

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

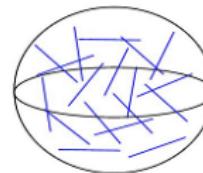
# Qualitative properties of Landau-de Gennes energy minimizers

Arghir Zarnescu

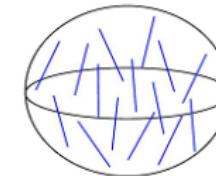
joint work with Apala Majumdar

**Collège de France**  
**6 March 2009**

# Liquid crystals: physics



Isotropic liquid phase



Nematic liquid crystal phase

- A measure  $\mu$  such that  $0 \leq \mu(A) \leq 1 \quad \forall A \subset \mathbb{S}^2$
- The probability that the molecules are pointing in a direction contained in the surface  $A \subset \mathbb{S}^2$  is  $\mu(A)$



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Gennes  
minimizers

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Liquid crystal  
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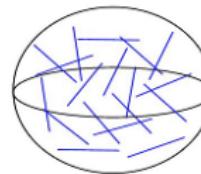
Analogy with  
Ginzburg-Landau

The uniform  
convergence

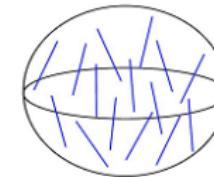
Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions



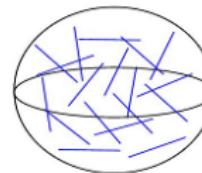
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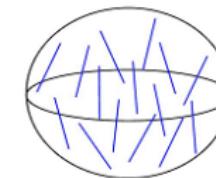
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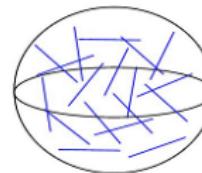
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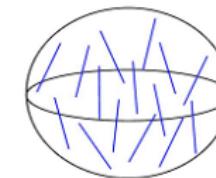
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# Landau-de Gennes Q-tensor reduction and earlier theories



$$Q = \int_{\mathbb{S}^2} p \otimes p d\mu(p) - \frac{1}{3} Id$$

- $Q$  is a  $3 \times 3$  symmetric, traceless matrix - a **Q-tensor**

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions



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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions



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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

## ■ Landau-de Gennes:

$$\mathcal{F}_{LG}[Q] = \int_{\Omega} \frac{L}{2} Q_{ij,k}(x) Q_{ij,k}(x) + f_B(Q(x)) dx$$

$$f_B(Q) = \frac{\alpha(T - T^*)}{2} \text{tr}(Q^2) - \frac{b}{3} \text{tr}(Q^3) + \frac{c}{4} (\text{tr} Q^2)^2$$

with  $Q(x)$  a **Q-tensor**

## ■ Ericksen's theory:

$$\mathcal{F}_E[s, n] = \int_{\Omega} s(x)^2 |\nabla n(x)|^2 + k |\nabla s(x)|^2 + W_0(s(x)) dx$$

with  $(s, n) \in \mathbb{R} \times \mathbb{S}^2$

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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$$\mathcal{F}_{OF}[n] = \int_{\Omega} n_{i,k}(x) n_{i,k}(x) dx, \quad n \in \mathbb{S}^2$$

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

- We can denote  $\tilde{f}_B(Q) = f_B(Q) - \min f_B(Q)$  and we have

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# Analogy with Ginzburg Landau

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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$$F_{GL}[u] = \int_{\Omega} \frac{|\nabla u(x)|^2}{2} + \frac{1}{\varepsilon^2} (1 - |u|^2)^2 dx$$

# Analogy with Ginzburg Landau

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# Boundary conditions and the $W^{1,2}$ convergence

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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$$Q_{min} = \left\{ s_+ \left( n(x) \otimes n(x) - \frac{1}{3} Id \right), n \in \mathbb{S}^2 \right\}$$

so that  $\tilde{f}_B(Q) = 0 \Leftrightarrow Q \in Q_{min}$ .

- Boundary conditions:

$$Q_b(x) = s_+ \left( n_b(x) \otimes n_b(x) - \frac{1}{3} Id \right), n_b(x) \in C^\infty(\partial\Omega, \mathbb{S}^2)$$

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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$$\int_{\Omega} \frac{|\nabla Q^{(0)}|^2}{2} dx = 2s_+^2 \int_{\Omega} \frac{|\nabla n^{(0)}|^2}{2} dx$$
 with  $n^{(0)}$  a global minimizer of  $\mathcal{F}_{OF}[n] = \int_{\Omega} |\nabla n|^2 dx$  in  $W^{1,2}(\Omega, \mathbb{S}^2)$

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# Heuristical bookkeeping: work with spectral quantities

