

Vortices in rotating Bose Einstein condensates

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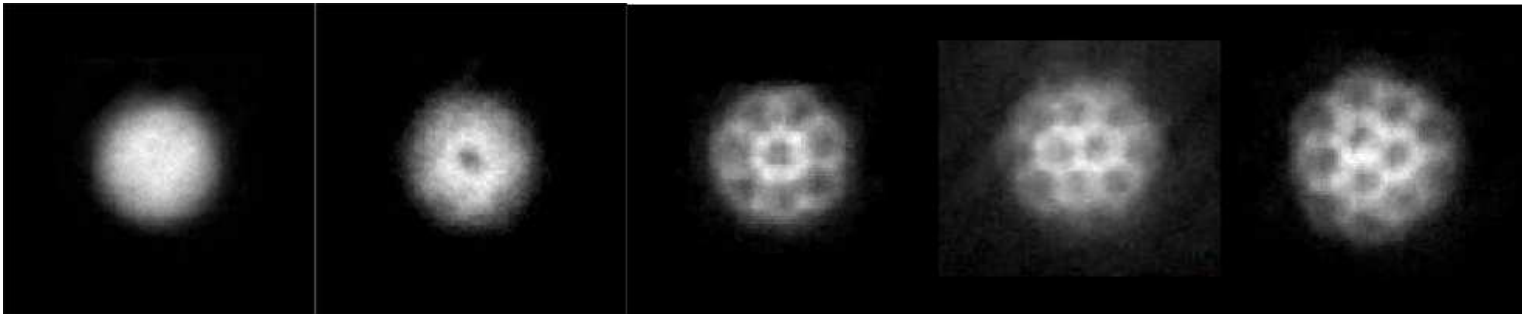
Outline

- Bose-Einstein condensates – rotation
- Model: Gross-Pitaevskii energy
 - Reduction to a 2D model
 - Reduction to the lowest Landau level (LLL)
- LLL problem
 - Numerics
 - Bargmann transform and Bargmann spaces
 - Θ functions
- Supersolid models

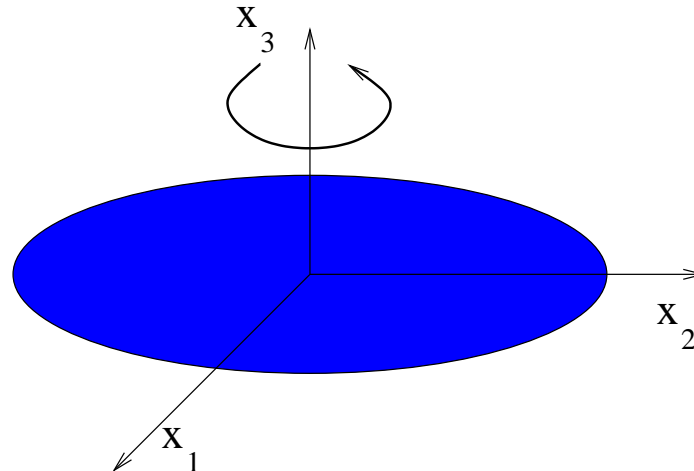
Experiment: rotation

The condensate is rotated along the x_3 axis.

- Ω small: no modification is observed
- Ω larger: vortices are nucleated



Dalibard et al., PRL 84, 806 (2000)



Model: Gross-Pitaevskii energy

- In a BEC, atoms are described by a collective wave function ψ^a
- The corresponding energy is

$$\begin{aligned} E_{3D}(\psi) &= \int_{\mathbb{R}^3} \frac{1}{2} |\nabla \psi|^2 - i \vec{\Omega} \cdot (x \times \overline{\nabla \psi}) \psi + \frac{1}{2} |x|^2 |\psi|^2 + \frac{1}{2} G |\psi|^4, \\ &= \int_{\mathbb{R}^3} \frac{1}{2} |\nabla \psi - i \Omega r^\perp \psi|^2 + \frac{1}{2} (1 - \Omega^2) |r|^2 |\psi|^2 + \frac{1}{2} G |\psi|^4 \\ &\quad + \frac{1}{2} |\partial_3 \psi|^2 + \frac{1}{2} x_3^2 |\psi|^2, \end{aligned}$$

with the constraint $\int_{\mathbb{R}^3} |\psi|^2 = 1$, and $r = (x_1, x_2)$.

