\Delta V)/kT} \quad P_{CM \rightarrow MI} = \nu e^{-(E_B - E_{CM})/kT}$$

Model results: Threshold Mott resistive transition

Experiment

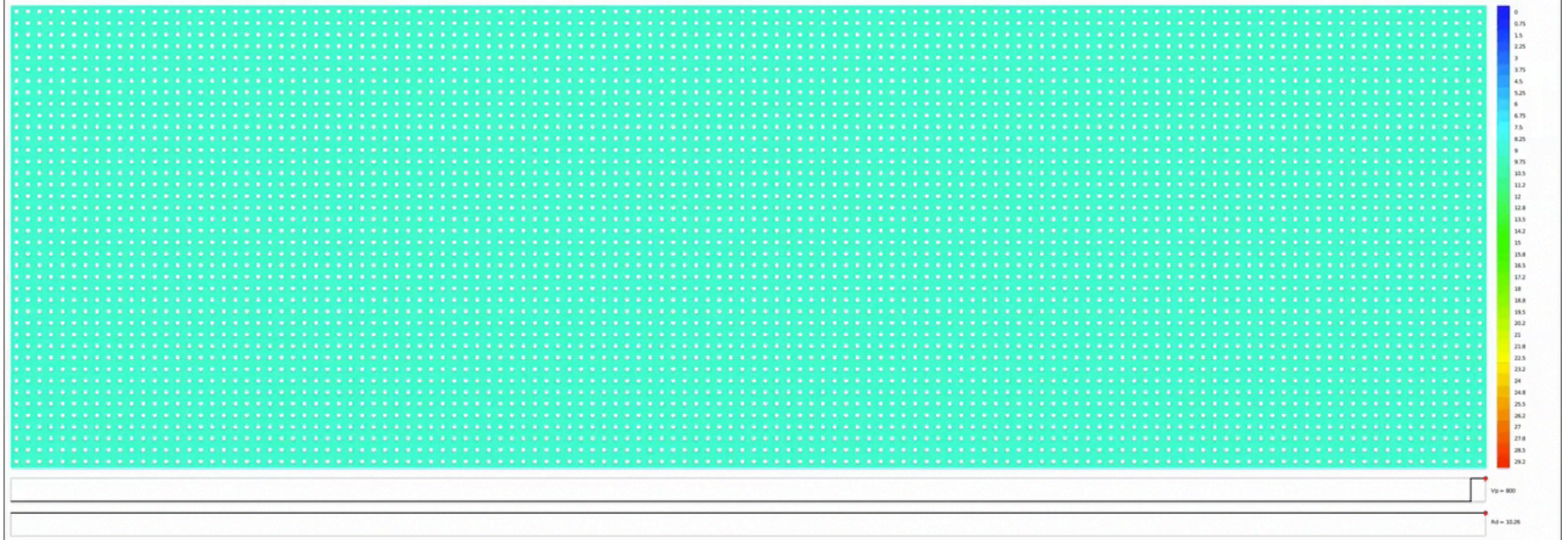


Theory



How the transition evolves in time?

Top electrode

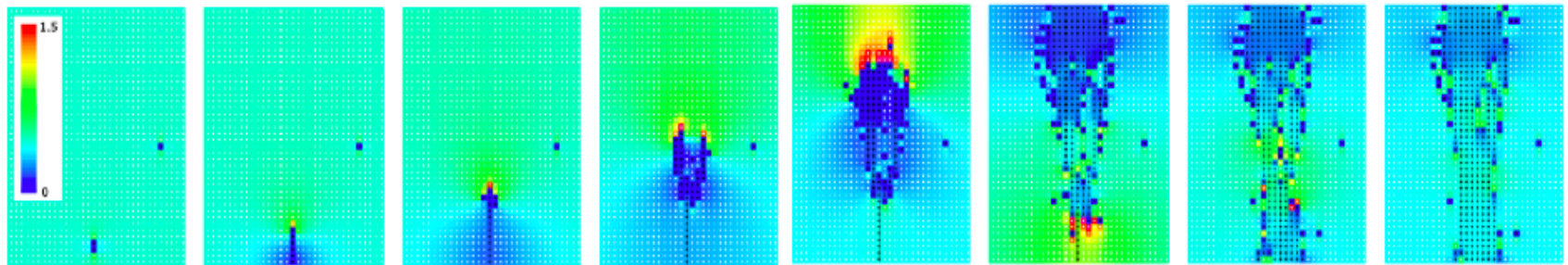


Bottom electrode

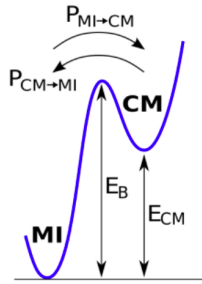
Each pixel is a cell of the resistor network model

