

The geology, physics and chemistry of earthquakes hosted in carbonate-built rocks



Padua University
Italy 1222

Giulio Di Toro

giulio.ditoro@unipd.it



INGV - Italy 1999

Workshop on Great Earthquakes, modelling and observations
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<http://erc.europa.eu/>



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1) Earthquakes in carbonate-built rocks

2) Experimental results

Observations regarding friction in carbonates at seismic slip rates

3) Processes at seismic slip initiation

Fast moving dislocations and flash weakening in calcite

4) Processes during and at the end of seismic slip

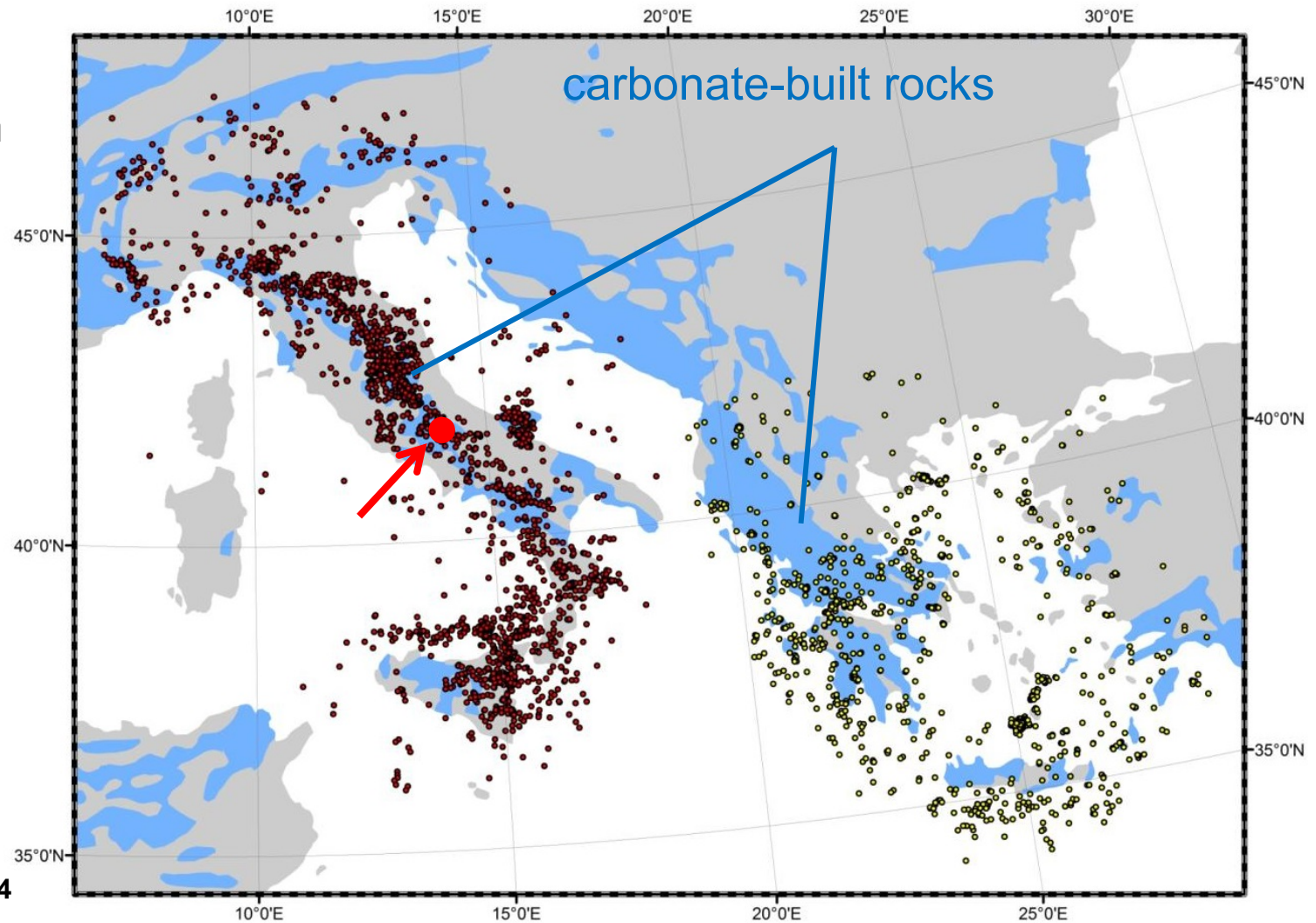
Grain Boundary Sliding and superplastic behavior

Why carbonates?

Epicenters overlap with thick sequences (4-10 km) of carbonate-built (calcite and dolomite) rocks (case for Italy and Greece).

Earthquakes with
 $M > 1.8$

- INGV catalogue 2005-2008
- Aristotle University catalogue 2012



Why carbonates?

Carbonate-built fault zone exhumed from 2-3 km depth

(Campo Imperatore Fault Zone, Italy).



25 m

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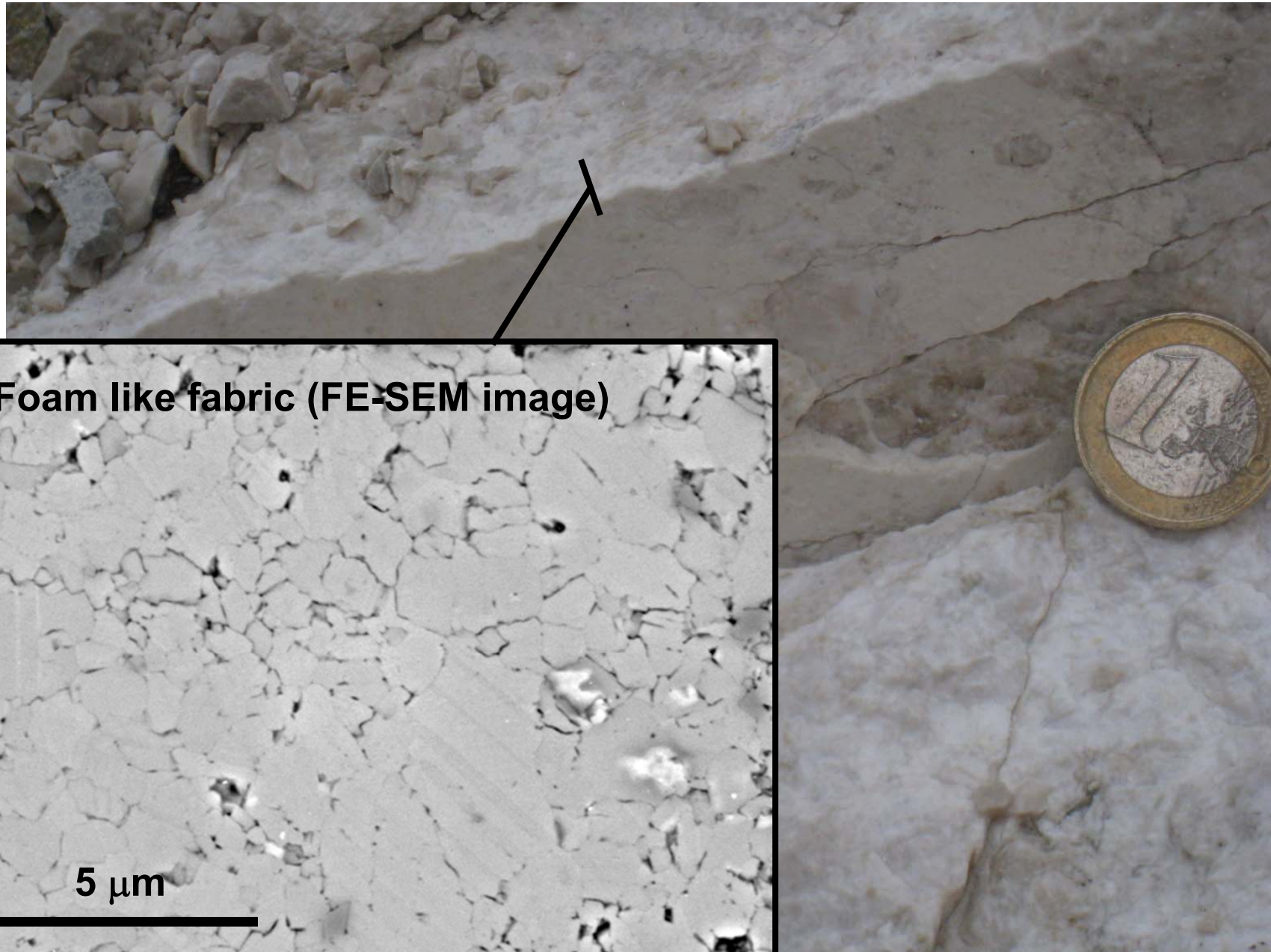


25 m

Mirror-like surfaces (Campo Imperatore Fault Zone, Italy).



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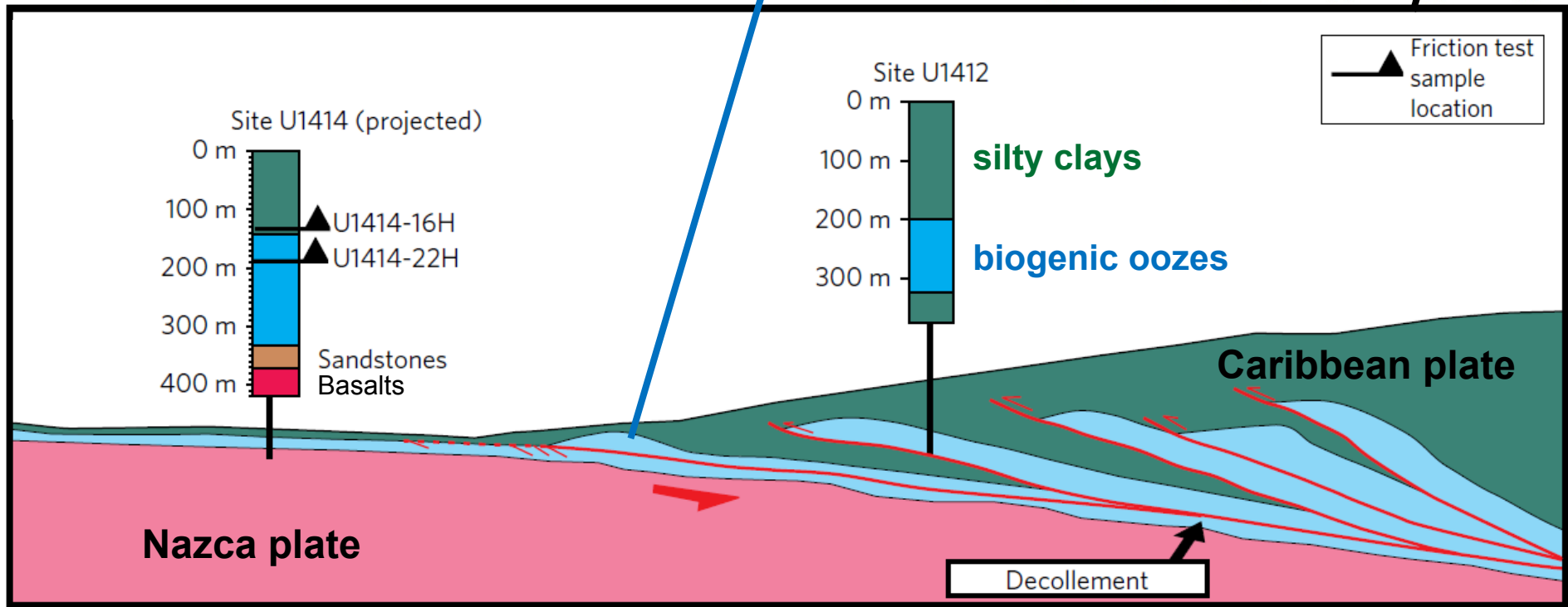
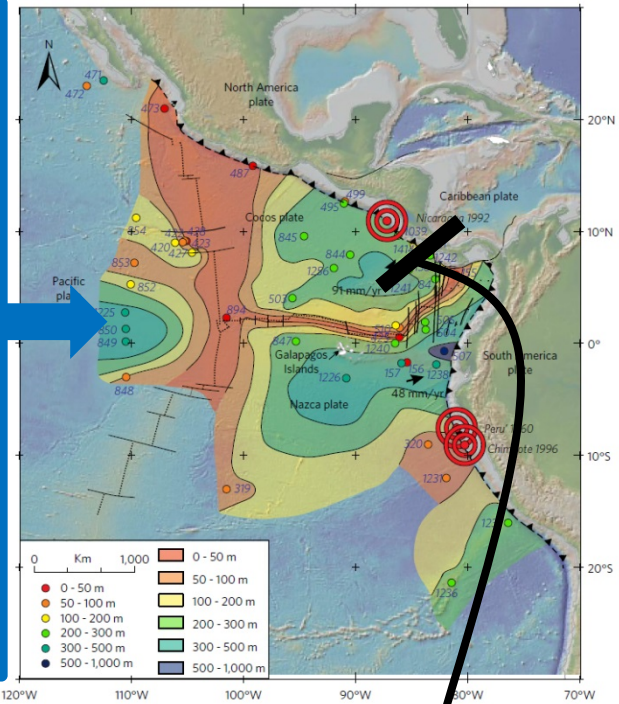
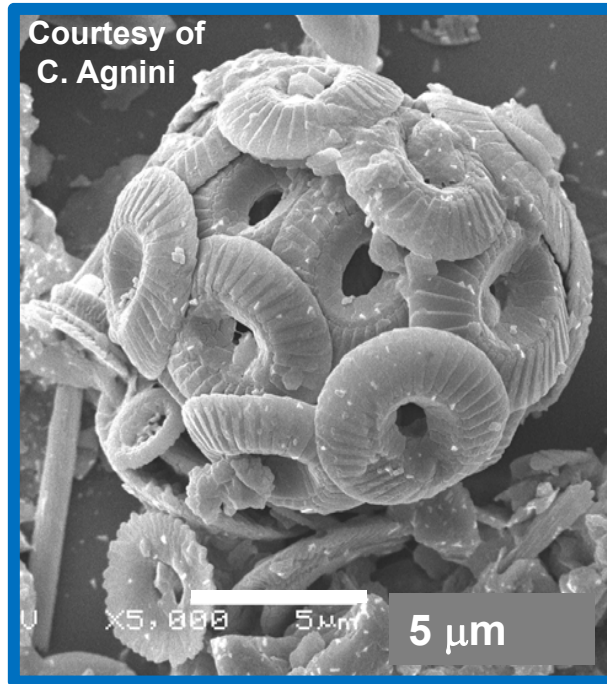


Foam like fabric (FE-SEM image)

5 μm

Great earthquakes: Slip-up-to-the- trench in carbonate biogenic oozes in megathrusts earthquakes

Vannucchi et al., Nat. Geos. 2017



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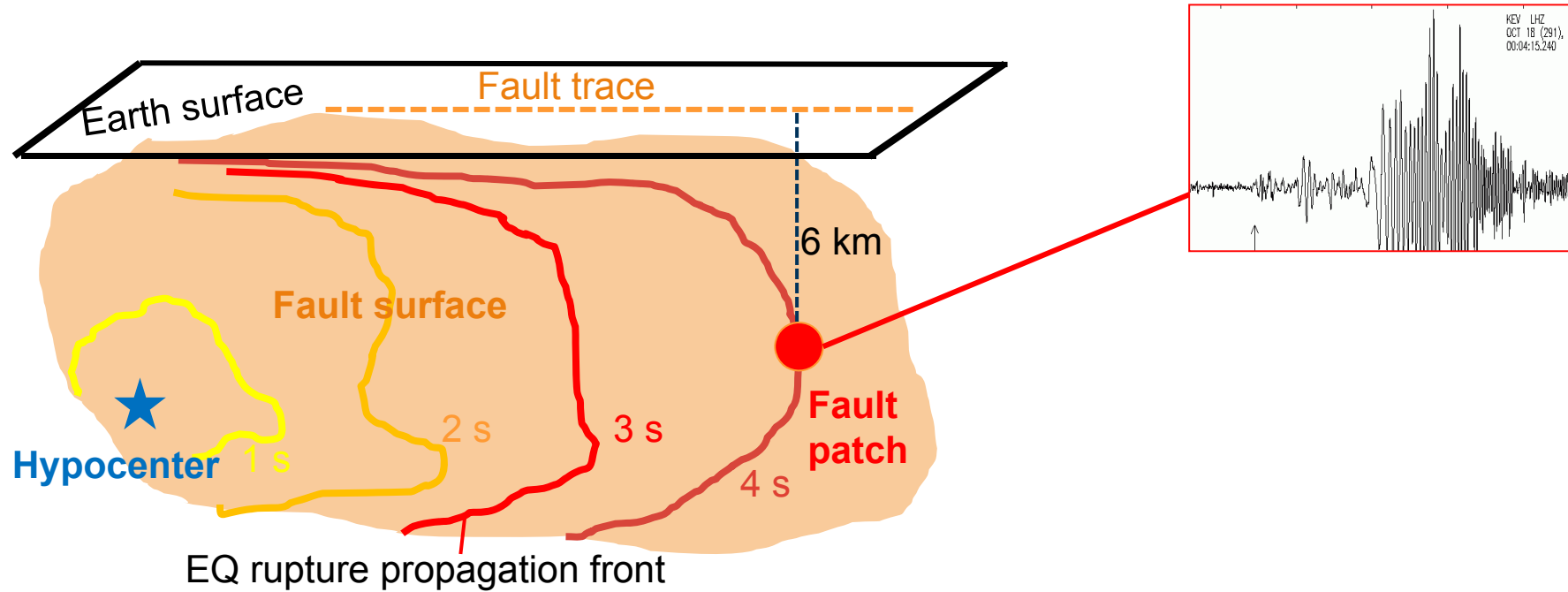
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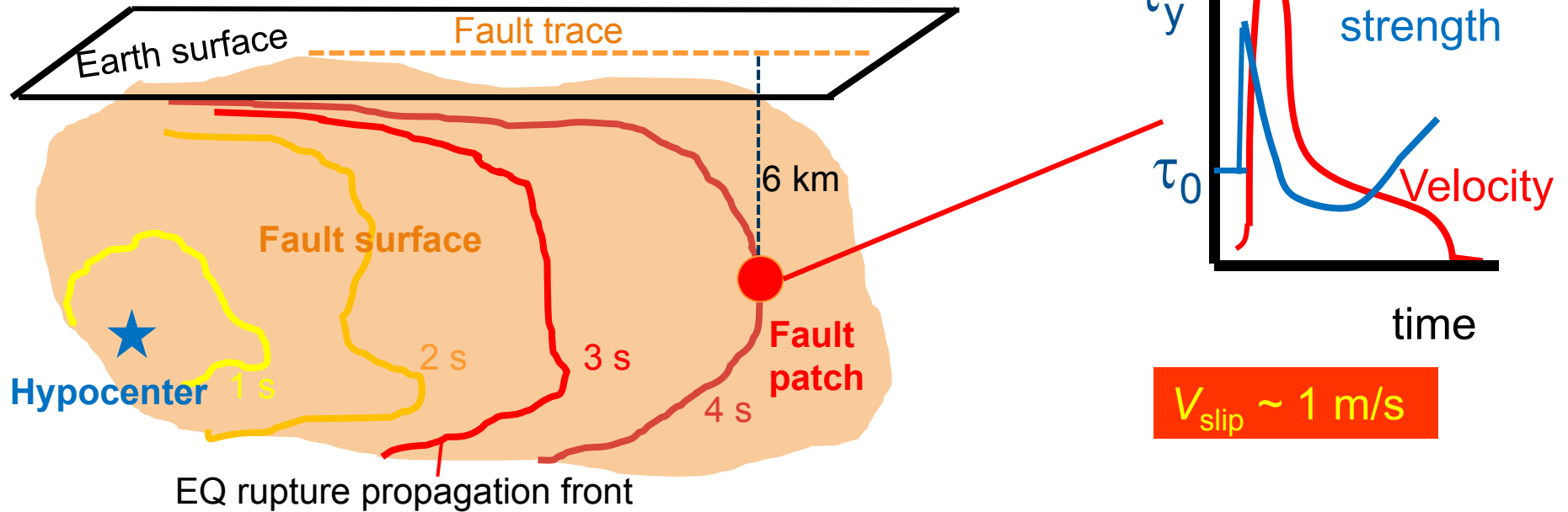
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Grain Boundary Sliding and superplastic behavior

What happens in a fault patch at the passage of the EQ rupture front?

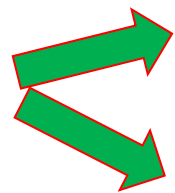


What happens in a fault patch at the passage of the EQ rupture front?



Frictional power dissipation ($> 10 \text{ MW/m}^2$)

$$\tau V_{\text{slip}}$$



Thermal: temperature increase

Mechanical: grain size reduction

Both result in mechano-chemical reactions (CO_2 emission, etc.) and control the frictional evolution at a fault patch.