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# Heuristical bookkeeping: work with spectral quantities

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

## Examples

- $$f_B(Q) = \frac{\alpha(T - T^*)}{2} \text{tr}(Q^2) - \frac{b}{3} \text{tr}(Q^3) + \frac{c}{4} (\text{tr} Q^2)^2$$
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# Apriori $L^\infty$ bounds

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions



$$L\Delta Q_{ij} = -a^2 Q_{ij} - b^2 \left( Q_{ip} Q_{pj} - \frac{\delta_{ij}}{3} \operatorname{tr} Q^2 \right) + c^2 Q_{ij} (\operatorname{tr} Q^2), \quad i, j = 1, 2,$$

- Multiply by  $Q_{ij}$  sum over repeated indices and obtain:

$$L\Delta(Q_{ij}Q_{ij}) - 2LQ_{ij,I}Q_{ij,I} = 2L\Delta Q_{ij}Q_{ij} \geq Lg(|Q|)$$

$$g(|Q|) \stackrel{\text{def}}{=} -a^2|Q|^2 - \frac{b^2}{\sqrt{6}}|Q|^3 + c^2|Q|^4$$

# Apriori $L^\infty$ bounds

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions



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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions



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Apriori  $L^\infty$  boundsLandau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modelingAnalogy with  
Ginzburg-LandauThe uniform  
convergenceBeyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# The uniform convergence: obtaining uniform $W^{1,\infty}$ bounds-the general mechanism

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

## ■ The energy inequality:

$$\frac{1}{r} \int_{B_r} \frac{|\nabla Q|^2}{2} + \frac{\tilde{f}_B(Q)}{L} dx \leq \frac{1}{R} \int_{B_R} \frac{|\nabla Q|^2}{2} + \frac{\tilde{f}_B(Q)}{L} dx$$

for  $r < R$

## ■ Bochner-type inequality:

$$-\Delta e_L \leq e_L^2$$

$$\text{where } e_L = \frac{|\nabla Q|^2}{2} + \frac{\tilde{f}_B(Q)}{L}$$

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# The uniform convergence of $\tilde{f}_B(Q)$ to 0 away from the singularities of the limiting harmonic map

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

- Cheap  $W^{1,\infty}$  bound

$$|\nabla Q^{(L)}|_{L^\infty} \leq \frac{C}{\sqrt{L}}$$

- Combine with energy inequality and want to obtain that  $\frac{1}{\rho} \int_{B_\rho(y)} \frac{|\nabla Q^{(L)}|^2}{2} + \frac{\tilde{f}_B(Q^{(L)})}{L} dx$  small enough (independently of  $L$ ) for  $\rho$  small enough
- “Morally” the same with  $\frac{1}{\rho} \int_{B_\rho(y)} \frac{|\nabla Q^{(0)}|^2}{2} dx$  where  $Q^{(0)}$  is the limit (for which  $f_B(Q^{(0)}) \equiv 0$ )

# The uniform convergence of $\tilde{f}_B(Q)$ to 0 away from the singularities of the limiting harmonic map

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# Two compatible quantities near the limit manifold

$$Q_{min} = \{s_+ (n(x) \otimes n(x) - \frac{1}{3} Id), n \in \mathbb{S}^2\}$$

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions



$$\frac{1}{\tilde{C}} \tilde{f}_B(Q) \leq \sum_{i,j=1}^3 \left( \frac{\partial \tilde{f}_B(Q)}{\partial Q_{ij}} + b^2 \frac{\delta_{ij}}{3} \text{tr}(Q^2) \right)^2 \leq \tilde{C} \tilde{f}_B(Q)$$

$$\forall Q \in S_0, |Q - s_+(n \otimes n - \frac{1}{3} Id)| \leq \varepsilon_0, \text{ for some } n \in \mathbb{S}^2$$

■ where  $\frac{\partial \tilde{f}_B(Q)}{\partial Q_{ij}} = -a^2 Q_{ij} - b^2 Q_{il} Q_{lj} + c^2 Q_{ij} \text{tr}(Q^2)$

# Taylor expansion trick near the limit manifold

Landau-de

Gennes

minimizers

Arghir Zarnescu

Liquid crystal

modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

- For the matrix  $Q(x)$  let us denote  $n_1(x), n_2(x), n_3(x)$  its eigenvectors and  $\lambda_1(x), \lambda_2(x), \lambda_3(x) = -\lambda_1(x) - \lambda_2(x)$  the corresponding eigenvalues.
- Near the limit manifold

$$(\lambda_1 - \frac{s_+}{3})^2 + (\lambda_2 - \frac{s_+}{3})^2 + (\lambda_1 + \lambda_2 - 2\frac{s_+}{3})^2 < \varepsilon$$