^asee Lieb-Seiringer 2005, Lieb-Seiringer-Yngvason 2000.

2D Gross-Pitaevskii energy

$$E_{3D}(\psi) = \int_{\mathbb{R}^3} \frac{1}{2} |\nabla \psi - i\Omega r^\perp \psi|^2 + \frac{1}{2} (1 - \Omega^2) |r|^2 |\psi|^2 + \frac{1}{2} G |\psi|^4 \\ + \frac{1}{2} |\partial_3 \psi|^2 + \frac{1}{2} x_3^2 |\psi|^2,$$

$$E_{2D}(\phi) = \int_{\mathbb{R}^2} \frac{1}{2} |\nabla \phi - i\Omega r^\perp \phi|^2 + \frac{1}{2} (1 - \Omega^2) |r|^2 |\phi|^2 + \frac{1}{2} \tilde{G} |\phi|^4,$$

where $\tilde{G} = G \int_{\mathbb{R}} \xi^4$, $\xi(x_3) = \frac{e^{-\frac{1}{2}x_3^2}}{(2\pi)^{1/4}}$.

$$\psi(x_1, x_2, x_3) = \phi(x_1, x_2) \xi(x_3) \Rightarrow E_{3D}(\psi) = \frac{1}{2} + E_{2D}(\phi).$$

2D Gross-Pitaevskii energy

Theorem (A. Aftalion, XB): Let

$$I_{3D}(\Omega) = \inf \left\{ E_{3D}(\phi), \quad \int_{\mathbb{R}^3} |\phi|^2 = 1 \right\},$$

$$I_{2D}(\Omega) = \inf \left\{ E_{2D}(\phi), \quad \int_{\mathbb{R}^2} |\phi|^2 = 1 \right\},$$

Then,

$$I_{3D}(\Omega) = \frac{1}{2} + I_{2D}(\Omega) + o(\sqrt{1 - \Omega}), \quad I_{2D}(\Omega) = 1 + O(\sqrt{1 - \Omega}),$$

and

$$\phi^{3D}(x_1, x_2, x_3) = \eta(x_1, x_2)\xi(x_3) + w(x_1, x_2, x_3),$$

with $\|w\|_{C^{0,1/2}} + \|w\|_{L^2} \longrightarrow 0$.

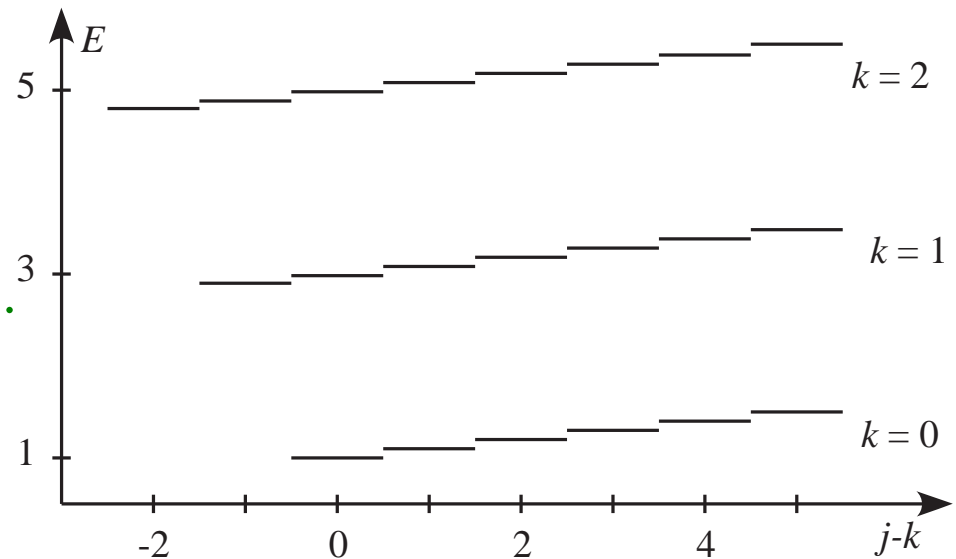
Lowest Landau Level

$$H_{\Omega} = -\frac{1}{2}\Delta + \frac{1}{2}|r|^2 - i\Omega(x_2\partial_{x_1} - x_1\partial_{x_2})$$

Eigenvalues:

$$E_{j,k} = 1 + (1 - \Omega)j + (1 + \Omega)k.$$

$k = 0$: lowest Landau level.



