

# Particules Élémentaires, Gravitation et Cosmologie

## Année 2009-'10

### Théorie des Cordes: une Introduction

#### Cours XIV: 26 mars 2010

#### M-théorie et unification

- Heterotic string theories
- Supergravity in  $D=11$
- Dualities among string theories
- Six theories in search of a Mother
- Conclusion, next year.

# The Heterotic String

The heterotic string starts from the observation that, for closed strings, one can impose **different** conditions on **left and right** movers. What happens if we try to combine a **superstring** theory for **right-movers** with a **bosonic** string for **left-movers**?

Consistency with 2D-anomaly cancellation requires **D=10** for the right movers and **D=26** for the left-movers. How can we make sense of such a situation? The answer is to use the compactification idea for the **16 = 26-10** extra left-moving bosonic coordinates and to go to  $O(I_5)$  compactification radii.

Consistency with modular invariance will constrain the **lattice** of the quantized left-momenta to be **even and self-dual**, but, given that there is no right-moving part, the lattice now has to be also **Euclidean**. And even means now  $p_L^2 = 4n/\alpha'$ .

Such lattices are rare. They only exist for **d=8n**, but, fortunately for us, **d=16!**

In fact, in  $d=8$  there is only one even self-dual lattice:

$$\Gamma_8 : (n_1, n_2, \dots, n_8) \text{ or } (n_1 + \frac{1}{2}, n_2 + \frac{1}{2}, \dots, n_8 + \frac{1}{2}) \text{ with } \sum_i n_i \text{ even}$$

It has **240** vectors of  $\text{length}^2 = 2$ . In  $d=16$  there is either a **trivial extension** or just **2 copies** of the same. These two possibilities give rise to the **2 consistent heterotic strings**.

Their light spectrum contains massless vectors (from the  $k_L^2=0,2$  states), the Lorentz index being carried by the right-moving part, the gauge label by the left movers. They fill either the adjoint representation of  **$SO(32)$**  or the one of  **$E_8 \times E_8$** , both of dimensionality 496 (16+480).

Incidentally, one arrives at exactly the same conclusion by using a property of  $D=2$  QFT known as **fermionization** (or bosonization). In  $D=2$ , **one** compact left-moving **bosonic** coordinate (like  $X_5$ ) is equivalent to **2** left-moving **fermionic** coordinates. In our case the 16 left-moving bosons give rise to **32 left-moving fermions** and  $SO(32)$  comes out very simply ( $E_8 \times E_8$  only after some extra work!).

# Heterotic spectra

As for Type II strings, the quantum numbers of the massless spectrum of the heterotic strings is given by multiplying the left and right-moving (Lorentz, gauge) quantum numbers:

$$\text{Bosons: } [(8_v, 1) + (1, 496)] \times (8_v, 1) = (1 + 28 + 35, 1) + (8_v, 496)$$

$$\text{Fermions: } [(8_v, 1) + (1, 496)] \times (8_c, 1) = (8_s + 56, 1) + (8_c, 496)$$

Interestingly, for the  $SO(32)$  case the above supersymmetric spectrum coincides with the one of the  $SO(32)$  Type I string (this is no longer true for the massive states).

In conclusion, we arrived, so far, at the definition of **5 consistent** (no ghost, no tachyon, no anomalies, modular invariant) **string theories**. They are all **supersymmetric**, live in **D=10**, and some of them can lead to **chiral fermions in D=4** after compactification (= phenomenologically interesting).

# Supergravity in D=11

**D=10** is surprisingly close to **D=11** which was known for sometime to be the **maximal number of dimensions** in which consistent interacting supersymmetric theories can be constructed (otherwise massless particles of spin higher than 2 are needed and put several problems).

