

# Quantum dimer

## Dynamical Mean Field Theory and beyond

27/3/2015

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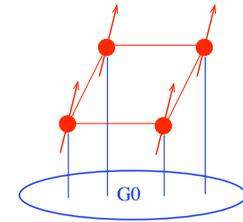
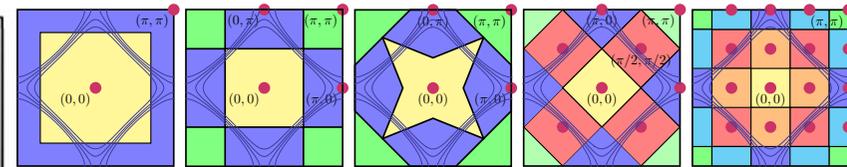
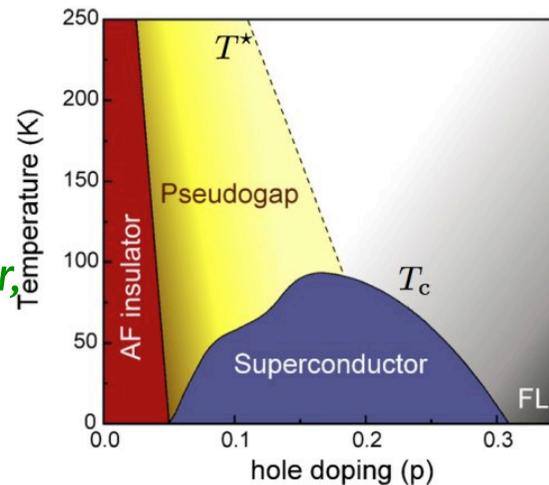
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# Cluster DMFT & Hubbard model: robust features

*Cf talks by Millis,  
Kotliar, Tremblay*

*Many authors: Civelli, Ferrero,  
Georges, Gull, Haule, Jarrell, Kotliar,  
Lichtenstein, Katsnelson, Maier,  
Millis, Sordi, Tremblay, OP, ...*



*Pseudo-gap*

*d-wave SC*

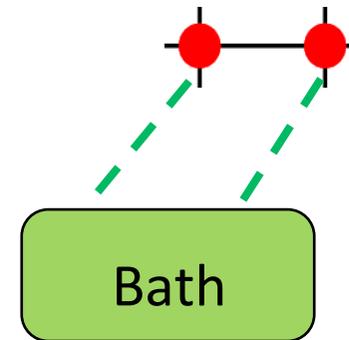
- Emerging from Mott insulator.
- k-space differentiation nodes/antinodes. Fermi Arcs.
- No long range order
- Role singlets. RVB ?

- Robust in various clusters: 2x2, 8, 16, larger cluster at small U.
- Dome vs doping.
- Role of J in the pairing mechanism ?

*Many observed features in pseudo-gap and SC phase  
Many open questions (low T, CDW, ...)*

# Outline

- A minimal picture of the pseudo-gap with a dimer in a bath.
- Liquid of singlets/dimers (RVB)
- Mean field with a dimer in a bath ?



- Spin-fluctuation and Mott physics : a unified framework
- Motivations:
  - DMFT clusters miss effect of long-range (spin) fluctuations.
  - $k$  resolution for  $A(k, \omega)$ ,  $\Sigma(k, \omega)$  is still poor.
  - Convergence is too slow with cluster size in e.g. pseudo-gap region. Sign problem.
  - Better use of a little impurity/dimer in a bath ?

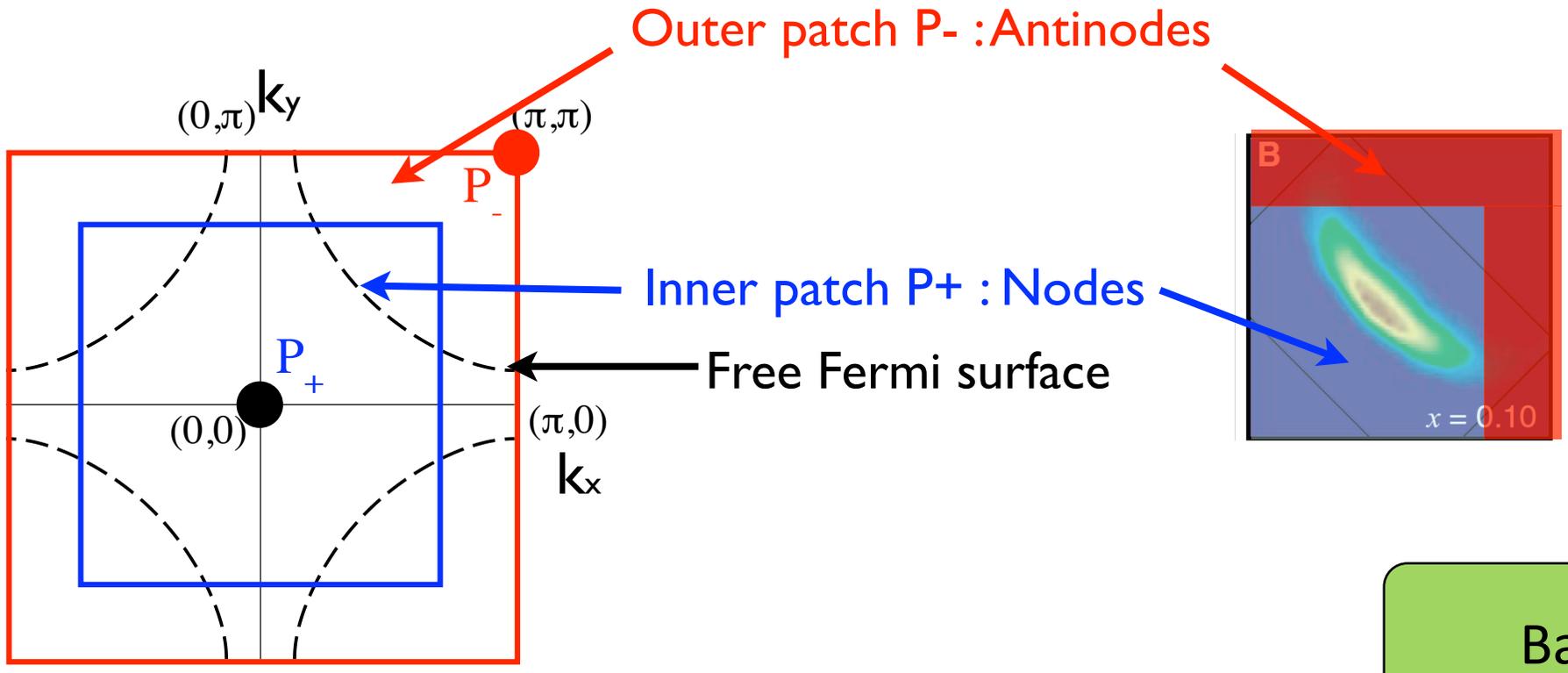
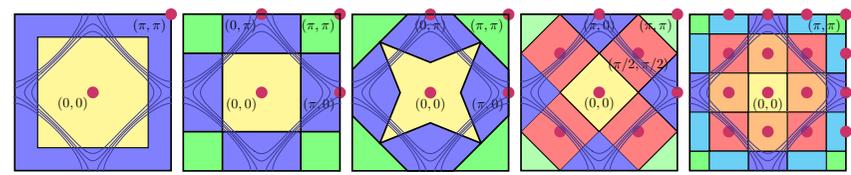


## Quantum dimer in a bath ....

*M. Ferrero, P. S. Cornaglia, L. De Leo, O. P., G. Kotliar, A. Georges, EPL, PRB 2009-2010*

# Two sites in a self-consistent bath ...

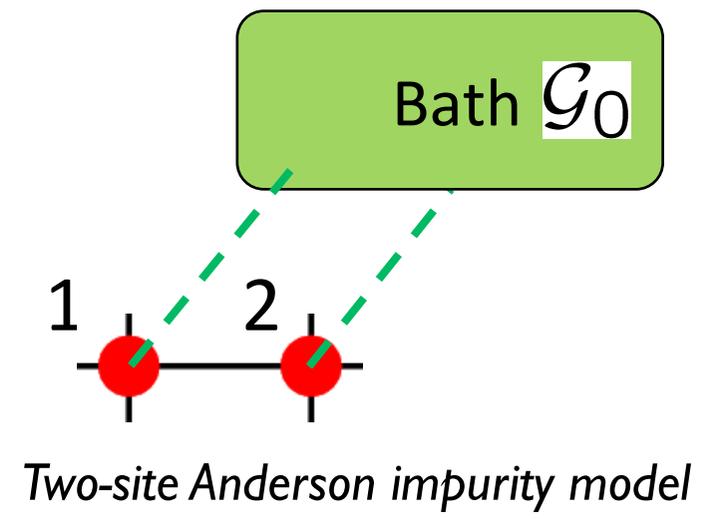
- Cut the Brillouin zone into two patches  $P_+$ ,  $P_-$  (of equal volume)