Energy bounded below $\iff \Omega < 1$.

We study $\Omega \xrightarrow{\Omega < 1} 1$.

LLL approximation

$$E_{2D}(\phi) = \int_{\mathbb{R}^2} \frac{1}{2} |\nabla \phi - i\Omega r^\perp \phi|^2 + \frac{1 - \Omega^2}{2} |r|^2 |\phi|^2 + \frac{1}{2} \tilde{G} |\phi|^4,$$

Lowest Landau level: first eigenvectors of $H_\Omega = -\frac{1}{2}\Delta + \frac{1}{2}|r|^2 - \Omega L$

$$LLL = \left\{ \phi(z) = f(z)e^{-|z|^2/2}, \quad f \text{ holomorphic, } \phi \in L^2(\mathbb{R}^2) \right\}.$$

$$z = x_1 + ix_2, \quad r = (x_1, x_2).$$

$$\phi \in LLL \quad \Rightarrow \quad E_{2D}(\phi) = \Omega + \int_{\mathbb{R}^2} \frac{1 - \Omega^2}{2} |r|^2 |\phi|^2 + \frac{1}{2} \tilde{G} |\phi|^4.$$

LLL approximation

Theorem (A. Aftalion, XB): Let

$$I_{2D}(\Omega) = \inf \left\{ E_{2D}(\phi), \quad \int_{\mathbb{R}^2} |\phi|^2 = 1 \right\},$$

$$I_{LLL}(\Omega) = \inf \left\{ E_{2D}(\phi), \quad \phi \in LLL, \quad \int_{\mathbb{R}^2} |\phi|^2 = 1 \right\}.$$

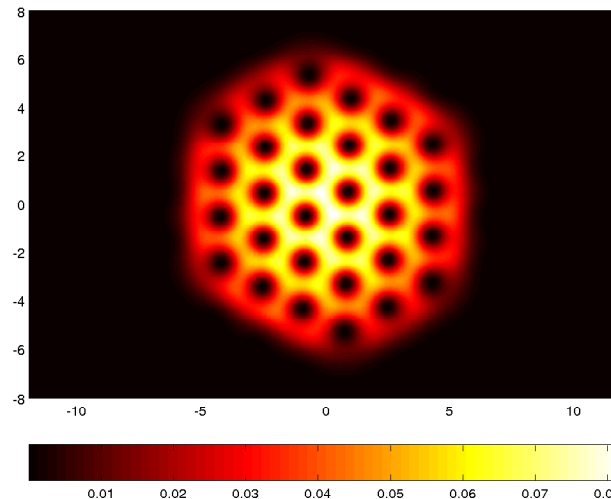
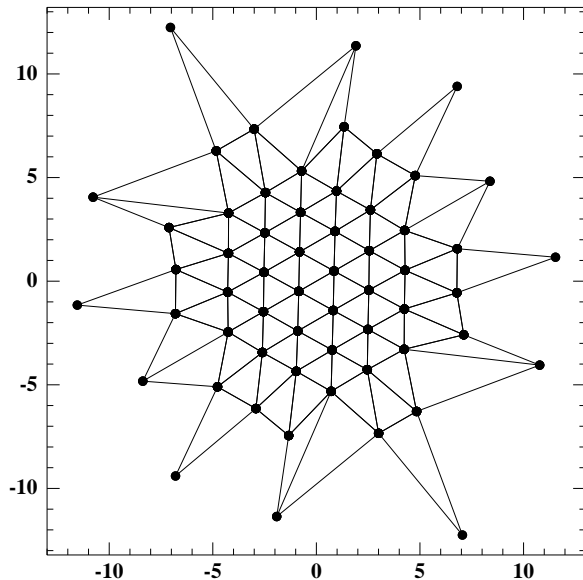
Then, $I_{2D}(\Omega) = I_{LLL}(\Omega) + o(\sqrt{1 - \Omega})$.