D=11 supergravity was studied for quite sometime even before the 1984 GS revolution with the hope to solve the UV problems of quantum gravity. The bosonic part of its action looks as follows:

$$S = \frac{1}{2\kappa_{11}^2} \int d^{11}x \sqrt{-g_{11}} \left( R_{11} - \frac{1}{2} F_4^2 \right) - \frac{1}{6} \int A_3 \wedge F_4 \wedge F_4$$

Thus, in D=11, one has just two fields: the **metric** and a **3-form** potential (with the associated 4-form field strength).

$$S_{11} = \frac{1}{2\kappa_{11}^2} \int d^{11}x \sqrt{-g_{11}} \left( R_{11} - \frac{1}{2} F_4^2 \right) - \frac{1}{6} \int A_3 \wedge F_4 \wedge F_4$$

Let us see what this theory becomes when the **11<sup>th</sup> dimension** is a **circle** of radius **R**. We proceed as before defining:

$$ds_{11}^2 = g_{\mu\nu} dx^\mu dx^\nu + e^{2\sigma} (dx^{11} + A_\mu dx^\mu)^2$$

The effective action in D=10 turns out to be:

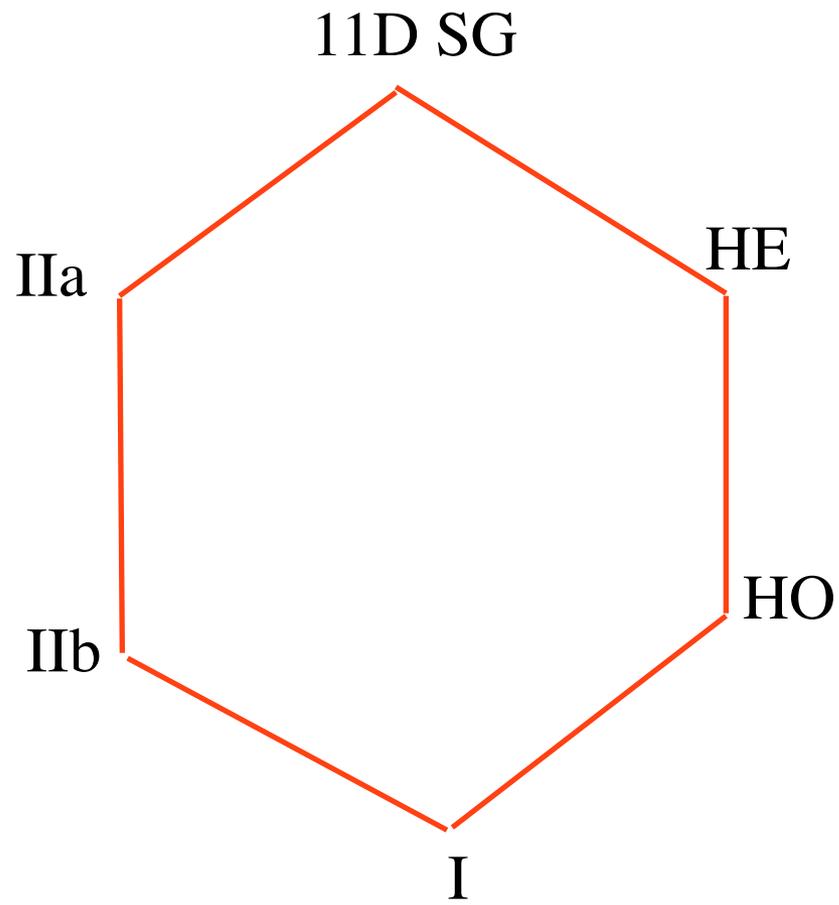
$$S_{10} = -\frac{\pi R}{2\kappa_{11}^2} \int d^{10}x \sqrt{-g_{10}} \left( 2e^\sigma R_{10} + e^{3\sigma} F_2^2 + e^{-\sigma} F_3^2 + e^\sigma \tilde{F}_4^2 \right) + \text{C.S. terms}$$

where **F<sub>2</sub>** is the 2-form associated with **A<sub>μ</sub>**, while **F<sub>3</sub>** and **F<sub>4</sub>** follow from the dimensional reduction of the D=11 **F<sub>4</sub>** (the tilde meaning that we have added a little **A<sub>1</sub>∧F<sub>3</sub>** piece).

