

Life in 1-consensus

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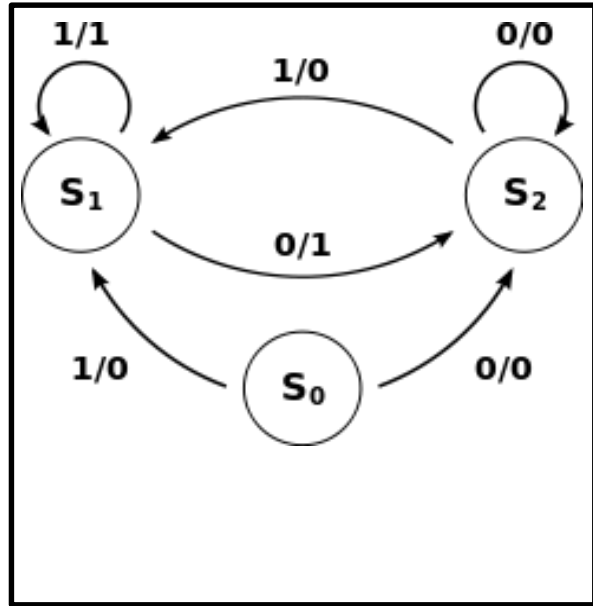
Eli Daian, Eli Gafni, Giuliano Losa

Classifying Deterministic Shared Memory Objects

- Computability = the **power to solve tasks wait-free**
= non-blocking

Deterministic Objects

- **Linearizable concurrent state machine**
- Atomic R/W Reg's
- Stack, Queue, F&Add, ...
- CAS,



deterministic object = DFSA

Task (deterministic and non-det.)

Input-vector \rightarrow Output-vector function:
 $(0,2,5,14,1) \rightarrow \{(2,5,5,2,5), (2,2,2,2,2), \dots\}$

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- Consensus (agreement & validity)
- Set-Consensus (set-agreement)
- Immediate Snapshot

Classifying Deterministic Shared Memory Objects

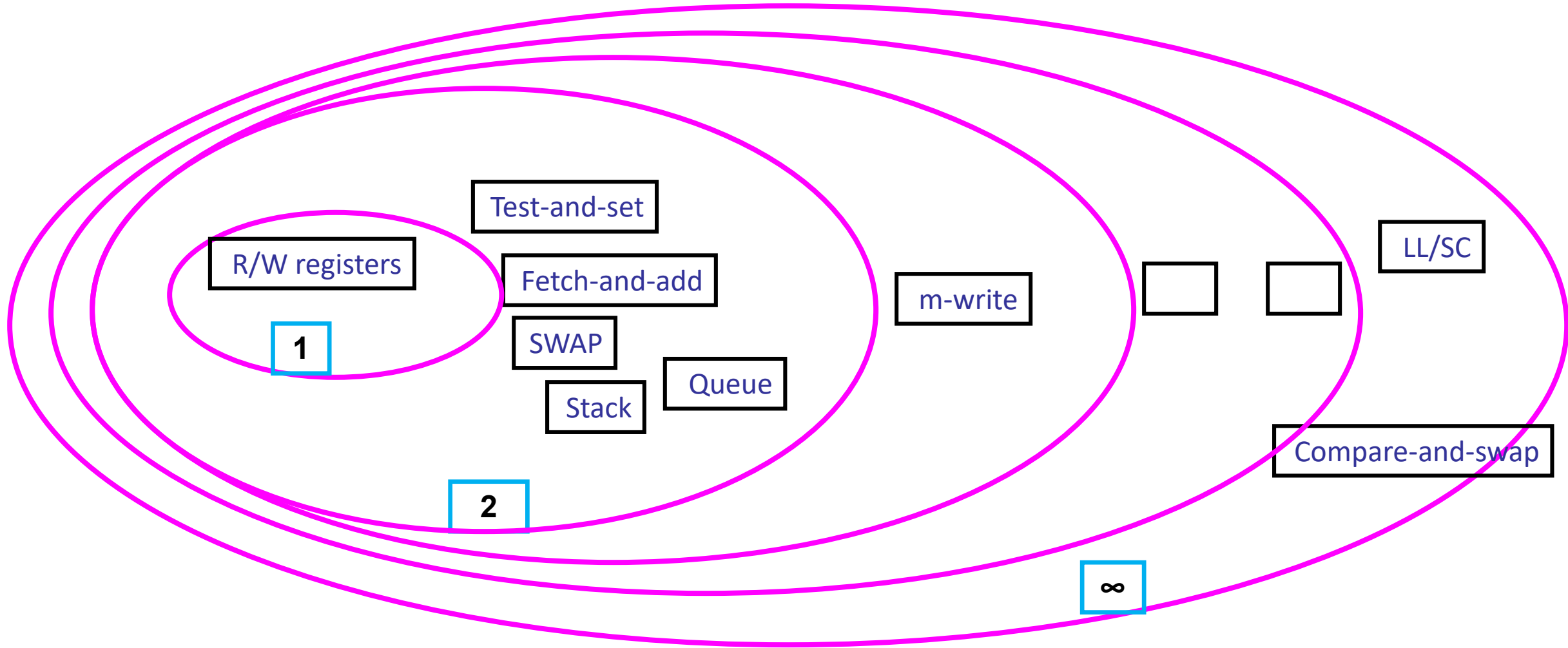
Computability = the **power to solve tasks wait-free**
= **non-blocking**

- Standard asynchronous shared memory
- Shared **deterministic** objects
- Interested (only) in **wait-free** linearizable implementations of tasks == **non-blocking!**

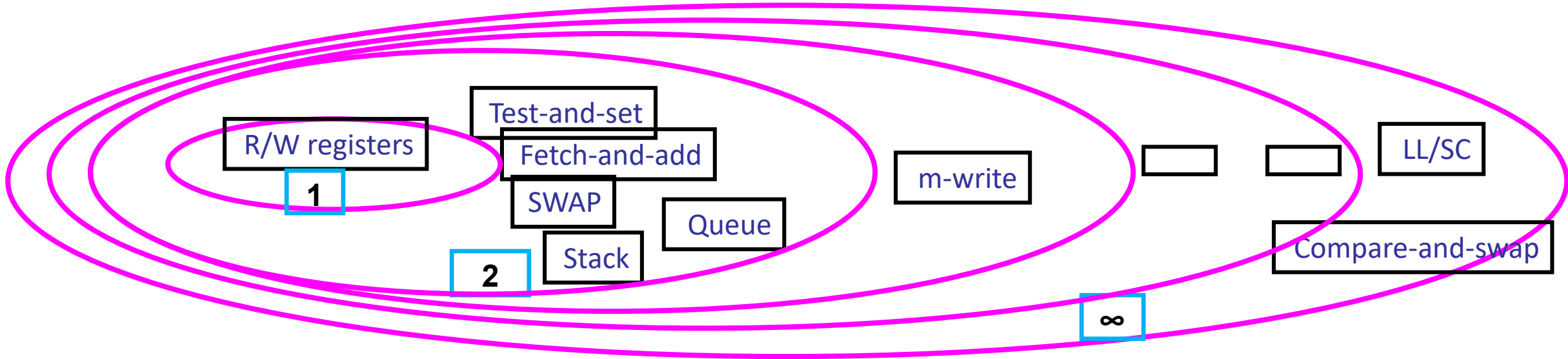
Object O Consensus Number

$\text{Cons\#}(O) = C = \text{Max number of processors that can do w-f consensus with any number of } O \text{ and Reg's}$

Herlihy's Consensus Hierarchy



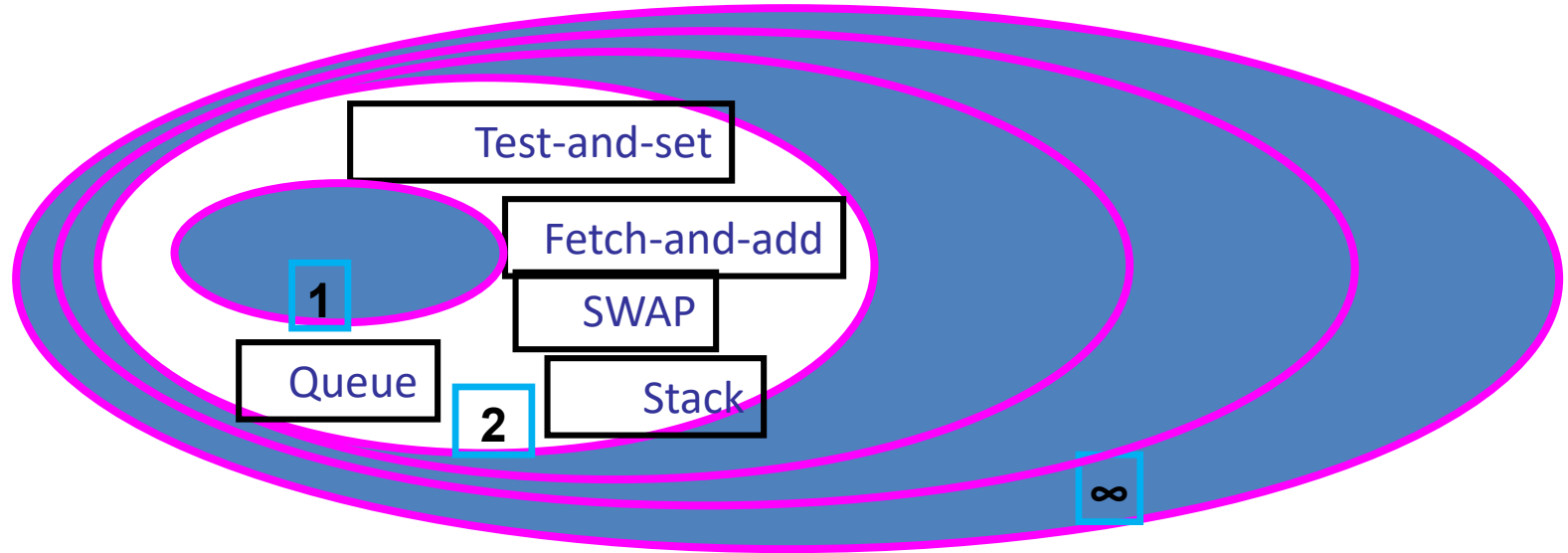
Herlihy's Consensus Hierarchy



- If $\text{cons\#}(O1) < \text{cons\#}(O2) \rightarrow O1$ **cannot** implement $O2$
- Object in L_k is universal for **k processes** (implements any O)
- Is level k complete? different objects in L_k can implement each other in a system with $n > k$ processes?