2-patch "DCA" construction

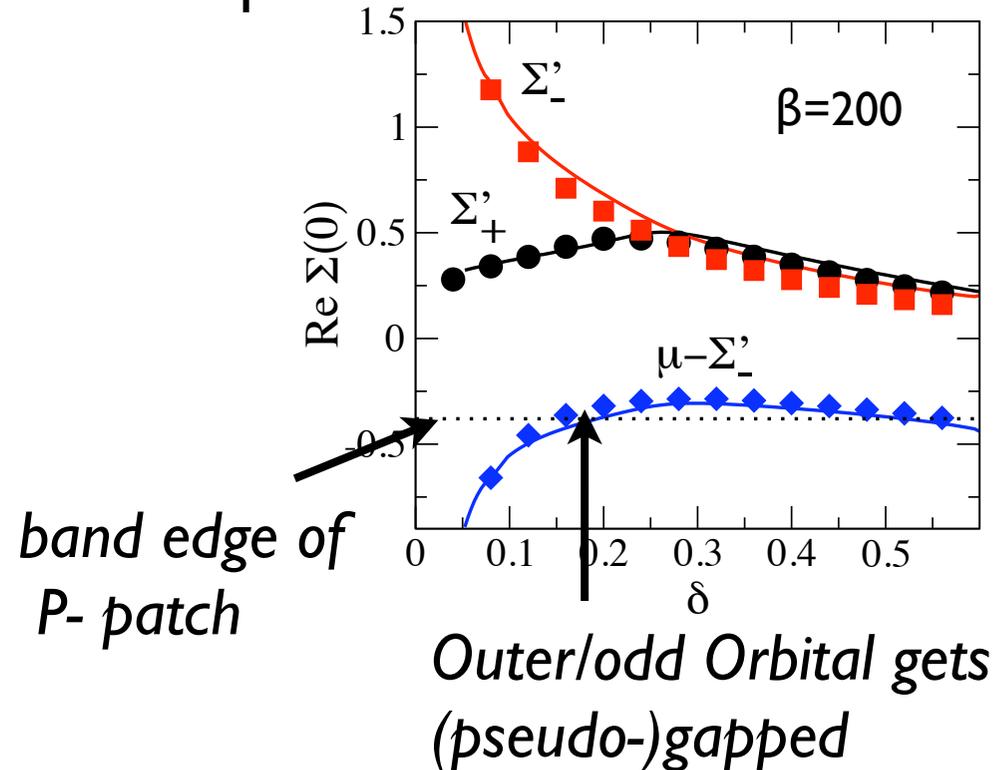
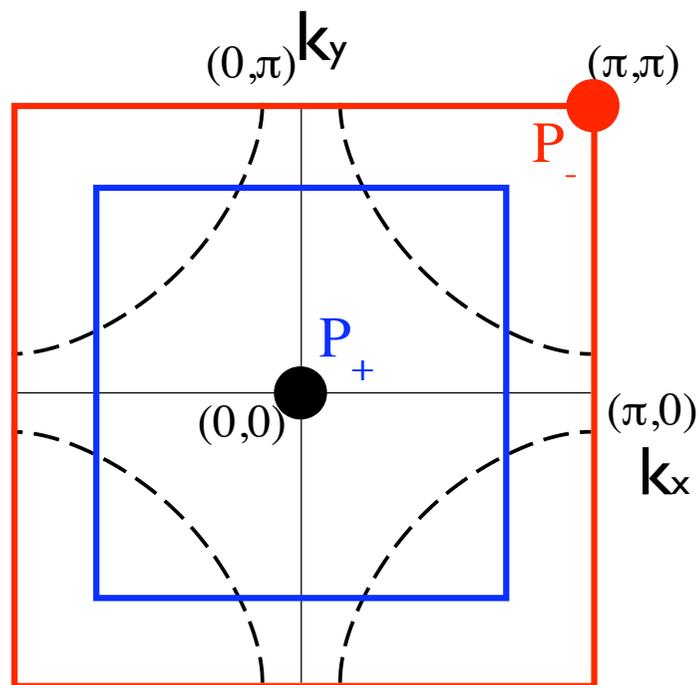
Even (bonding) orbital  $l+2 \leftrightarrow$  nodal patch

Odd (antibonding) orbital  $l-2 \leftrightarrow$  antinodal patch



# Orbital selective transition in k-space

- At high doping/temperature, DMFT not corrected by cluster terms.
- Around 16%, orbital corresponding to outer patch P- becomes insulating :  $\mu - \Sigma_-(0)$  reaches the band edge of P- patch
- Quasi-particles only exists in the inner patch

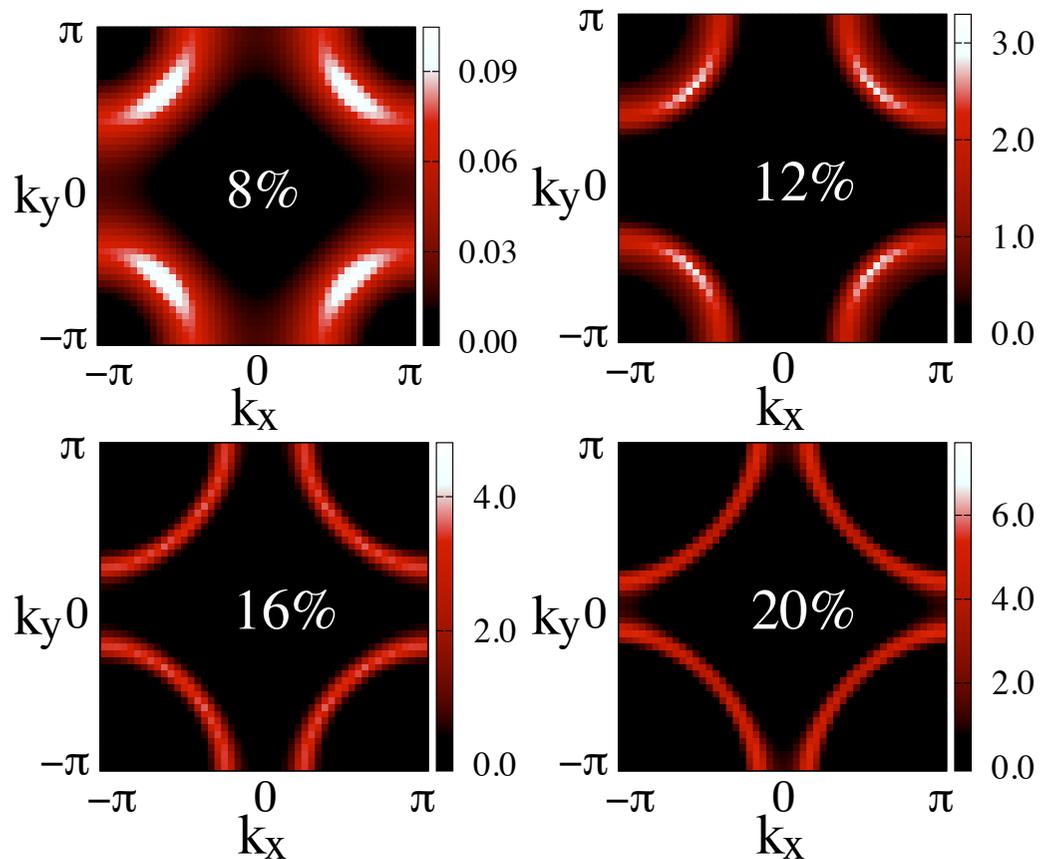


Effective band transition at low energy

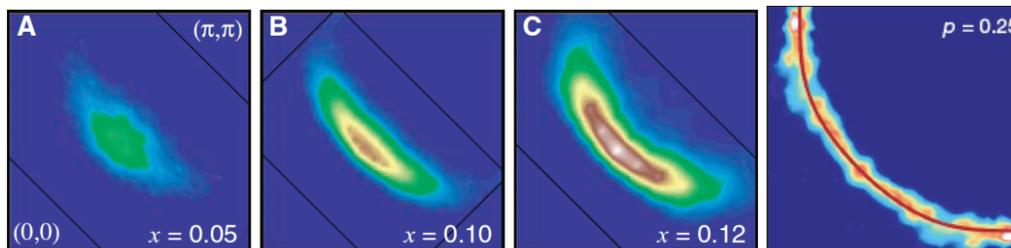
# ARPES intensity maps at Fermi level

- With “cumulant” interpolation...

$$A(k, \omega = 0)$$

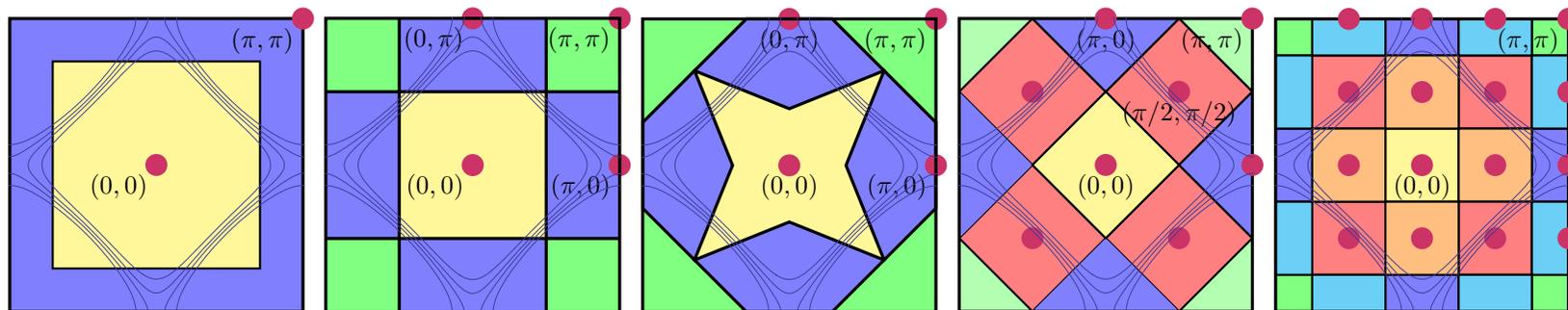


- Rough resolution. Two k points only : node & antinode, the rest is interpolation.



# Compatible with larger clusters

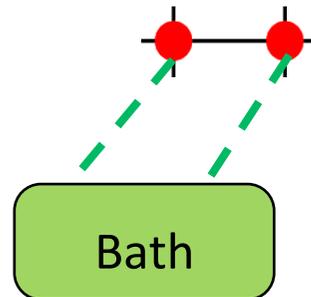
- Selective Mott transition in k-space also with 8, 16 clusters Cf Millis' talk.
- Appearance of Arcs due to Mott, short range correlation.
- Probably not a real transition at large cluster size



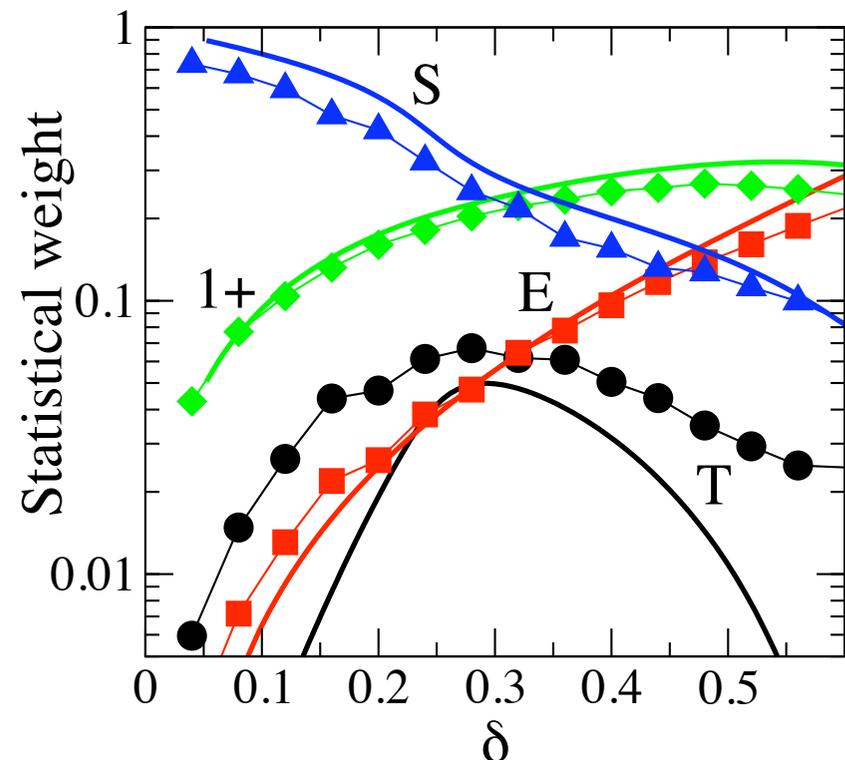
- Gull, OP, Millis Phys. Rev. Lett. 110 216405 (2013)
- Gull, OP, Werner, Millis Phys. Rev. B 80 245102 (2009)
- Werner, Gull, OP, Millis Phys. Rev. B 80 045120 (2009)
- Gull, Ferrero, OP, Georges, Millis Phys. Rev. B 82 155101 (2010)