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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- Taylor expansion

$$\begin{aligned} \frac{1}{2} \frac{\partial^2 \tilde{f}_B}{\partial Q_{ij} \partial Q_{mn}}(Q(x)) = \\ \frac{1}{2} \frac{\partial^2 \tilde{f}_B}{\partial Q_{ij} \partial Q_{mn}}(Q^x) + \frac{1}{2} \frac{\partial^3 \tilde{f}_B}{\partial Q_{ij} \partial Q_{mn} \partial Q_{pq}}(Q^x)(Q_{pq}(x) - Q_{pq}^x) + \mathcal{R}^{ijmn} \end{aligned}$$

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# The uniform convergence result

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

## Proposition

Let  $\Omega \subset \mathbb{R}^3$  be a simply-connected bounded open set with smooth boundary. Let  $Q^{(L)}$  denote a global minimizer of the energy

$$\tilde{F}_{LG}[Q] = \int_{\Omega} \frac{L}{2} Q_{ij,k}(x) Q_{ij,k}(x) + \tilde{f}_B(Q(x)) dx$$

with  $Q \in W^{1,2}$  subject to boundary conditions  $Q_b \in C^\infty(\partial\Omega)$ , with  $Q_b(x) = s_+ \left( n \otimes n - \frac{1}{3} Id \right)$ ,  $n \in \mathbb{S}^2$ . Let  $L_k \rightarrow 0$  be a sequence such that  $Q^{(L_k)} \rightarrow Q^{(0)}$  in  $W^{1,2}(\Omega)$ .

Let  $K \subset \Omega$  be a compact set which contains no singularity of  $Q^{(0)}$ .  
Then

$$\lim_{k \rightarrow \infty} Q^{(L_k)}(x) = Q^{(0)}(x), \text{ uniformly for } x \in K \quad (1)$$

# Beyond the small $L$ limit

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

- Heuristically:  $Q^{(L)} \sim Q^{(0)} + LR^{(L)} + h.o.t$

- Beyond the first order term: biaxial

$$Q = s \left( n \otimes n - \frac{1}{3} Id \right) + r \left( m \otimes m - \frac{1}{3} Id \right)$$

# Beyond the small $L$ limit

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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- $\beta(Q) = 1 - \frac{6(\text{tr}(Q^3))^2}{(\text{tr}(Q^2))^3}$  -biaxiality parameter
- *S. Kralj, E.G. Virga, J. Phys. A (2001)*



Figure 1. Schematic representation of the biaxial core of a hedgehog. We show the section with a plane through the symmetry axis of the core. The ellipses suggest the molecular orientation on this section: the points where they degenerate in a disc are traversed by the uniaxial ring with negative scalar order parameter, which comes out of the page; accordingly, the broken circles show the trace of the torus with a maximum degree of biaxiality. Both the symmetry axis and the far director field are uniaxial with positive scalar order parameter.

# Beyond the small $L$ limit

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# Biaxiality: high dimensional feature (i.e. no counterpart in Ginzburg-Landau)

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

- The space of  $Q$ -tensors  $S_0 = \{M \in \mathbb{R}^{3 \times 3}, \text{tr}(M) = 0, M = M^t\}$  is  $5D$ .
- The limit manifold  $Q_{min} = \{s_+ \left( n \otimes n - \frac{1}{3} Id \right), n \in \mathbb{S}^2\}$  is  $2D$ .

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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- The uniaxial manifold  $\mathcal{U} = \{s \left( n \otimes n - \frac{1}{3} Id \right), n \in \mathbb{S}^2, s \in \mathbb{R}\} \setminus 0$  is  $3D$ .

# Biaxiality: high dimensional feature (i.e. no counterpart in Ginzburg-Landau)

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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- The limit manifold  $Q_{min} = \{s_+ \left( n \otimes n - \frac{1}{3} Id \right), n \in \mathbb{S}^2\}$  is  $2D$ .
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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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$$R_{ij}R_{ij} \geq \beta \cdot \frac{\text{tr}(Q^2)^2}{6}$$

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

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# Analyticity and Uniaxiality: sets of measure zero

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

- $\beta(Q) = 1 - \frac{6(\text{tr}(Q^3))^2}{(\text{tr}(Q^2))^3} \in [0, 1]$  is a measure of biaxiality but is discontinuous. Use instead  $\tilde{\beta}(Q) = (\text{tr}(Q^2))^3 - 6(\text{tr}(Q^3))^2$  which is analytic.
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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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- The zero set of the real analytic function  $\tilde{\beta}$  is either the whole  $\Omega$  or has measure zero.