Common 2 [AWeisbergerWeisman 1994]

- Test&Set from 2-cons
 - Yes
- Fetc&Add from 2-cons
 - Yes
- SWAP from 2-cons
 - Yes
- Stack from 2-cons
 - Yes
- Queue from 2-cons
 - ??



AEllenGafni 2016

- Common 2
- Common 3
-
-
- Common m
- Consensus Hierarchy Conjecture: Deterministic Obj of consensus number n can be implemented from n -consensus for any number of processors.

FALSE

Set-Consensus

Soma Chaudhuri 1990:

(n,k) Set-Consensus:

Agreement – output-vector is a set of at most k different values

Validity – each value is an input of some process

[HS, BG, SZ 1999] Atomic R/W cannot w-f solve (n,k) set-consensus, $n > k > 1$

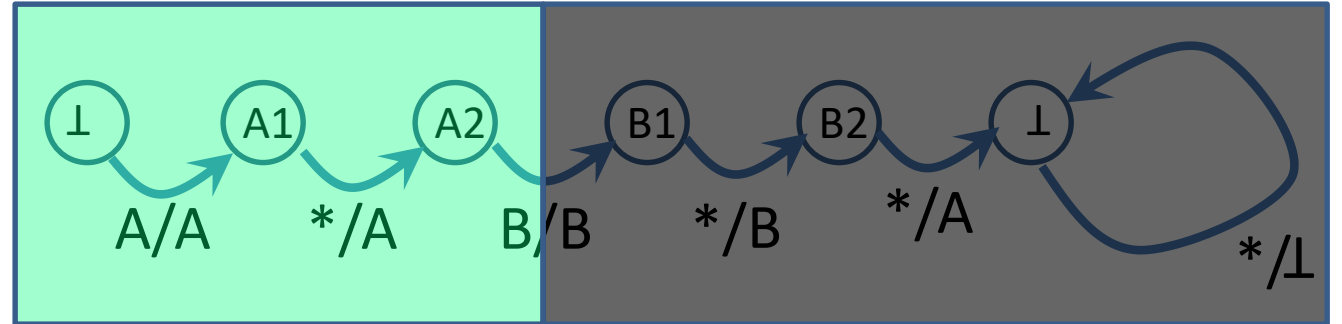
Borowsky Gafni 1993

- Roughly, $n > l$ and $k > j$: (l, j) set-consensus implements (n, k) set-consensus if $k = j * n / l$ (**use n/l copies of (l, j) set-consensus**)
- More precisely (dealing with remainders):if and only if
- $k \geq j$,
- $n/k \leq m/j$, and
- **either** $k \geq j \lceil n/m \rceil$ **or** $k \geq j \lfloor n/m \rfloor + n - m \lfloor n/m \rfloor$.

A Ellen Gafni 2016

- AABBA

- $\text{cons\#}(AABBA) = 2 = (2, 1)_{sc}$



- Set-consensus Power (AABBA) = (5, 2)

- BG \rightarrow (2, 1) set-consensus cannot do (5, 2) set-consensus

- FLP (bivalent) proof $\text{cons\#}(AABBA) < 3, = 2 !$

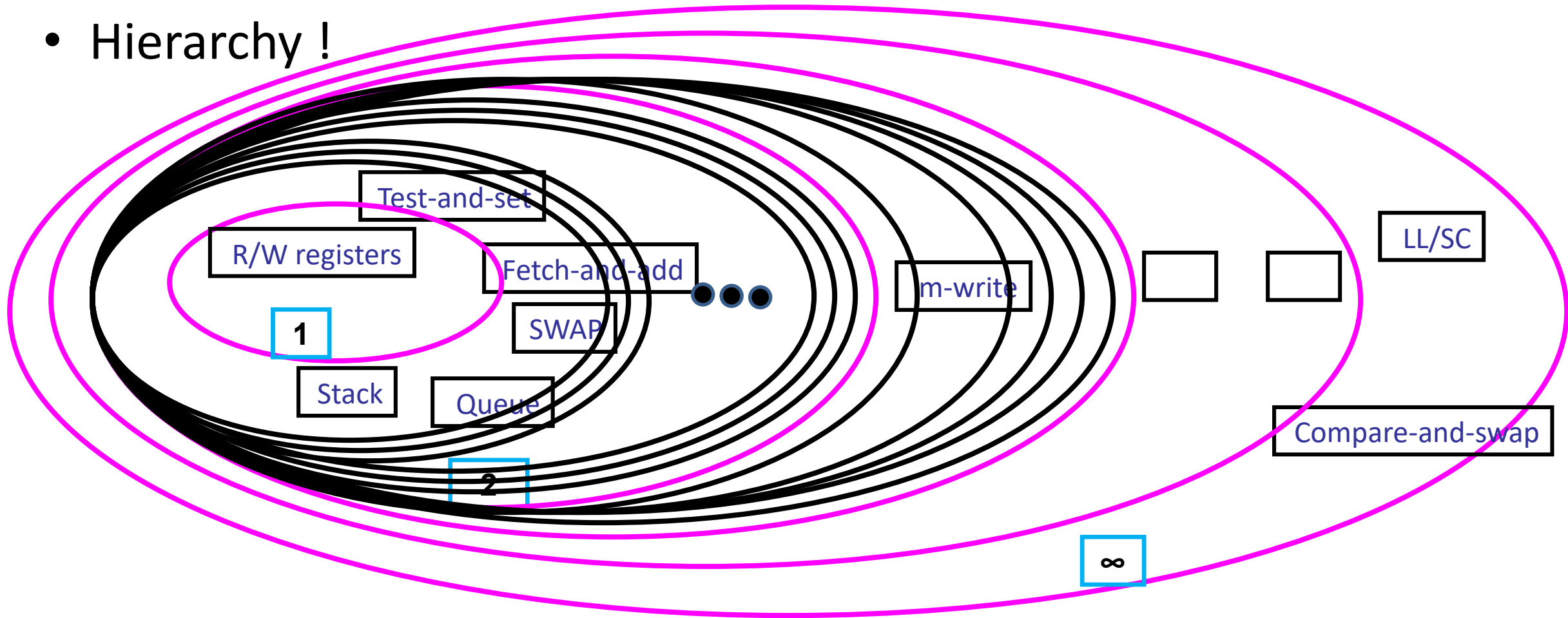
- \rightarrow counter example for common2 !!

Common2 conjecture

FALSE

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- AABCCBA (= (8,3)) implements AABBA
- AABBA does not implement AABCCBA
- Hierarchy !



Comparing the Hierarchies

Herlihy's Hierarchy

- Uses consensus
 - **Deterministic** task
- Classifies **deterministic** objects

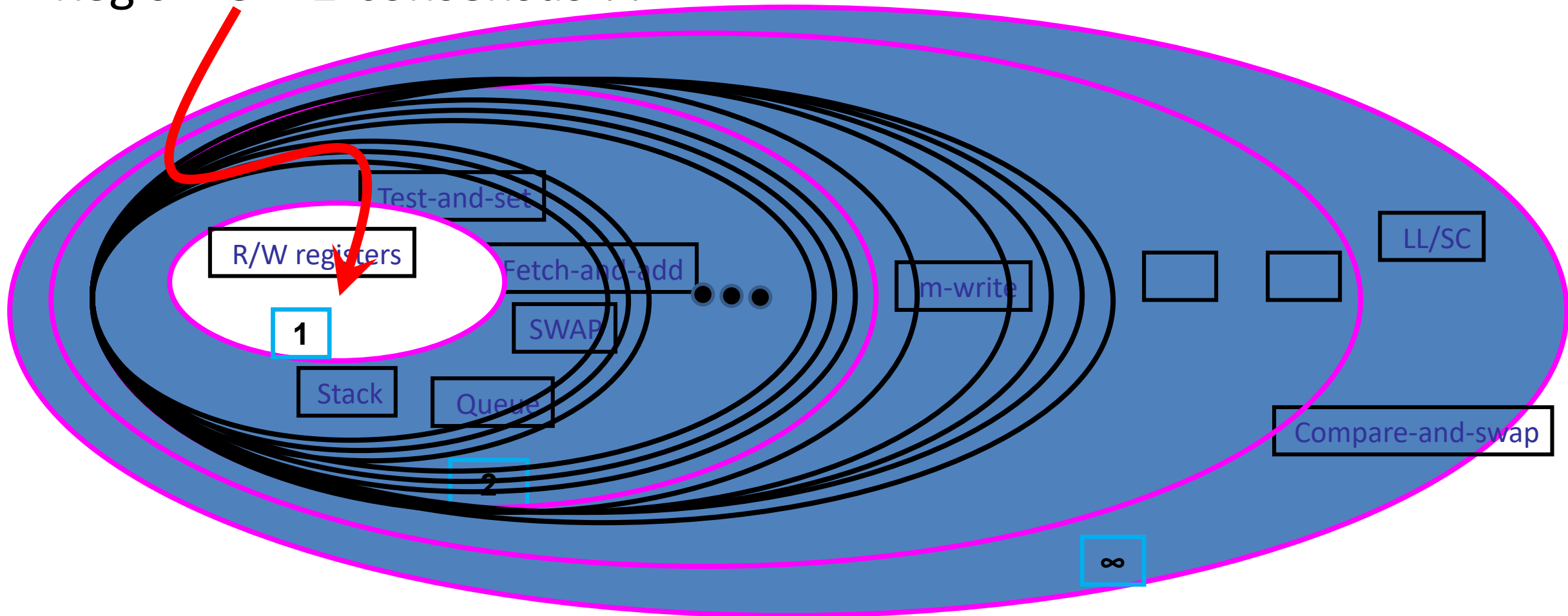
AEG

- Uses set consensus
 - **Nondeterministic** task
- Classifies **deterministic** objects

Remains Open [AEG conjecture]

Are there deterministic objects O s.t.,:

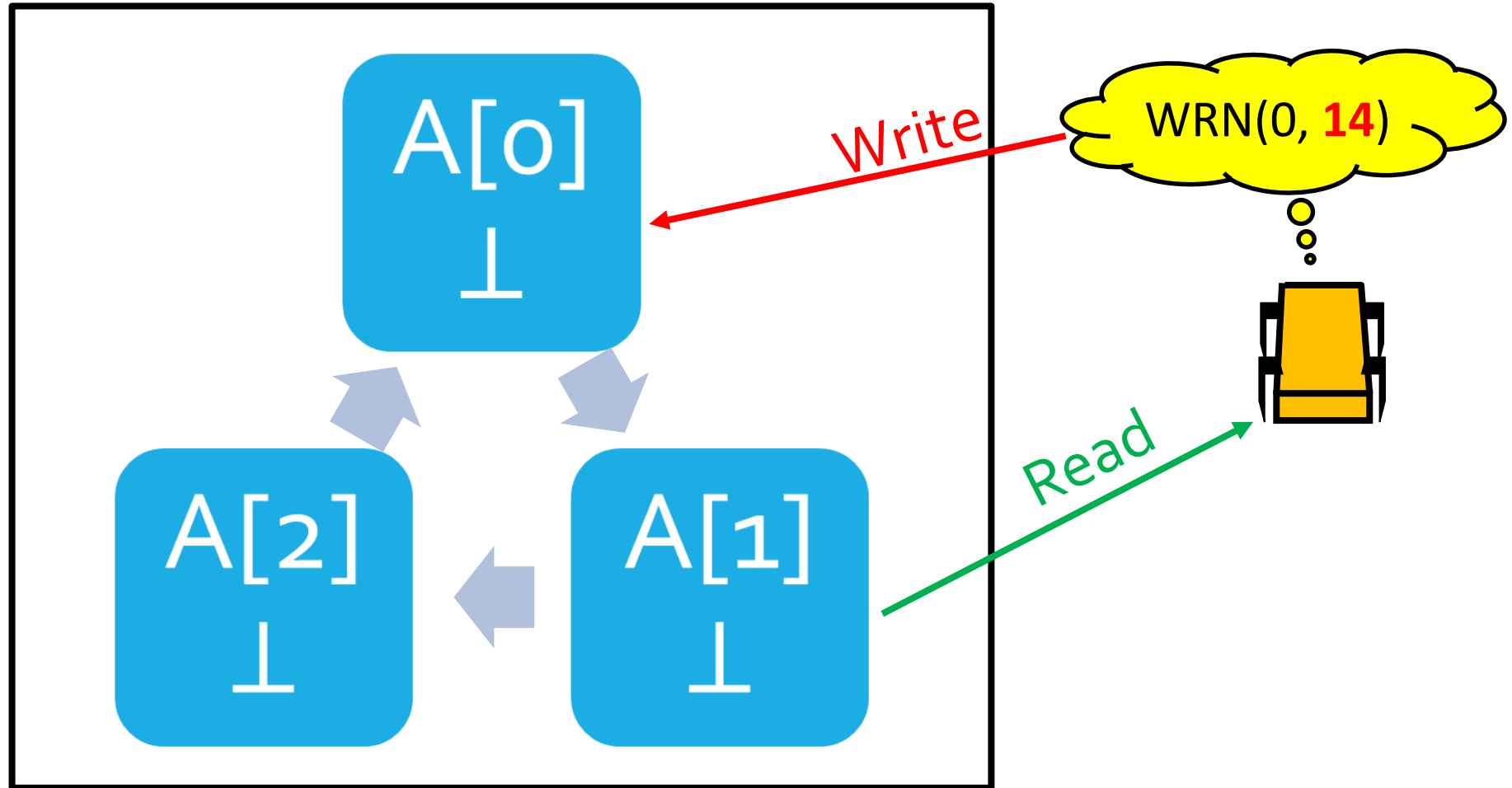
Reg's $< O < 2$ -consensus ??



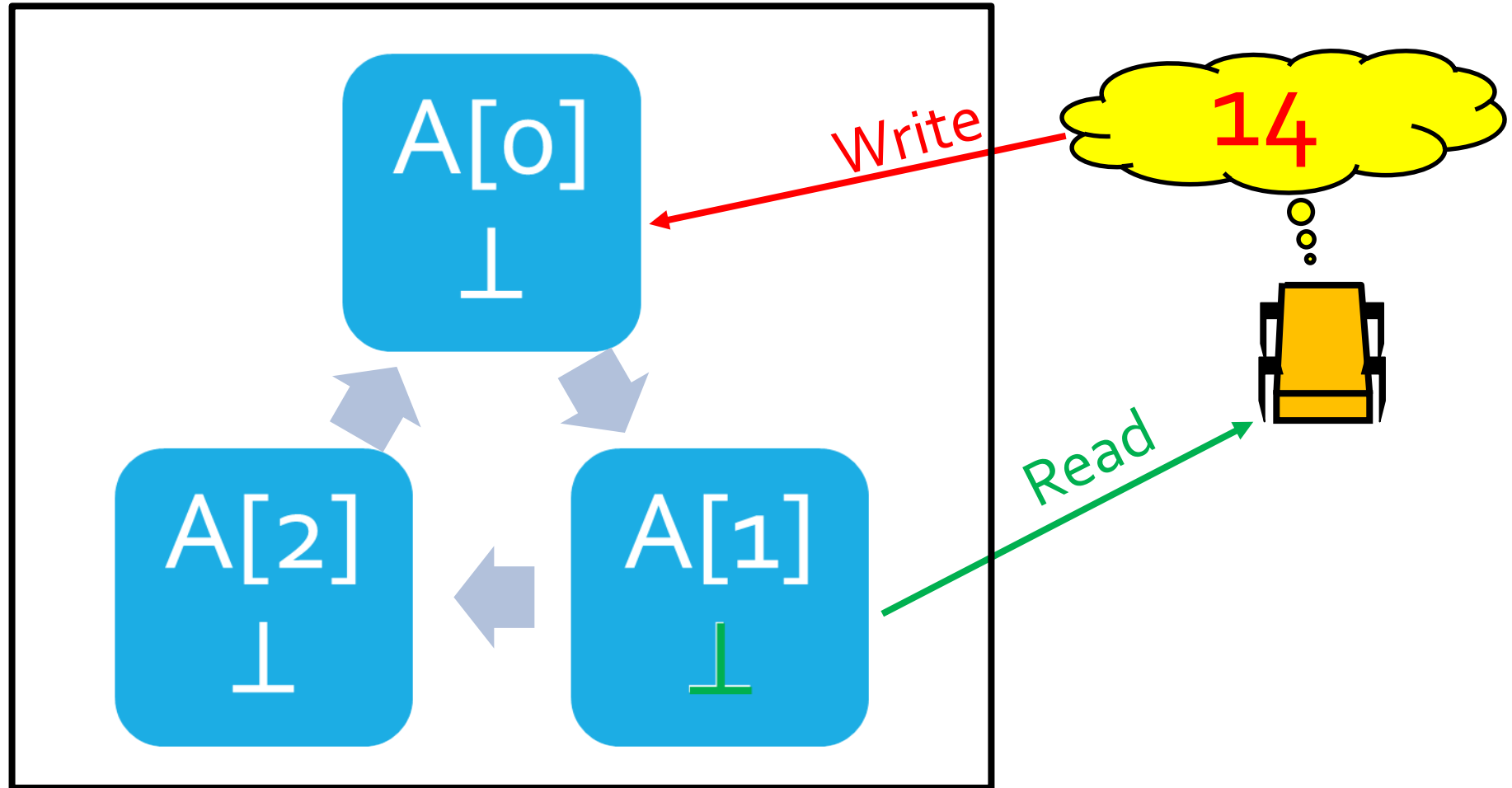
WRN_k OBJECTS

- $A[0], A[1], \dots, A[k-1]$ Registers, initially \perp
- WRN(i, v):
 - Write $v \rightarrow A[i]$
 - Return $A[(i+1) \bmod k]$