# Singlet state dominates at low doping

- Relative weight of various cluster states, measured:
  - in the Monte Carlo (time spent in the state in the path integral)
  - in a (rotationally invariant) slave boson solution  
(*Lechermann, Georges, Kotliar, OP, 2007*)
- Two states of the dimer dominate at low doping :
  - Two spins in a singlet (S)
  - 1 spin 1/2 + 1 hole (1+)

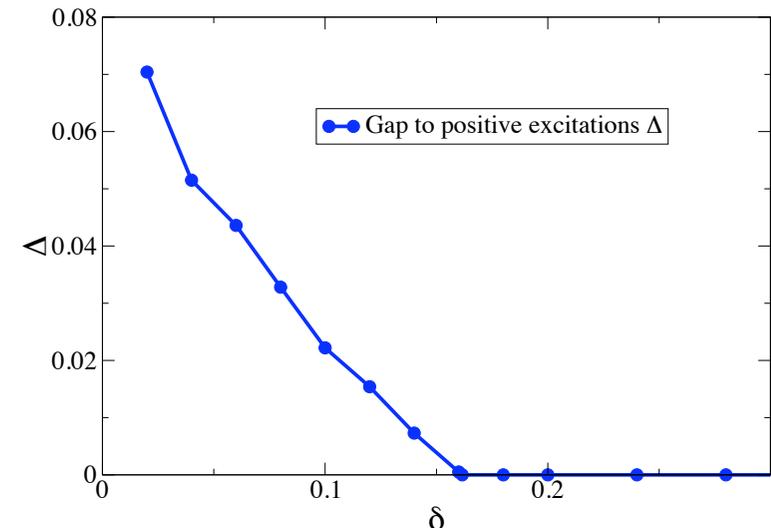
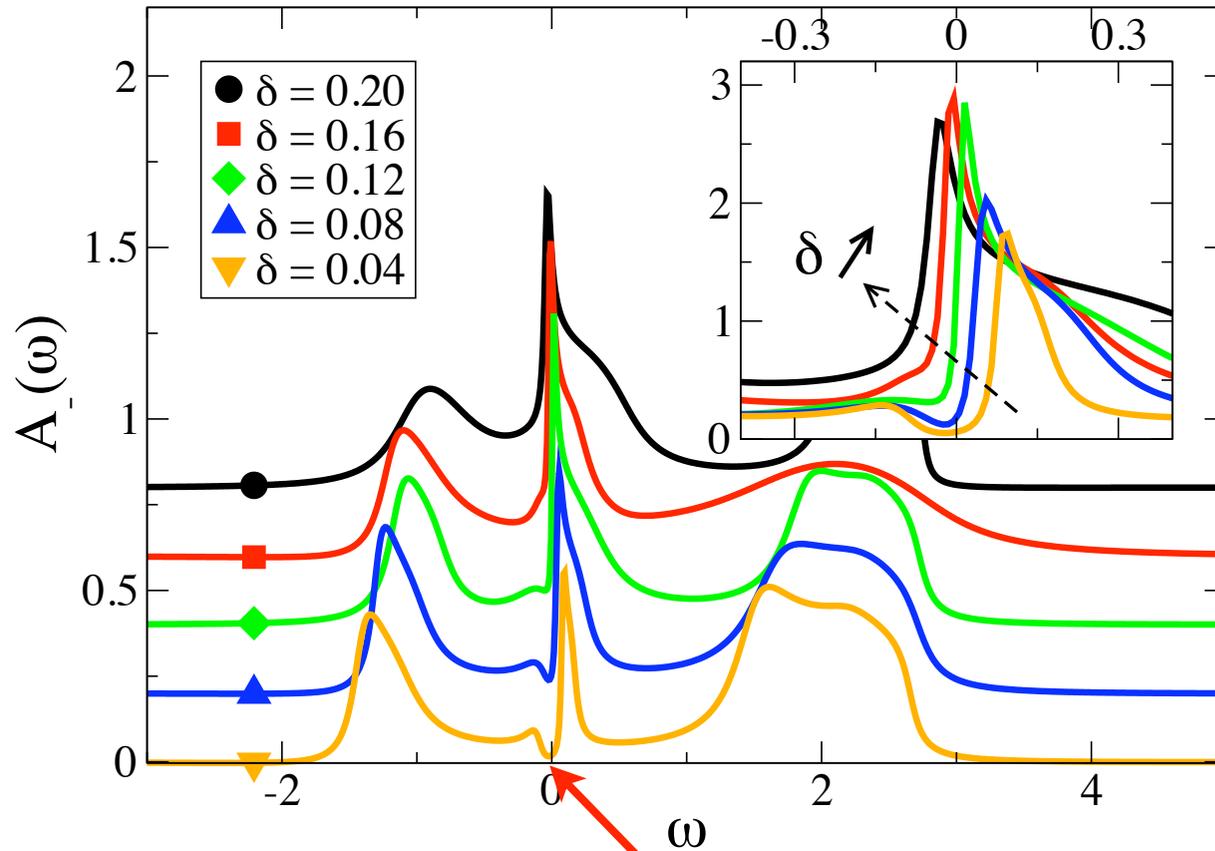


*Cf Sachdev's talk.*



# Antinode : not a sharp gap, a pseudogap !

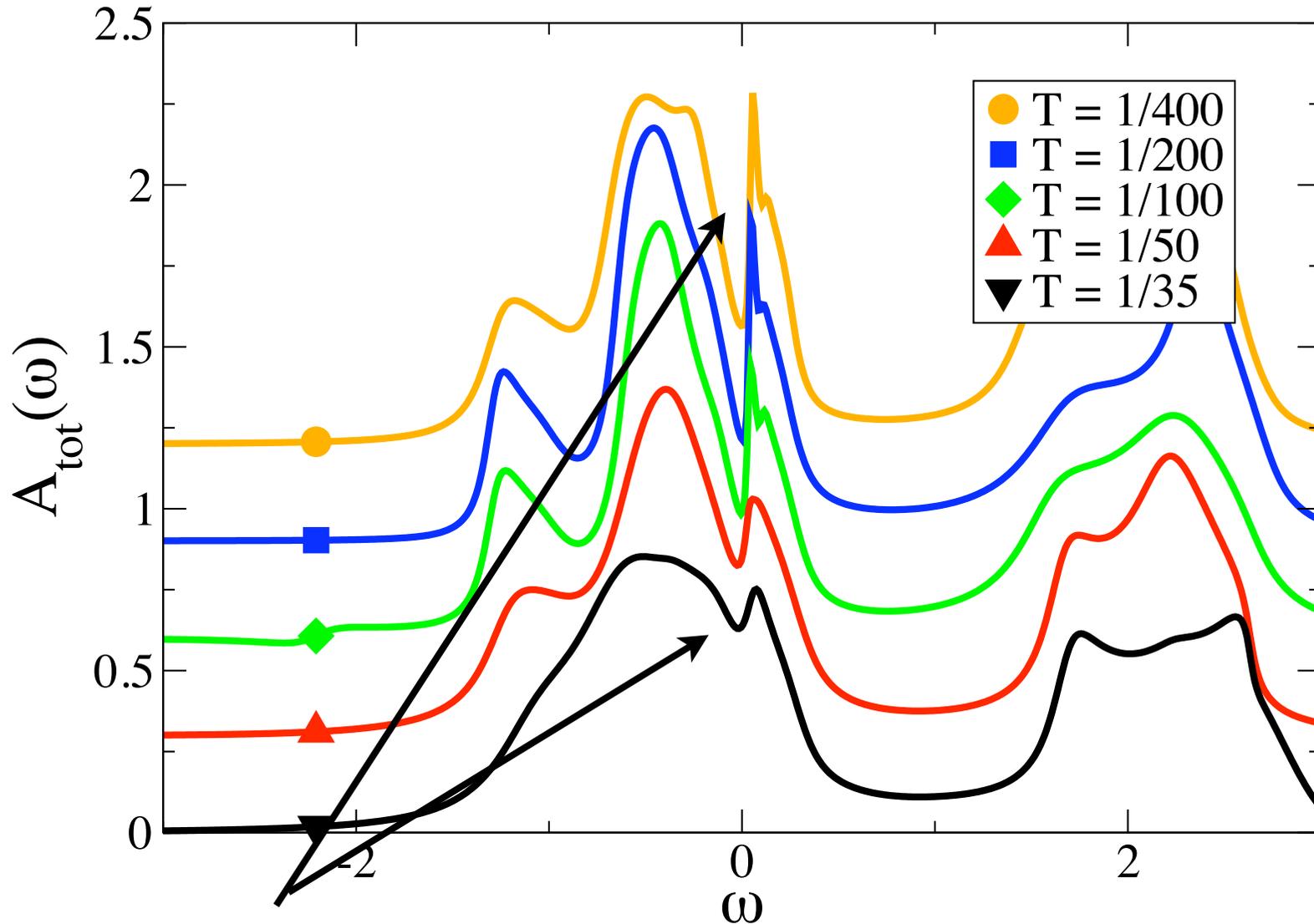
- Effective band transition at low energy, but....