Thus, at the  $D=10$  level we have the metric, a scalar, a 2-form, a 3-form and a 4-form. But this looks like a "déjà vu": it's the set of bosonic massless fields of the  $D=10$  Type IIA superstring! Indeed the low energy actions fully coincide after some field redefinition (as it should, since  $D=10$  supersymmetry is a very strong constraint).

This was the first indication that  $D=11$  supergravity may have something to do with  $D=10$  superstrings!

Let us now look at the 6 theories so far discussed and let us put them at the corners of a hexagon. A "web of dualities" appears to connect them all as different limits of one and the same (yet largely unknown) theory, called  $M$ -theory (sometimes people refer to  $D=11$  SG as  $M$ -theory but that's just its low-energy limit). In the rest of this lecture I will mention very qualitatively the nature of this web...



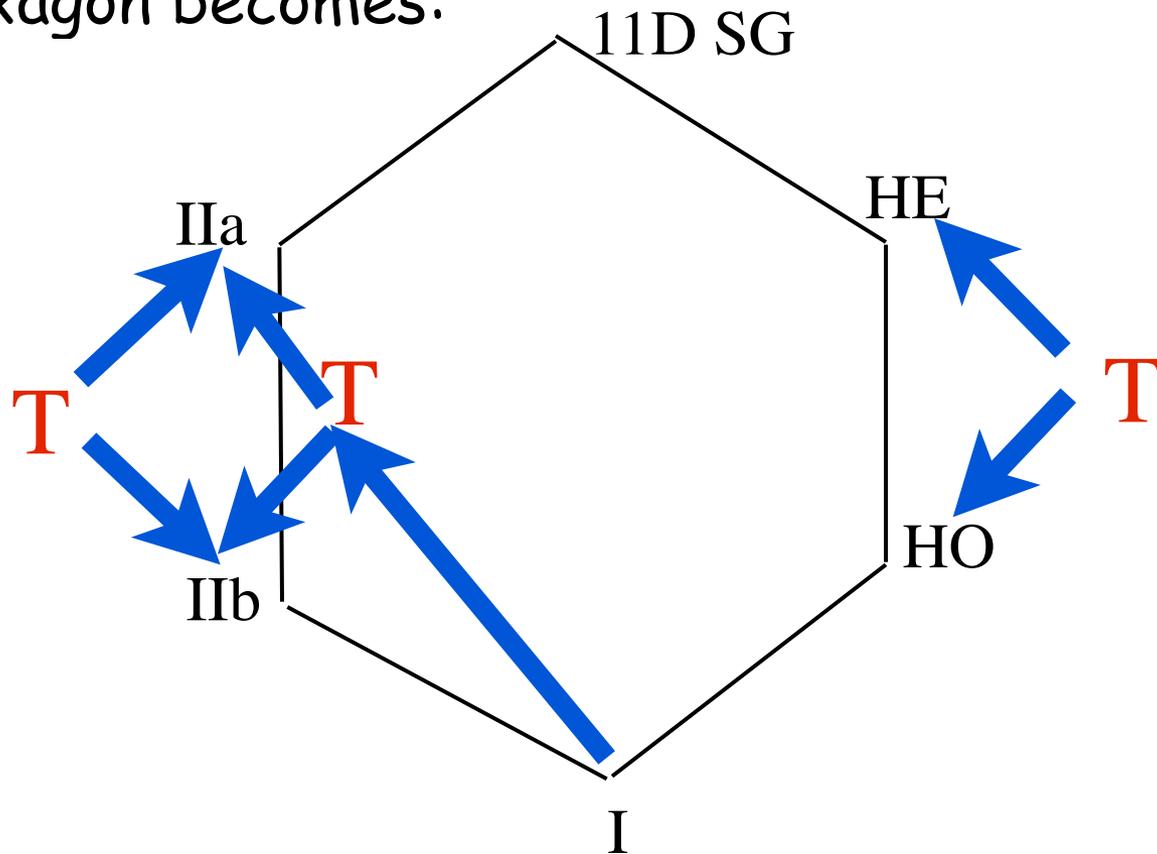
# T-dualities among string theories

1. There is a **T-duality** connection between **IIa and IIb**. If we consider both theories with one compact coordinate, say  $X_9$ , and we perform a T-duality transformation we find that the **odd-n RR forms** of **IIa** go into the **even-n RR forms** of **IIb** and vice versa according to a simple rule:

$$(C_9, C_\mu)_A \rightarrow (C, C_{\mu 9})_B ; (C_{\mu\nu 9}, C_{\mu\nu\rho})_A \rightarrow (C_{\mu\nu}, C_{\mu\nu\rho 9})_B \dots$$