Color intensity indicates the local ΔV drops (ie local E)

How the transition evolves in time? (snapshots)



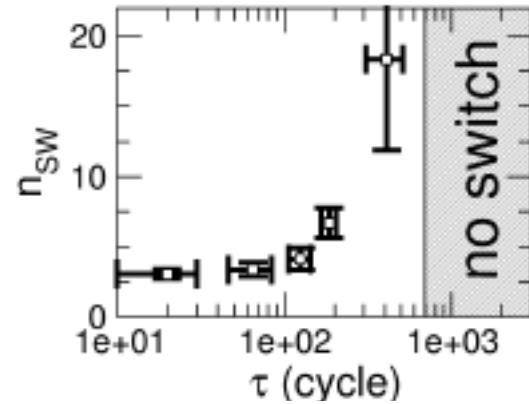
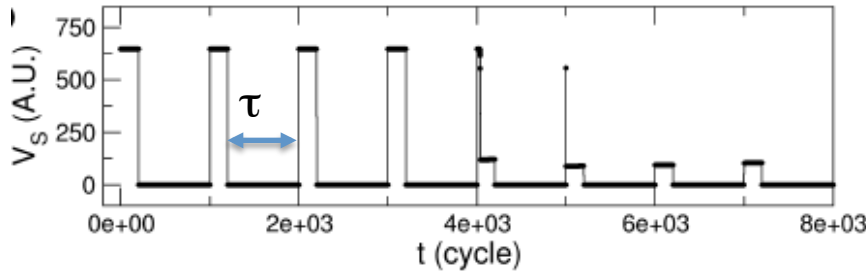
time \longrightarrow



Transition rates imply the existence of a relaxation time scale t_{relax}

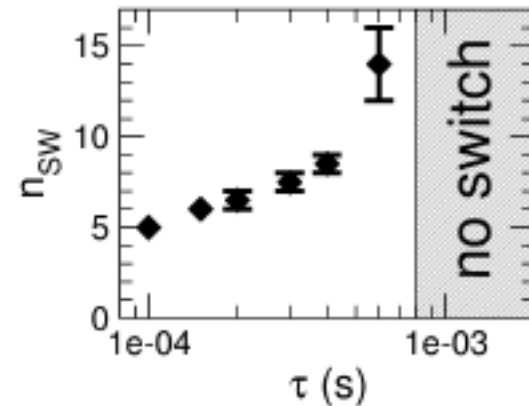
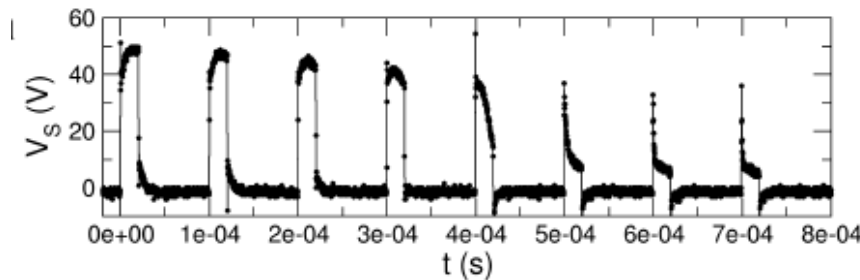
Short pulses ($< t_{delay}$) are sent at intervals $\tau < t_{relax}$

Model prediction



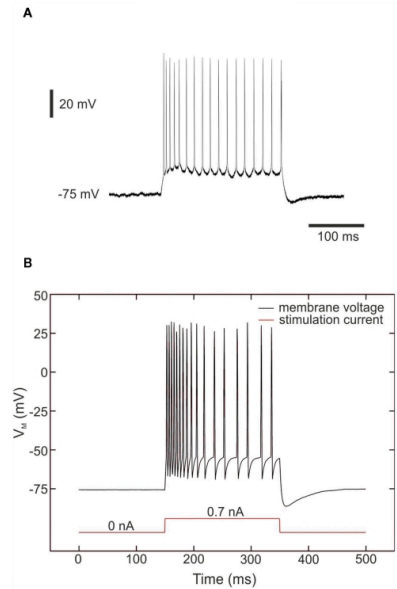
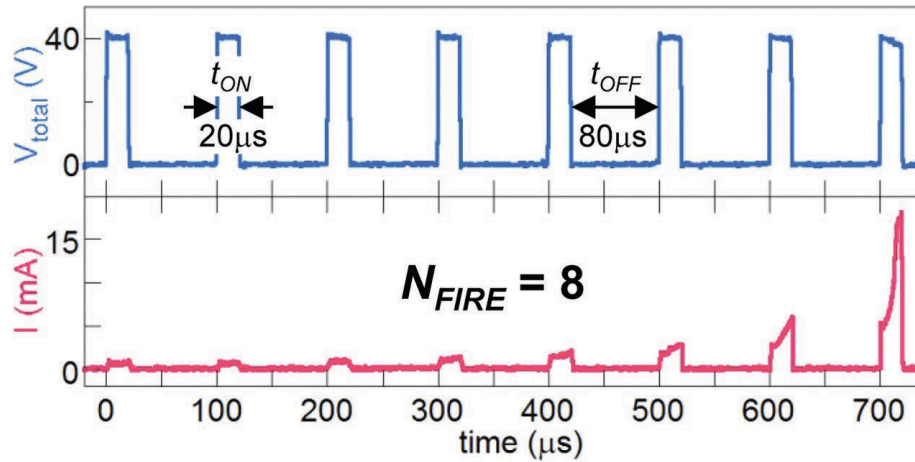
Transition after 5 pulses