*Energy scale of pseudogap on positive energy side*

- At the antinode, a **pseudogap** appears below the transition. Correlations have a strong effect (e.g. prominent Hubbard bands)

# Pseudo-gap opens upon cooling



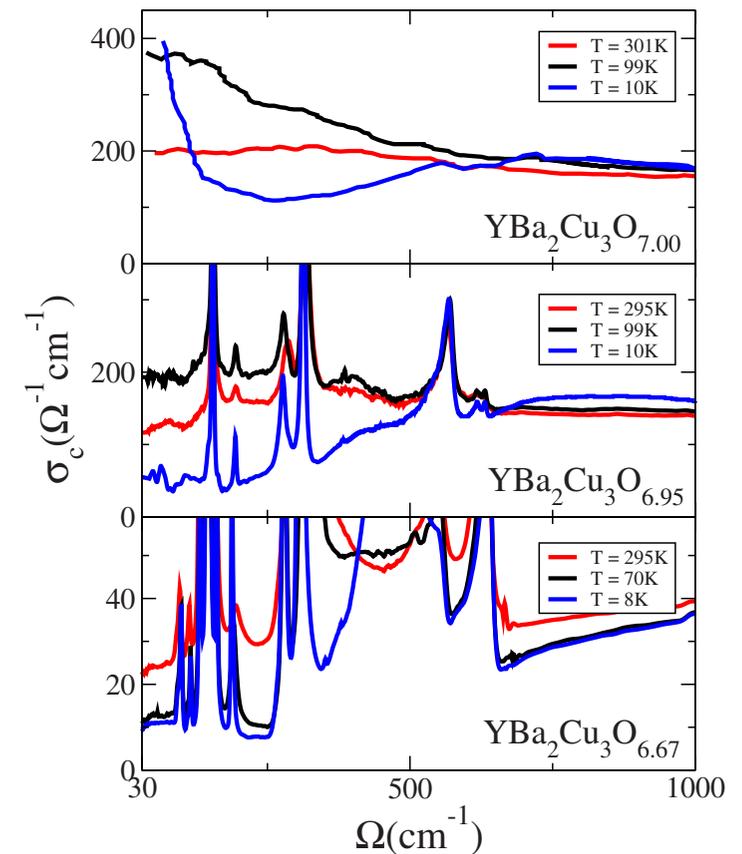
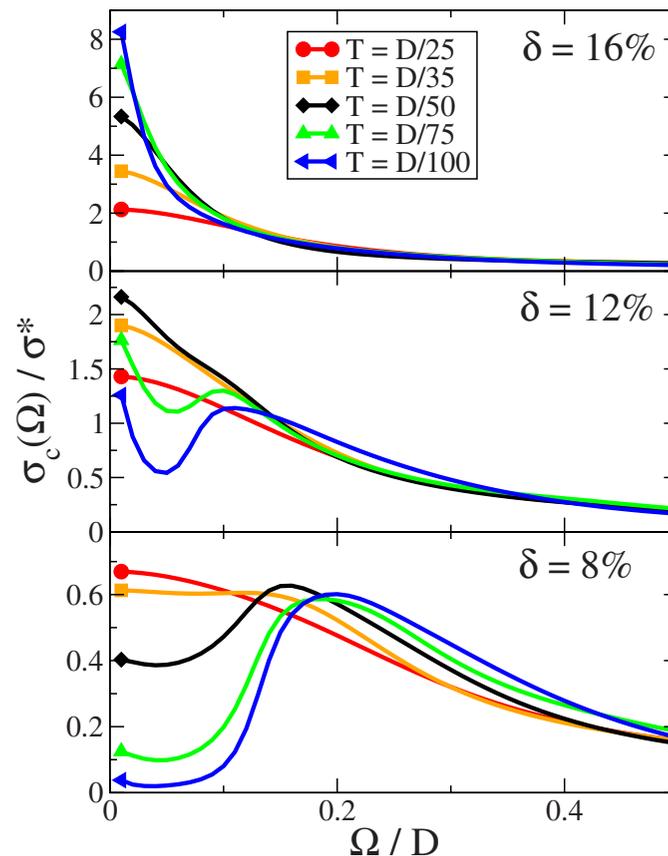
- Total spectral function  $A_{\text{tot}}(\omega)$  for various temperature at  $\delta = 0.08$ . A shift of 0.3 has been added between each curves for clarity.

# Optics : $\sigma_c(\omega)$

*Ferrero, O. P., Georges, Kotliar, Basov, Phys. Rev. B 82 054502 (2010)*

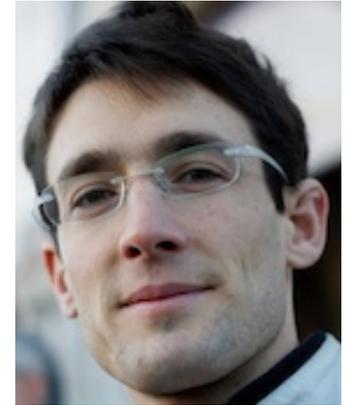
- Pseudo-gap opening in optics.  
Qualitative agreement with experiments

$$\sigma_c(\Omega) = \frac{2e^2c}{\hbar ab} \int d\omega \frac{f(\omega) - f(\omega + \Omega)}{\Omega} \frac{1}{N} \sum_{\mathbf{k}} t_{\perp}^2(\mathbf{k}) A(\mathbf{k}, \omega) A(\mathbf{k}, \Omega + \omega)$$



# Partial summary

- Two impurities in a self-consistent bath à la DMFT capture basic features of pseudo-gap:
  - Selective Mott transition in k-space.
  - Node-antinode differentiation, Fermi Arcs
  - Pseudogap in spectral function vs  $\omega$ , optics ( $\sigma_c$ )
  - Dominated by singlet & (spin 1/2; hole) states.
- How to do better ? Improve resolution ?



## Spin fluctuations and Mott physics

*Marry spin-fluctuation and Mott physics ?  
Better use of a little impurity/dimer in a bath ?*

*T.Ayral, O.P.: [arxiv/1503.07724](https://arxiv.org/abs/1503.07724)*

# Spin fluctuations vs Mott physics

*Cf talks by Chubukov, Pépin,*

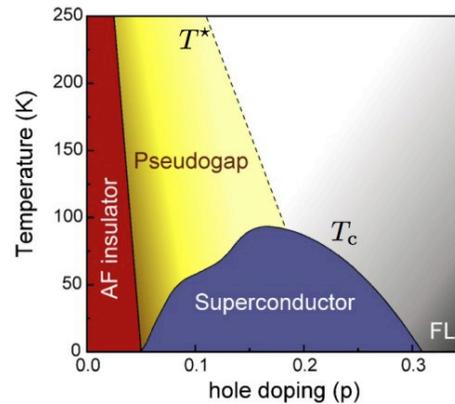
*Many authors e.g.: Pines, Chubukov, Pépin, Onufrieva, Pfeuty, Sachdev, ...*

## Spin fluctuation

- Effect on the (long-range) AF fluctuations on electrons. QCP.
- Simplest diagrammatic form.

$$\Sigma(k, i\omega) \approx \text{Diagram}$$

- Hot/cold spots, d-SC, ...
- No Mott physics

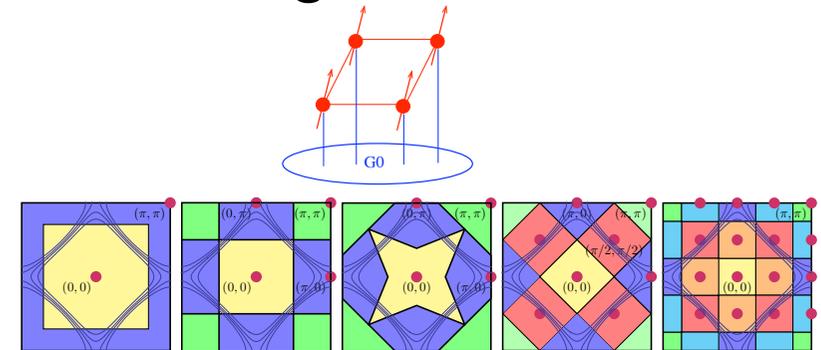


*Cf talks by Millis, Kotliar, Tremblay*

*Many authors: Civelli, Ferrero, Georges, Gull, Haule, Jarrell, Kotliar, Maier, Millis, Sordi, Tremblay, OP, ...*

## Mott physics

- Describe doped Mott insulator.
- Cluster DMFT methods : Mott, short range fluctuations.



- Miss the effect of long range AF fluctuations.

*Both in the same formalism ?*

# Electron-boson interaction vertex

- Decouple the interaction with a boson, in charge/spin channels.

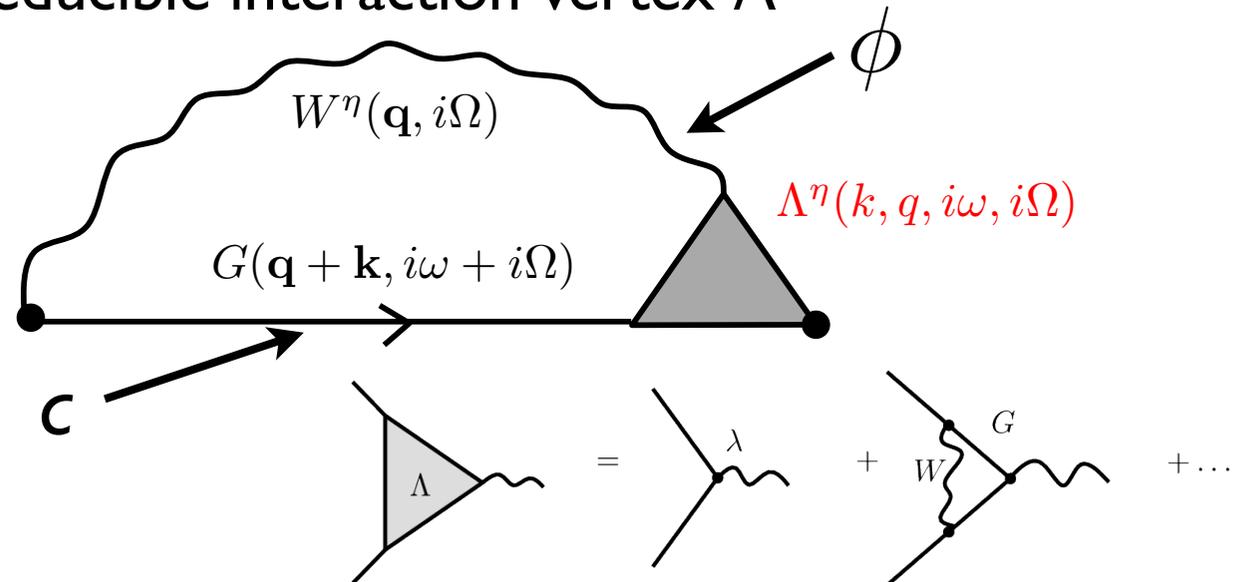
$$S_{\text{latt}} = \int_0^\beta d\tau \sum_{ij} c_{i\sigma\tau}^* \{ \partial_\tau + t_{ij} \} c_{j\sigma\tau} + \sum_{i,I=0,x,y,z} \left[ \frac{1}{2} (U^I)^{-1} \phi_{i\tau}^I \phi_{i\tau}^I + \lambda^I \phi_{i\tau}^I n_{i\tau}^I \right]$$

$\lambda^I = 1$

- Central object : IPI irreducible interaction vertex  $\Lambda$

$$W = \langle \phi \phi \rangle$$

$$\Sigma(\mathbf{k}, i\omega) = \sum_{\eta=ch,sp}$$



- Approximation : local  $\Lambda$  computed via a self-consistent impurity model

$$\Lambda^\eta(\mathbf{q}, \mathbf{k}, i\omega, i\Omega) \approx \Lambda_{\text{imp}}^\eta(i\omega, i\Omega)$$

- Approximation for the vertex  $\Lambda$ , not for self-energy  $\Sigma$  like DMFT.  
(Cf also, D $\Gamma$ A, dual fermions/bosons)

# Definition

$$H = - \sum_{\langle ij \rangle, \sigma = \uparrow, \downarrow} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U n_{i\uparrow} n_{i\downarrow}, \quad n_{i\sigma} \equiv c_{i\sigma}^\dagger c_{i\sigma}$$

- Two simple limits :
  - **Atomic limit** :  $t=0$ , isolated atoms
  - **Weak coupling limit** :  $U=0$ , free electrons.