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# Upper bounds size of ‘defects’ zone and biaxiality

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

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- Let  $Q^*$  be a global minimizer
- Let  $\Omega^* = \{x \in \Omega; |Q^*(x)| \leq \frac{1}{2}|Q_{\min}|\}$



$$|\Omega^*| \leq \alpha \frac{L}{(c^2 s_+^2 + a^2)} \int_{\Omega} |\nabla n^{(0)}(x)|^2 dx$$

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# A physical experiment

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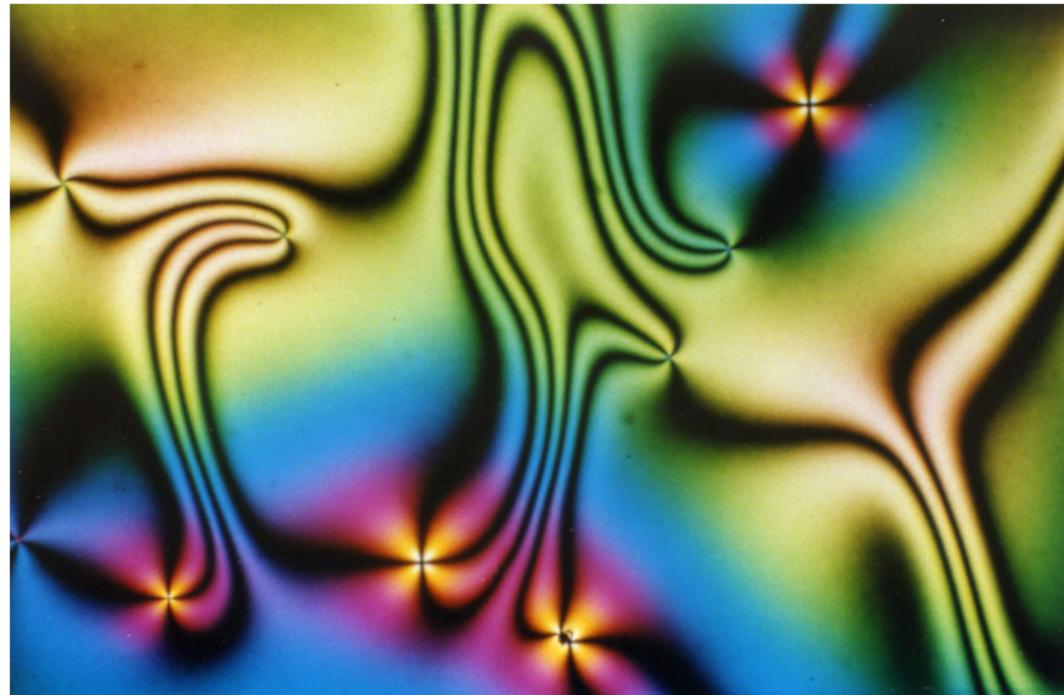
Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions



# A new (?) possible interpretation of defects in Landau-de Gennes theory

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

- The relation between eigenvectors of  $Q$  and light propagation
- A matrix depending smoothly on a parameter can have discontinuous eigenvectors

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

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- Example: A real analytic matrix

$$Q(x, y, z) = \begin{pmatrix} 1+x & y & 0 \\ y & 1-x & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

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conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# The regularity of eigenvectors in our case

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Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

## Proposition

- (i) Let  $Q^{(L)}$  be a global minimizer of  $\tilde{F}_{LG}[Q]$ . Then there exists a set of measure zero, possibly empty,  $\Omega_0$  in  $\Omega$  such that the eigenvectors of  $Q^{(L)}$  are smooth at all points  $x \in \Omega \setminus \Omega_0$ . The **uniaxial-biaxial**, **isotropic-uniaxial** or **isotropic-biaxial** interfaces are contained in  $\Omega_0$ .
- (ii) Let  $K \subset \Omega$  be a compact subset of  $\Omega$  that does not contain singularities of the limiting map  $Q^{(0)}$ . Let  $n^{(L)}$  denote the leading eigenvector of  $Q^{(L)}$ . Then, for  $L$  small enough (depending on  $K$ ), the leading eigendirection  $n^{(L)} \otimes n^{(L)} \in C^\infty(K; M^{3 \times 3})$ .



# Future work

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# Future work

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# Future work

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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# Future work

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

- A good description of the core of the defects
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# Future work

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

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conclusions

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# Conclusions

Landau-de  
Gennes  
minimizers

Arghir Zarnescu

Liquid crystal  
modeling

Analogy with  
Ginzburg-Landau

The uniform  
convergence

Beyond the  
Oseen-Frank  
limit

Defects

Future work and  
conclusions

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