2. There is also a **T-duality** connection between **HO and HE**. Let us compactify **d** of the 9 coordinates that are common to left and right movers. The consistent compactifications are now given by **even-self dual Lorentzian lattices** connected to one another by a non-compact "Narain" group  **$O(d, 16+d)$** . Unlike the isolated even self dual Euclidean lattices, these are now connected by a continuous set of consistent (but inequivalent) theories and are equivalent thanks to the discrete **T-duality subgroup** of  **$O(d, 16+d)$** .

3. There is a more subtle **T-duality** between **IIa,b** on one side and **Type I** on the other. That sounds a priori very surprising since Type I has an  $SO(32)$  **gauge symmetry** while Type II has no gauge interactions. Indeed, T duality connects Type I to Type II provided one **adds** to the latter a consistent set of **branes** (and then open strings attached to them). Our previous exagon becomes:



# S-dualities among string theories

**T-duality** is a **perturbative** (although believed exact) symmetry of string theory in the sense that it holds order by order in the string coupling.

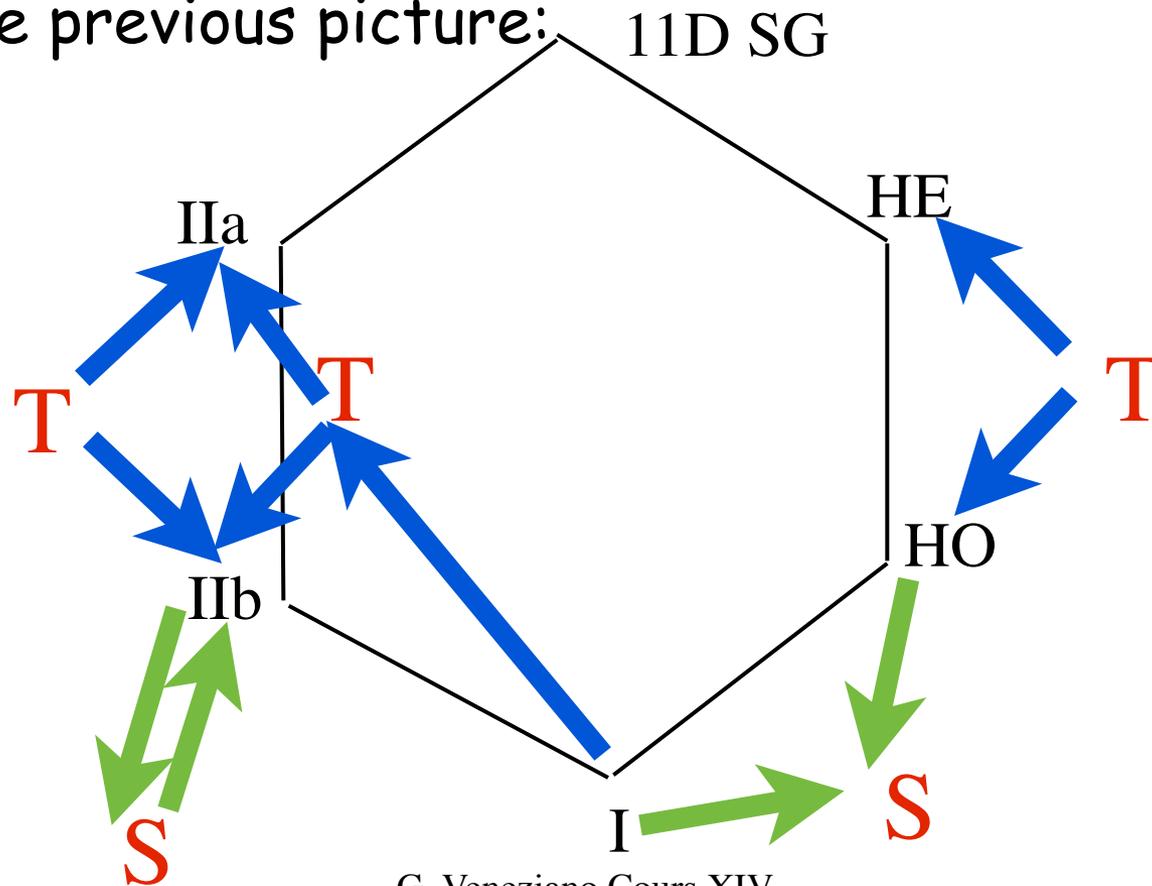
A qualitatively different symmetry, called **S-duality**, connects instead a **strongly** coupled theory to a **weakly coupled** one. As such checking explicitly its validity is much harder (basically one needs non-renormalization theorems due to supersymmetry in order to do so).