- A local approximation of the Luttinger-Ward functional  $\Phi$  (2-particle irreducible diagrams) (*Georges-Kotliar '92*).

$$F(G) = \text{Tr} \ln G - \text{Tr}(G_0^{-1}G) + \Phi(G)$$

$$\Sigma = \frac{\delta\Phi}{\delta G}$$

- DMFT definition:

$$\Phi(G) \approx \sum_i \phi_{atomic}(G_{ii}) + \text{cluster corrections}$$

- DMFT is exact for  $U=0$  and in the atomic limit ( $t=0$ ).
- Impurity model in a self-consistent bath: an auxiliary problem, like Kohn-Sham potential in DFT, *Cf Kotliar et al. RMP 2007*
- Which quantity of the quantum many-body problem shall I approximate with the atomic quantity ?
- A functional of the vertex  $\Lambda$  ?

# TRILEX (triply-irreducible local expansion).

- A functional of the vertex *De Dominicis-Martin, Math. Phys. I, '64,*

$$F(G, W, \Lambda) = F_0(G, W, \Lambda) + \mathcal{K}(G, W, \Lambda) \quad \Lambda - 1 = \frac{\delta \mathcal{K}}{\delta \Lambda}$$

*Explicit*

*Vertex corrections*

*3-particle irreducible diagrams*

- **Trilex definition :**

$$\mathcal{K}(G, W, \Lambda) \approx \sum_i \mathcal{K}_{atomic}(G_{ii}, W_{ii}, \Lambda_{iii}) + \text{cluster corrections}$$

$$\Sigma(\mathbf{k}, i\omega) = \sum_{\eta=ch,sp} \left[ \text{Diagram} \right]$$

“Weak coupling”,  $U \rightarrow 0$

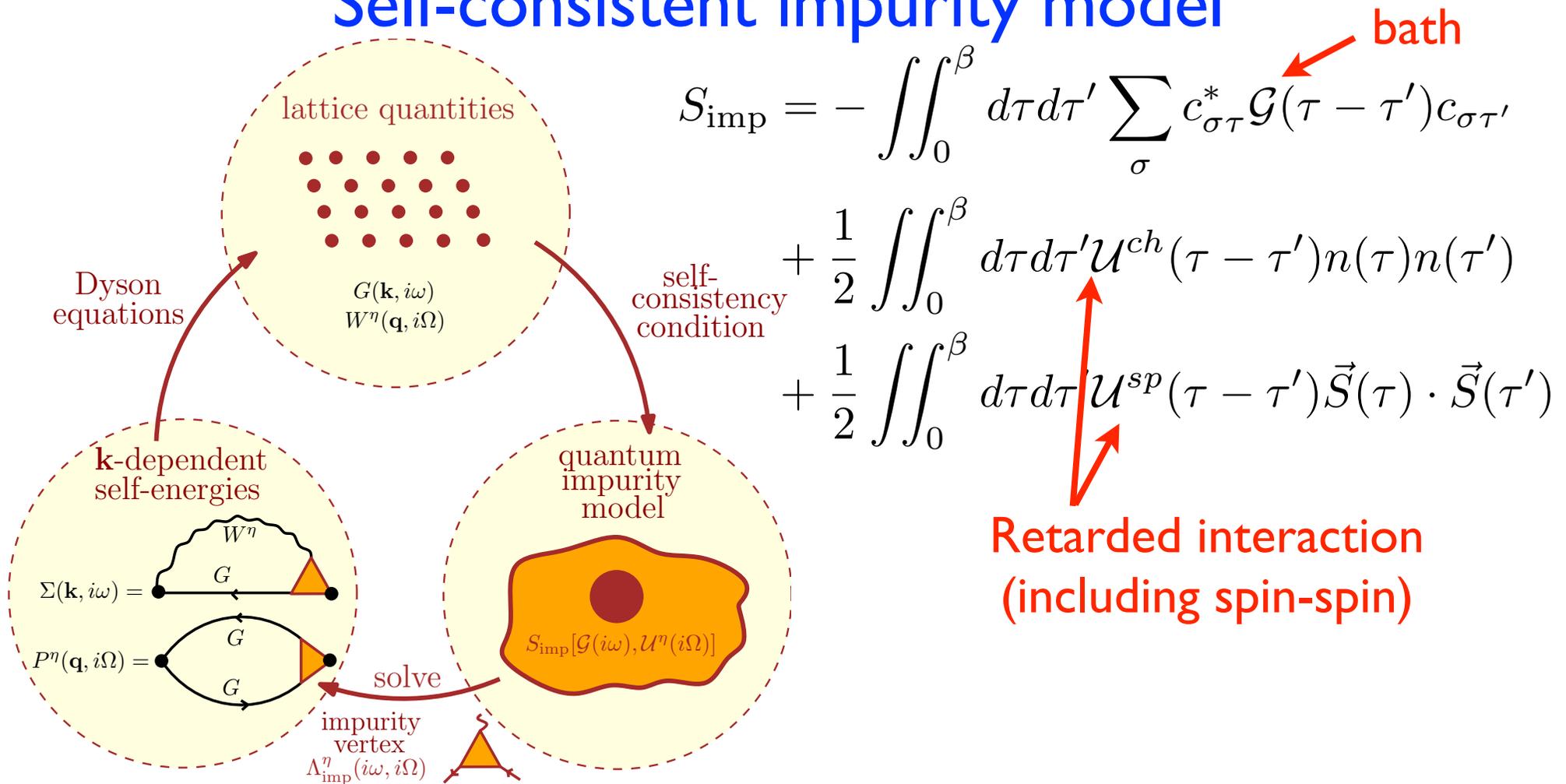
- No vertex correction:  $\Lambda = 1$
- Spin fluctuation diagram

Atomic limit,  $t \rightarrow 0$

- Exact in this limit
- Mott physics (DMFT)

*Spin-fluctuation and DMFT are two “asymptotic” regimes of TRILEX.*

# Self-consistent impurity model



$$S_{\text{imp}} = - \iint_0^\beta d\tau d\tau' \sum_{\sigma} c_{\sigma\tau}^* \mathcal{G}(\tau - \tau') c_{\sigma\tau'} + \frac{1}{2} \iint_0^\beta d\tau d\tau' \mathcal{U}^{ch}(\tau - \tau') n(\tau) n(\tau') + \frac{1}{2} \iint_0^\beta d\tau d\tau' \mathcal{U}^{sp}(\tau - \tau') \vec{S}(\tau) \cdot \vec{S}(\tau')$$

Retarded interaction  
(including spin-spin)

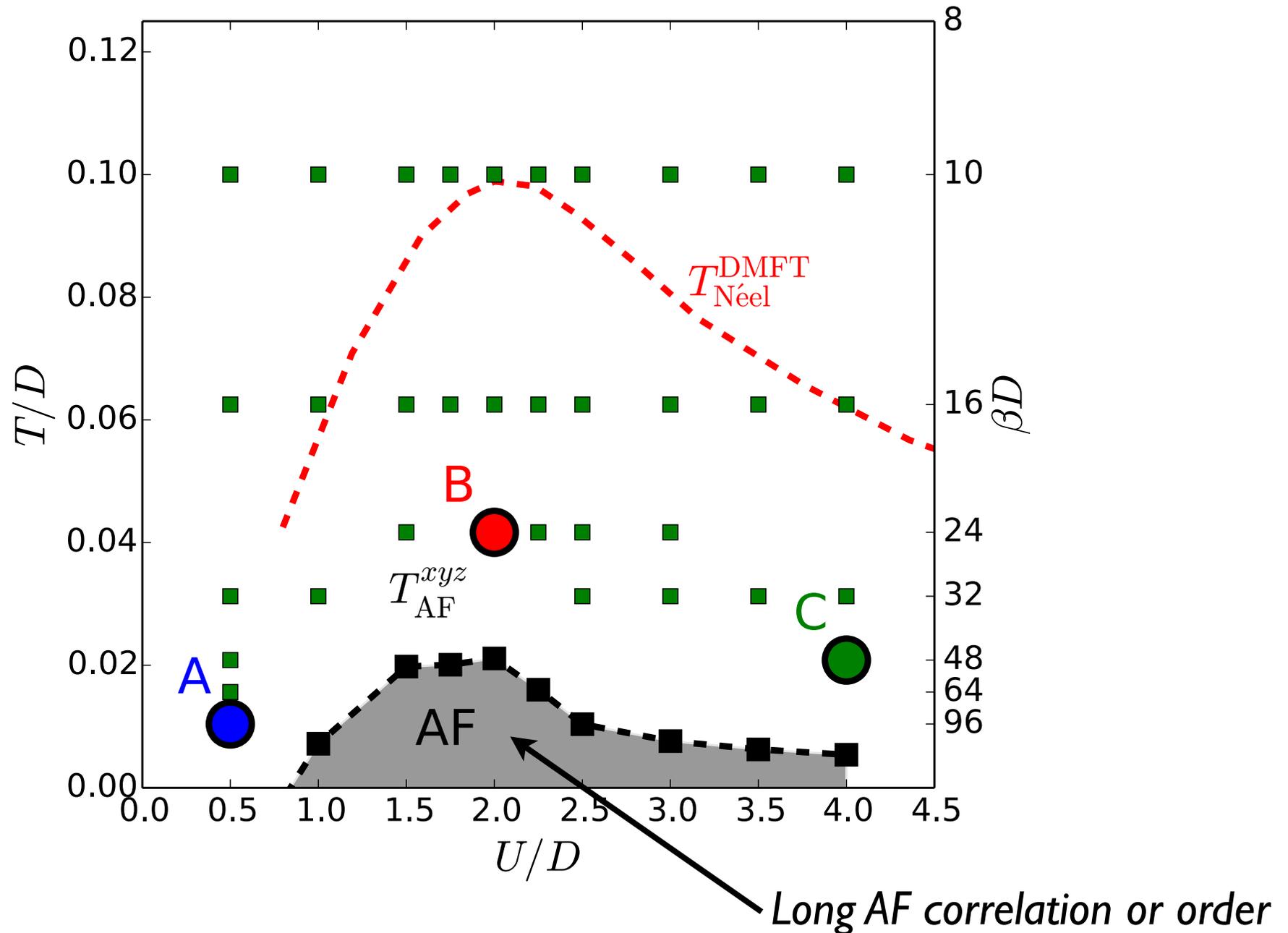
- Compute  $\Lambda$  from three point correlation. Boson integrated here.
- A single site (E)DMFT, **much faster to solve than cluster**
- Algorithm : CTQMC-HYB with double expansion (J. Otsuki, 2013).  
No sign problem.