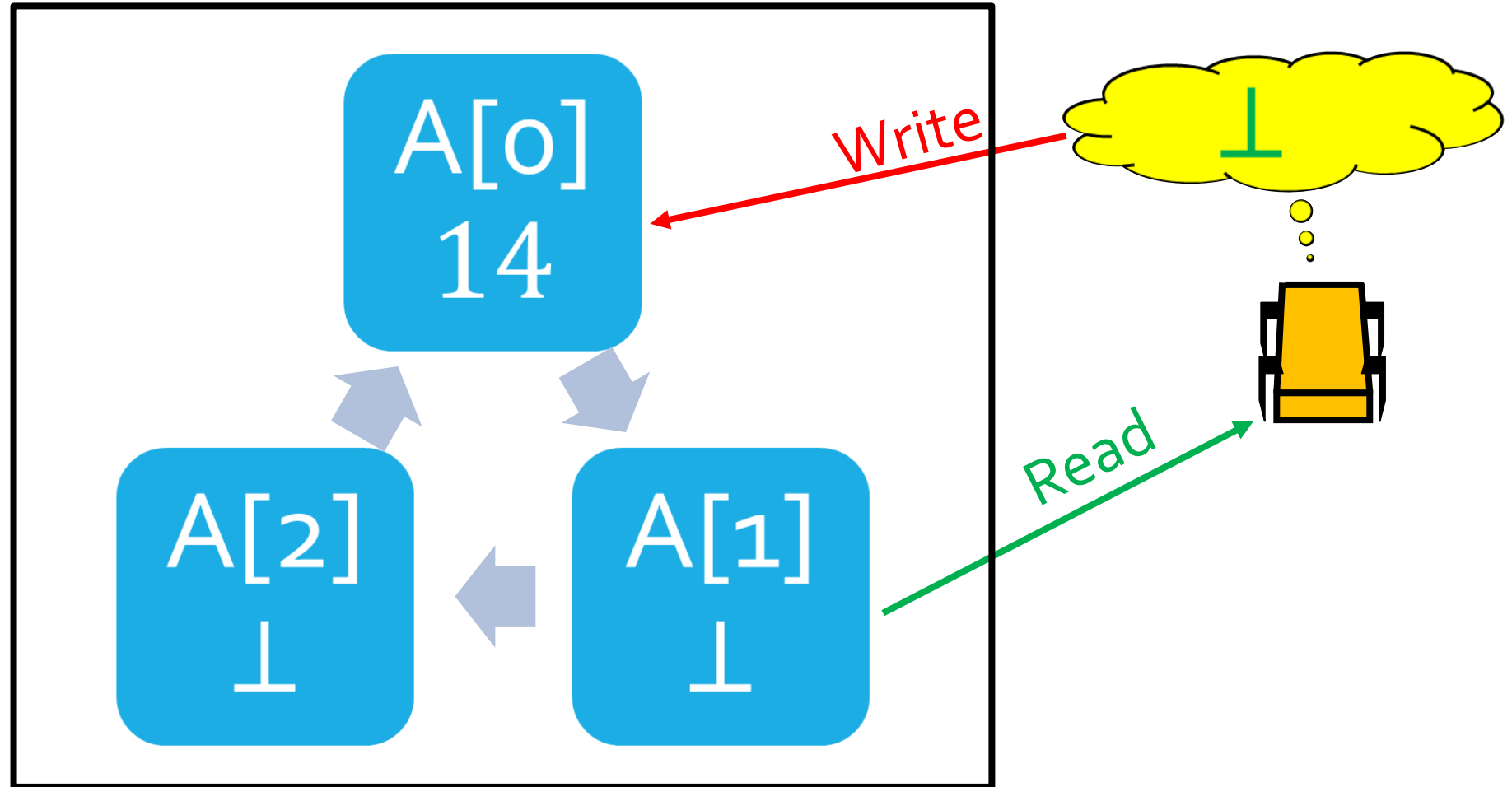
Example of WRN_3 Objects



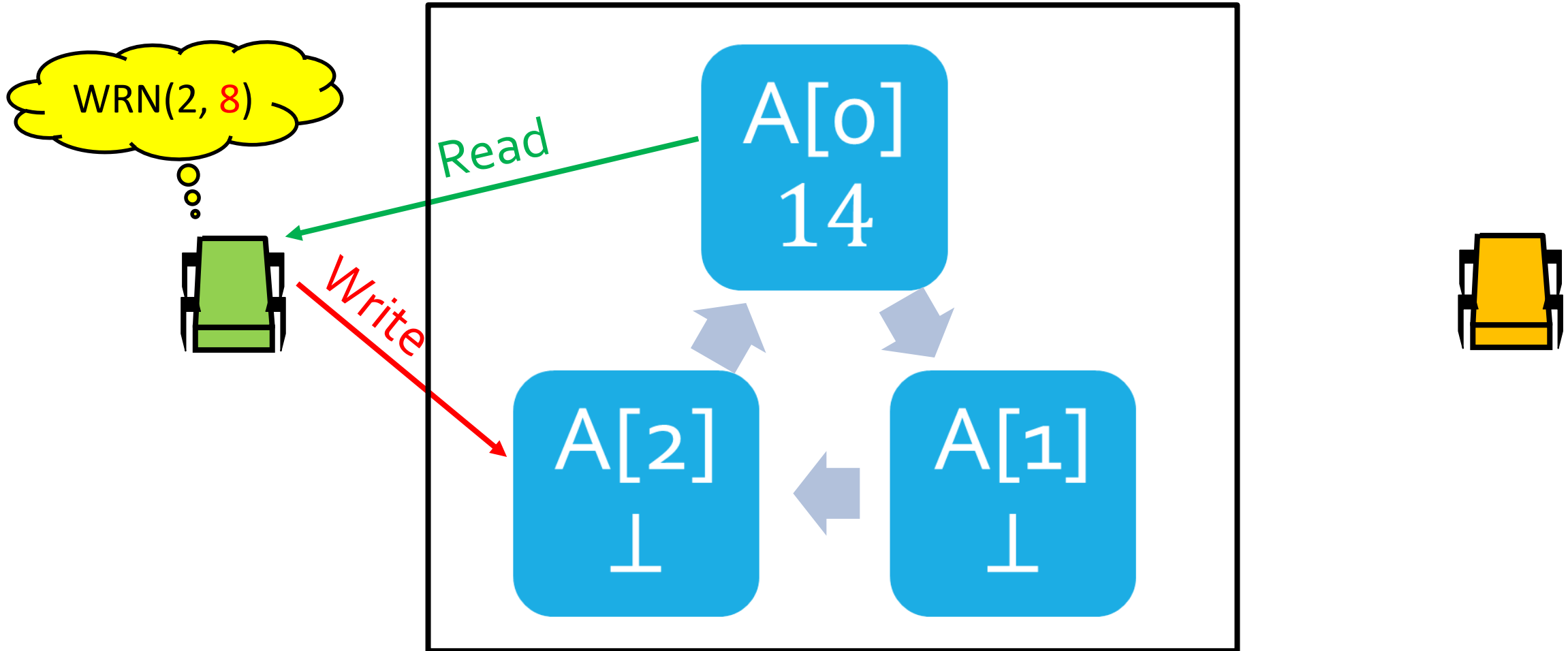
Example of WRN_3 Objects



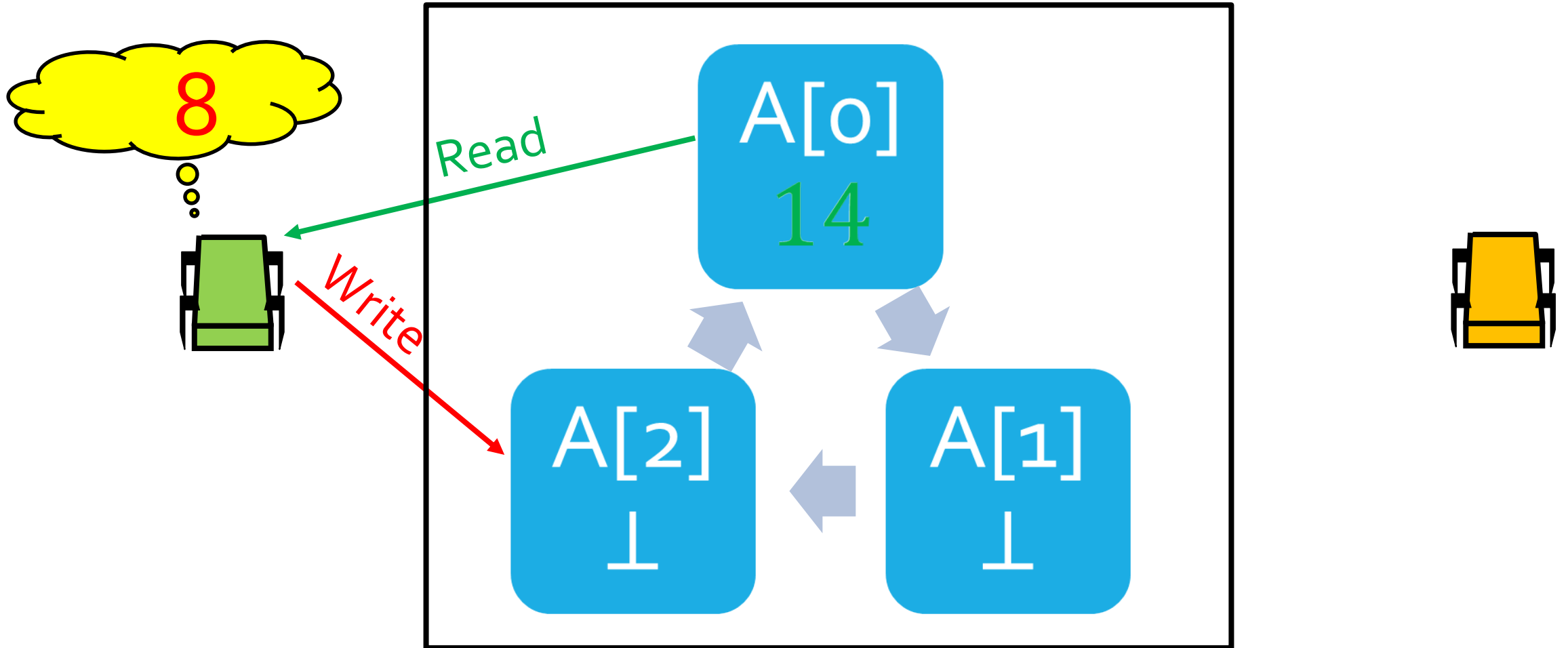
Example of WRN_3 Objects



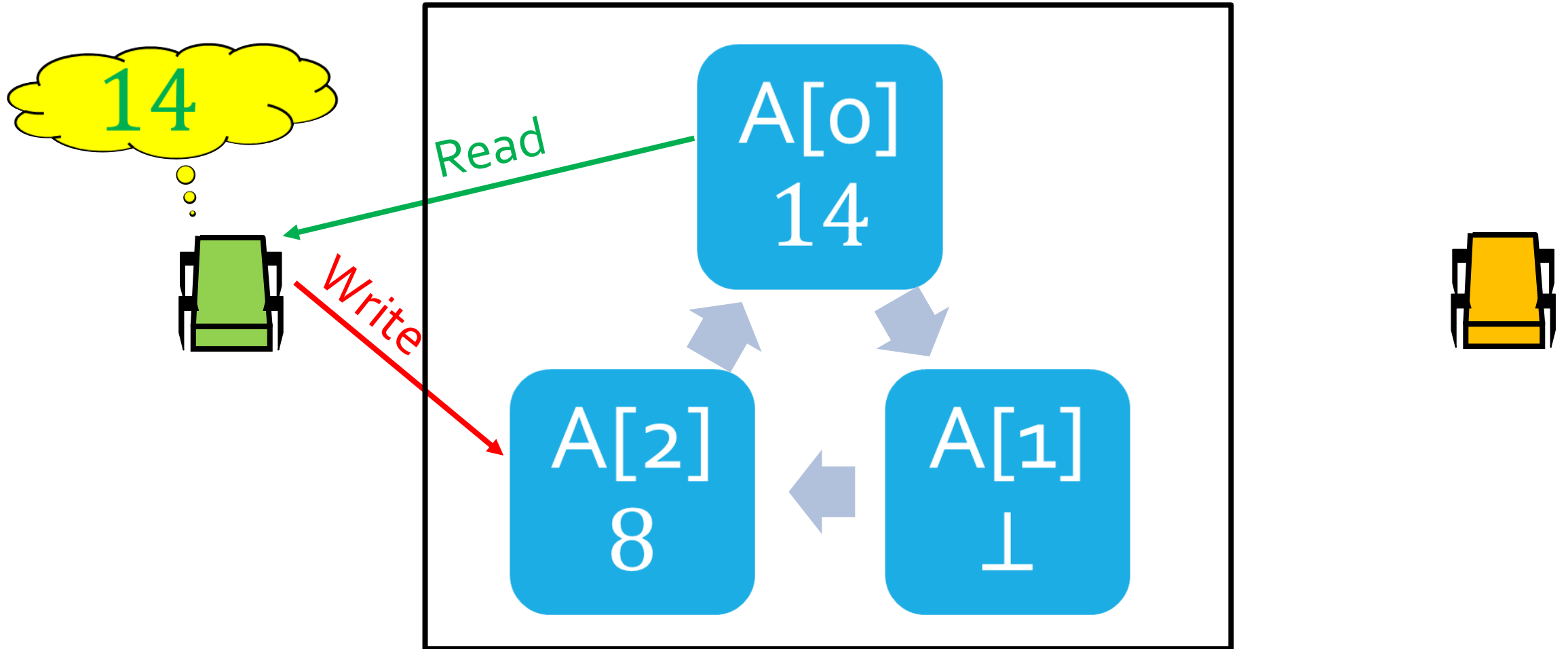
Example of WRN_3 Objects



Example of WRN_3 Objects



Example of WRN_3 Objects



Want to Prove that WRN_k is:

- Stronger than registers
- Weaker than 2-consensus

Registers $< WRN_k < 2$ -consensus

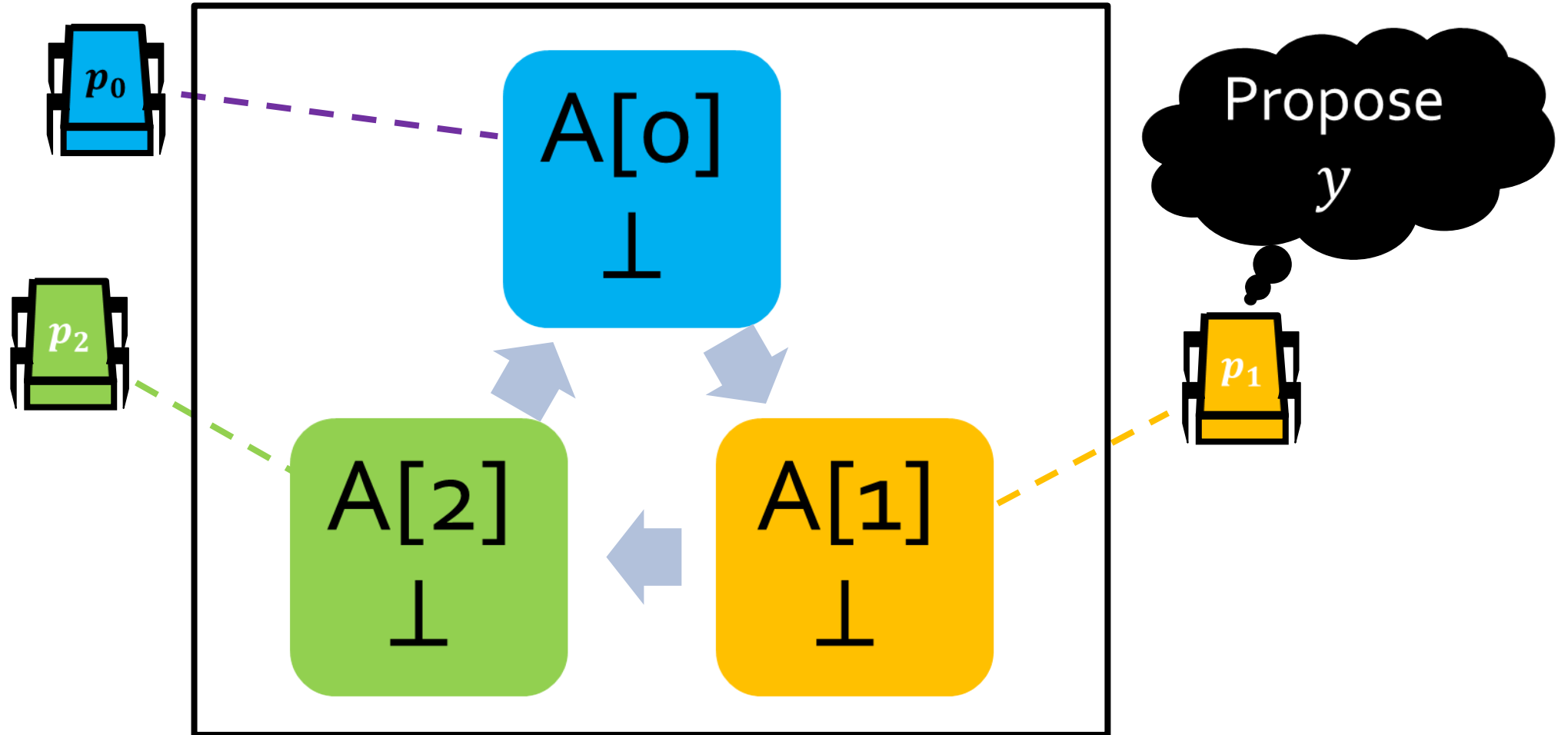
WRN_k is Stronger than Registers

- Theorem:
 - Set consensus cannot be solved using registers [HS, SZ, BG 1993]
- Idea:
 - Solve set consensus using WRN_k