Experiment



A Leaky-Integrate-and-Fire Neuron Analogue realized with a Mott insulator

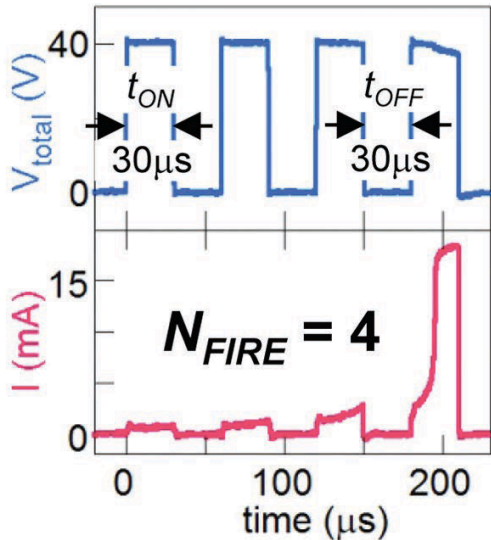
P. Stoliar, MR, et al Adv Funct Mat (2017)



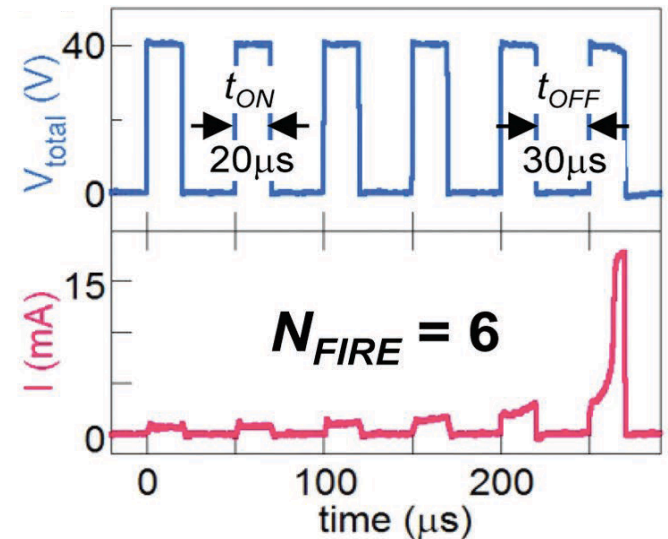
French patent n1453834.

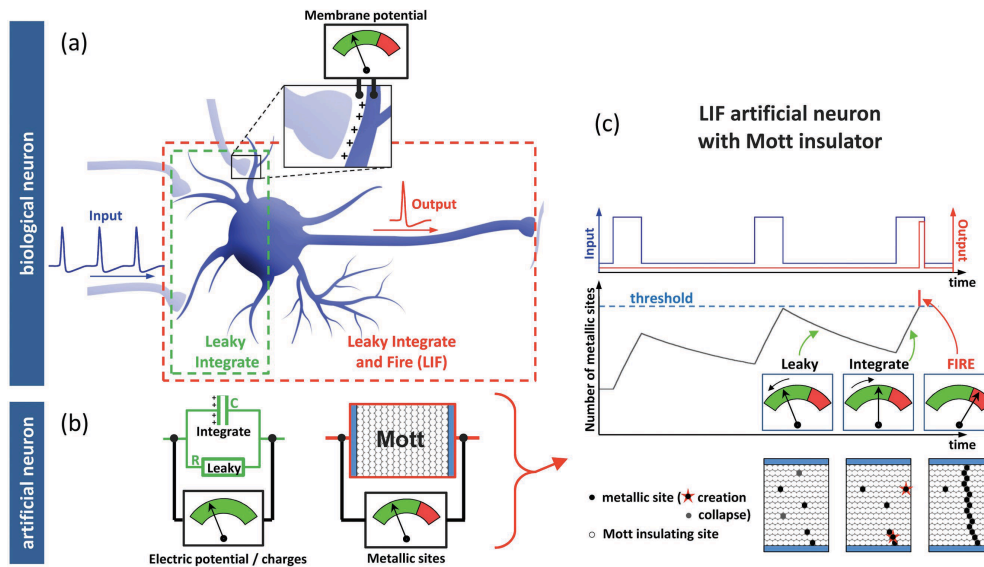
“Neurone artificiel mono-composant à base d’isolants de Mott, réseau de neurones artificiels et procédé de fabrication correspondants”.