S-duality is a close relative of **electric-magnetic duality** already known in some QFT's which admit electric and magnetic charges. Since **Dirac's quantization condition** fixes the product of the two, large electric charge means small magnetic charge and viceversa. A  **$Z_2$**  group exchanges them.

In string theory the  $Z_2$  group is extended to an  **$SL(2, Z)$**  group acting on  **$S = C_0 + i \exp(-2\Phi)$**  where  $C_0$  is a pseudoscalar.

In other words S-duality puts together in a single group the transformation  $g^2 \rightarrow 1/g^2$  and a **shift of  $C_0$**  meaning that  $C_0$  is a periodic variable (Cf. the gauge coupling and the vacuum angle in QCD).

There are strong arguments suggesting that the **IIb** theory is **self-dual** and that **Type I** is **S-dual** to **HO**. We thus complete the previous picture:



# From D=11 to D=10

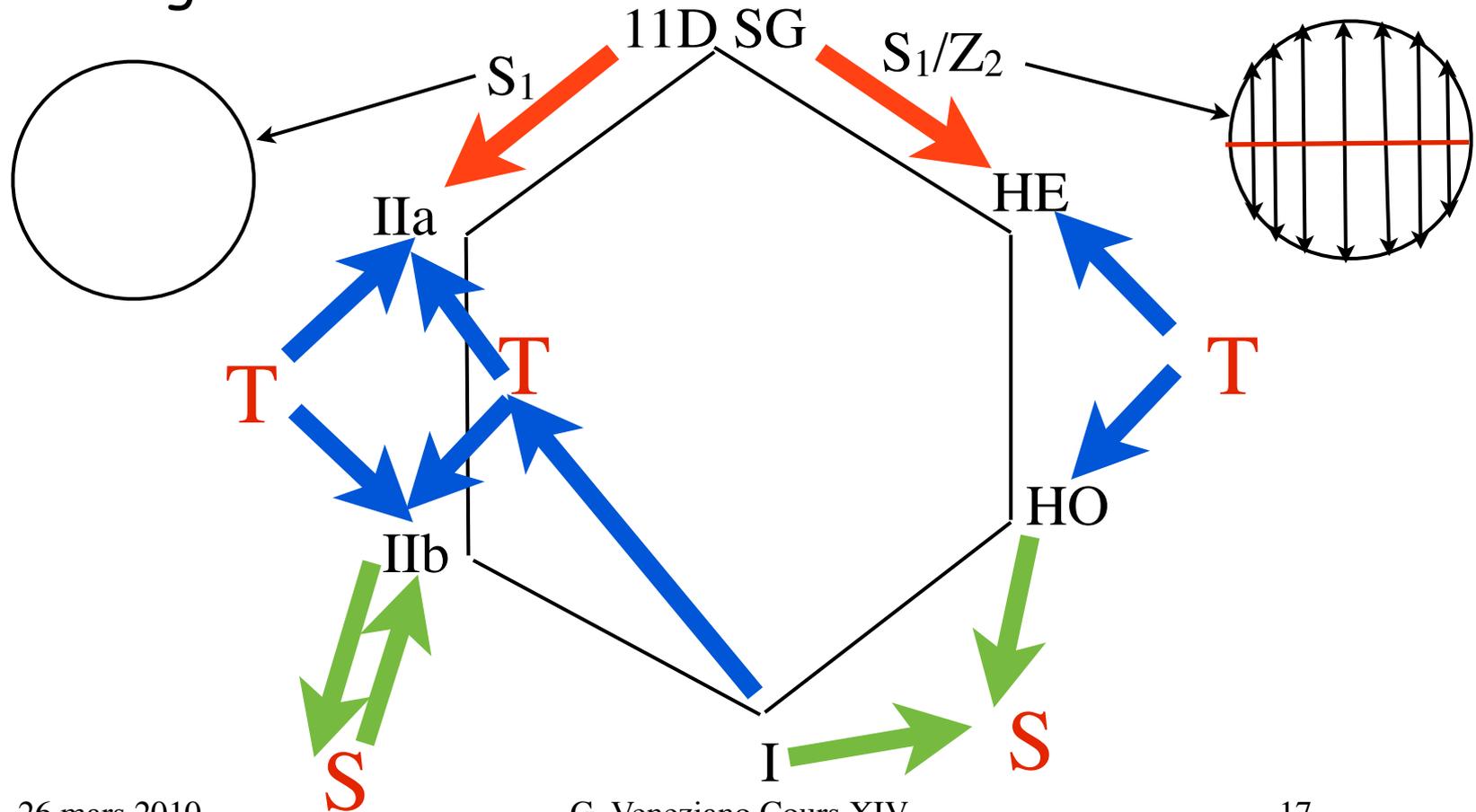
The final “miracle” is the connection between a **D=11** and **two D=10 theories**, without use of dimensional reduction.