# Features: theory summary

- Spin fluctuations and Mott Physics built in, as two asymptotic regimes.
- k-dependent self-energies
- Susceptibilities (charge, spin), fnt  $(q, \omega)$
- The central object is the vertex  $\Lambda$ , i.e. effective interaction between electrons and bosonic fluctuation. Mechanisms ?
- Controlled by clusters, up to the exact solution, like cluster DMFT.
- Solvable with today's algorithms ...

Some results ...

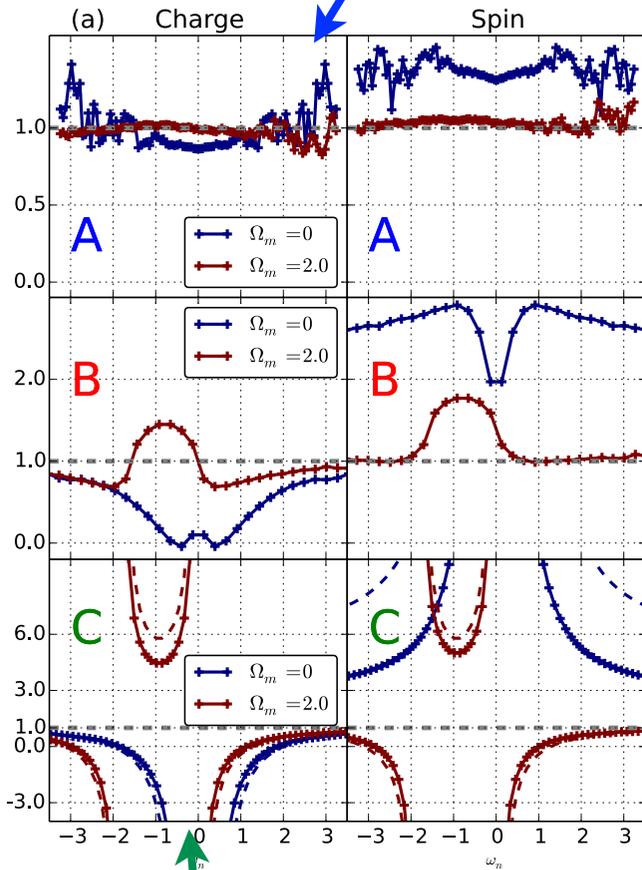
# Phase diagram at half-filling



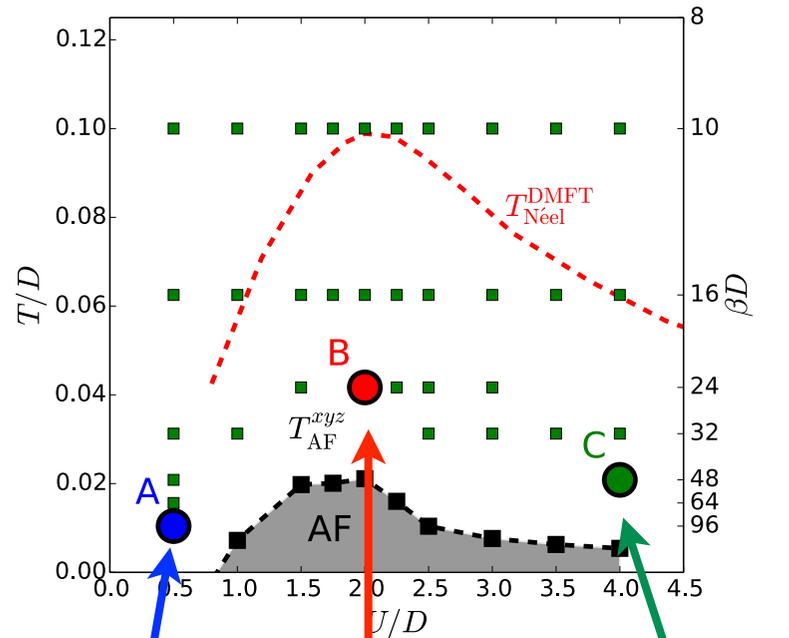
# Metal to insulator

$$\Lambda_{imp}(i\omega, i\Omega)$$

$$\Lambda_{imp} = I$$



$$\Lambda_{imp} = \Lambda_{atomic} \omega$$

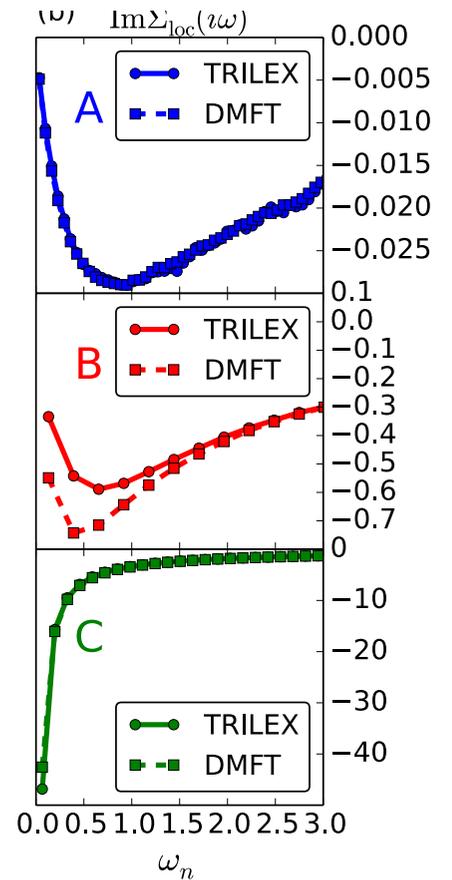


Metal

Correlated metal

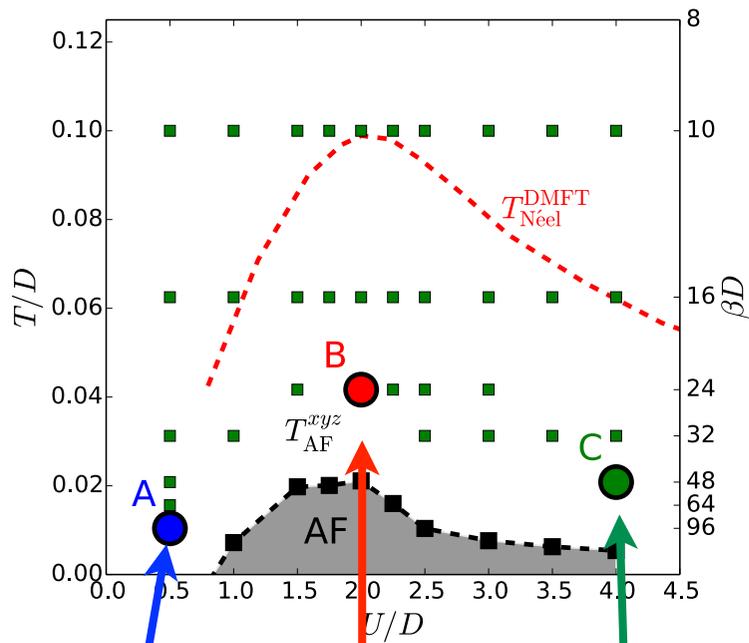
Insulator

$$\text{Im}\Sigma_{loc}(i\omega)$$



Vertex  $\Lambda$  drives the Mott transition

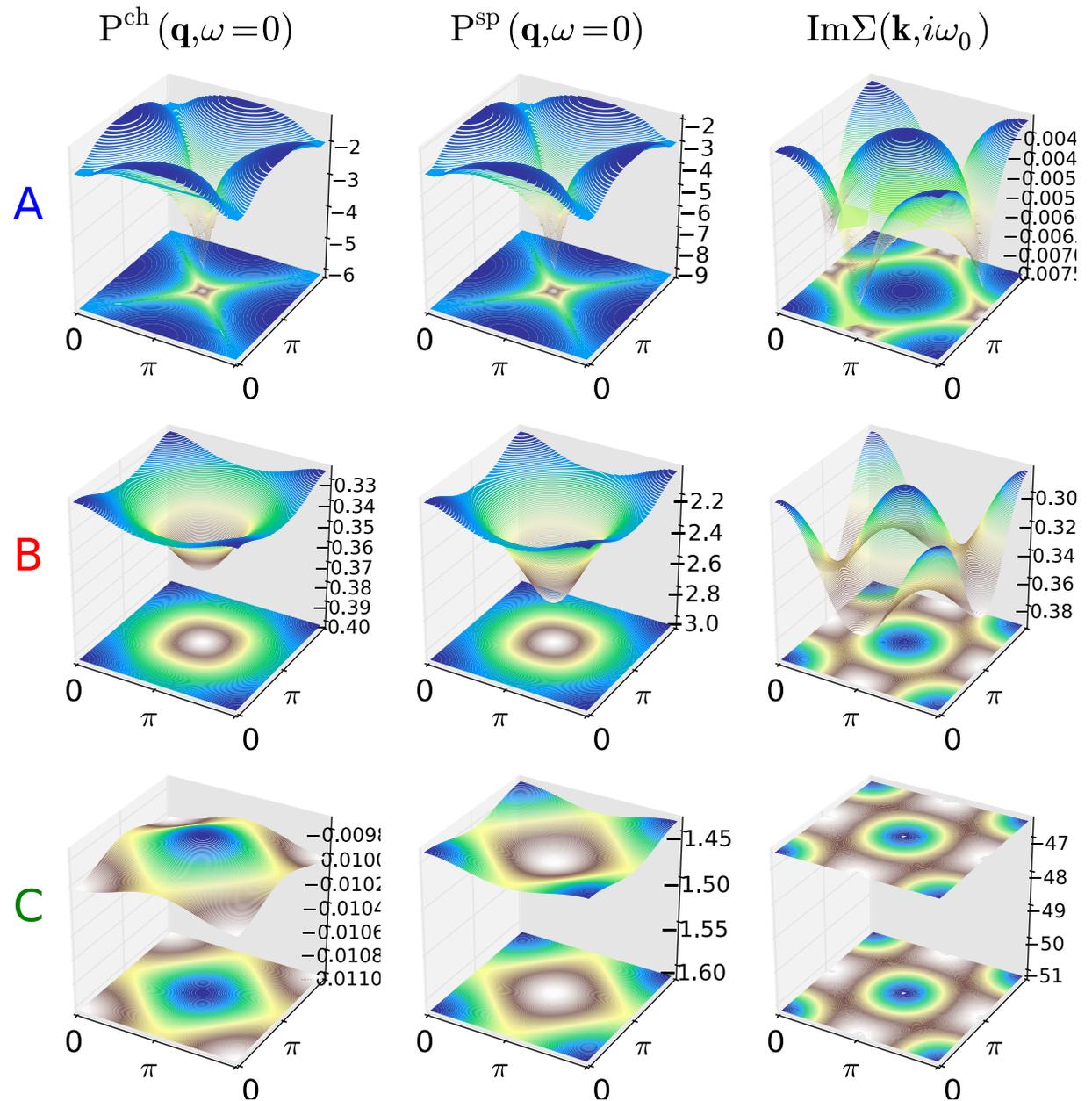
# k-dependent self-energy and polarization