Nov. 2009 SHIVA (**S**_{low to} **H**_{igh} **V**_{elocity} **A**_{pparatus})
INGV (designed by Italian Team at INGV in Rome)

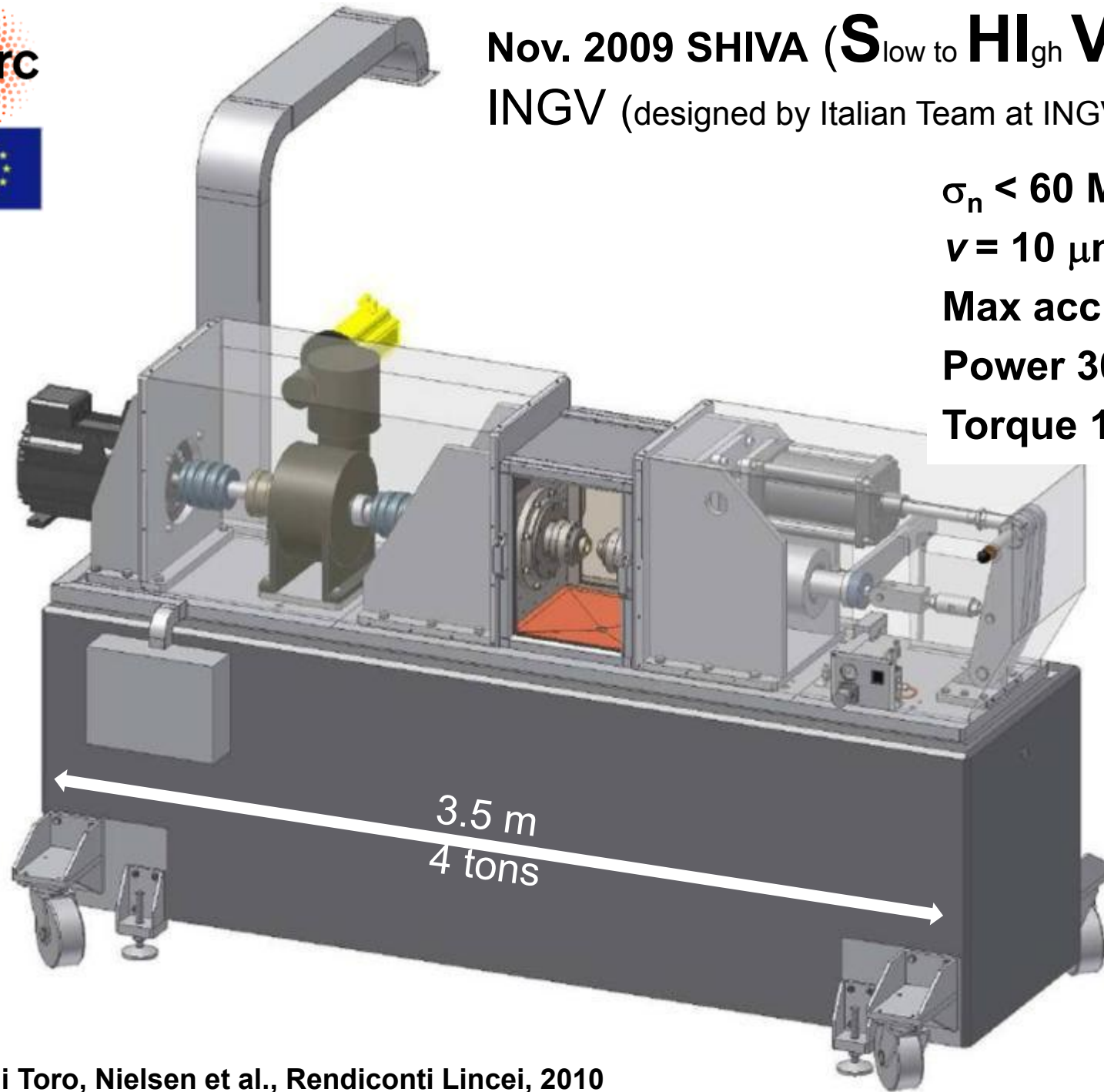
$\sigma_n < 60 \text{ MPa}$

$v = 10 \text{ } \mu\text{m/s} - 6.5 \text{ m/s}$

Max acc. = 70 m/s^2

Power 300 kW

Torque 1100 Nm





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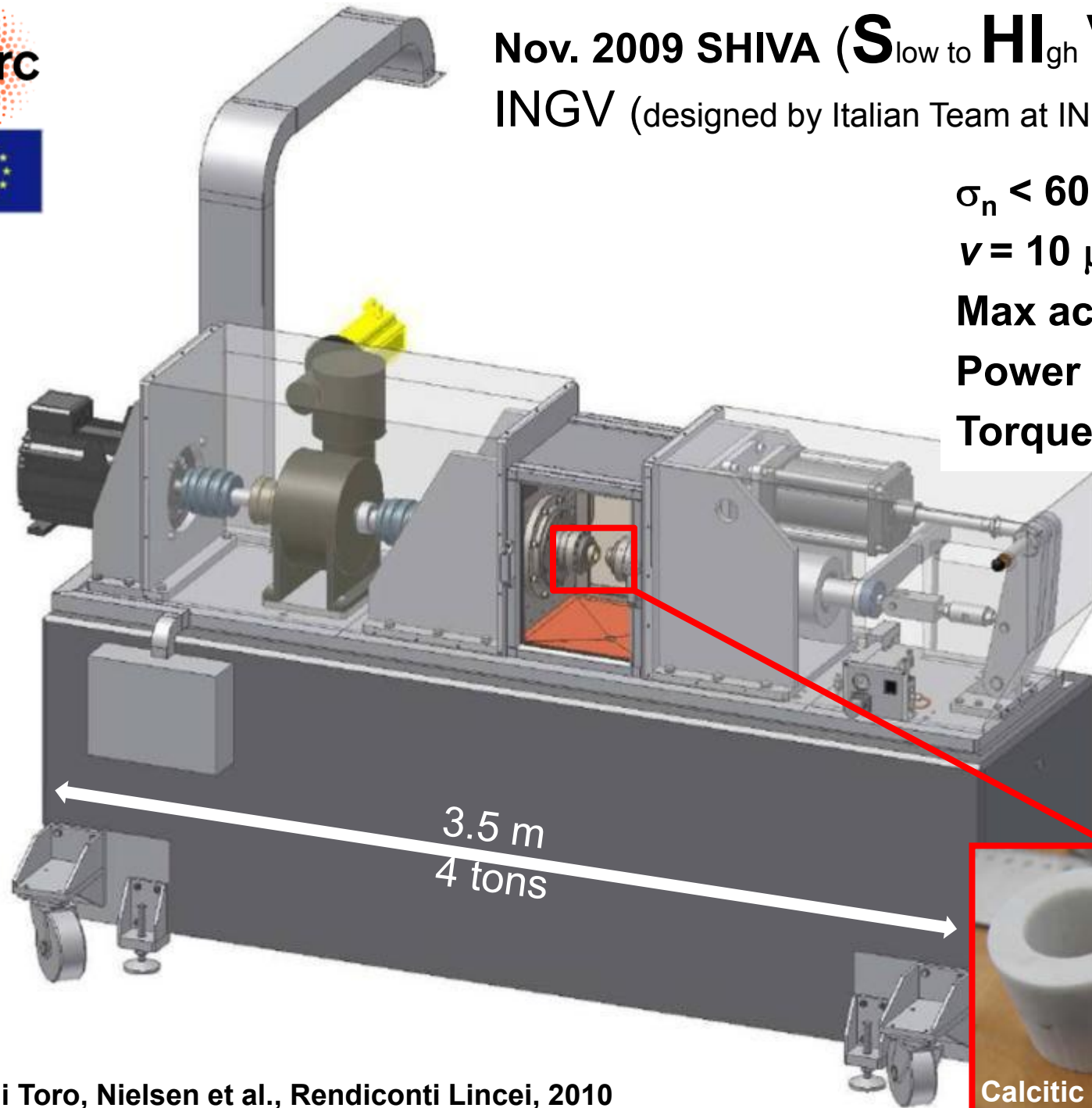
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$v = 10 \mu\text{m/s} - 6.5 \text{ m/s}$

Max acc. = 70 m/s^2

Power 300 kW

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SHIVA owns an environmental/vacuum chamber equipped with a mass spectrometer. Fluid pressurizing system.

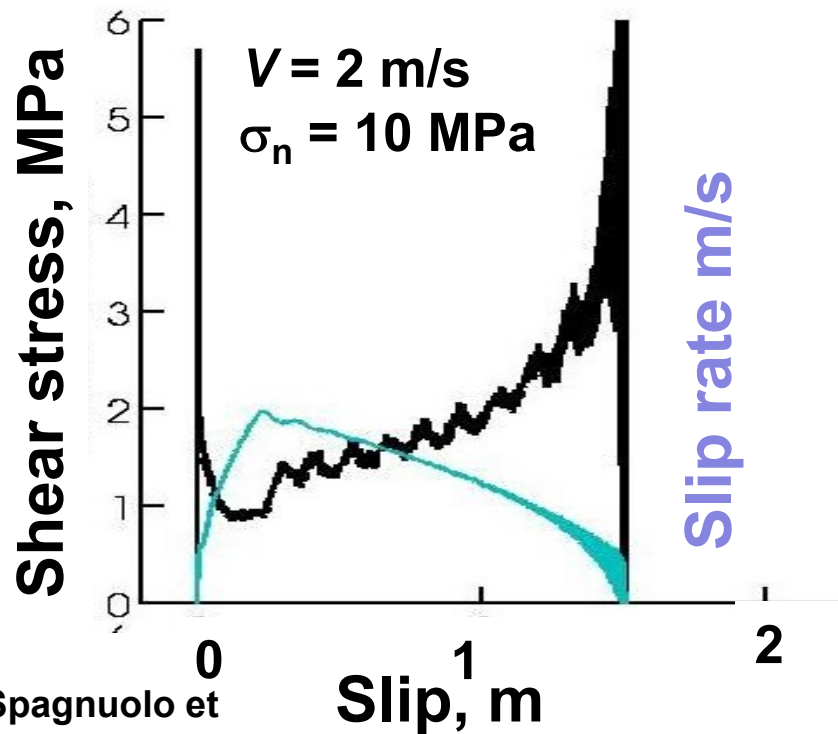


Di Toro, Nielsen et al., Rendiconti Lincei, 2010

Carrara marble

No confinement

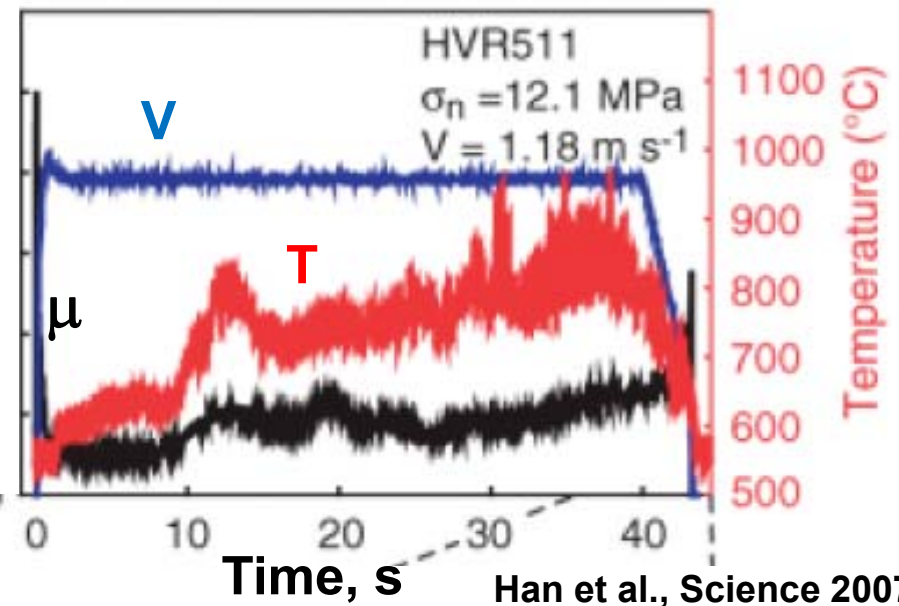
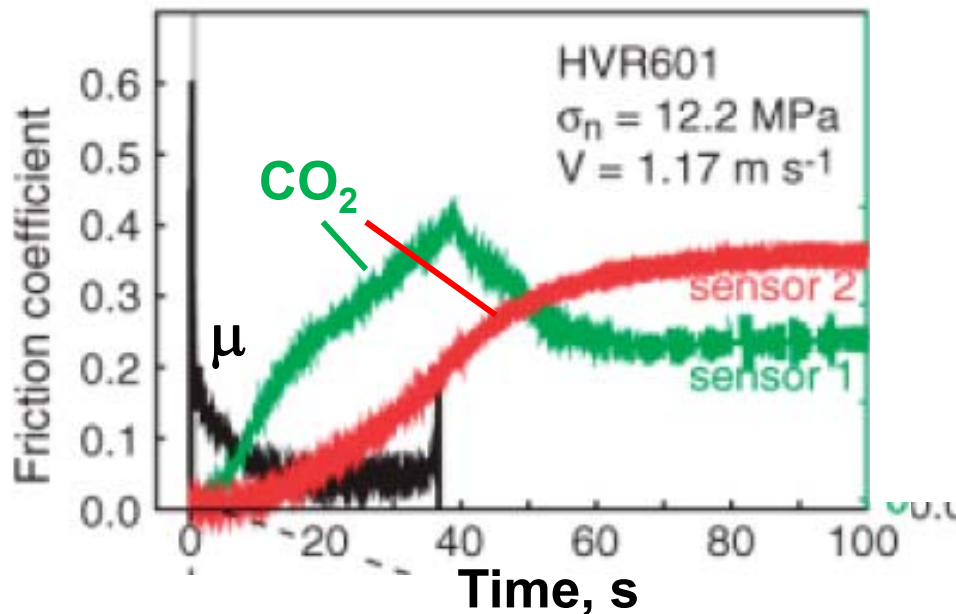




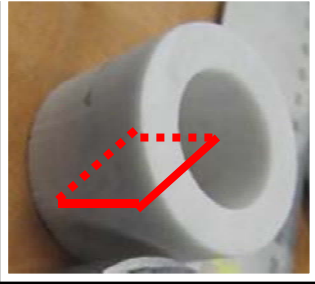
Spagnuolo et al., unpubl.

Strong velocity dependence

Temp. rise buffered by endothermic decarbonation reactions ($T > 600^\circ \text{C}$)

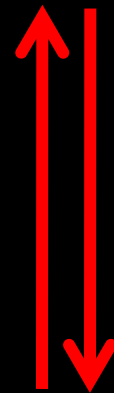
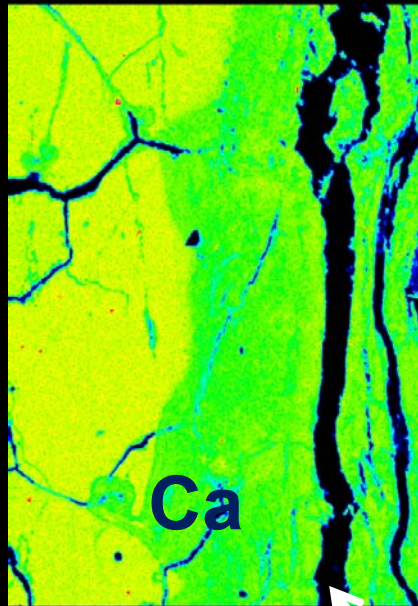
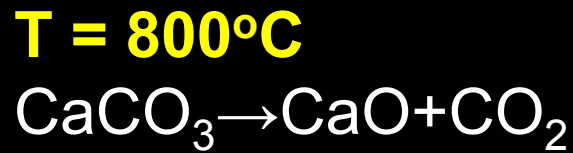
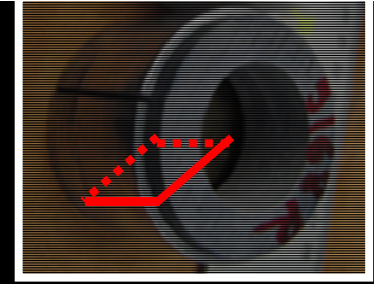


Han et al., Science 2007



CALCITE
One breakdown
reaction

DOLOMITE
Two breakdown
reactions



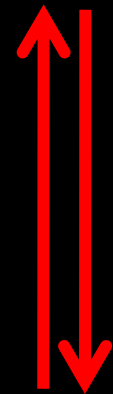
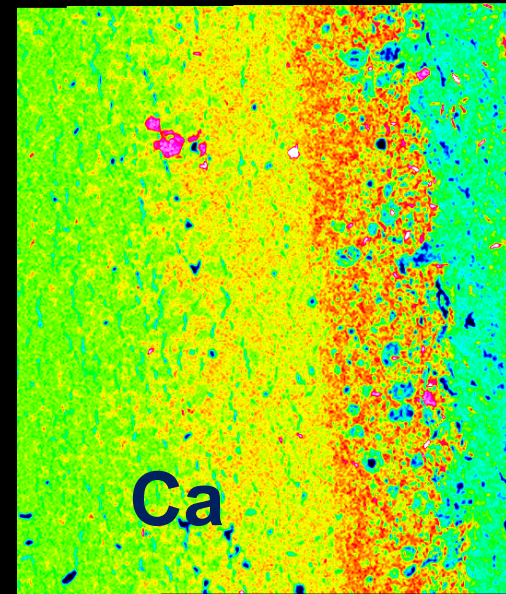
EPMA map

Slip = 18 m

$\sigma_n = 10 \text{ MPa}$

$V = 6.5 \text{ m/s}$

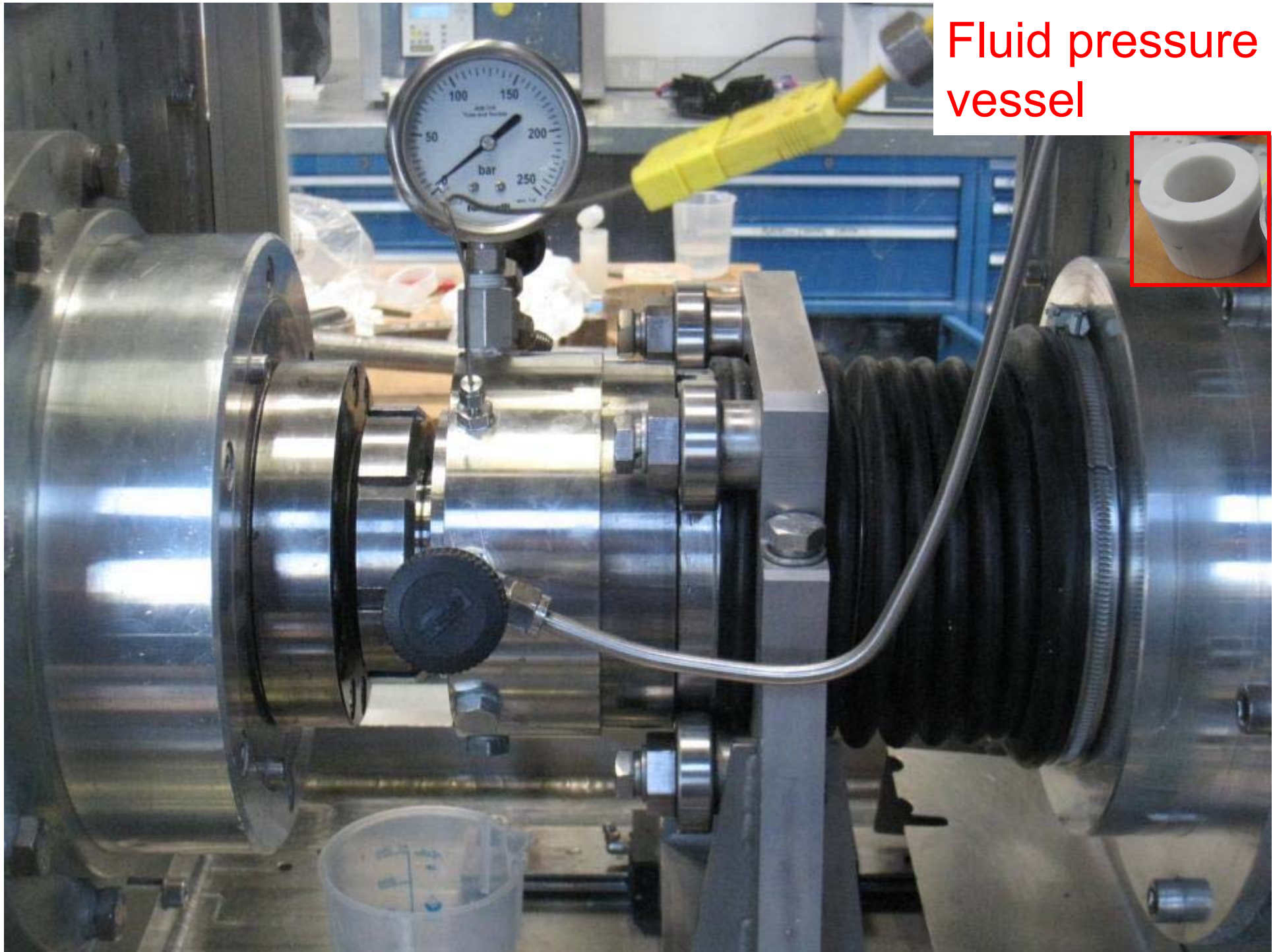
100 μm



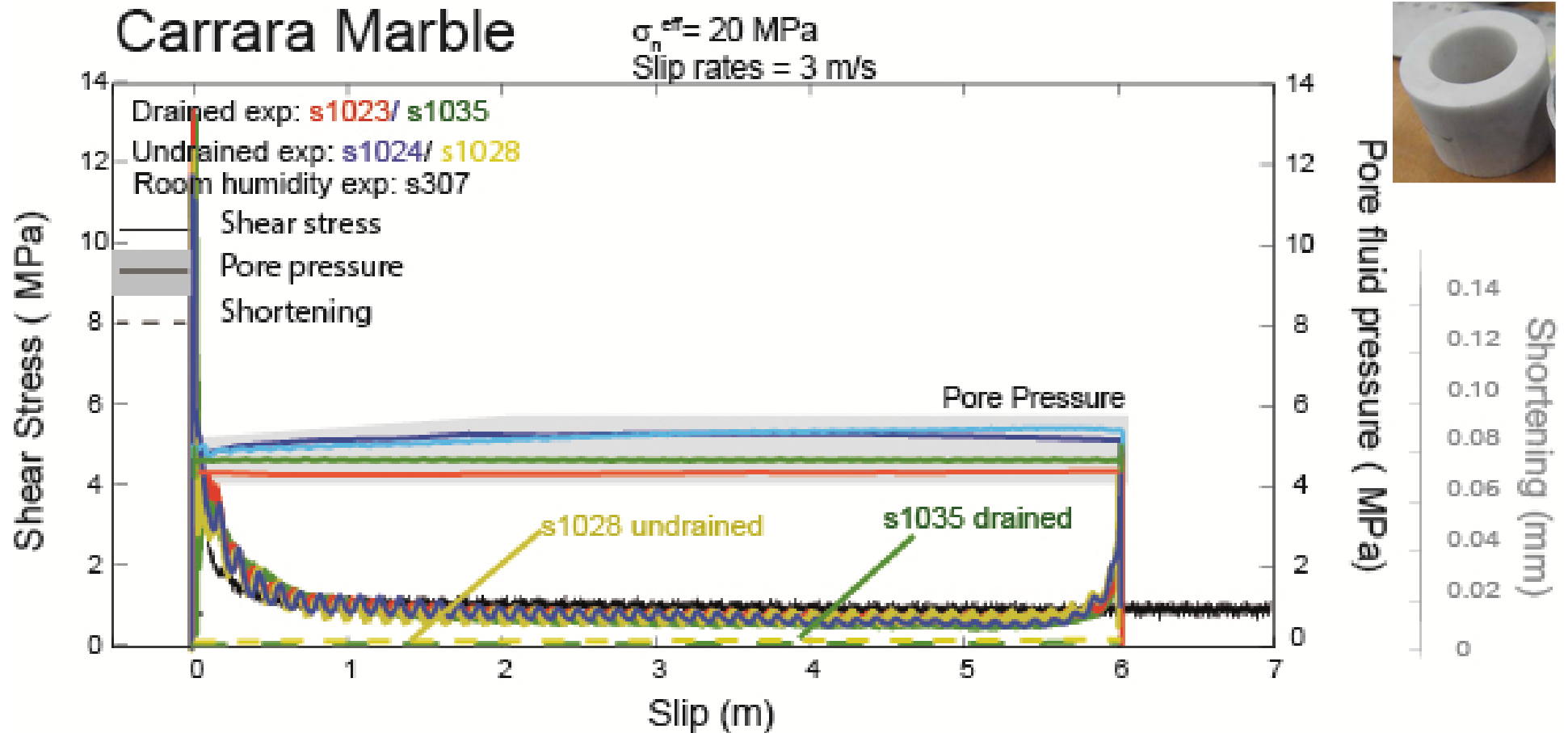
Slipping zone

Slipping zone

Fluid pressure vessel



Cohesive calcite-built rocks: thermo-mechanical pressurization contribution to weakening negligible.