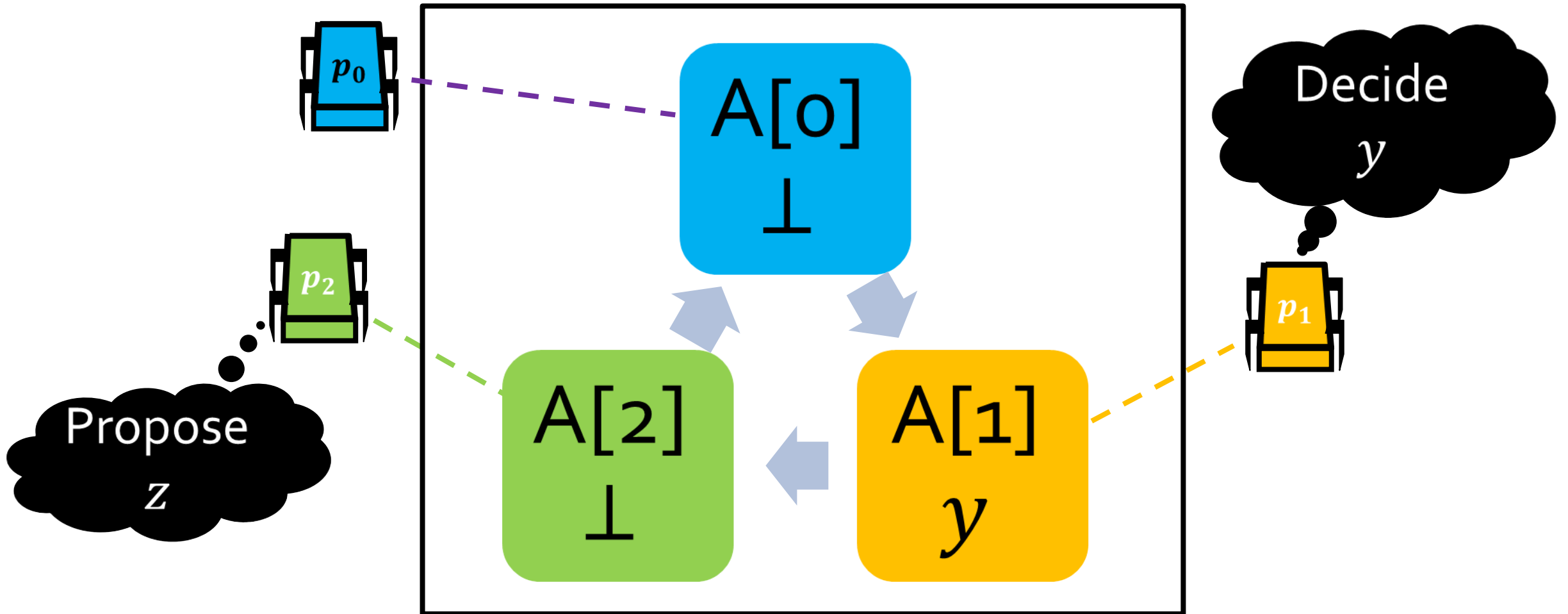
$(k, k - 1)$ -Set Consensus using WRN_k

- Each process assigned with index
- Uses WRN_k with that index

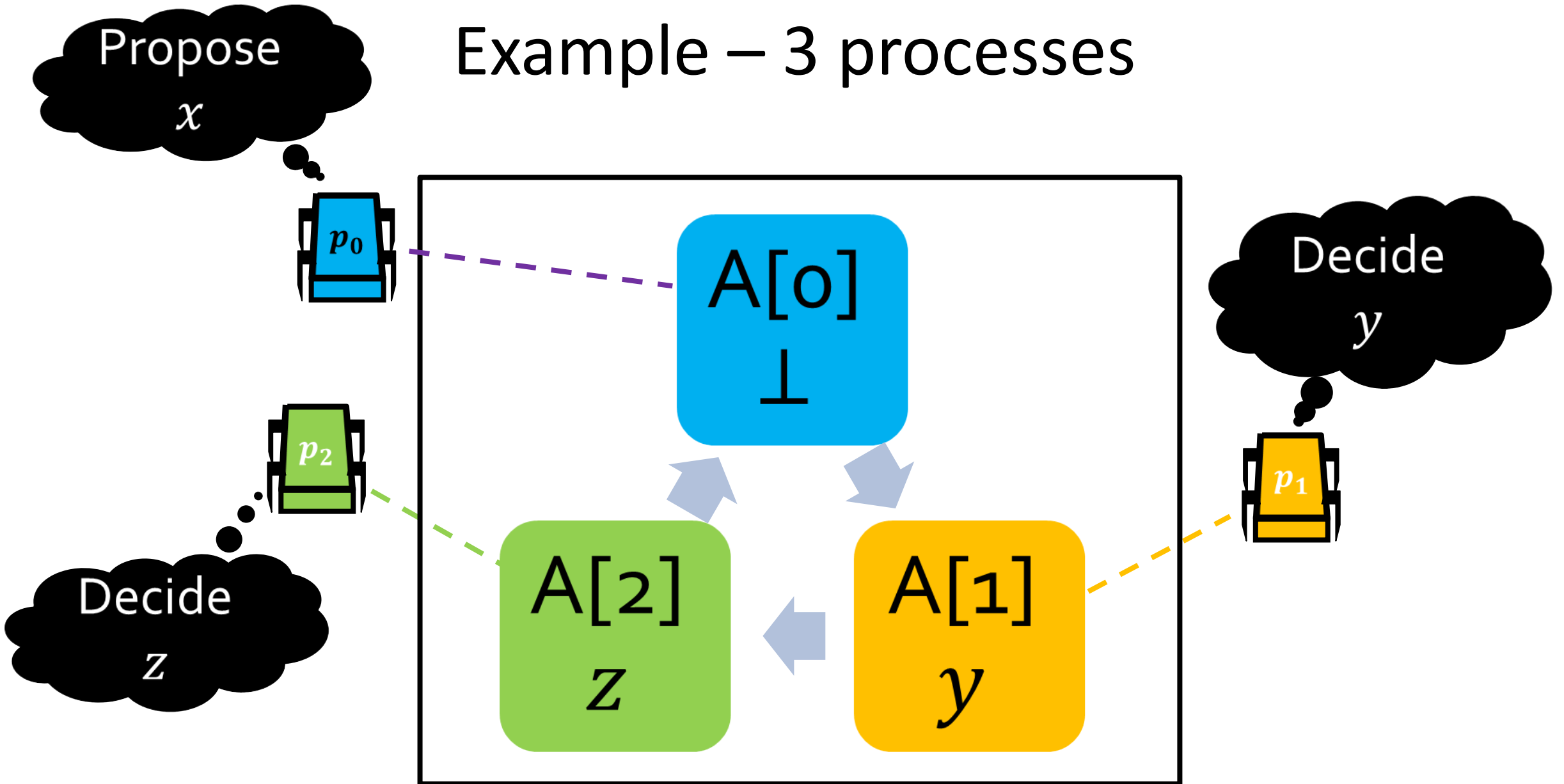
Example – 3 processes



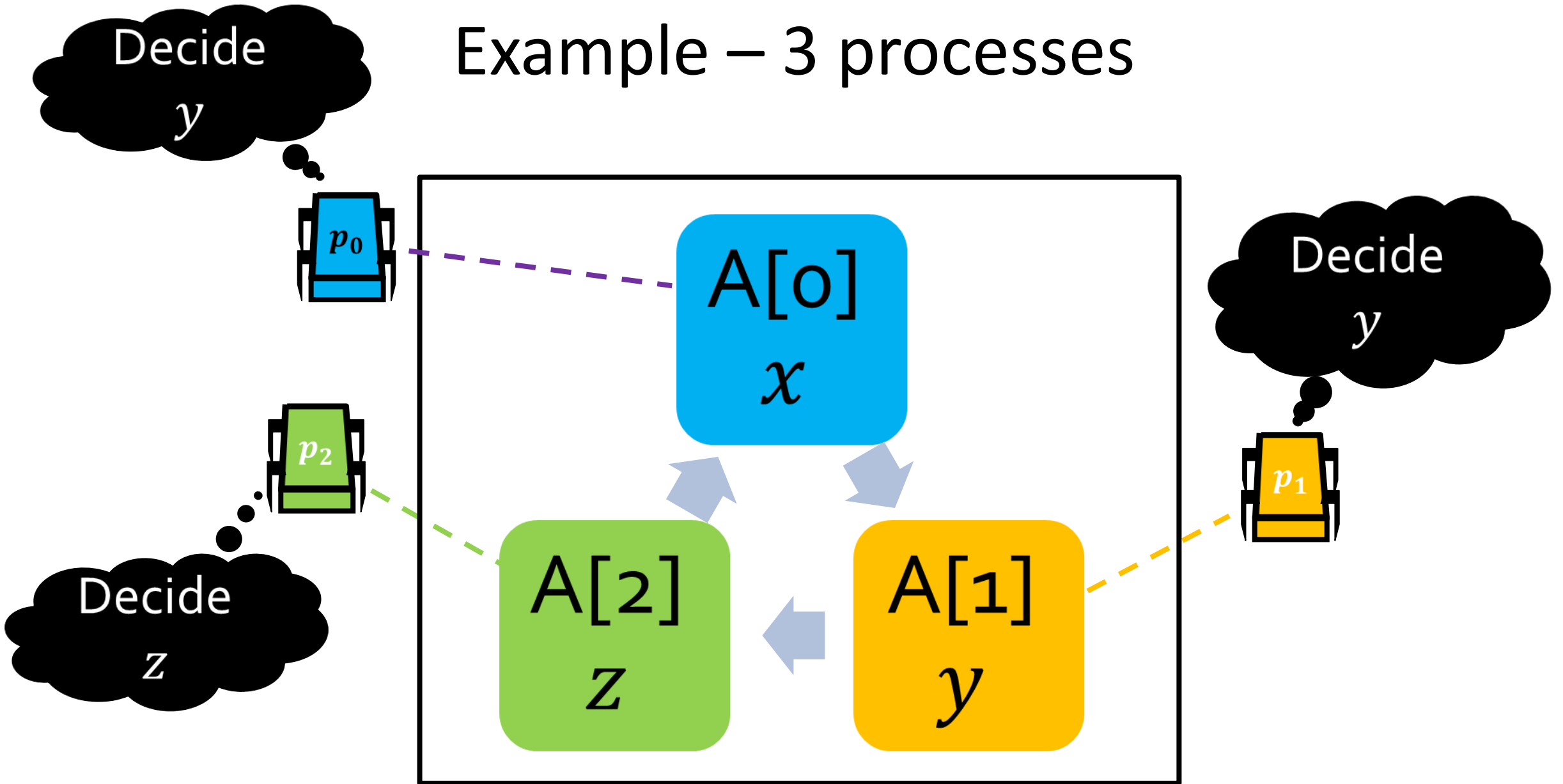
Example – 3 processes



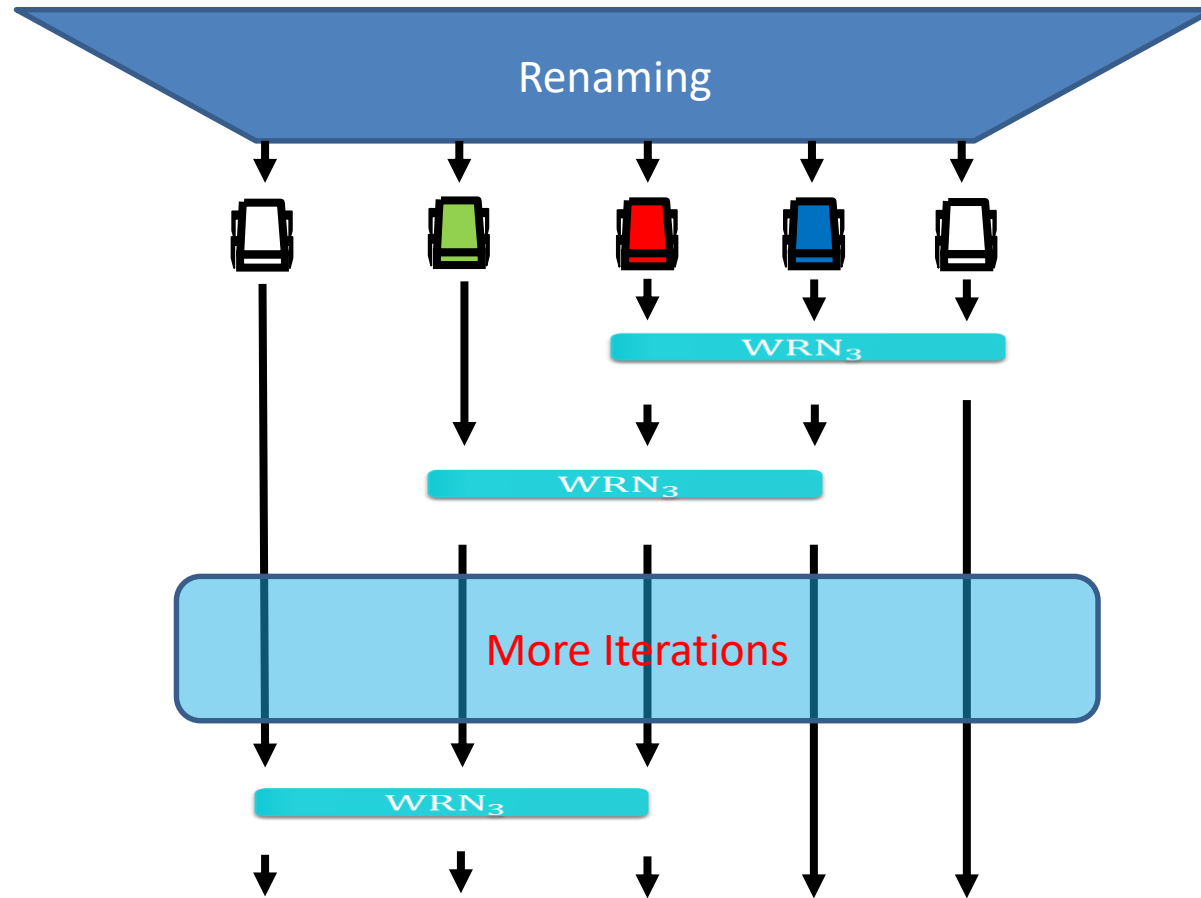
Example – 3 processes



Example – 3 processes



Solution for 3 Processes out of Many



Solution for k Processes out of Many

- Proof:
 - The processes get exactly k names in $\{0, 1, \dots, 2k - 2\}$
 - It's a k -sized subset of $\{0, 1, \dots, 2k - 2\}$
 - Let its index be ℓ^*
 - One process must lose until iteration $\ell^* + 1$