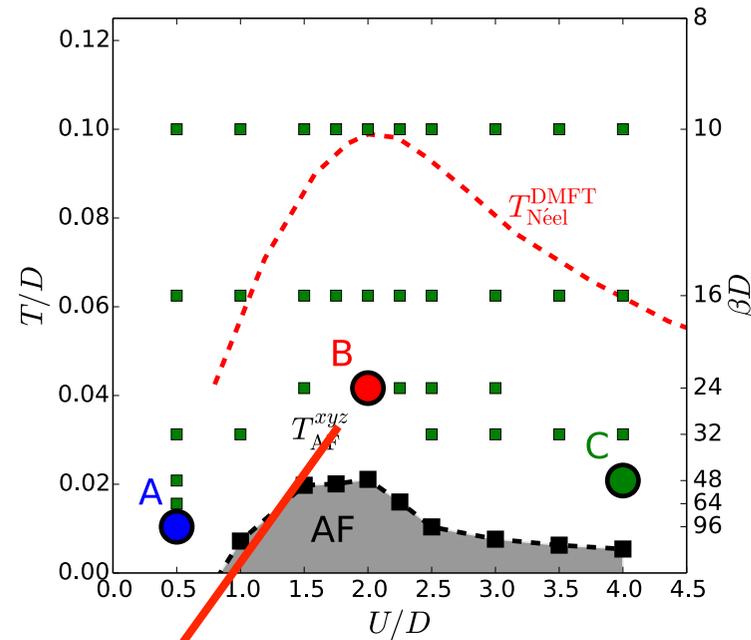
**Metal**  
 $\Sigma(k, \omega)$

**Insulator**  
 $\Sigma$  almost local  
 $\approx$  DMFT

**Correlated metal**  
**Vertex correction**  
**Not DMFT,**  
**k dependent  $\Sigma$**

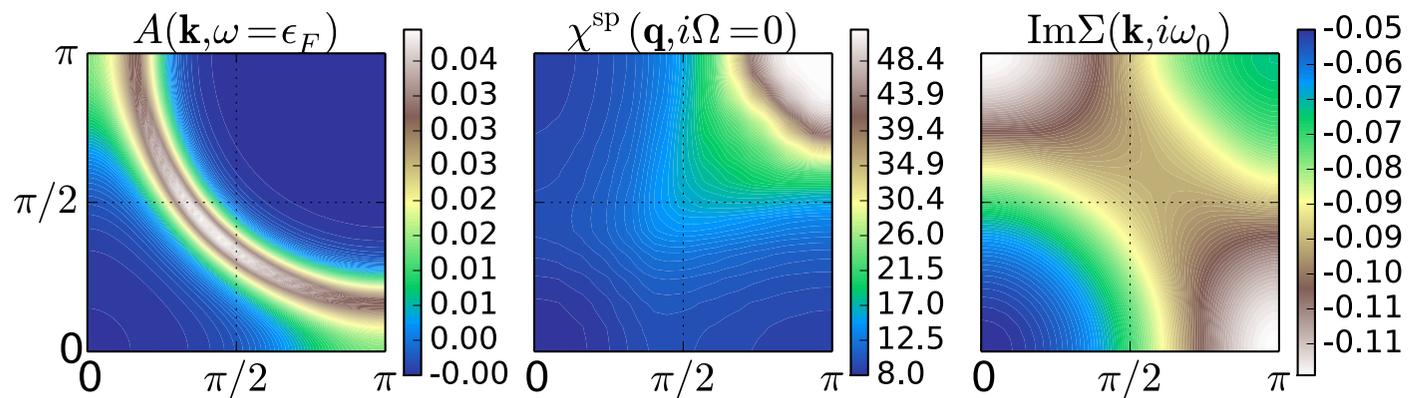


# Doping at intermediate U



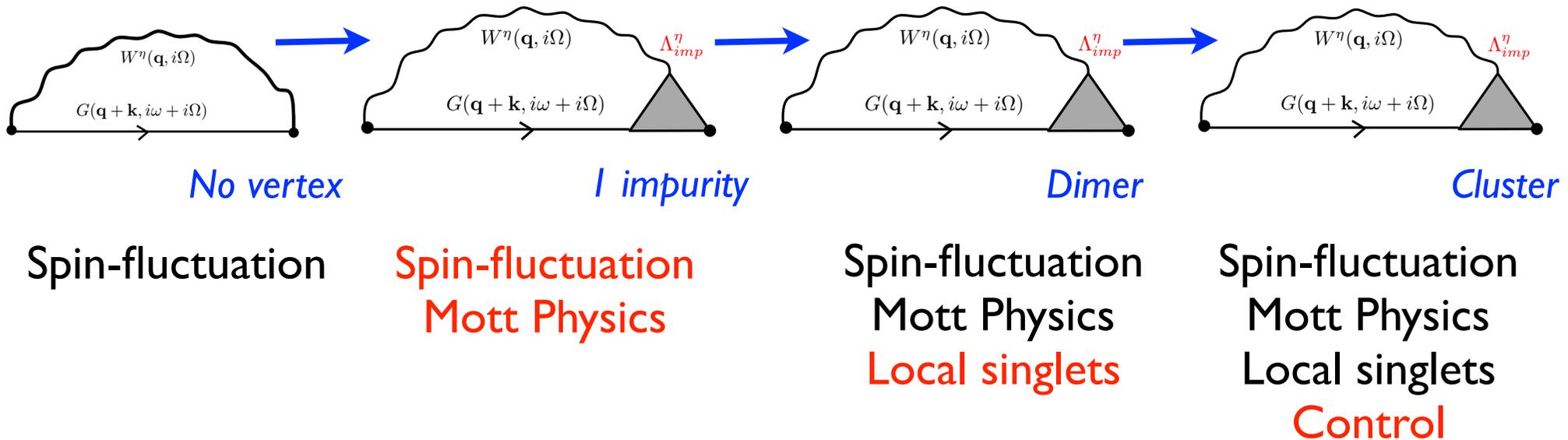
- $t' = -0.4t$ ,  $\delta = 10\%$ ,  $\beta D = 96$

*Fermi Arc*



# Where is the dimer ?

- Systematic cluster corrections for TRILEX.



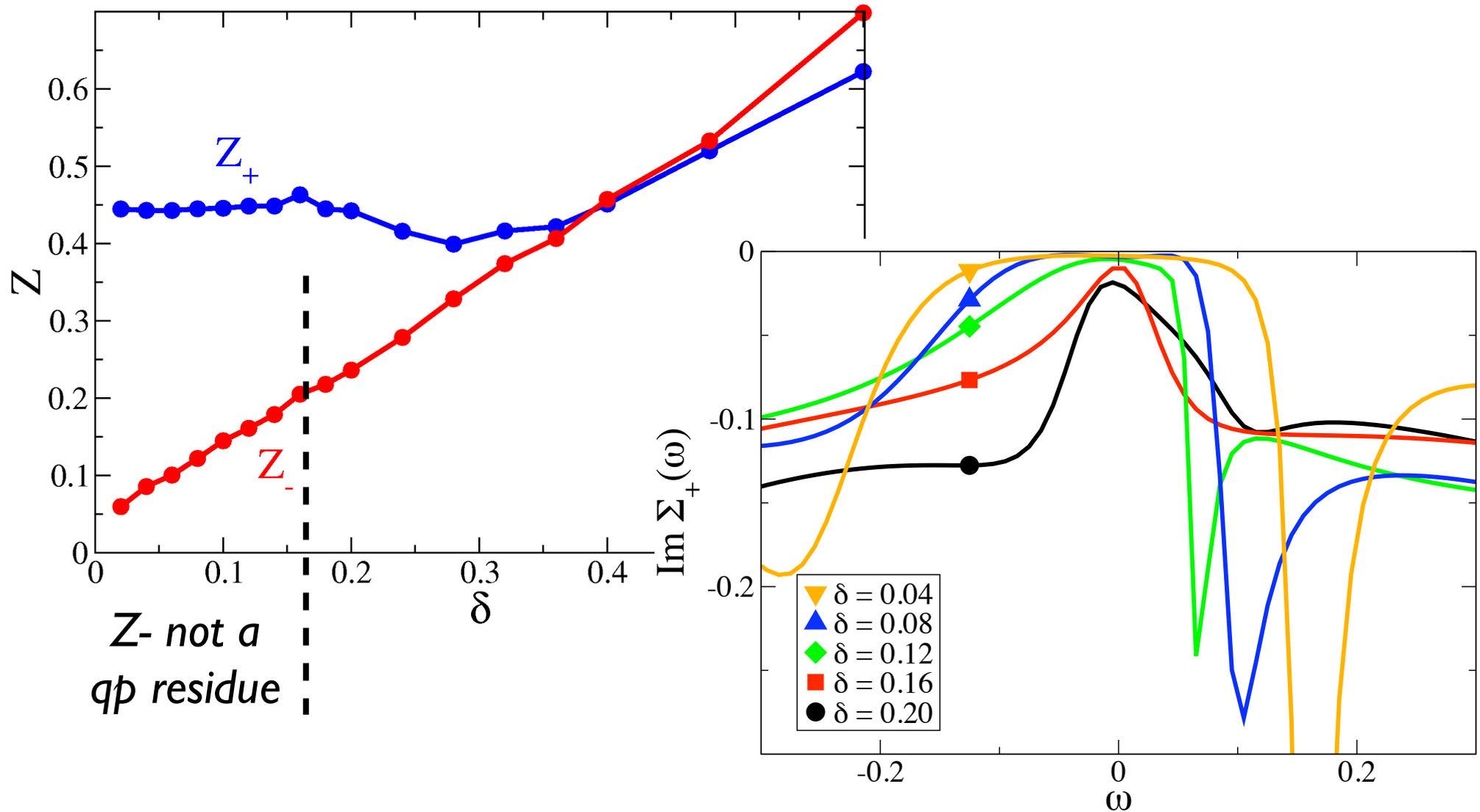
- Decoupling in the physically relevant channel (spin, charge ...) leads to a much faster convergence vs cluster size ?

# Conclusion

- Two impurities in a bath : a minimal approach to pseudogap
- TRILEX : Spin-fluctuations and Mott physics in the same framework
  - k-dependant self-energies
  - Mott physics
  - Long range spin/charge fluctuations
  - Materials ? Multiorbitals ? Easier to solve than clusters ?
- Work in progress :
  - d-SC in TRILEX
  - Dimer and cluster corrections
  - Systematic benchmark of the method.