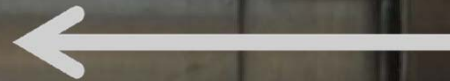


- τ_{ss} similar under room humidity, drained and undrained conditions;
- Negligible shortening in all conditions;
- Small measured pore fluid overpressure

Gouge holder



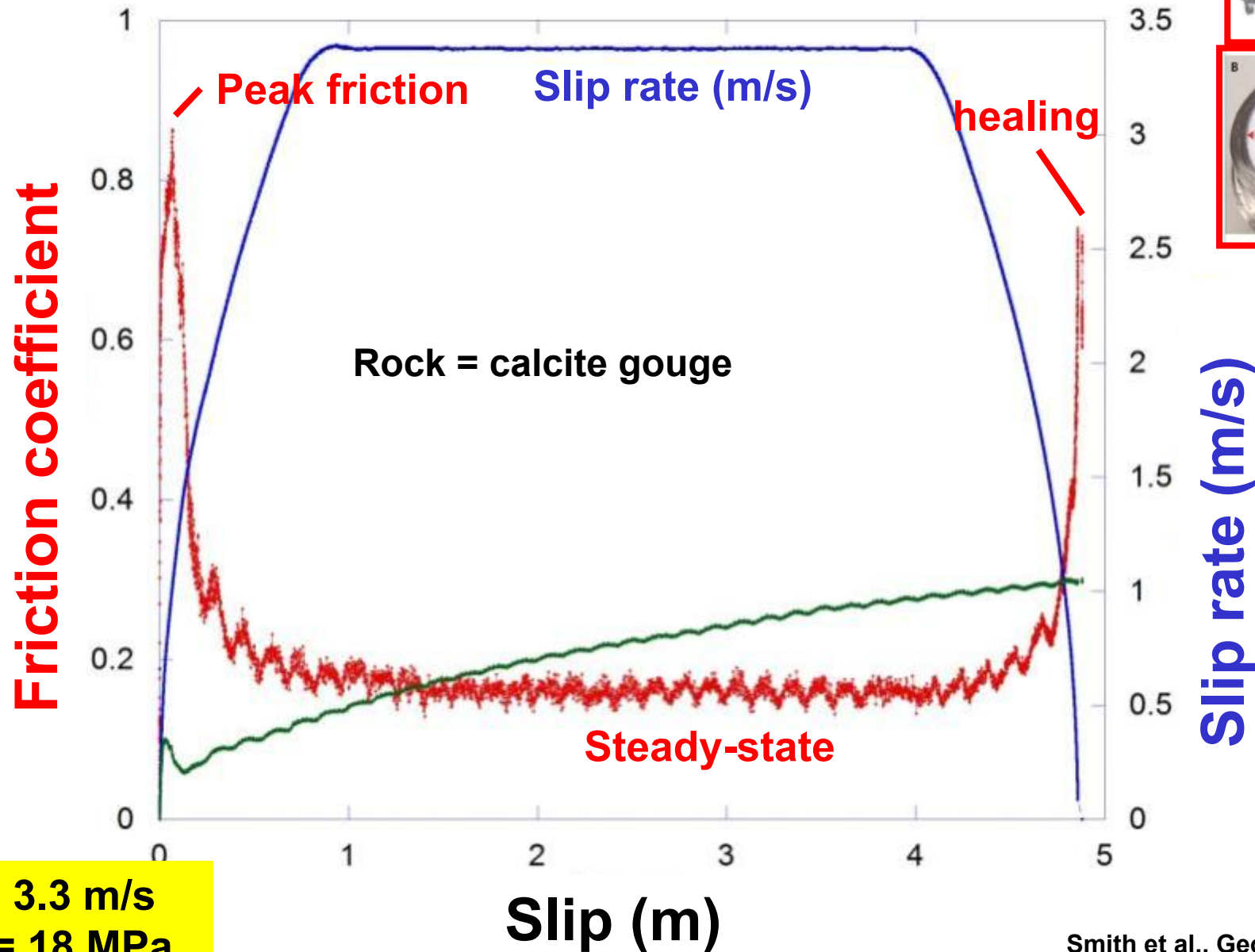
Rotary side



Stationary side
(normal stress)

Designed by S. Nielsen
Smith et al., Geology , 2013

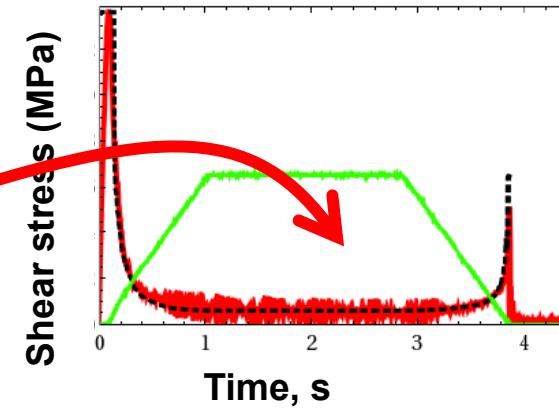
Non-cohesive calcite-bearing rocks.
Friction: strong velocity-dependence.



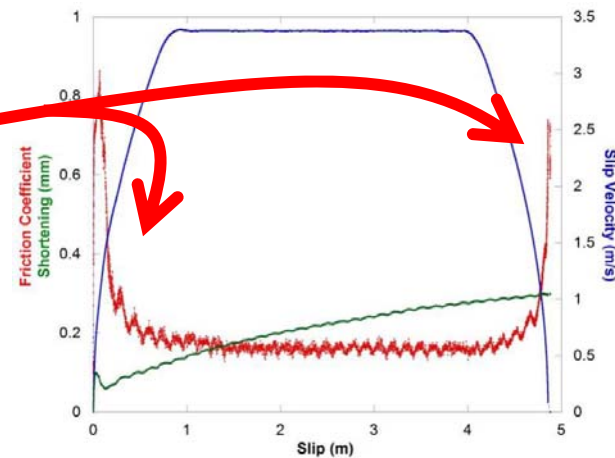
$V = 3.3 \text{ m/s}$
 $\sigma_n = 18 \text{ MPa}$

Any proposed process in carbonates should satisfy:

1) Low friction at $V \sim 1$ m/s

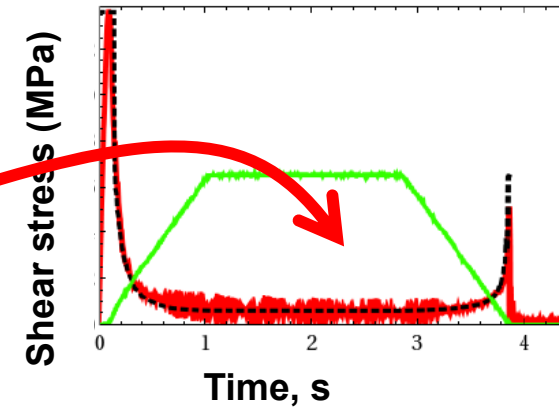


2) Strong dependence of friction with velocity

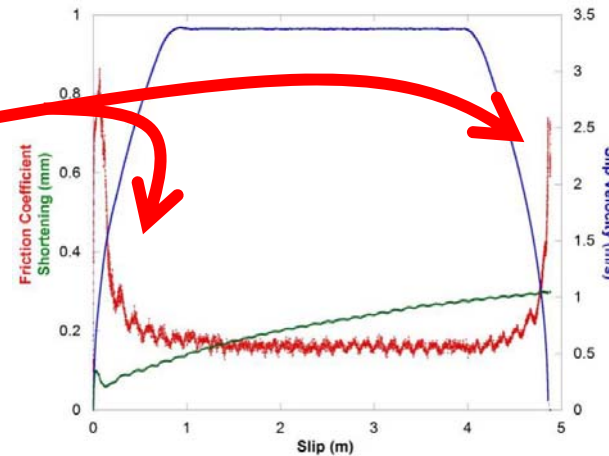


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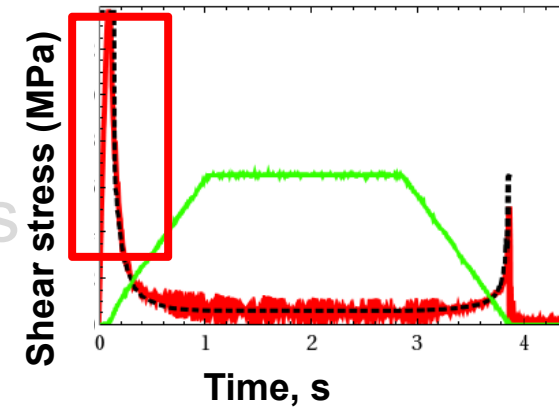


3) must occur in nature!



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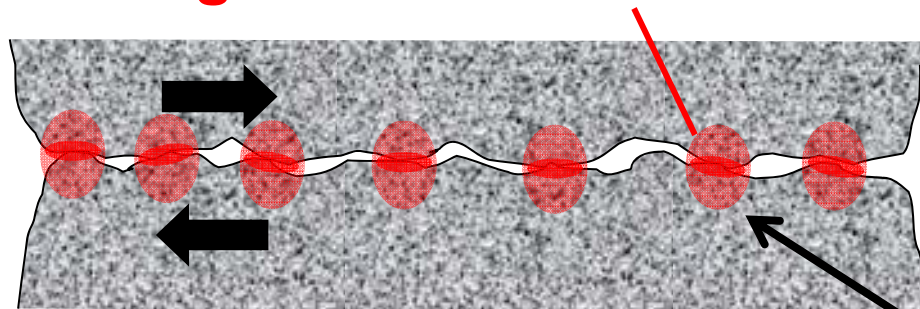
4) Processes during and at the end of seismic slip

Grain Boundary Sliding and superplastic behavior

Flash heating (and weakening):

- short duration ($\ll 1$ ms) asperity-scale process
- high “local” temperatures ($T > 800$ °C)
- low friction at low “bulk” T (< 100 °C)

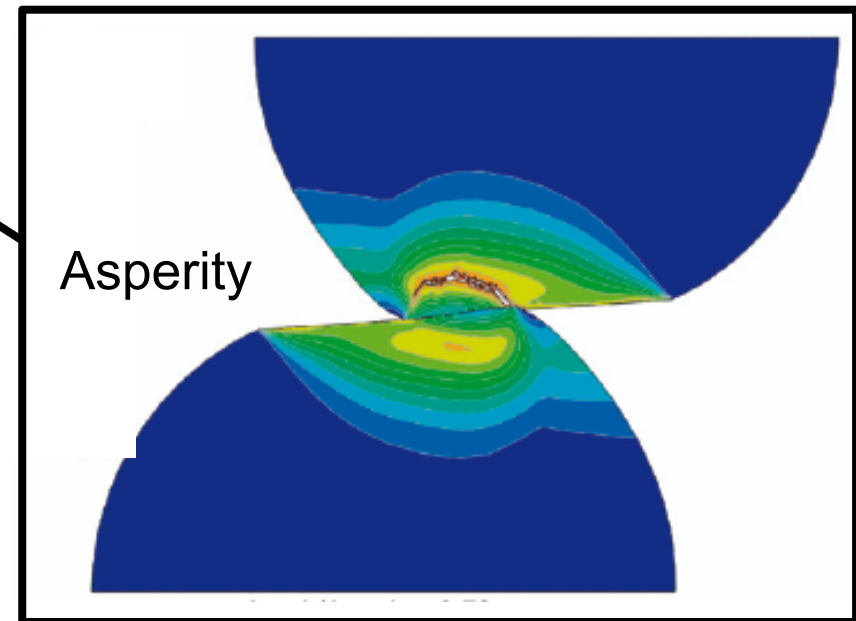
Heat generation and diffusion



10^{-4} m

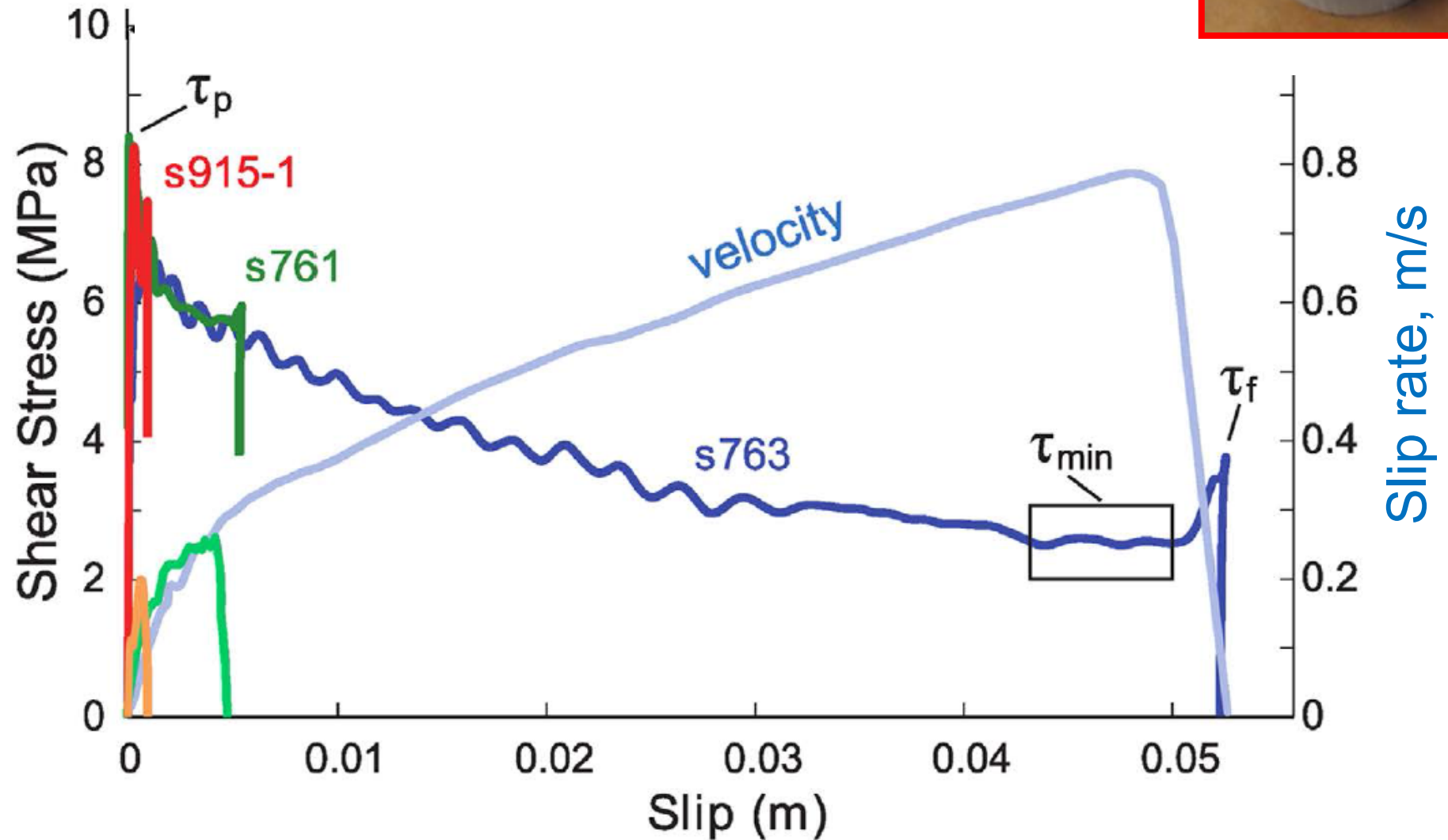
Contact stress (GPa)

$$\Delta T \propto \mu \sigma_c V_{\text{slip}} \sqrt{t_c}$$

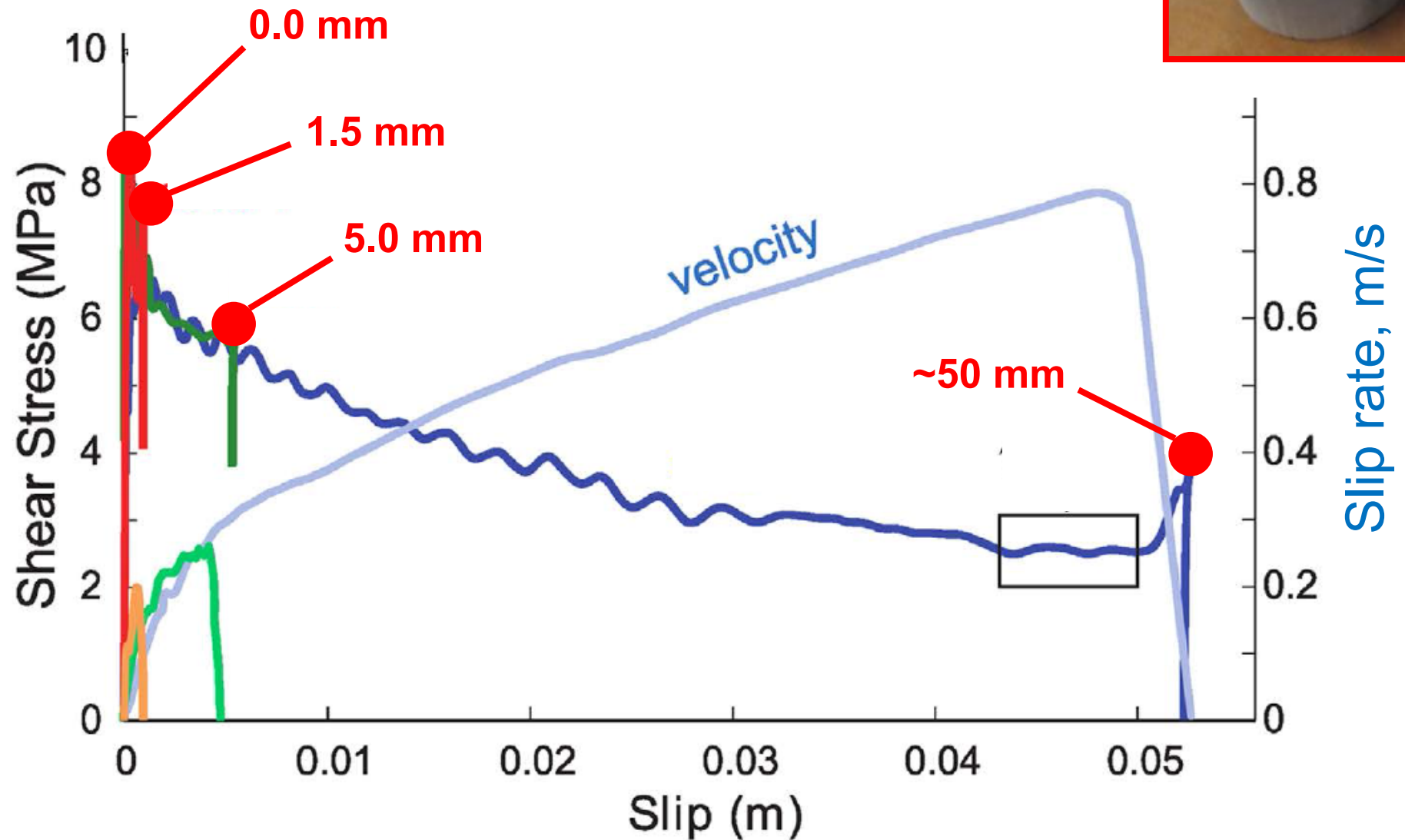


Rice, JGR, 2006; Beeler et al., JGR, 2008;
Goldsby & Tullis, Science 2011; Tisato et
al., JSG 2012