higher frequency

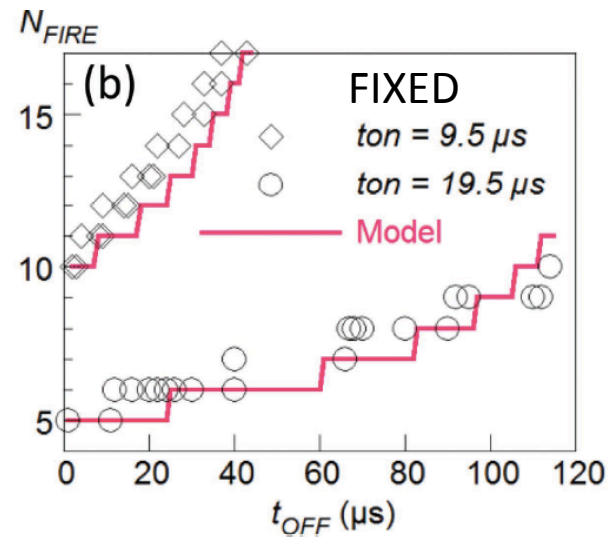
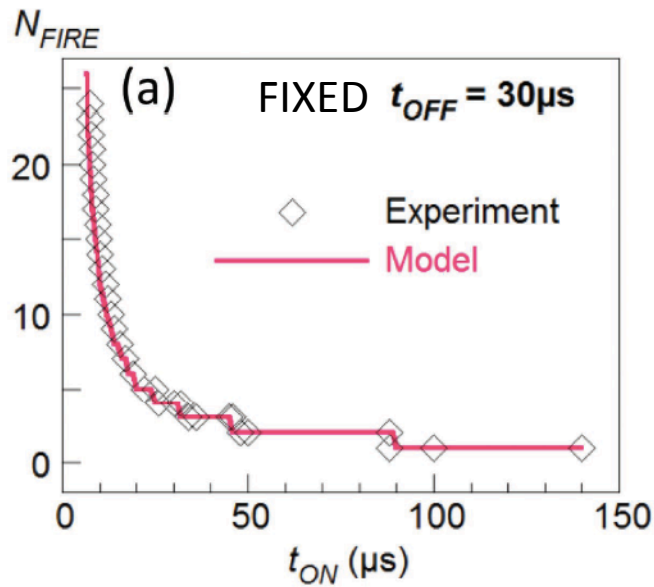


higher strength





	LIF model	Mott LIF neuron
Integrated variable	Membrane potential v	Fraction metallic regions n_{CM}
Model	$\frac{\partial}{\partial t}v = -v \frac{1}{RC} + \frac{w}{C}s(t)$	$\frac{\partial}{\partial t}n_{CM} = -n_{CM}P_{CM \rightarrow MI} + Ap(t)$
Input variable	Dirac delta function	Voltage pulse
Output variable	Not defined	Current pulse
Leaking time constant	RC	$1/P_{CM \rightarrow MI}$
Synaptic input	$s = \sum_i \delta(t - t_i)$	$p = \sum_i [H(t - t_i) - H(t - t_i - t_{ON})]$
Spike contribution	w/C	At_{ON}
Number of pulses for FIRE	$N_{FIRE} = \text{ceiling} \left(1 - \frac{\ln \left[e^{t_{OFF}/\tau} - \frac{t_{FIRE}}{t_{ON}} (e^{t_{OFF}/\tau} - 1) \right]}{t_{OFF}/\tau} \right)$	



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Summary

- We now have artificial synapses and neurons made of simple 2 terminal oxide devices whose physics is based on the physical phenomenon of resistive switching
- Theoretical modeling may provide useful guidance for experiments
- The way is open for neuromorphic applications

Reviews:

Non-volatile Resistive Switching:

M. Rozenberg, Scholarpedia 6(4):11414 (2011) (short introductory)

H-S Philip Wong et al., Proceedings of IEEE v100 p1951 (2013)

D. Ielmini et al. Phase transitions v84 p570 (2011)

J.J. Yang et al, Nature Nanotechnology, v8 p13 (2013)

Volatile Resistive Switching in Mott insulators:

E. Janod et al Adv Func Mat Adv. Func. Mat. (2016)

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