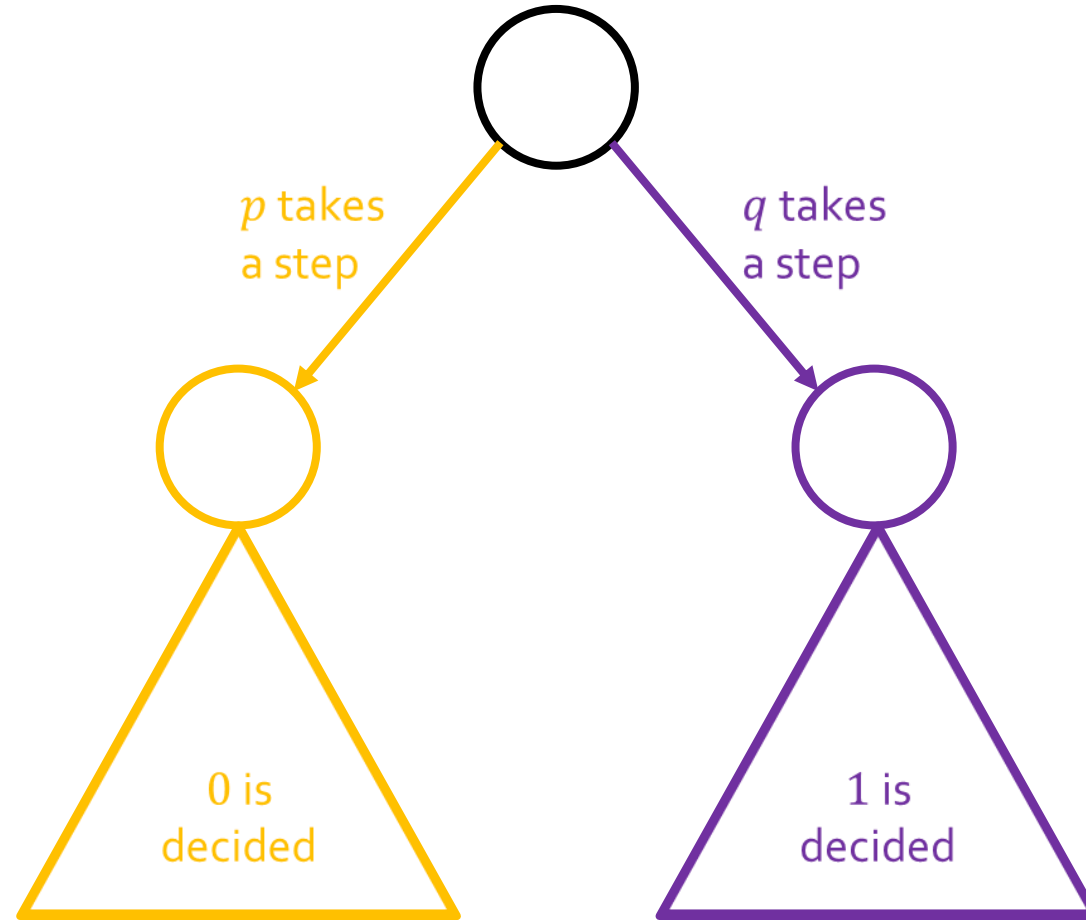
Want to Prove that WRN_k is:

✓ Stronger than registers

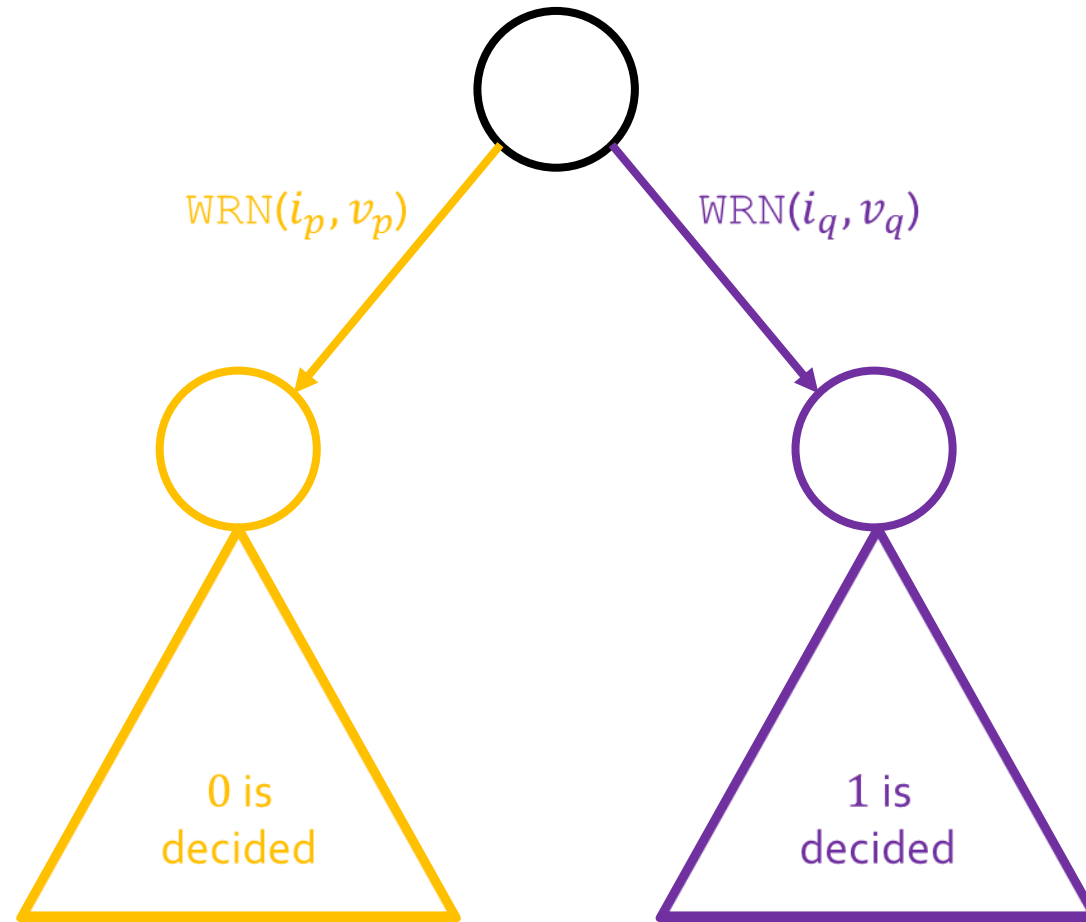
□ Weaker than 2-consensus

Registers $< WRN_k < 2$ -consensus

WRN_k is Weaker than 2-Consensus



The Critical State



Want to Prove that WRN_k is:

- ✓ Stronger than registers
- ✓ Weaker than 2-consensus

DONE!

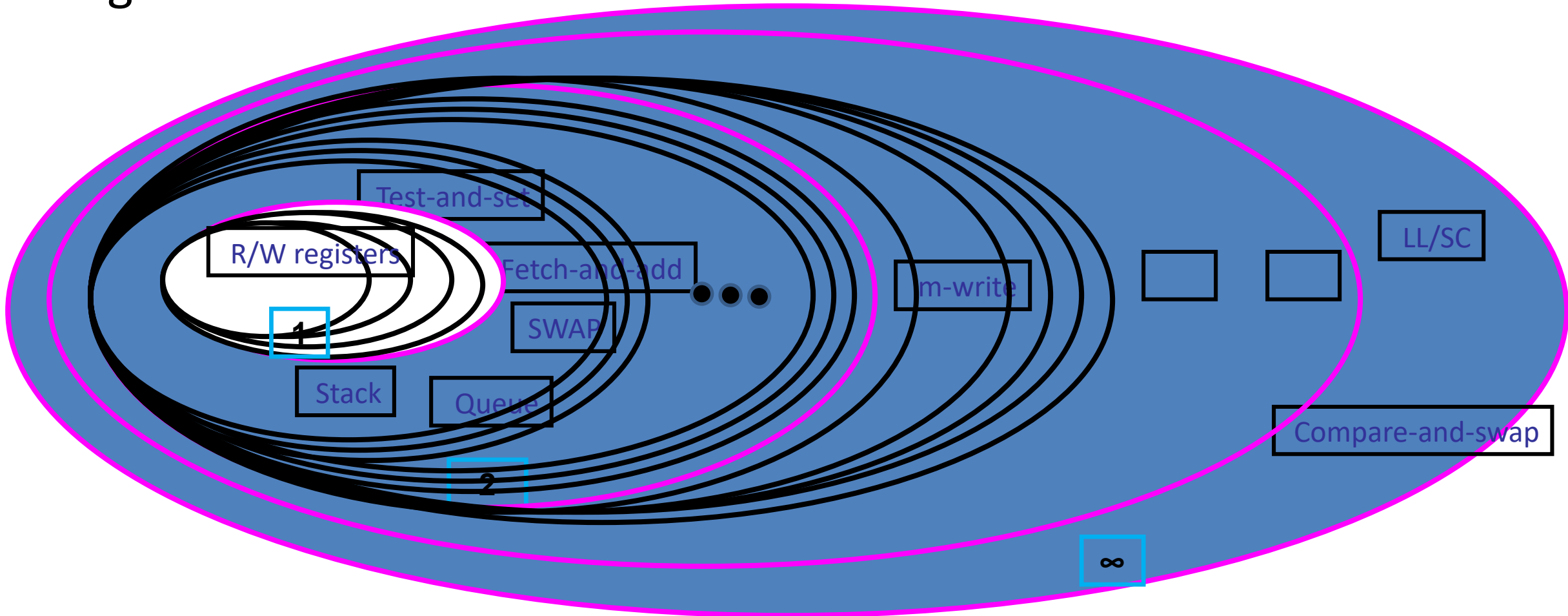
Registers $< WRN_k < 2$ -consensus

~~Remains Open [AEG conjecture]~~

FALSE

WRNk is a deterministic object WRNk s.t.,:

Reg's < WRNk < 2-consensus ??