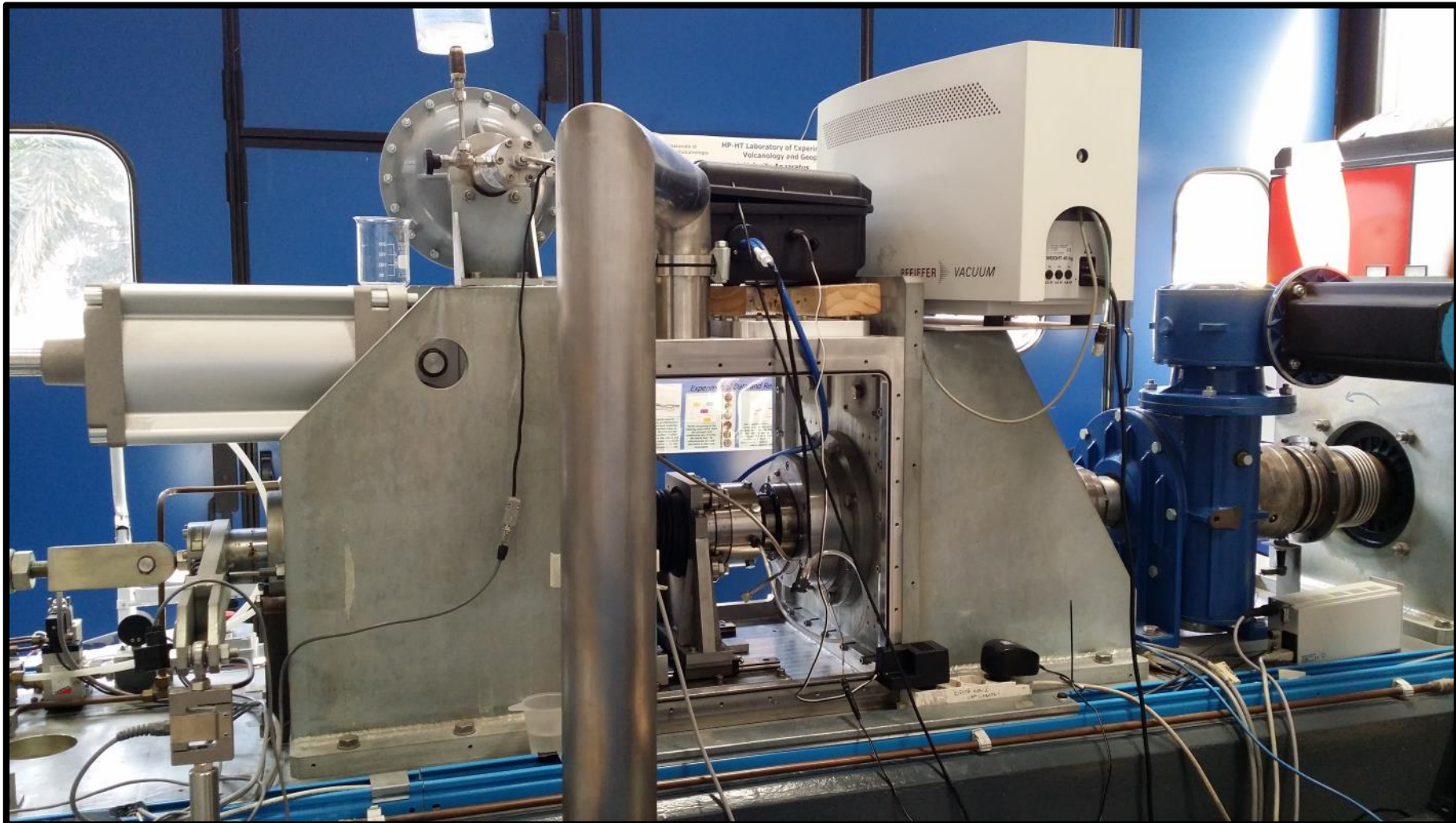
Short slip experiments on cohesive Carrara marble (99 % calcite)



Short slip experiments on cohesive Carrara marble (99 % calcite)

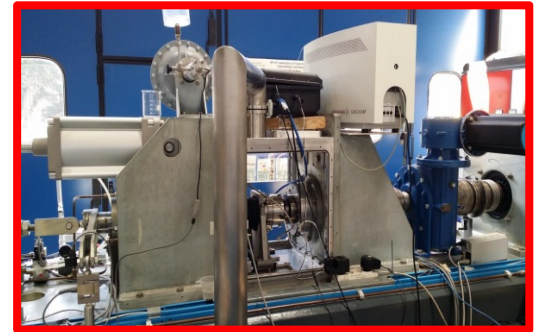


Experiments in vessel to determine gas emission during slip.
Mass spectrometer with cable inserted in vessel.

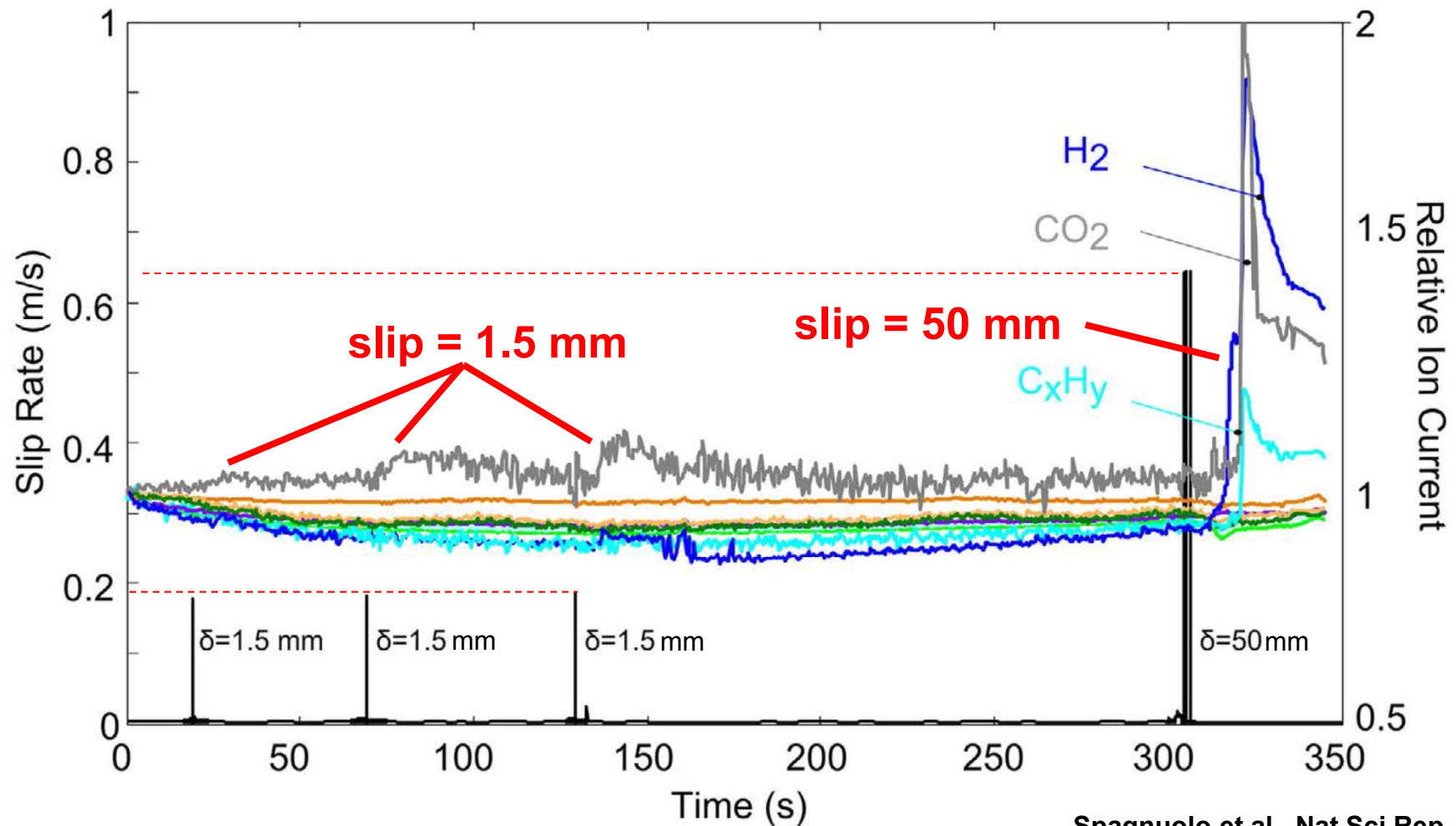


Slip resulted in emission of:

- CO₂ for slip = 1.5 mm.
- CO₂, H₂ and C_xH_y (methane) for larger slips.



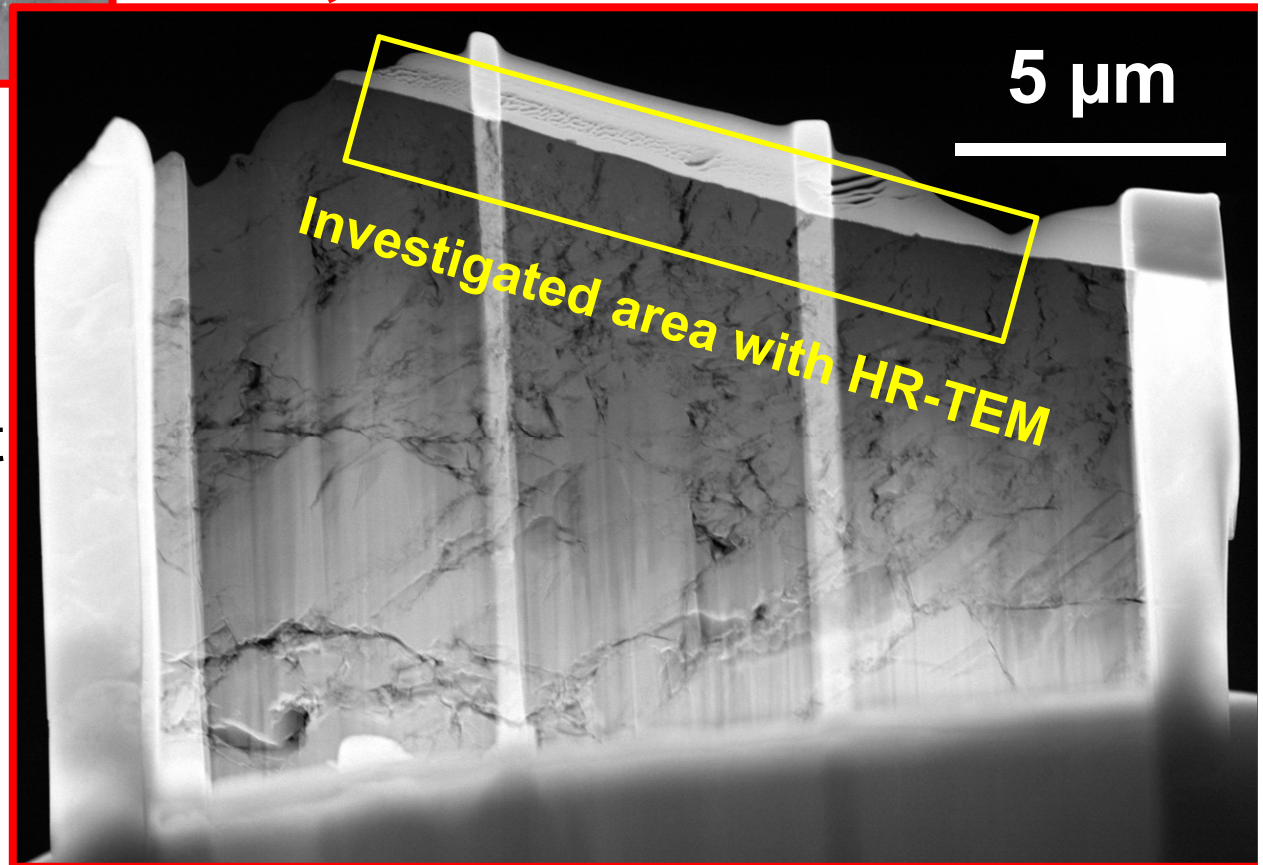
These chemical species were not detected in tests without rock samples.



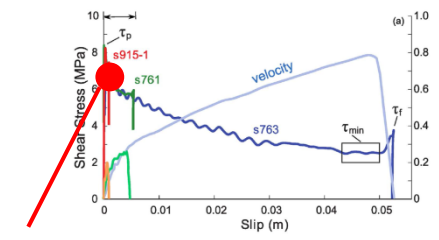


- Samples recovered with gloves and covered with Pt for FIB-SEM and TEM investigations.
- No graphite in starting Carrara marble

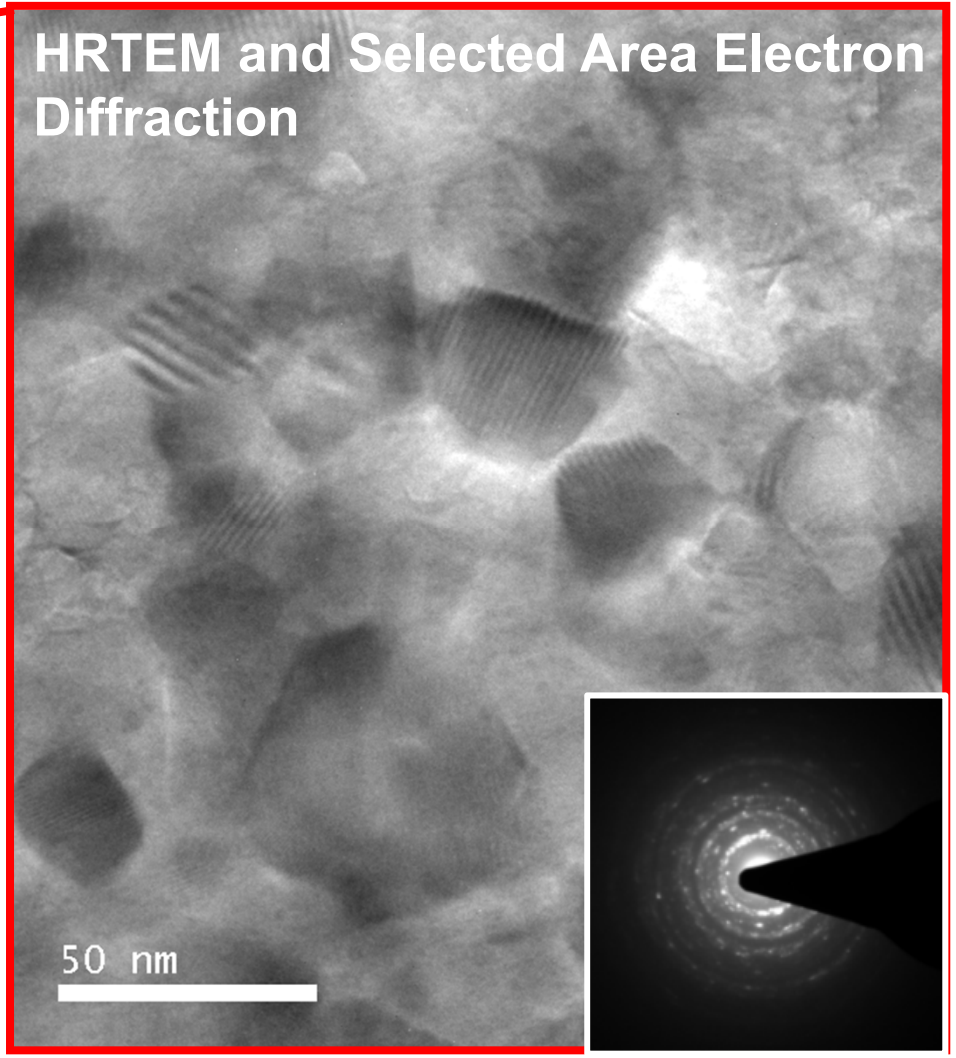
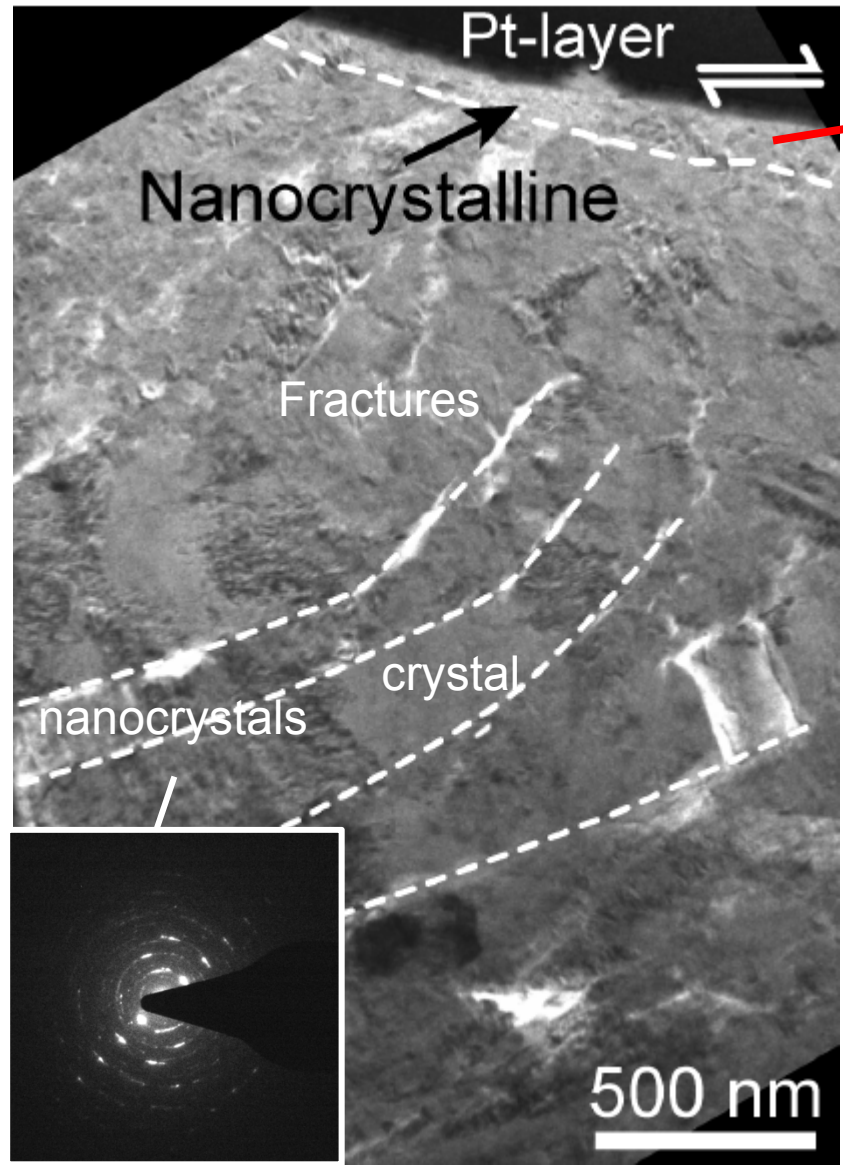
- Focused Ion Beam SEM.
- Electron transparent foils investigated with HRTEM



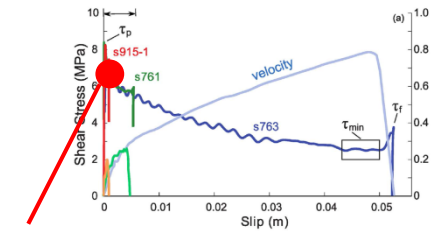
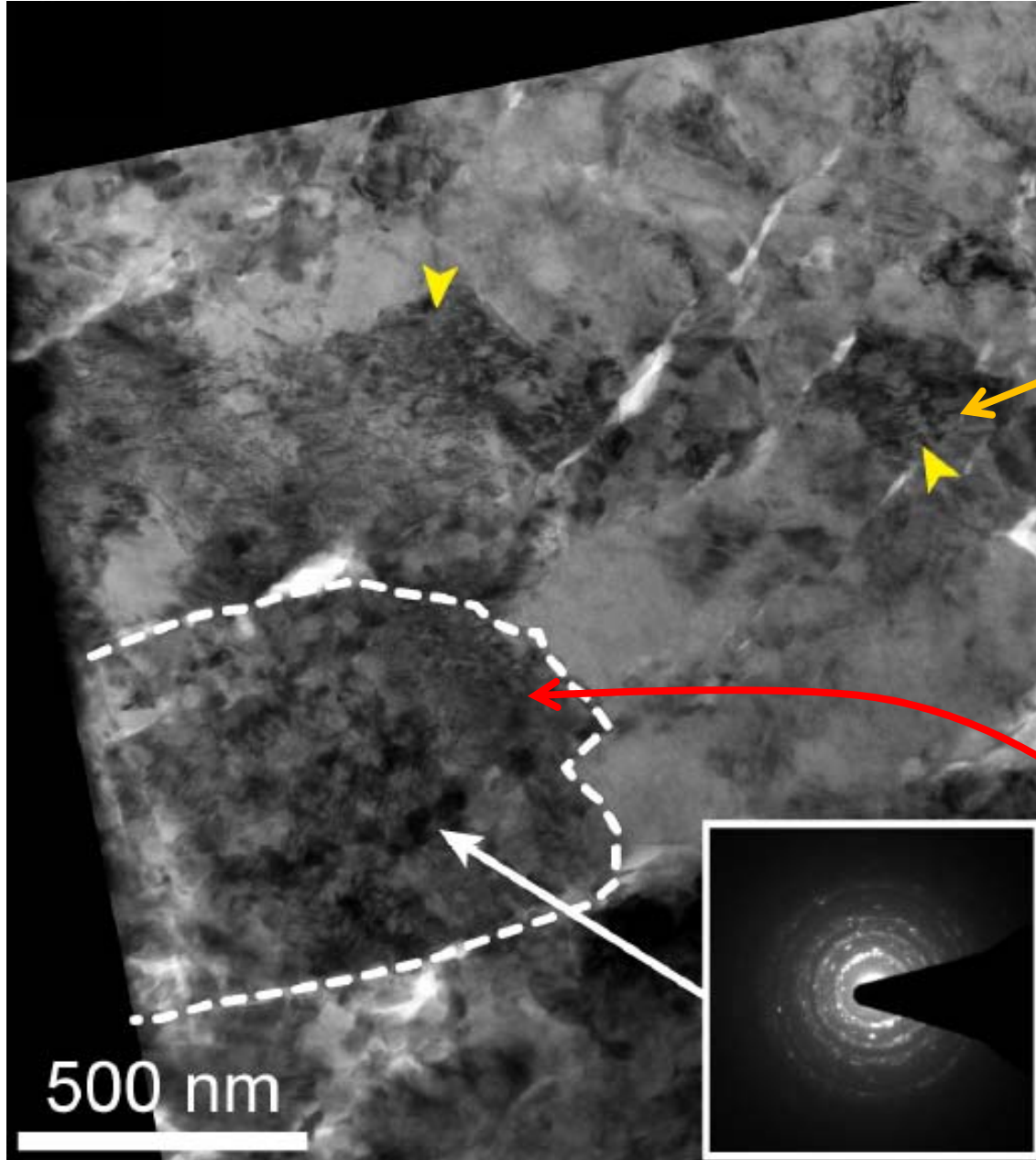
Nanograins beneath slip surface.
Nanograins domains limited by fractures and bent cleavages surfaces (mosaic-like structure).