Thank you for your attention

# Quasiparticle weights and lifetime: node “protected”<sup>30</sup>



- Below the critical doping, when the odd orbital is insulating, the even (nodal) orbital has a roughly constant quasiparticle residue: it is “protected”



## Two “simple” limits

$$\Sigma(\mathbf{k}, i\omega) = \sum_{\eta=ch,sp} \text{Diagram}$$

$$H = - \sum_{\langle ij \rangle, \sigma=\uparrow, \downarrow} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + U n_{i\uparrow} n_{i\downarrow},$$

“Weak coupling”,  $U \rightarrow 0$

$$\Lambda_{imp} \approx \lambda$$

- No vertex correction.
- Spin fluctuation diagram

Atomic limit,  $t \rightarrow 0$

$$\Lambda_{imp}(i\omega, i\Omega) \approx \Lambda_{atomic}(i\omega, i\Omega)$$

- Exact in this limit
- Mott physics (DMFT)

*Spin-fluctuation and DMFT are two “asymptotic” regimes of TRILEX.*

# Functionals ...

- DMFT is a local approximation of the Luttinger-Ward functional  $\Phi$  (2-particle irreducible diagrams) (*Georges-Kotliar '92*).

$$\Phi(G) \approx \phi_{atomic}(G_{ii}) \quad \text{DMFT}$$

$$\Sigma = \frac{\delta\Phi}{\delta G}$$

- Here, we make a similar approximation on the higher-order functional introduced in *De Dominicis-Martin, Math. Phys. I, '64*, made of 3-particle irreducible diagrams ...

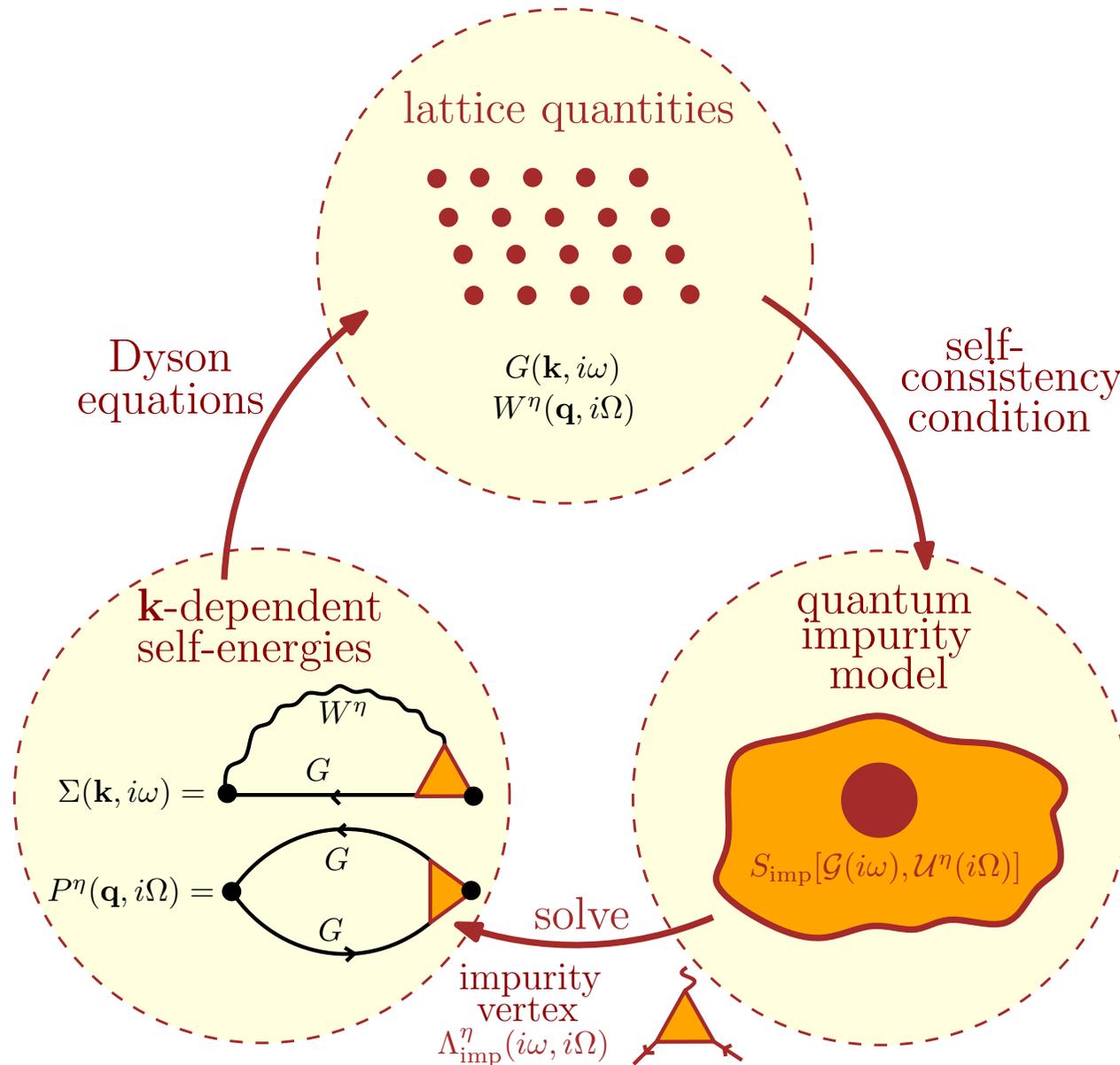
$$\Lambda - 1 = \frac{\delta\mathcal{K}}{\delta\Lambda}$$

$$\mathcal{K}(G, W, \Lambda) \approx \mathcal{K}_{atomic}(G_{ii}, W_{ii}, \Lambda_{iii})$$

**TRILEX** (*triply-irreducible local expansion*).

# TRILEX : overview

- Solved via a self-consistent, auxiliary quantum impurity model

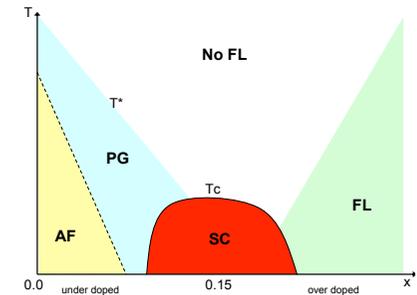


# DMFT & clusters ...

- Cluster DMFT : a systematic approach to study Mott physics.

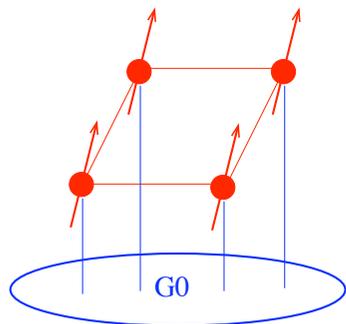
- Mott physics and short-range fluctuations.

- One control parameter :  
 $N_c$ , size of cluster or number of patches

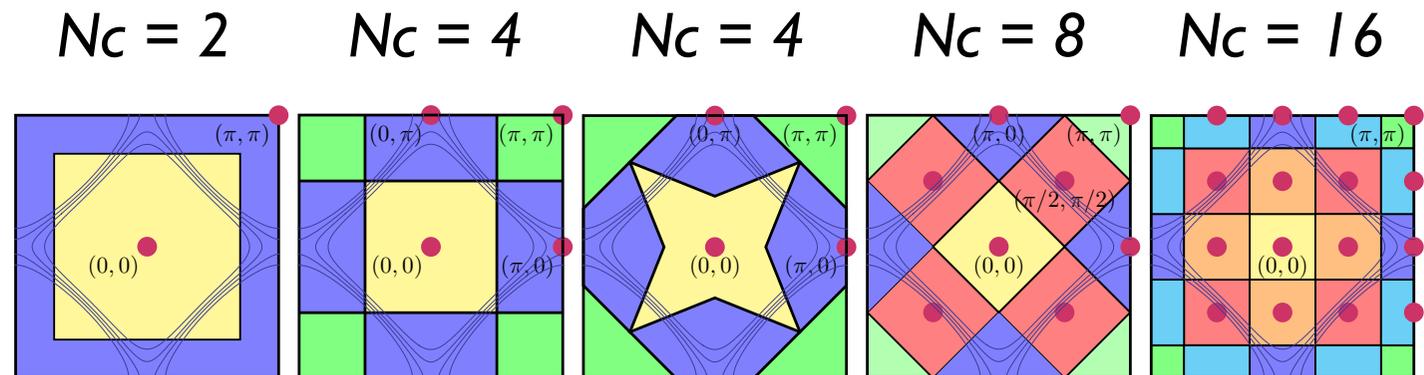


- Better algorithms for large clusters (*Gull, Werner, OP, Troyer 2008*)

## Various cluster shapes



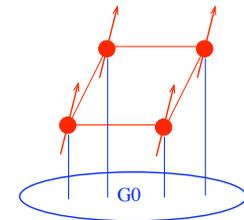
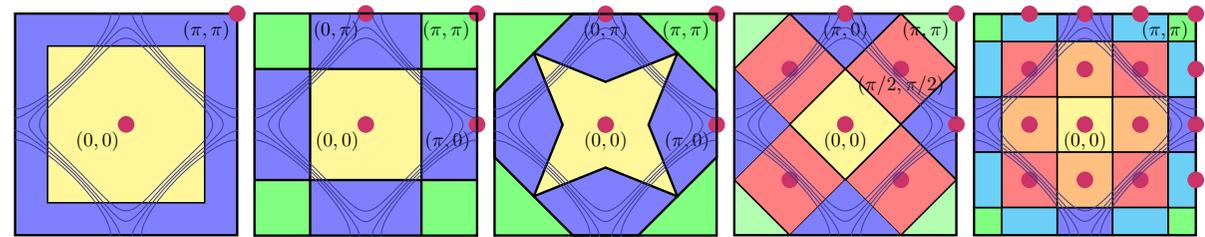
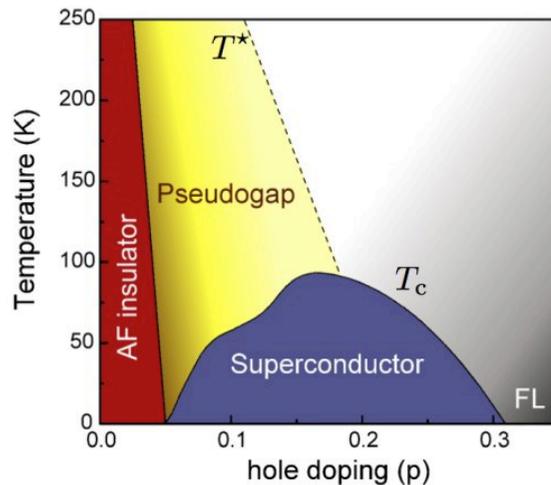
Real space



Reciprocal space

# Cluster DMFT & Hubbard model

36



- Pioneering work 2x2 clusters
  - *Lichtenstein, Katsnelson PRB 62, R9283 (2000).*
  - *Maier, Jarrell, Pruschke, Keller, PRL 85, 1524 (2000).*
- DCA method *M. H. Hettler, M. Mukherjee, M. Jarrell, and H. R. Krishnamurthy Phys. Rev. B 61, 12739 (2000)*
- *Rutgers Group ; Kotliar, Haule, et al.*
- 8 sites : Gull, OP, Millis (2009-2013).