Recall that in the D=11 SUGRA action there was no dilaton but only a metric and a 3-form potential.

$$S_{11} = \frac{1}{2\kappa_{11}^2} \int d^{11}x \sqrt{-g_{11}} \left( R_{11} - \frac{1}{2} F_4^2 \right) - \frac{1}{6} \int A_3 \wedge F_4 \wedge F_4$$

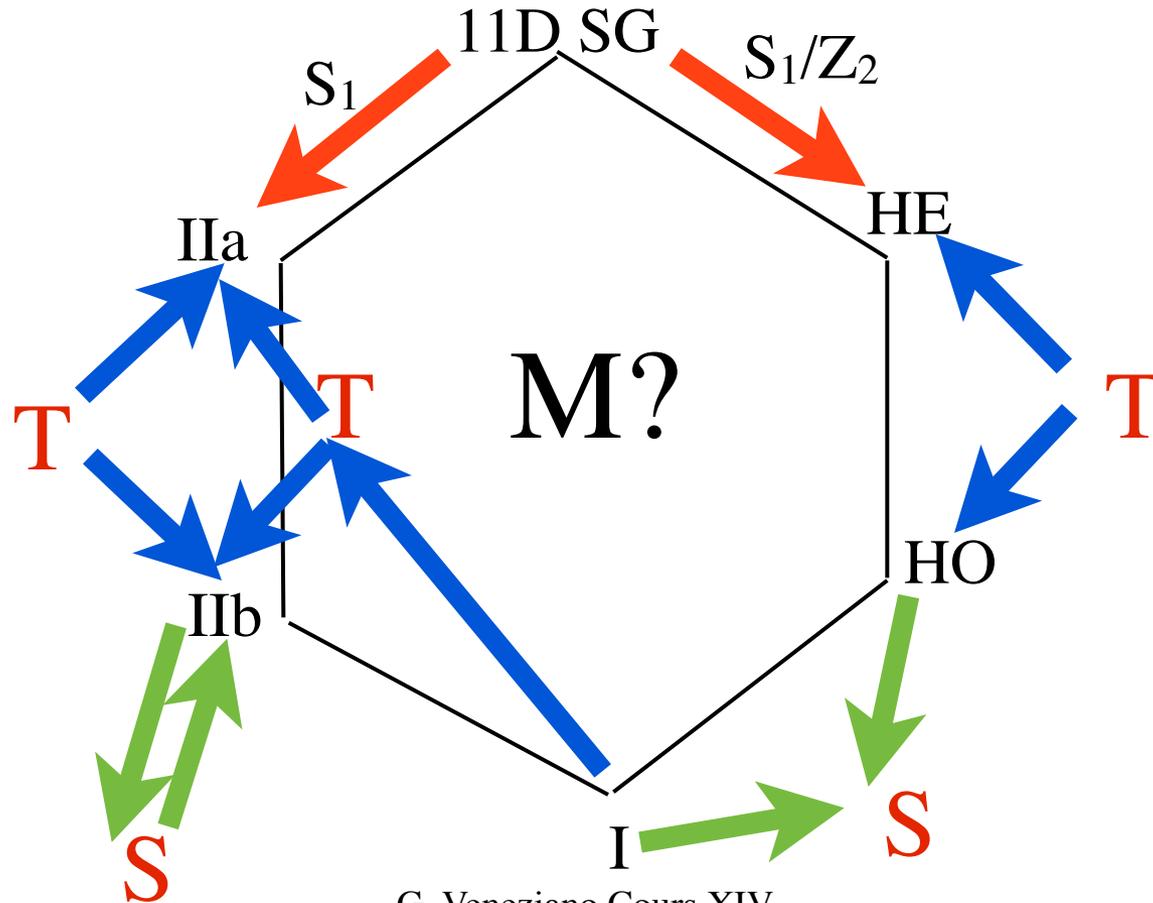
What is at work is a mixture of T and S-duality. The role of the **dilaton** (the string coupling) is played by the **size of the 11<sup>th</sup> dimension**. At weak coupling (or in perturbation theory) one does not “see” the 11<sup>th</sup> dimension but, as one goes to **strong coupling**, an 11<sup>th</sup> dimension **opens up** and, at least at low energy, the D=10 theory is easy to describe in terms of **D=11 supergravity**.

The direct connection is between **D=11 Supergravity** and either **IIA** theory (we had already seen some similarity) or **HE**. In the former case the 11<sup>th</sup> dimension describing the strong coupling limit is a circle  $S_1$ . In the case of HE is  $S_1/Z_2$ , i.e. basically a segment at whose ends the two  $E_8$ s of HE lie. We thus get:



# Six theories in search of a Mother

Unfortunately **we still don't know** which is the common Mother of all these theories. It's most likely 11-dimensional but, in some corners of parameter space, it could be totally different, e.g. the **QM of some large-N matrices** from which spacetime itself would emerge away from those corners.



# Conclusion and Outlook

This year we have gone through the history of string theory and gave a general introduction to how it developed from some simple **hadron phenomenology** into a huge branch of **theoretical physics**.

The **language** of QST is very **new** and its concepts often **challenge** one's **intuition**. As a consequence, it is not an easy subject to learn... nor to teach. Many QFT theorist are completely ignorant about QST (and many young QST theorists about QFT?).

The present **formulation** of QST is **not as advanced** as that of QFT: it resembles QFT before Feynman, with its old-fashioned perturbation theory. It may take still many decades before we arrive at a **fully satisfactory formulation of QST** and develop the **necessary tools** to solve it away from some particularly lucky situations. But the stakes can be hardly overestimated.

# Next year?

Next year, unless some fantastic news come out from experiments (LHC, dark matter searches, PLANCK ...), we will continue with **some applications of string theory** (after giving a few more details on the post 1995 developments).

The menu is long and we will have to make some choices:

1. **Black hole entropy** from counting string microstates.
2. Black holes from **high-energy string collisions**.
3. Gauge-gravity duality of the **AdS/CFT** type.
4. String and brane-inspired **cosmologies**.
5. String and brane-inspired **GUTs**.
6. .... **Suggestions** are very welcome!!