Slip = 1.5 mm



HRTEM and Selected Area Electron Diffraction

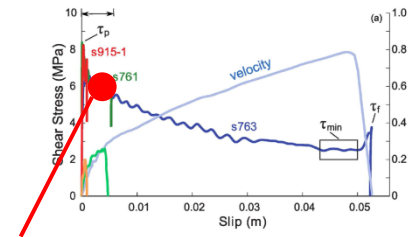


Slip = 1.5 mm

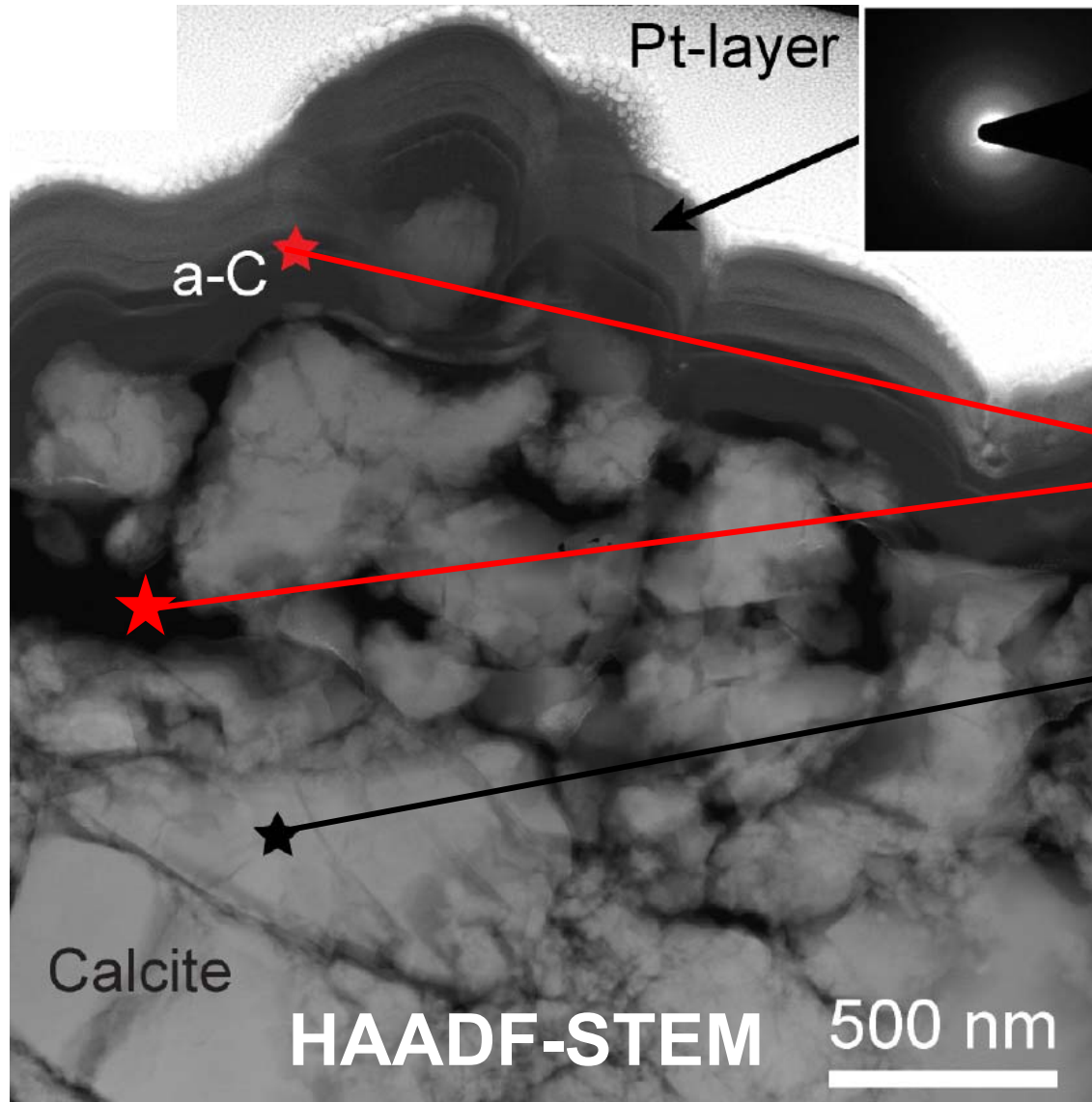
Inter-cleavage
crystal domains
with high
dislocation
density

Polycrystalline
mosaicism
nanostructure

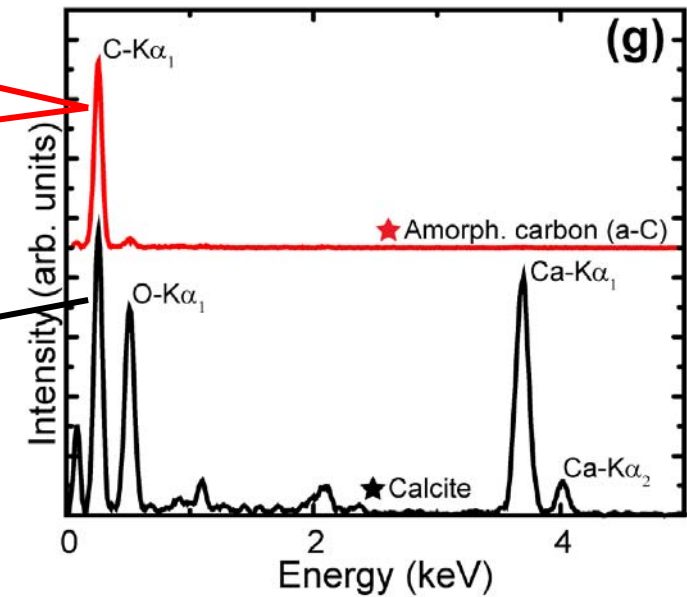
Amorphous carbon-phase in the slipping zone (EDS analysis)



Slip = 5 mm



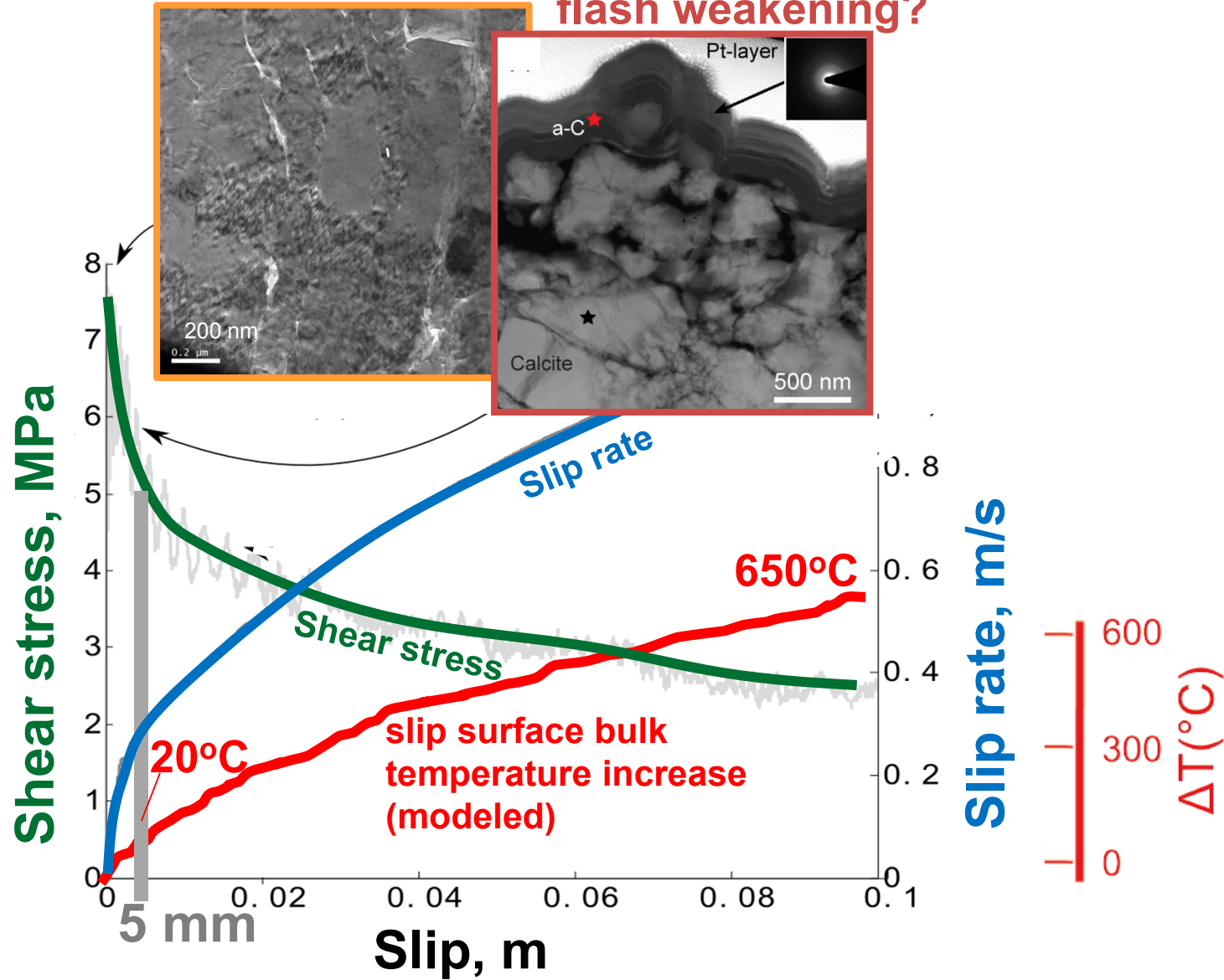
Platinum



Bulk temperature increase

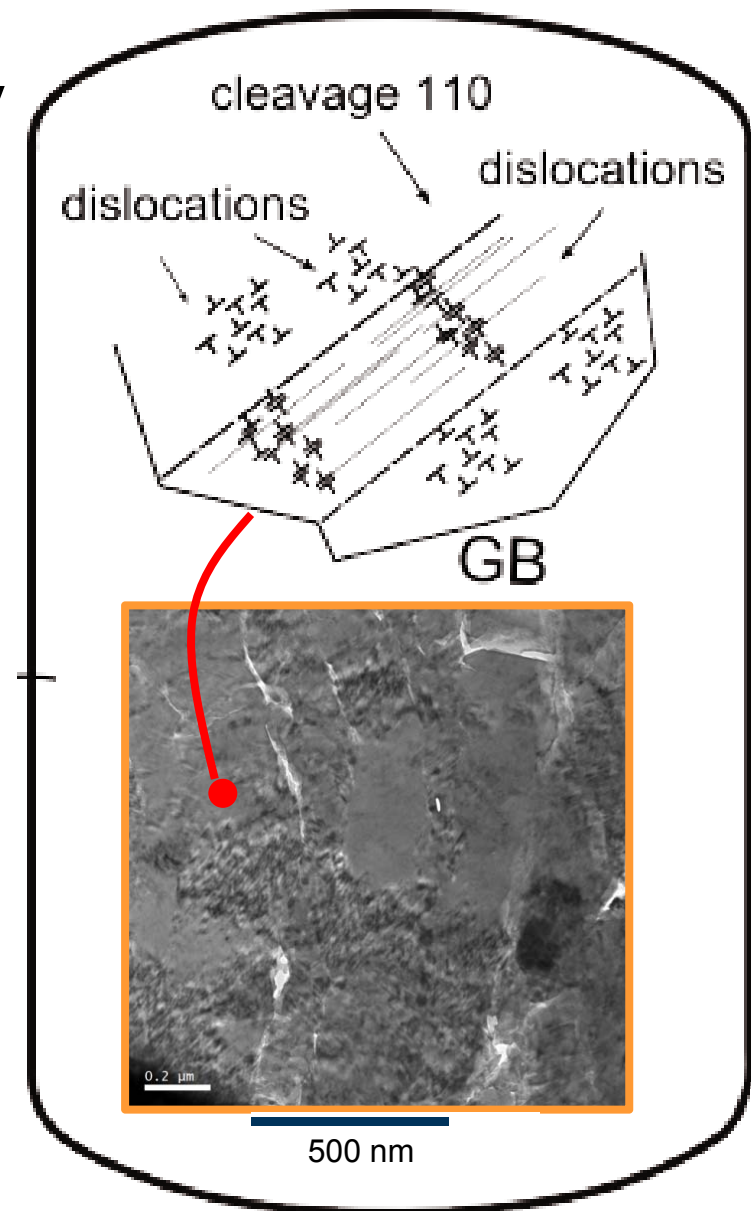
Nanograin formation?

Amorph. carbon: contribution to flash weakening?



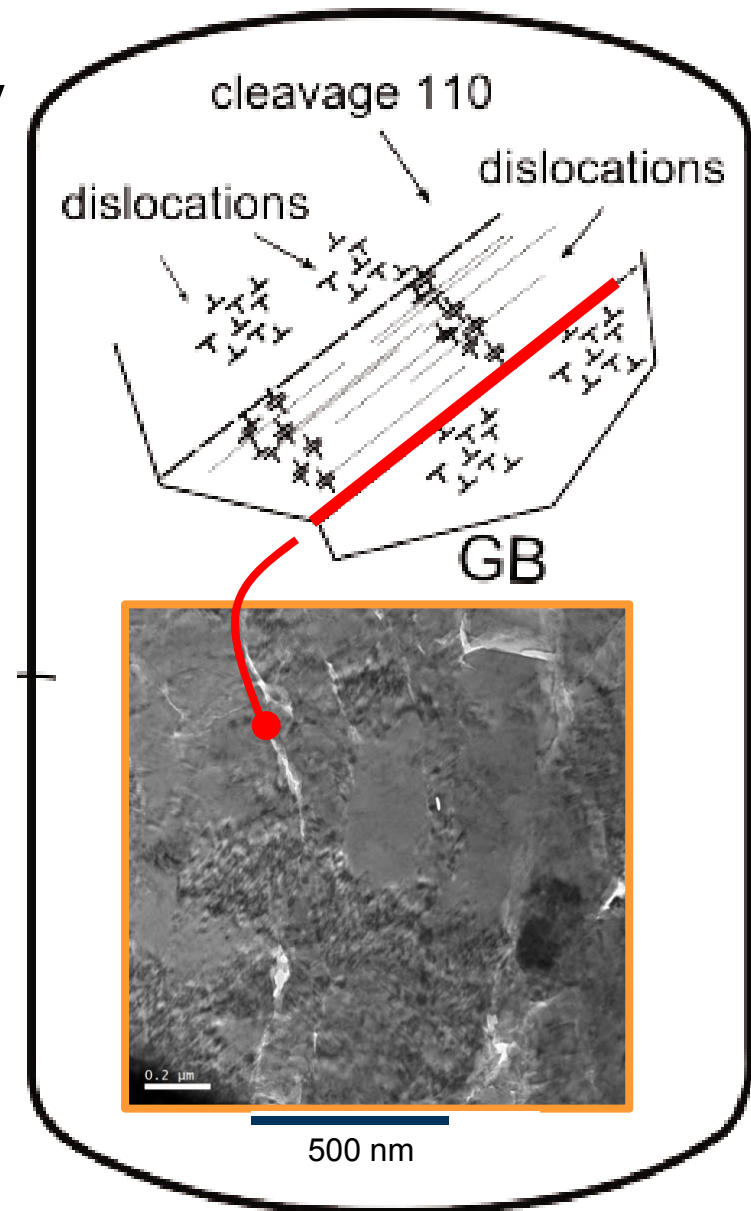
Fast moving dislocations:

1) **Birth of dislocations** in crystals at asperity contacts due to abrupt increase in strain rate;



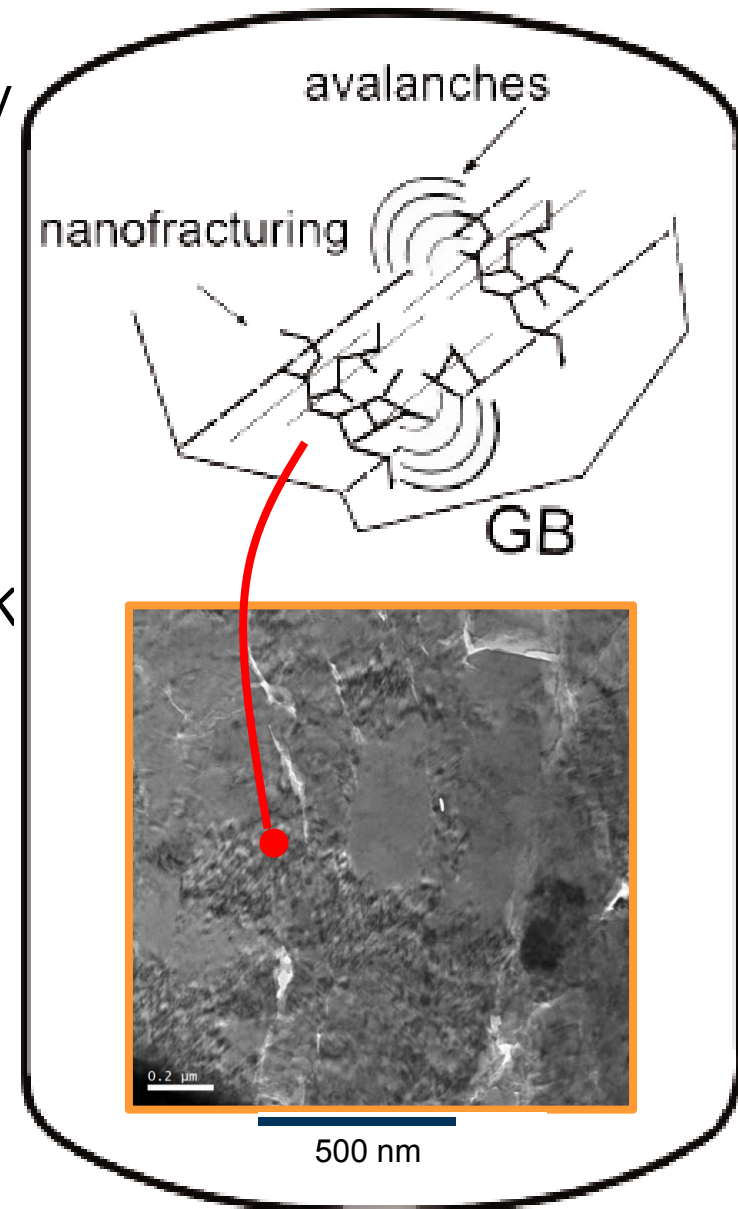
Fast moving dislocations:

- 1) **Birth of dislocations** in crystals at asperity contacts due to abrupt increase in strain rate;
- 2) **Pile up of dislocations** at cleavage surfaces, microcracks, grain boundaries, etc.;



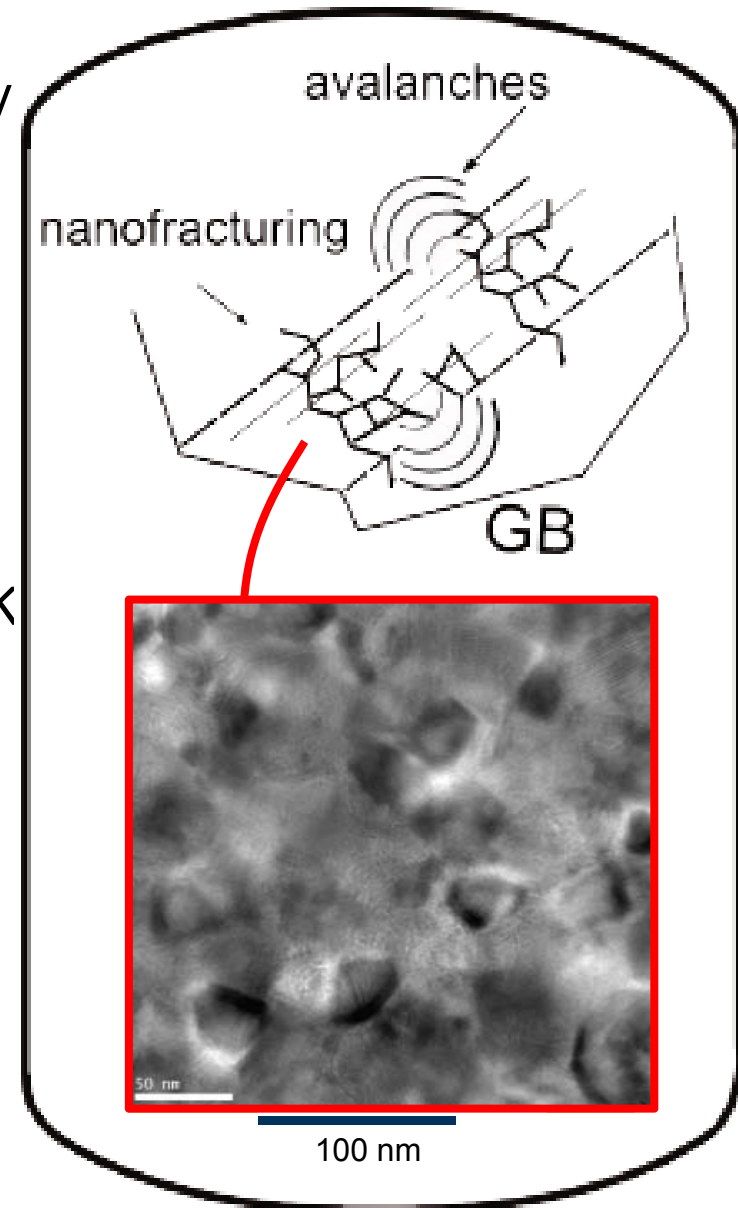
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Fast moving dislocations:

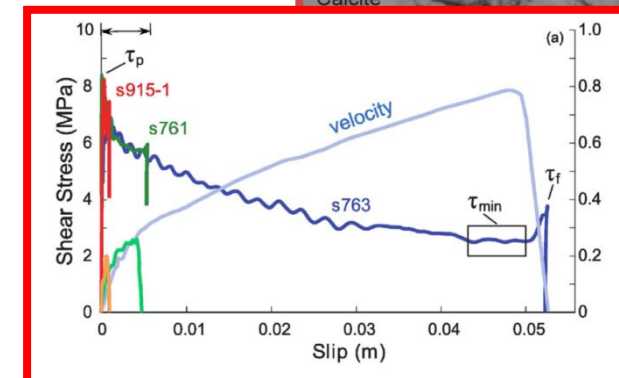
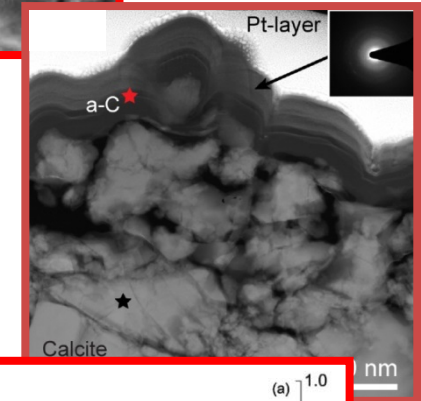
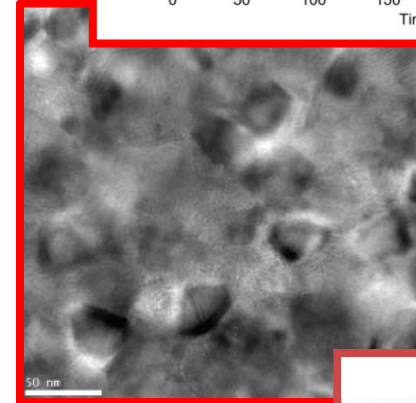
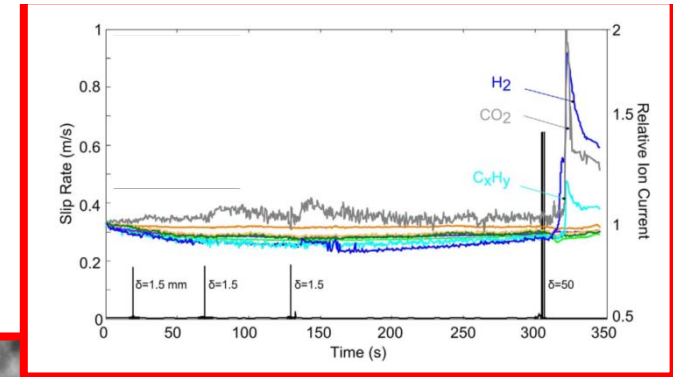
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Fast moving dislocations:

- 1) **Birth of dislocations** in crystals at asperity contacts due to abrupt increase in strain rate;
- 2) **Pile up of dislocations** at cleavage surfaces, microcracks, grain boundaries, etc.;
- 3) **Dislocations avalanches**: kinetic energy converted into heat and (nanoscale) T and P increase in the lattice (possibly several 1000 K and GPa, respectively). Effects:

- Release of CO₂
- Grain size reduction
- **Formation of amorphous carbon at asperity contacts and flash weakening (friction coefficient ~0.15:** Yu et al., Surf. Coat. Tech., 2007)



Estimate of T increase due to fast moving dislocations:

$$\Delta T \leq \frac{k_s l^{\frac{1}{2}} v_{dis}}{16\pi\lambda} \left(\frac{2\lambda}{C_p \rho v_{dis} b} \right)^{\frac{1}{2}}$$

$$k_s = \frac{\pi G b}{4a} (\delta x)^{-\frac{1}{2}} \quad \text{Hall-Petch shear stress intensity for pile up}$$

l calcite grain size (0.3 mm)

G shear modulus calcite (35 GPa)

λ thermal conductivity calcite (5.54 N s⁻¹ K⁻¹)

C_p thermal capacity calcite (1 kJ kg⁻¹ K⁻¹)

ρ calcite density (2700 kg m⁻³)

b Burgers vector (0.49 nm)

$a = 2(1-\nu)(2-\nu)$ with ν the Poisson ratio

δx dislocation spacing at tip of pile-up (= Burgers vector)

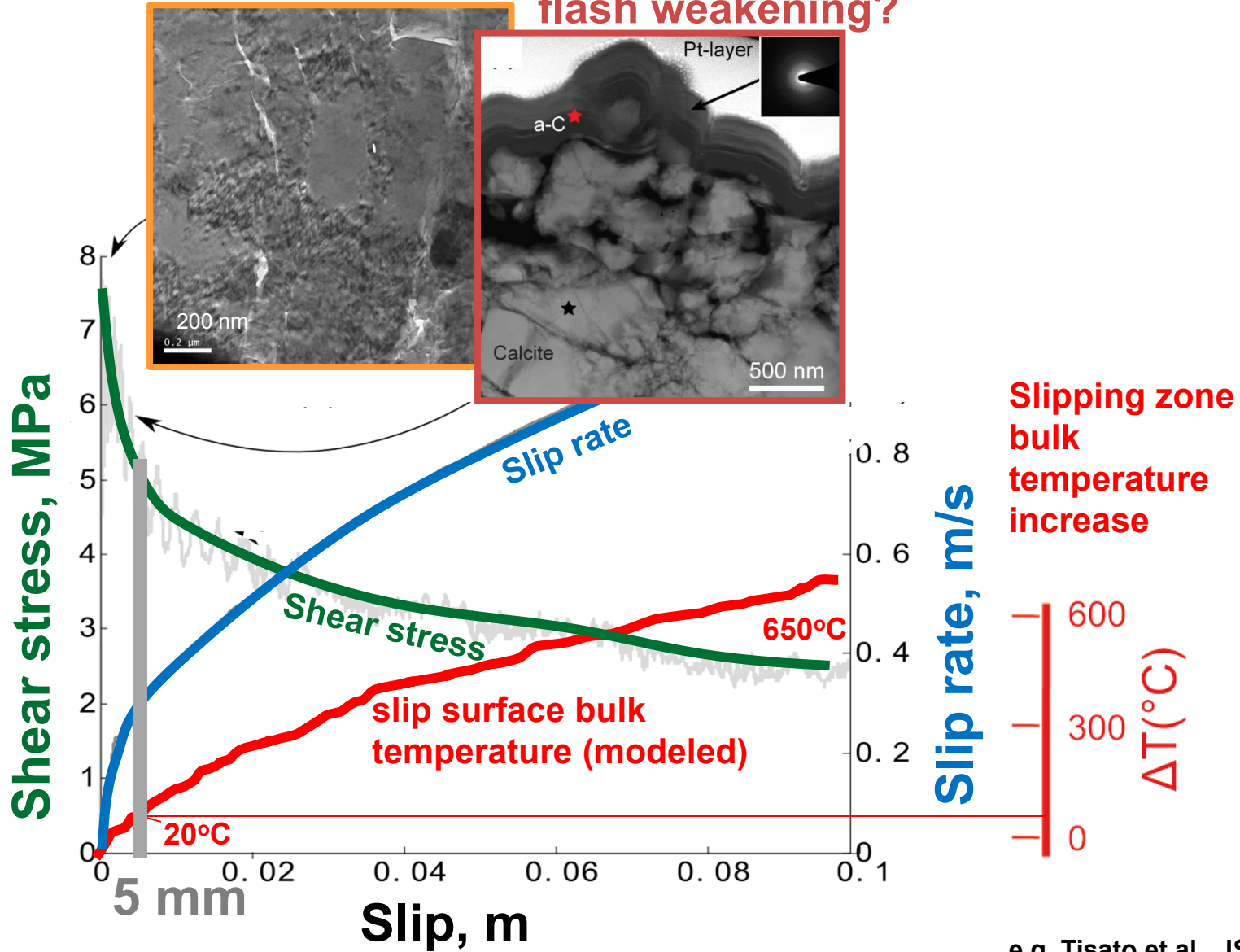
v_{dis} velocity of dislocations, estimated from dislocation density, $\rho_{dis} = 10^{12} - 10^{14} \text{ m}^{-2}$ and bulk strain rate 10^4 s^{-1} :

$$\dot{\gamma} = b \rho_{dis} v_{dis}$$

Bulk temperature increase

Nanograin formation?

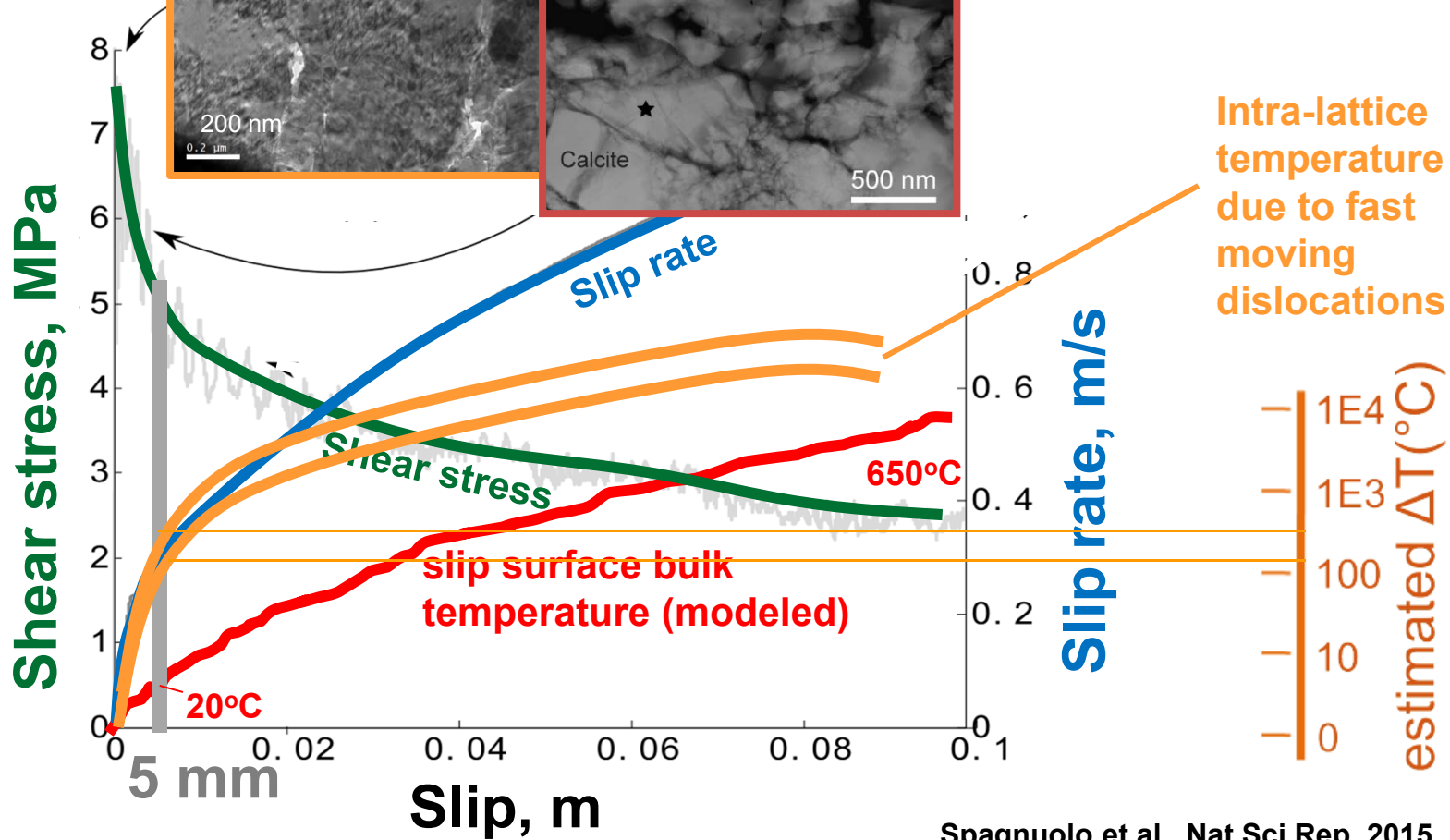
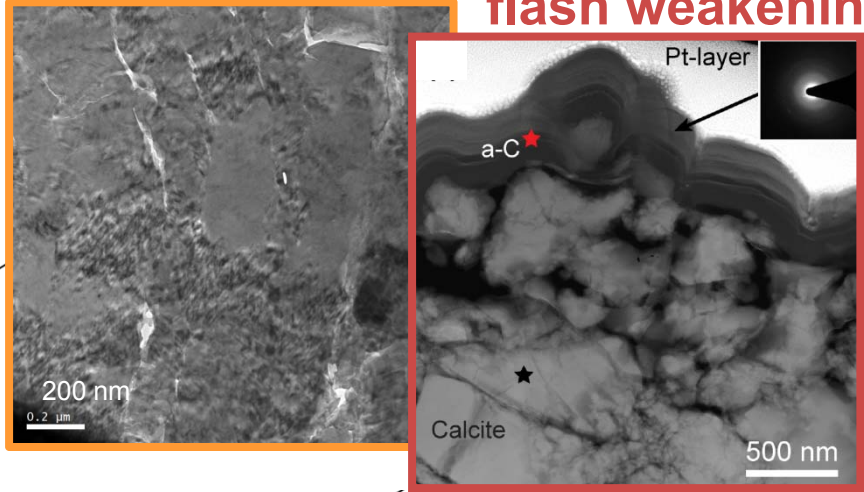
Amorph. carbon: flash weakening?



Local temperature increase

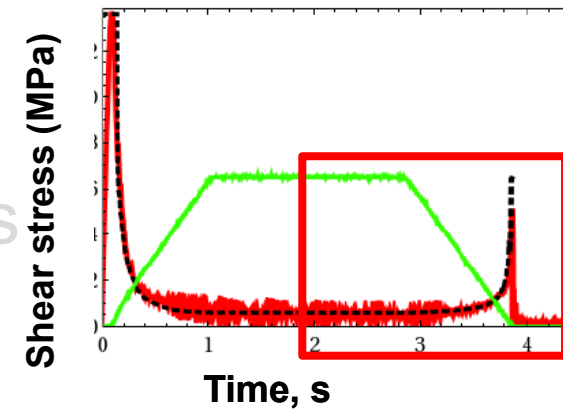
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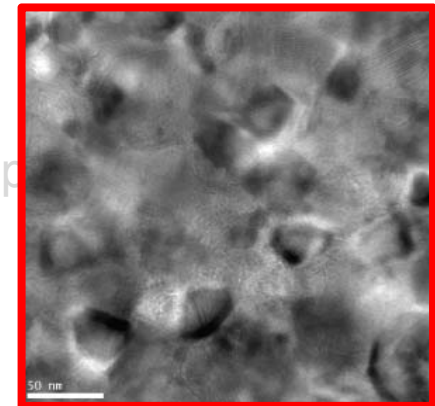


2) Experimental results

Observations regarding friction in carbonates at seismic slip

3) Processes at seismic slip initiation

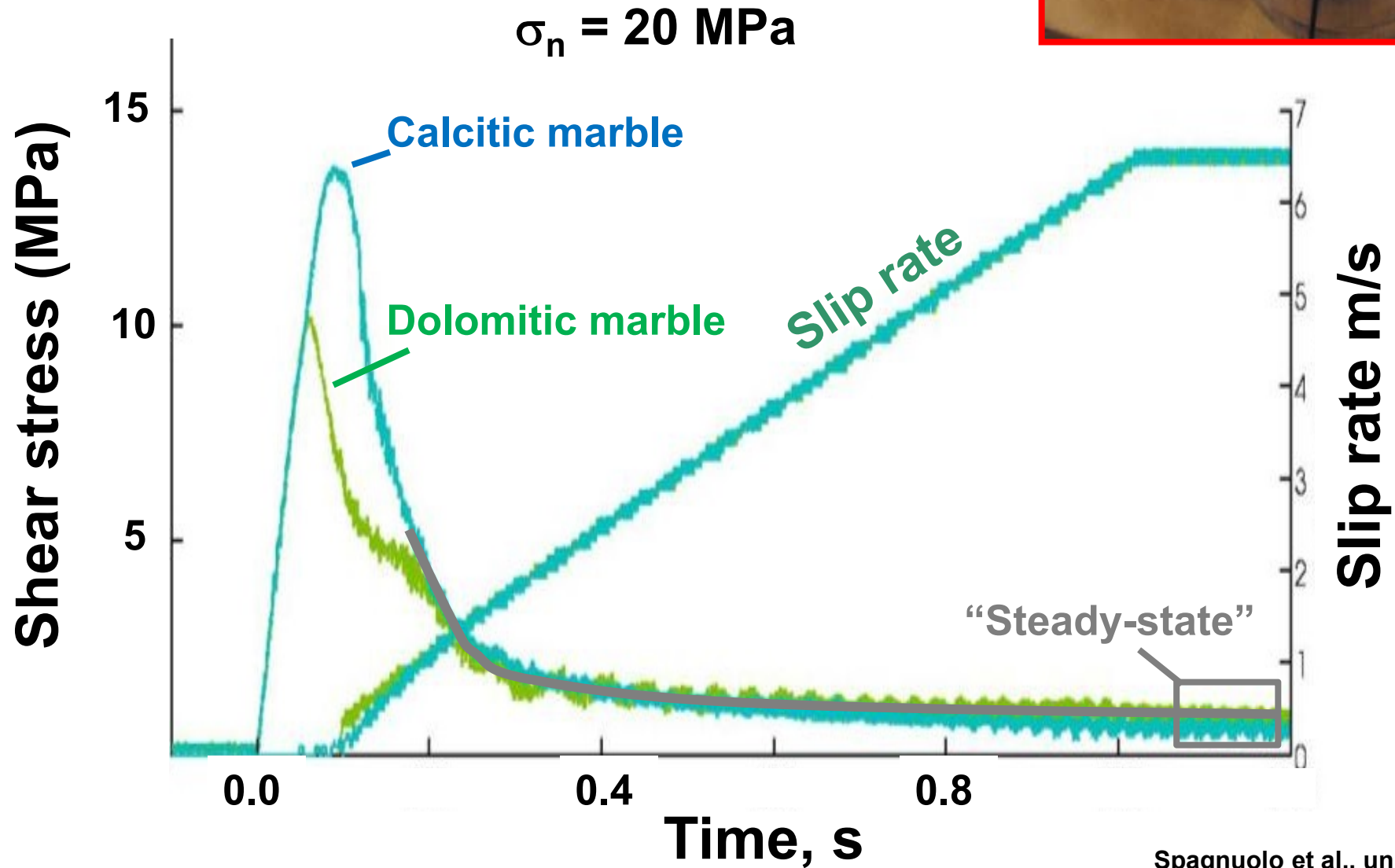
Fast moving dislocations and flash weakening in calcite