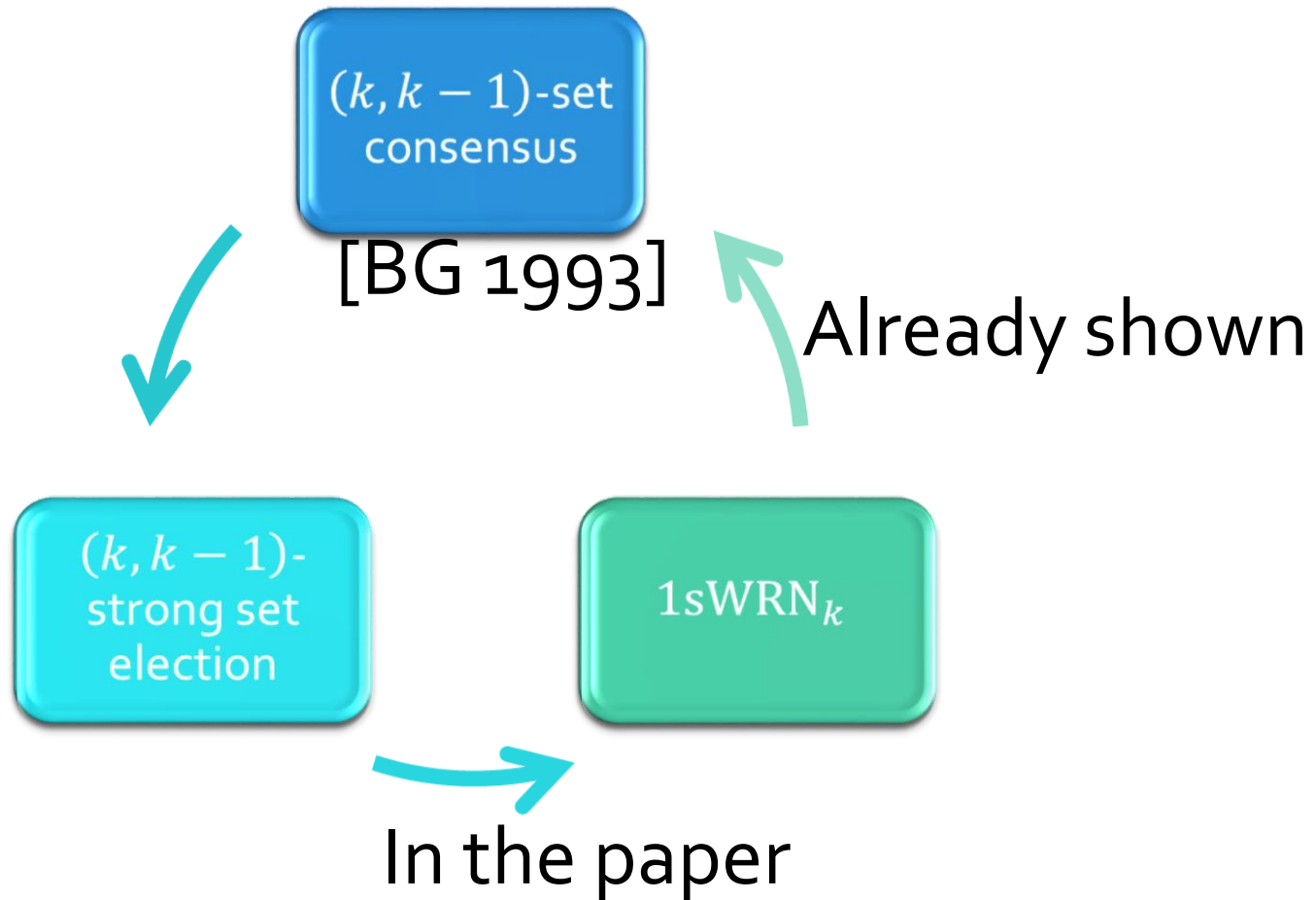
Building an Infinite Hierarchy

- 1 shot WRN_k variant: $1sWRN_k$
- $1sWRN_k \geq (k, k - 1)$ -**strong set election**
(If p is elected, then p elects itself)
- Details in the paper

Building from Strong Set Election

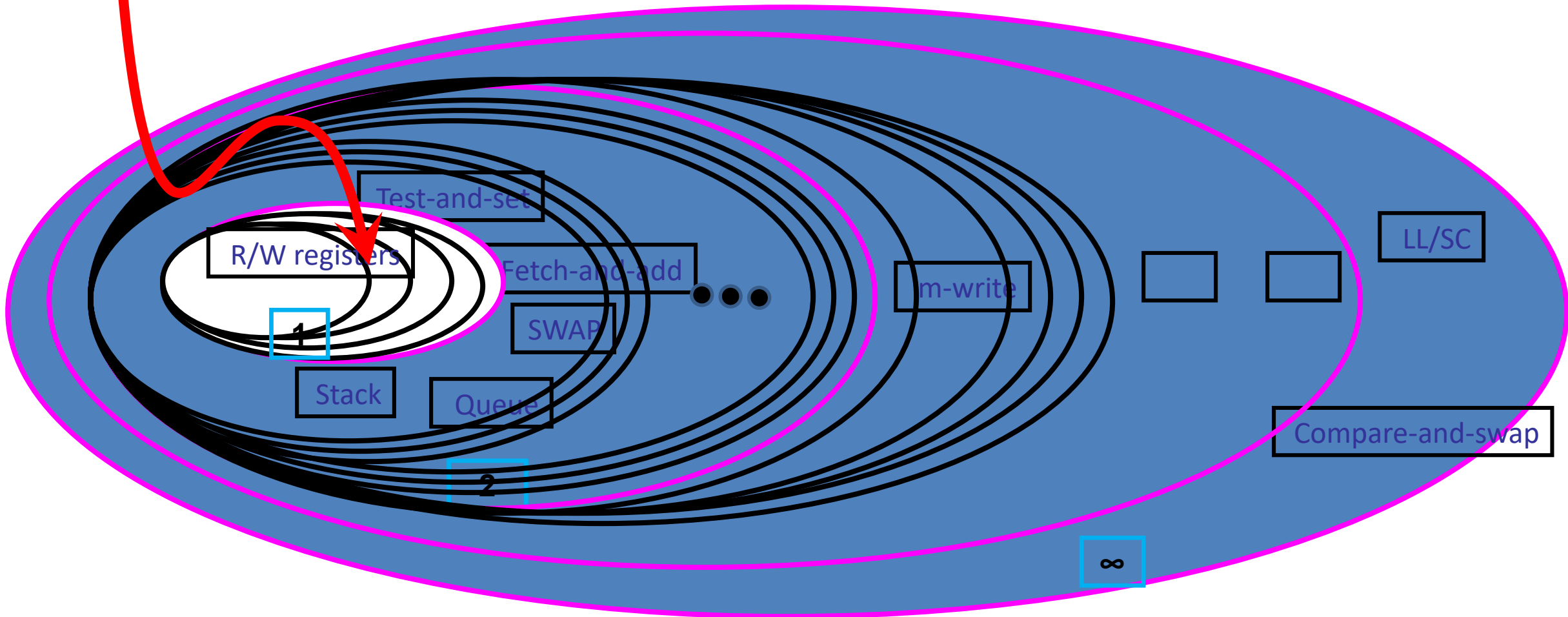
```
1: shared  $(k, k - 1)$ -strong set election implementation  $SSE$ 
2: shared MWMR register  $Doorway$ , initially opened
3: shared SWMR register array  $R[i]$ ,  $0 \leq i < k$ ; initially  $R[i] = \perp$  for every  $i$ 
4: shared SWMR register array  $O[i]$ ,  $0 \leq i < k$ ; initially  $O[i] = \perp$  for every  $i$ 
5: function  $1sWRN(i, v)$   $\triangleright i \in \{0, \dots, k - 1\}$  is the index,  $v \notin \{\perp, \emptyset\}$  is the value.
6:    $R[i] \leftarrow v$   $\triangleright v$  is announced at the index  $i$ .
7:   if  $Read(Doorway) = opened$  then
8:      $Doorway \leftarrow closed$ 
9:     if  $SSE.Invoke(i) = i$  then
10:      return  $\perp$ 
11:    end if
12:  end if
13:   $SR \leftarrow Snapshot(R)$   $\triangleright SR$  is a local array.
14:   $O[i] \leftarrow SR$ 
15:   $SO \leftarrow Snapshot(O)$   $\triangleright SO$  is a local array.
16:  for  $j = 0, 1, \dots, k - 1$  do
17:    if  $SO[j][i] = v$  and  $SO[j][(i + 1) \bmod k] = \perp$  then
18:      return  $\perp$ 
19:    end if
20:  end for
21:  return  $SR[(i + 1) \bmod k]$ 
22: end function
```

Implementation Directions



Infinite hierarchy in L1

- An infinite hierarchy



Set-Consensus below 2-consensus

processes	2-setCons	3-setConse	4-setCons	5-setCons	6-setCons	7-setCons
3						
4						
5						
6						
7						
8						

Reg's=1-consensus

Set-Consensus below 2-consensus

processes	2-setCons	3-setConse	4-setCons	5-setCons	6-setCons	7-setCons
3	WRN3					
4						
5						
6						
7						
8						

Reg's=1-consensus

Set-Consensus below 2-consensus

processes	2-setCons	3-setConse	4-setCons	5-setCons	6-setCons	7-setCons
3	WRN3					
4		WRN4				
5			WRN5			
6				WRN6		
7					WRN7	
8						WRN8

Reg's=1-consensus

Set-Consensus below 2-consensus

processes	2-setCons	3-setConse	4-setCons	5-setCons	6-setCons	7-setCons
3	WRN3					
4		WRN4				
5			WRN5			
6			2xWRN3	WRN6		
7					WRN7	
8						WRN8

Reg's=1-consensus

Set-Consensus below 2-consensus

processes	2-setCons	3-setConse	4-setCons	5-setCons	6-setCons	7-setCons
3	WRN3					
4		WRN4				
5			WRN5			
6			2xWRN3	WRN6		
7					WRN7	
8					2xWRN4	WRN8

Reg's=1-consensus

Set-Consensus below 2-consensus

processes	2-setCons	3-setConse	4-setCons	5-setCons	6-setCons	7-setCons
3	WRN3					
4		WRN4				
5	???		WRN5			
6			2xWRN3	WRN6		
7					WRN7	
8			???		2xWRN4	WRN8

Reg's=1-consensus

OPEN

Consensus vs. Set Consensus Power

- We've shown: Registers $< WRN_k < 2$ -consensus
 - Consensus number is 1
 - WRN_k solves $(k - 1)$ -set consensus
- Consensus and set consensus powers are **unrelated!**

Delporte-Gallet, Fauconnier, Gafni, Kuznetsov

- Set-consensus power vector $(Obj) = (k_1, k_2, k_3, \dots)$

where Obj and registers can w-f implement (k_i, i) set-consensus and NOT (k_{i+1}, i) set-consensus.

Set Consensus Power Vector

- For an object O :
 - $v_O = \langle a_1, a_2, \dots \rangle$
 - O and registers solve **wait-free** i -set consensus:
 - for a_i processes
 - not for $a_i + 1$ processes
- a_1 is the consensus number of O

Remaining Open Questions

- For deterministic objects:
 - The case $(k, k - 1)$ -set consensus is resolved
 - What about arbitrary (n, m) -set consensus?
 - I.e., $(4,2)$ or $(5,2)$
 - Is there a more fine grained hierarchy?
 - More than set-consensus vector power?

Thank you!