4) Processes during and at the end of seismic slip

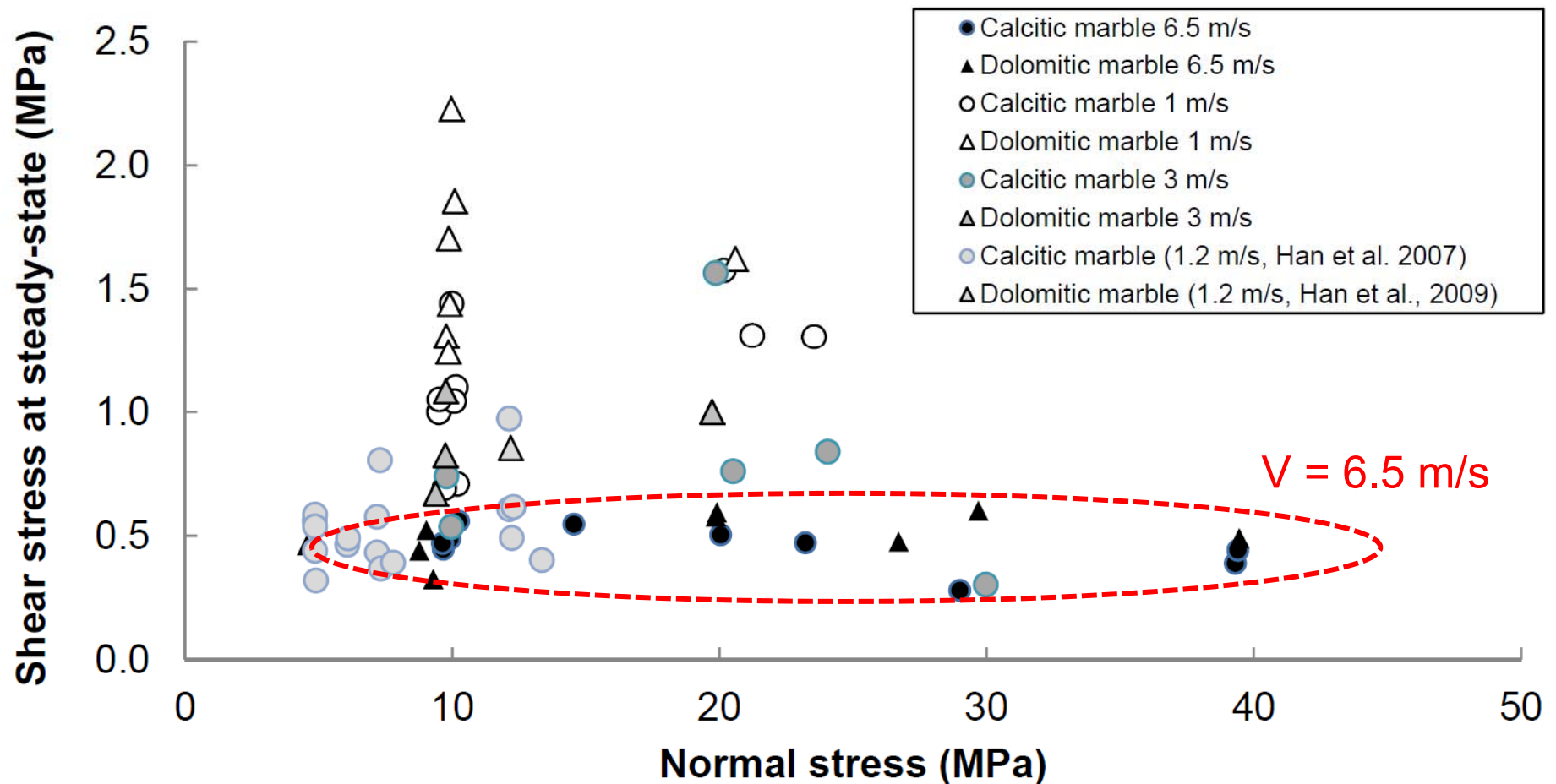
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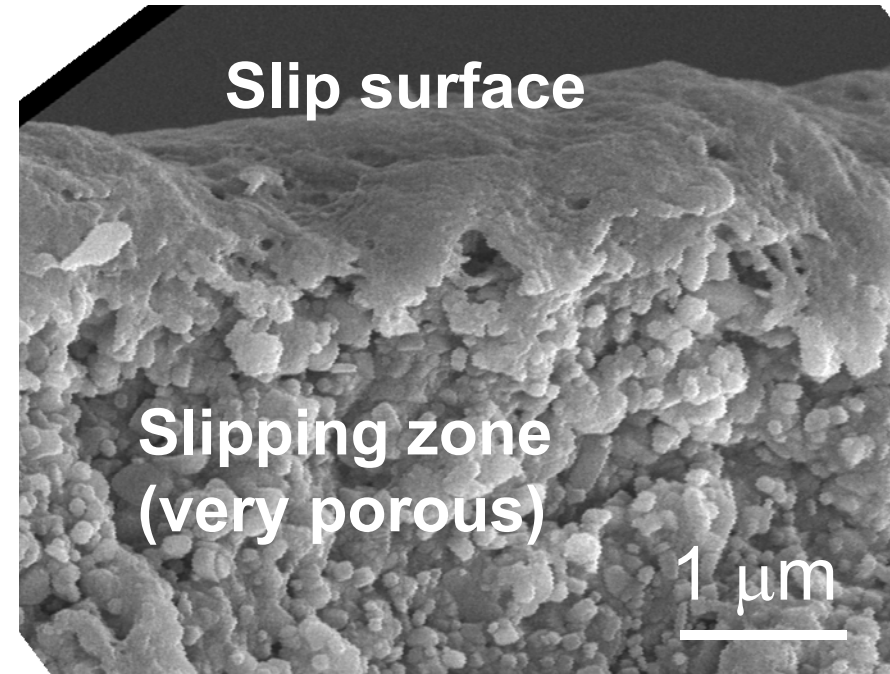
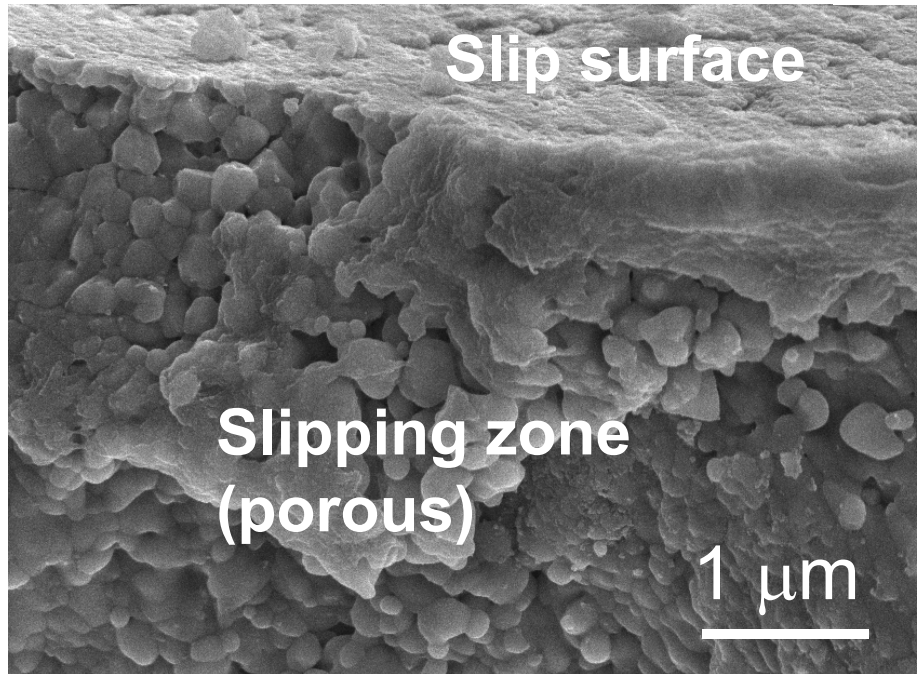
Dolomite- and calcite-built rocks have similar “frictional” strength at steady-state.



Dolomite- and calcite-built rocks have small to negligible shear stress dependence with normal stress, especially at large slip rates and normal stresses. **Typical of crystal-plastic processes.**

Shear stress vs. normal stress





Calcitic Marble

Dolomitic Marble

Slip = 5 m

$\sigma_n = 10 \text{ MPa}$

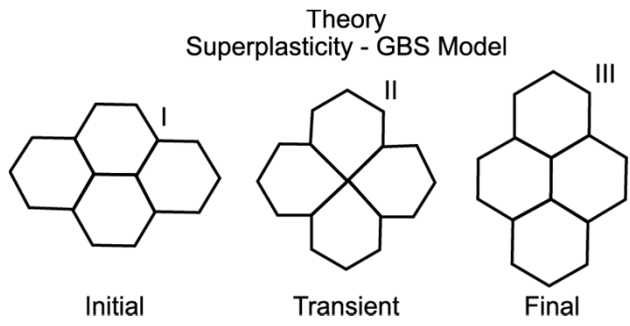
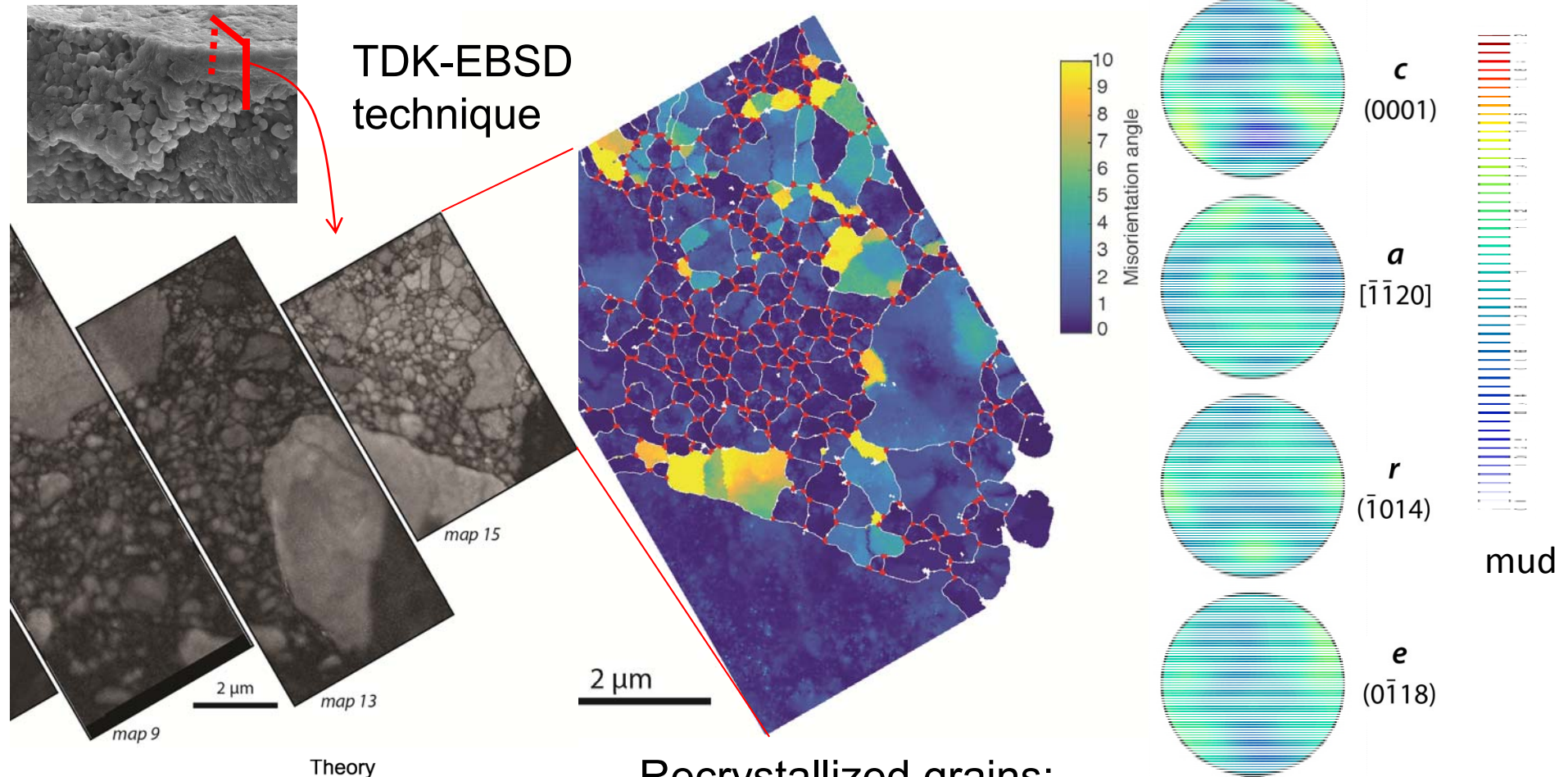
$V = 1 \text{ m/s}$

$\text{acc.} = 6.5 \text{ m/s}^2$



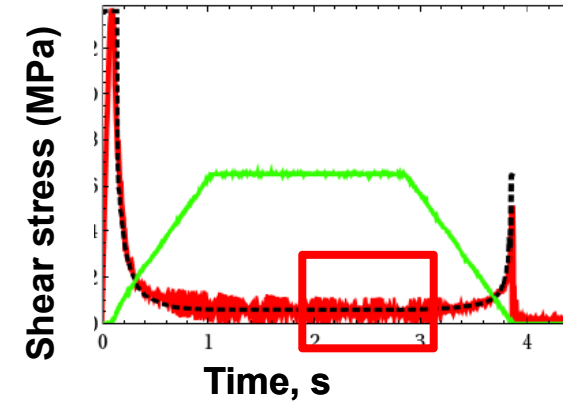
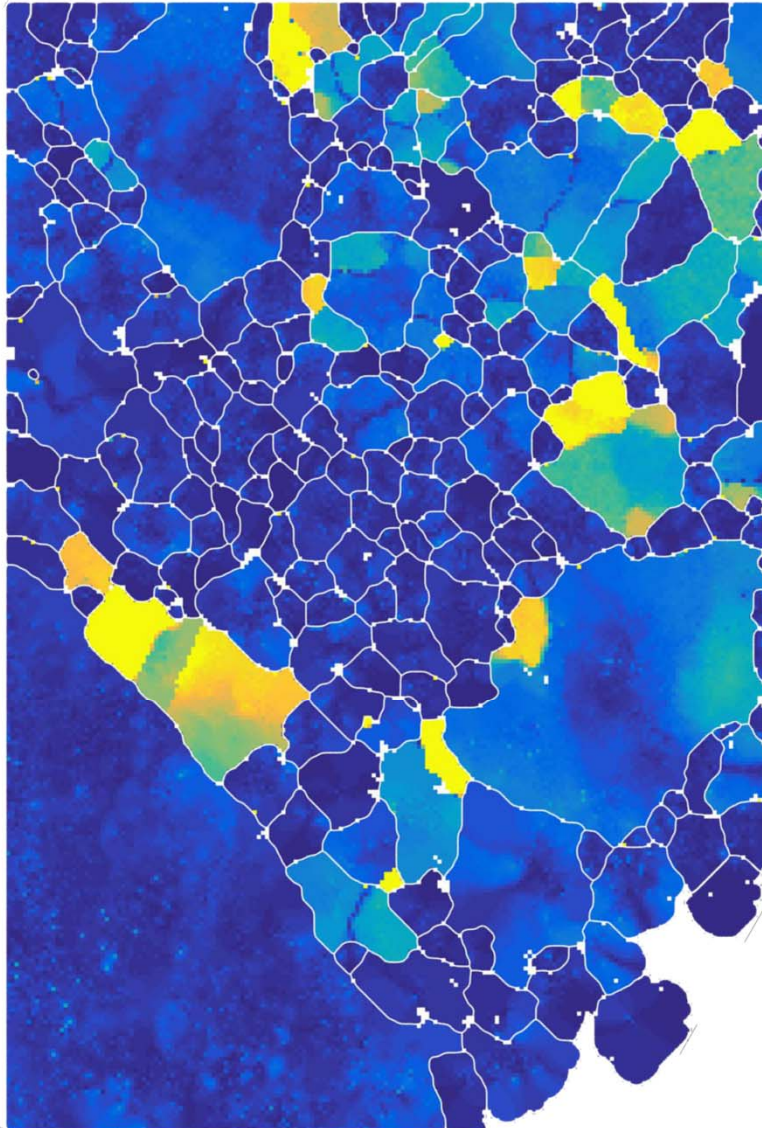
Microstructural similarities are impressive

Deformation mechanism: superplastic flow of calcite by grain boundary sliding aided by diffusion creep



- Recrystallized grains:
- straight boundaries + few pores
 - triple and quadruple junctions
 - very weak lattice preferred orientation

Superplastic behavior: grain boundary sliding aided by diffusion creep



$$\tau_{ss} = \left(\frac{\dot{\epsilon} d^3 \exp^{H/RT}}{A} \right)^{1/n}$$

$\dot{\epsilon}$ = strain rate

H = apparent activation energy

A = pre-exponential factor

d = grain size

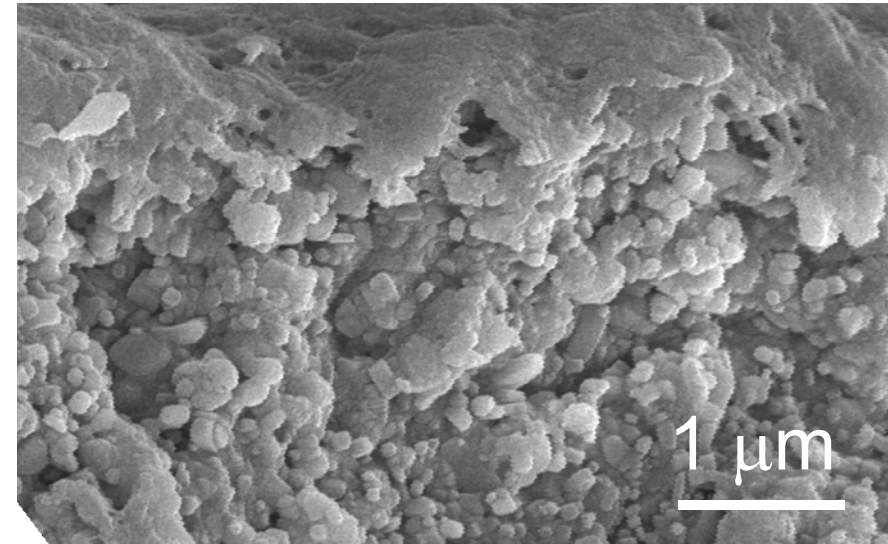
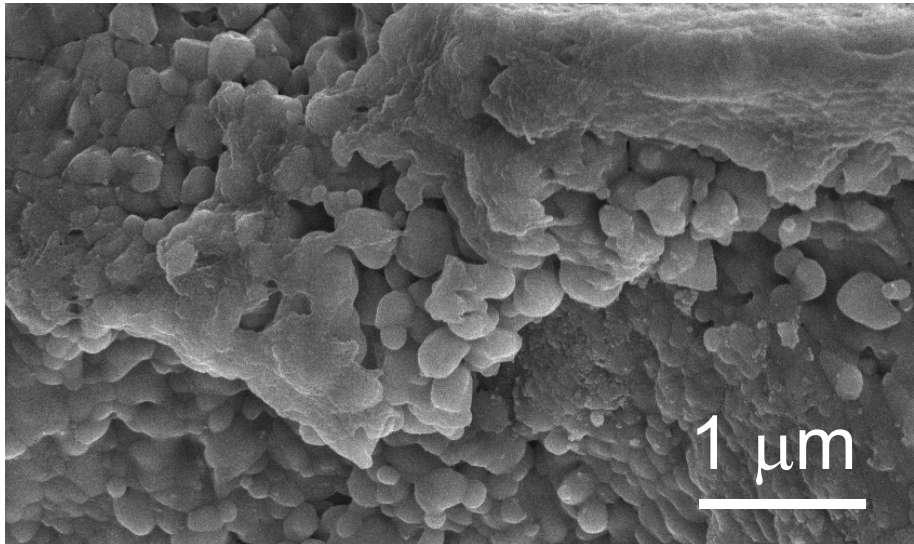
n = stress exponent

Rough extrapolation: no steady-state strain rate and temperatures; strain rates not well constrained

Grain size dependent: GBS & diffusion creep

De Paola et al., 2015

Green et al., 2015



Calcitic Marble

$$\tau_{ss} = \left(\frac{\dot{\epsilon} d^3 \exp^{H/RT}}{A} \right)^{1/n}$$

Schmidt et al., 1977

$H = 213 \text{ KJ mole}^{-1}$

$n \sim 1.7$

$T = 850^\circ \text{ C}$
 $20 < d < 200 \text{ nm}$
 $100 < \dot{\epsilon} < 10000 \text{ s}^{-1}$

$\tau_{ss} \longrightarrow 0.02-1.2 \text{ MPa}$

Dolomitic Marble

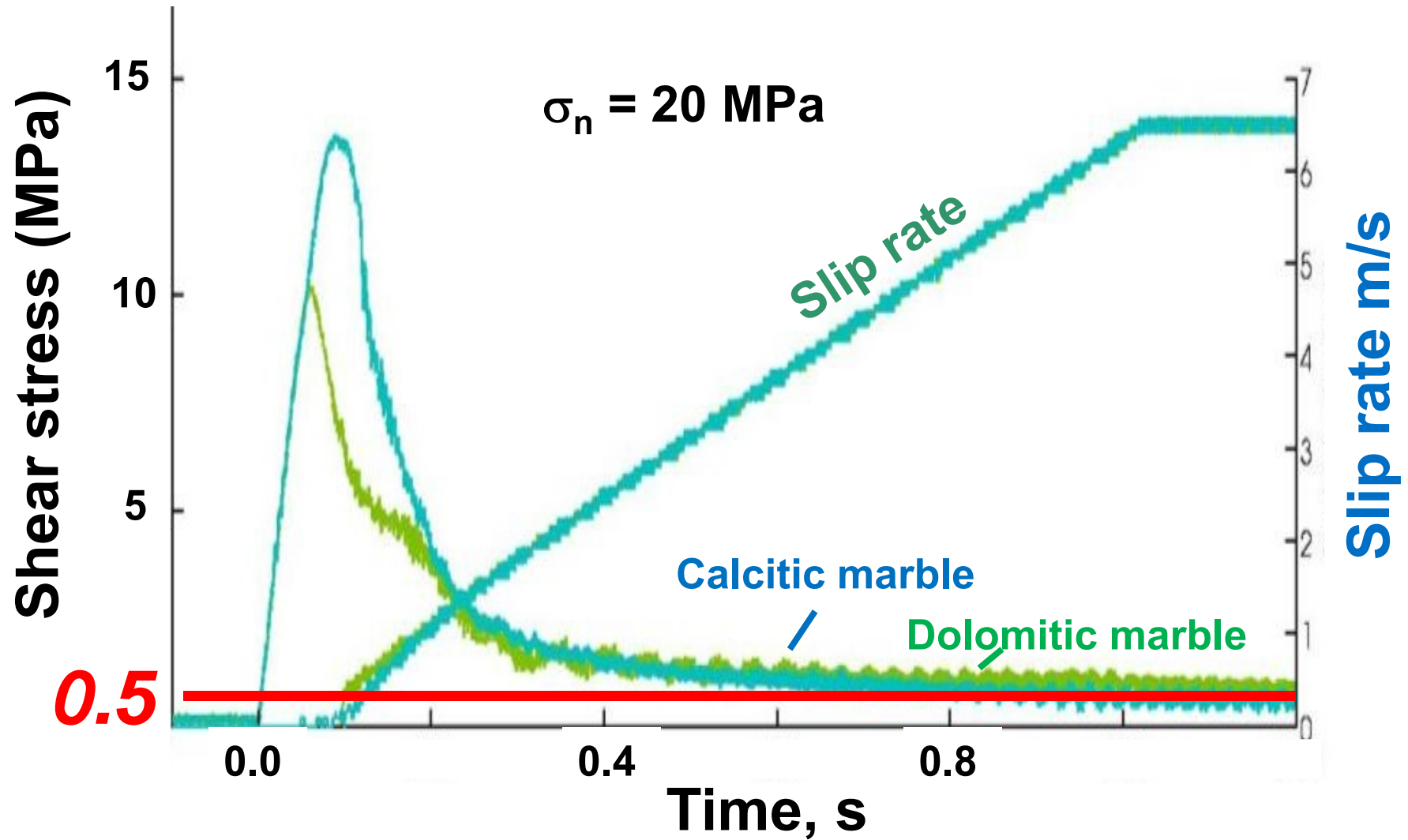
$$\tau_{ss} = \left(\frac{\dot{\epsilon} \mu d^3 \exp^{H^*/RT}}{2\epsilon_0 \Omega} \right)^{1/n}$$

Davis et al., 2008

$H^* = 280 \text{ KJ mole}^{-1}$

$n \sim 1.3$

$\tau_{ss} \longrightarrow 0.03-7.5 \text{ MPa}$



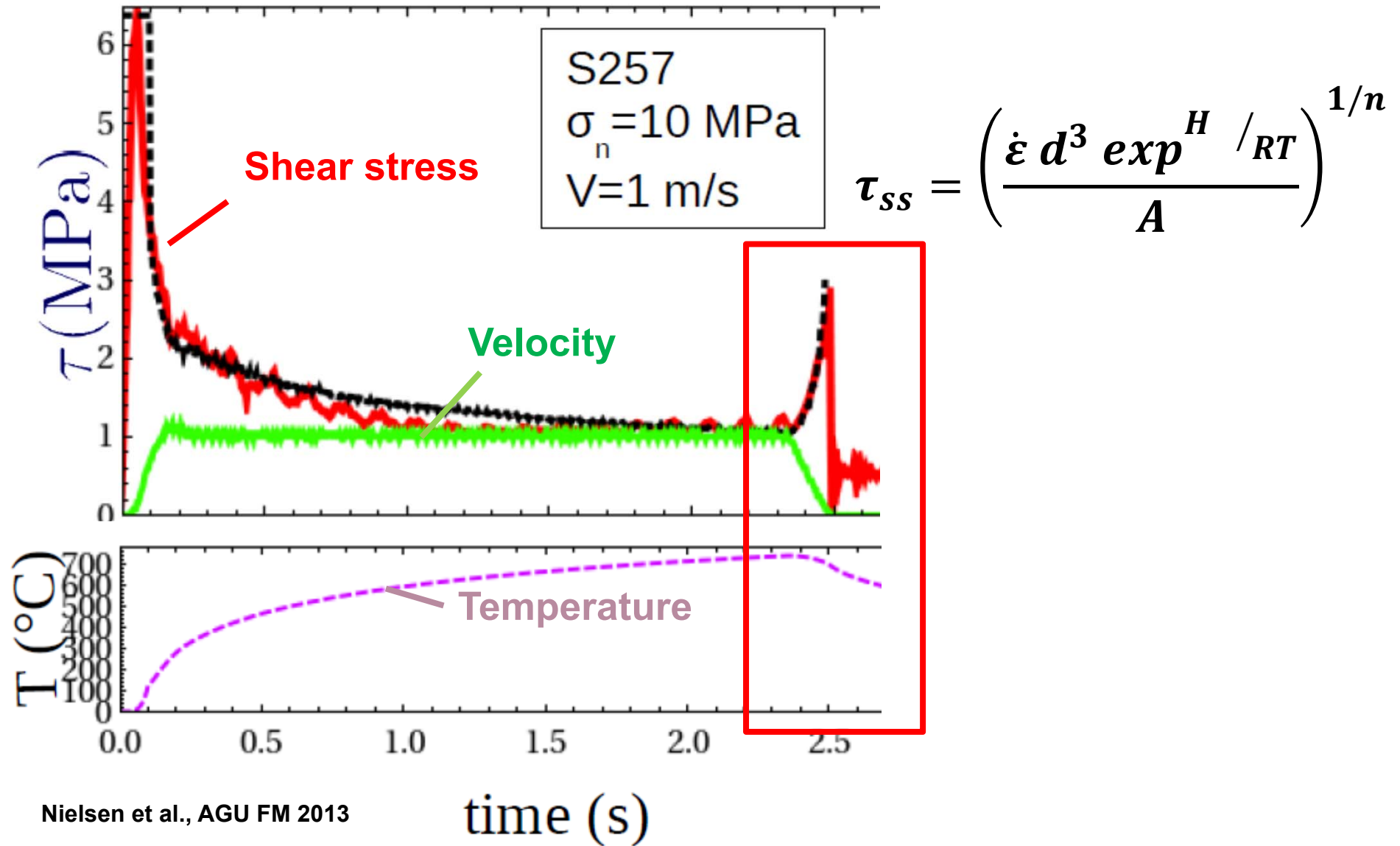
Calcitic Marble

τ_{ss} \longrightarrow **0.02-1.2 MPa**

Dolomitic Marble

τ_{ss} \longrightarrow **0.03-7.5 MPa**

Grain size and T-dependent process may explain rapid shear stress recovery during cooling at slip deceleration



Mirror-like, sliding surface in calcitic marble: foam-like microstructures also formed by sintering at the end of slip (FE-SEM image).



1 μm



Slip = 5 m

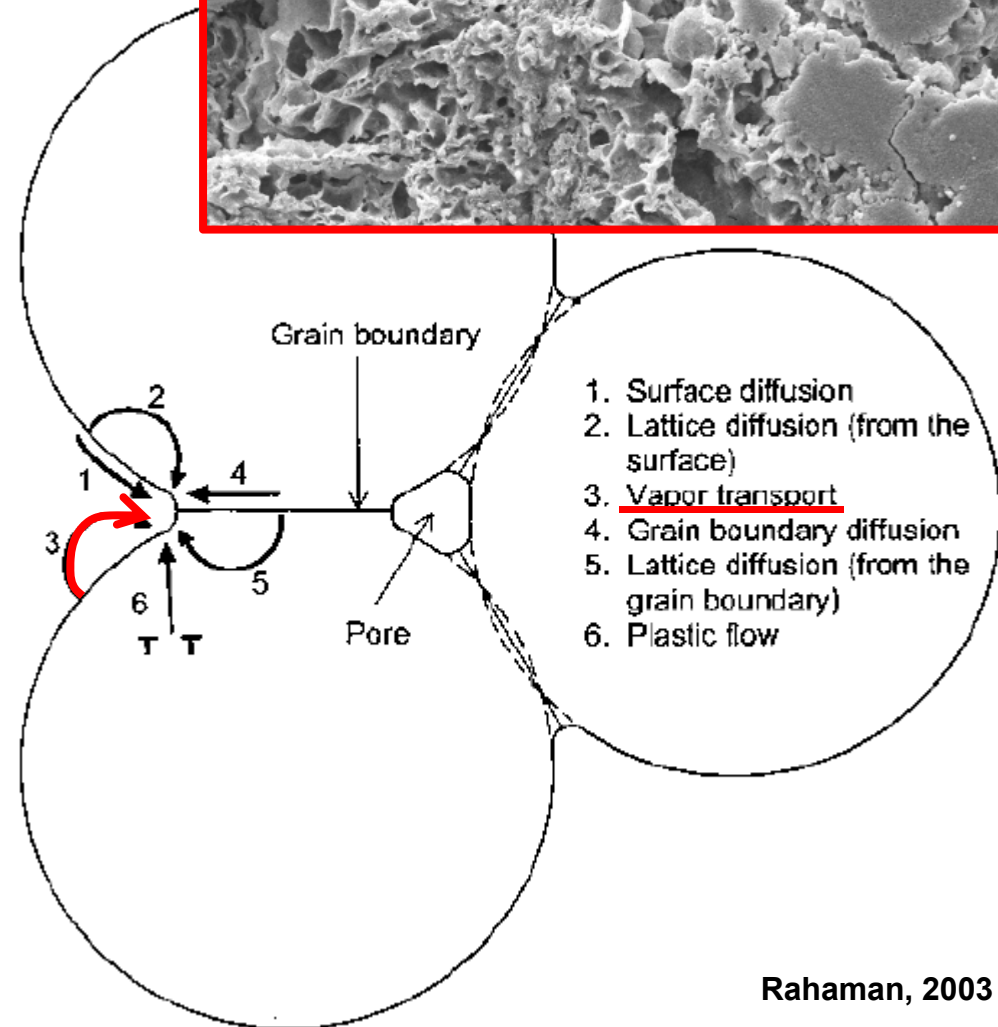
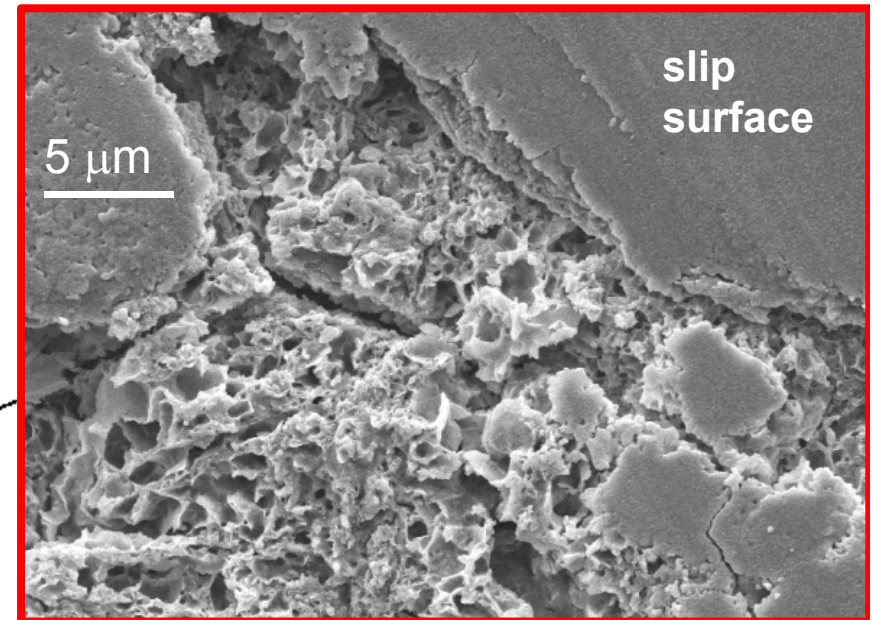
$\sigma_n = 20 \text{ MPa}$

$V = 3 \text{ m/s}$

Microporous fabric:
pore-controlled advective
process* (possibly **vapor
transport**) propelled by CO₂
gas exhaust due to
decarbonation.

Fast element migration:

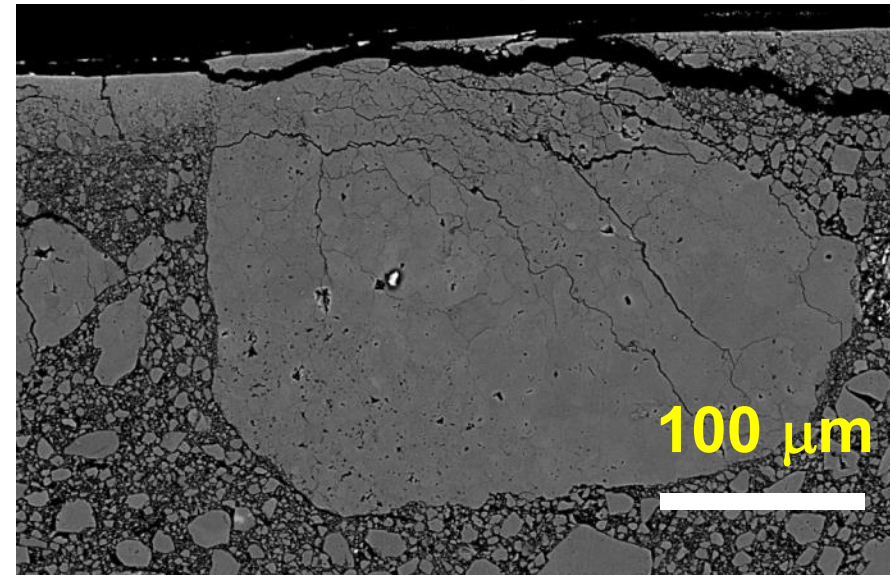
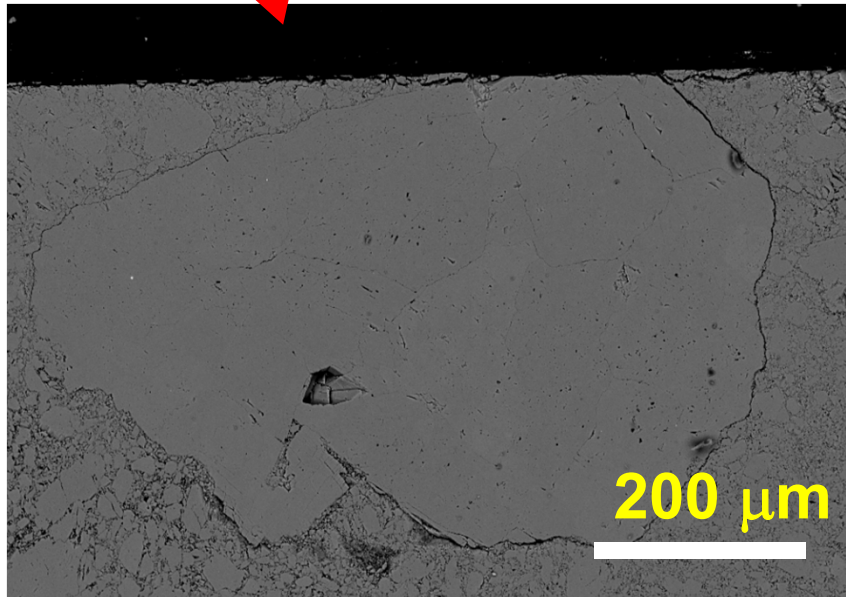
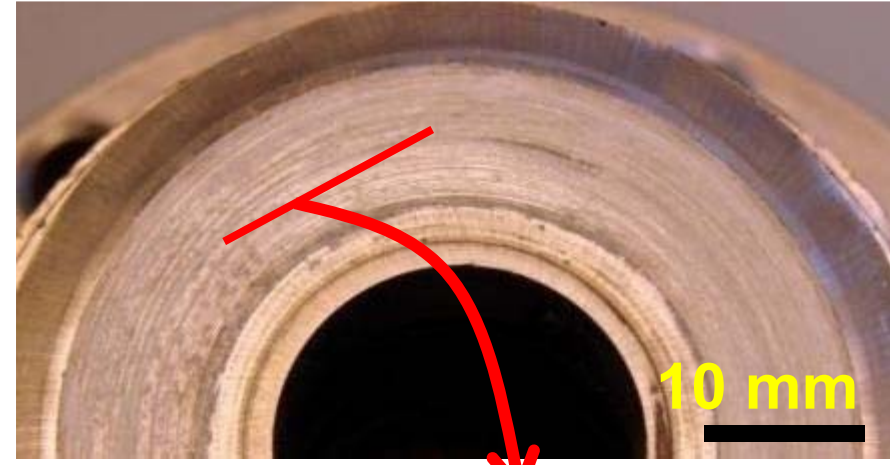
- **efficient mass transfer**
for grain boundary sliding
during slip;
- **rapid sintering** of
nanograins into a foam-
like surface at end of slip.



* permeability < 10⁻¹⁷m² in rexx calcite
gouges (Rempe PhD Thesis).

Do these processes occur in nature?

Exp. and natural microstructures are very similar:
mirror-like surfaces, truncated clasts....

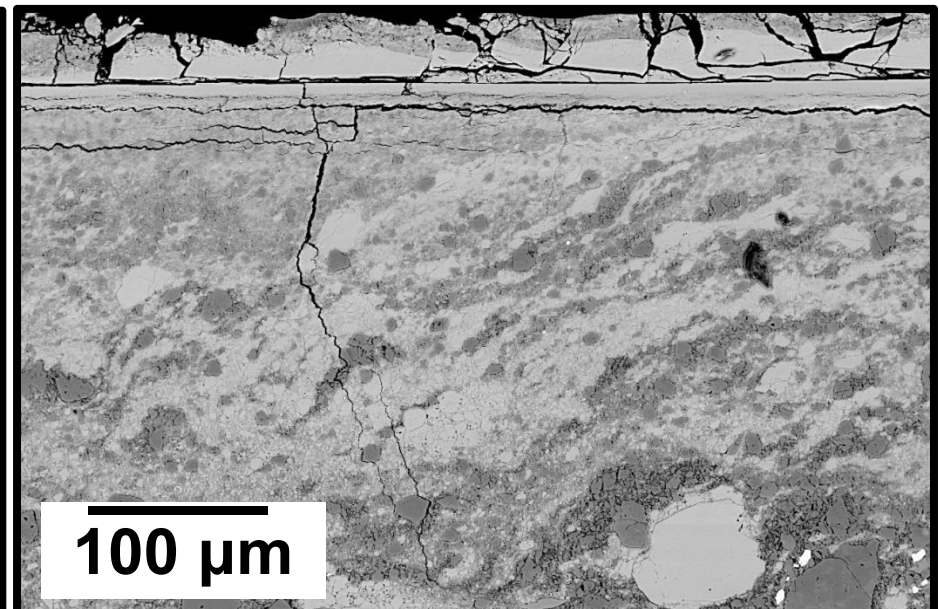
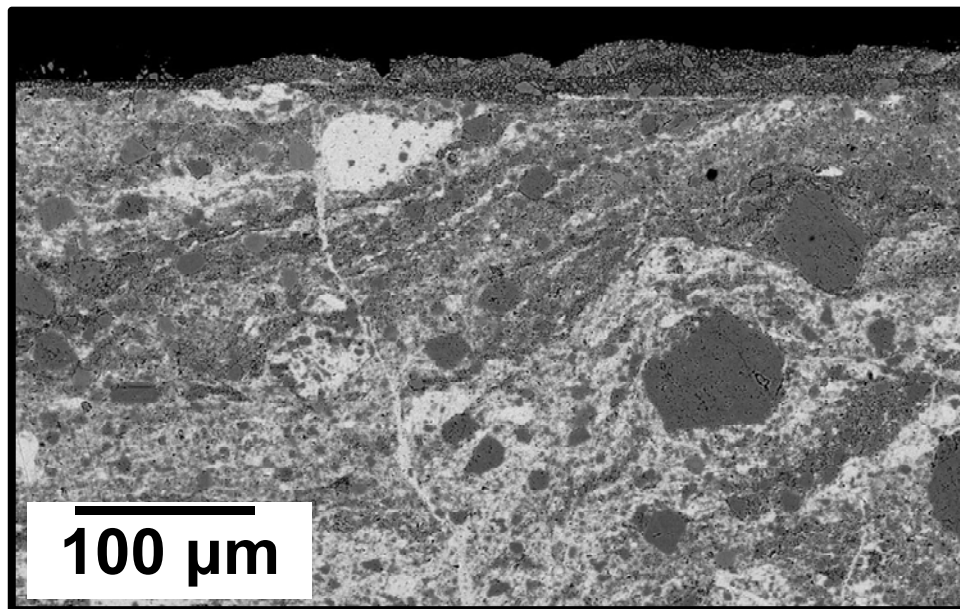
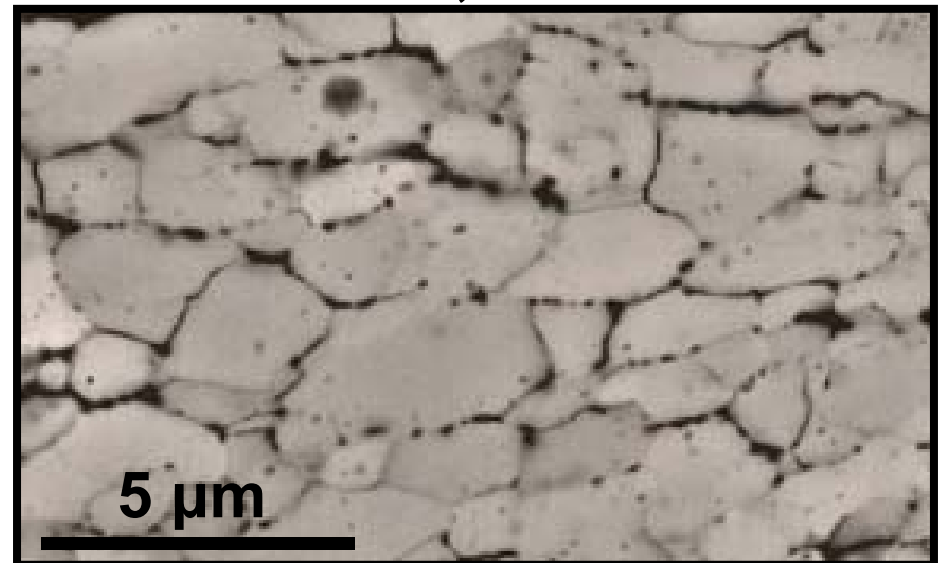
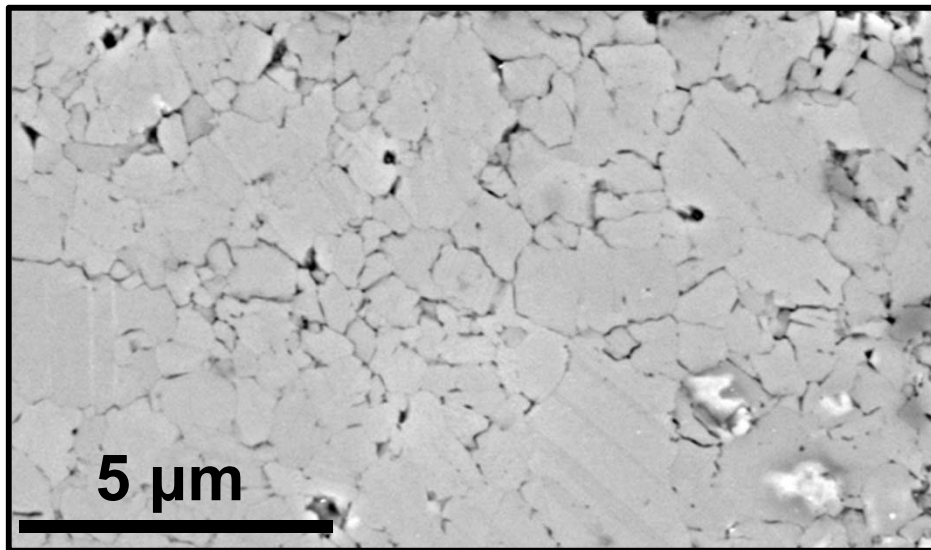


Nature

(Foiana Fault zone,
Fondriest et al., Geology 2013)

Experiment

Exp. and natural microstructures are similar: possible re-crystallized calcite, foliated cataclasites, etc.



Nature (Campo Imperatore Fault zone, Demurtas et al., JSG 2016)

Experiment

Smith et al., 2013; 2017

Conclusions

1. Destructive (also megathrust) earthquakes occur in faults cutting carbonates.
2. The passage of the seismic rupture front induces abrupt slip accelerations and temperature-dependent fault weakening.
3. At slip initiation, formation of amorphous carbon at the asperity contacts may contribute to “flash weakening”.
4. With progressive seismic slip and bulk temperature increase, grain size dependent processes reduce fault strength.