Moreover,

$$\|\phi^{2D} - \Pi_{LLL}(\phi^{2D})\|_{C^{0,1/2}} + \|\phi^{2D} - \Pi_{LLL}(\phi^{2D})\|_{L^2} \longrightarrow 0.$$

In the LLL, the wave function is defined by its zeroes:

$$\phi(z) = A \prod_{i=1}^n (z - z_i) e^{-\frac{|z|^2}{2}}, \quad \int_{\mathbb{R}^2} |\phi|^2 = 1.$$



$$\Omega = 0.999, G = 3, n = 58$$

Scaling

$$E(\phi) = \int_{\mathbb{R}^2} \frac{1}{2} |\nabla \phi - i\Omega x^\perp \phi|^2 + \frac{1}{2} |x|^2 |\phi|^2 (1 - \Omega^2) + \frac{1}{2} G |\phi|^4$$

Rescaling: $\phi(x) = \gamma u(\gamma x)$, with $\gamma = \sqrt{\Omega} \left(\frac{1 - \Omega^2}{2} \right)^{\frac{1}{4}}$.

$$E(\phi) = \int_{\mathbb{R}^2} \left| \nabla u - i \left(\frac{2}{1 - \Omega^2} \right)^{\frac{1}{2}} x^\perp u \right|^2 + \sqrt{\frac{1 - \Omega^2}{2\Omega^2}} \left(\int_{\mathbb{R}^2} |x|^2 |u|^2 + \frac{G\Omega^2}{2} |u|^4 \right)$$

Set $h = \sqrt{\frac{1 - \Omega^2}{2\Omega^2}}$, small parameter.

Bargmann space

LLL-functional:

$$E^h(f) = \int_{\mathbb{C}} |z|^2 |u(z)|^2 + \frac{G\Omega_h^2}{2} |u|^4$$

$$h \rightarrow 0$$

$$\Omega_h \rightarrow 1$$

(Fock-)Bargmann space:

$$u(z) = e^{-\frac{|z|^2}{2h}} f(z)$$

$$f \in \mathcal{F}_h = \left\{ g \in L^2(\mathbb{C}; e^{-\frac{|z|^2}{h}} dz), g \text{ holomorphic} \right\}$$

$$\langle f|g \rangle = \int f(z) \overline{g(z)} e^{-\frac{|z|^2}{h}}.$$

Bargmann transform

Bargmann transform^a

$$[B_h \varphi](z) = \frac{1}{(\pi h)^{3/4}} e^{\frac{z^2}{2h}} \int_{\mathbb{R}} e^{-\frac{(\sqrt{2}z-y)^2}{2h}} \varphi(y) dy.$$

$$B_h : L^2(\mathbb{R}, dy) \xrightarrow{\text{unitary}} \mathcal{F}_h \subset L^2(\mathbb{C}; e^{-\frac{|z|^2}{h}} dz).$$

$$B_h^* B_h = \text{Id}_{L^2(\mathbb{R}; dy)} \quad B_h B_h^* = \Pi_h.$$

Szegő projector :

$$(\Pi_h f)(z) = \frac{1}{\pi h} \int_{\mathbb{C}} e^{\frac{z\bar{z}' - |z'|^2}{h}} f(z') dz'.$$

^aREF: V. Bargmann (61), G.B. Folland (89), A. Martinez (02)

Bargmann transform

on \mathcal{F}_h

on $L^2(\mathbb{R}, dy)$

$$\Pi_h z \Pi_h = z \iff \frac{1}{\sqrt{2}}(-h\partial_y + y) = a_h^*$$

$$\Pi_h \bar{z} \Pi_h = h\partial_z \iff \frac{1}{\sqrt{2}}(h\partial_y + y) = a_h$$

$$N_h = z(h\partial_z) = \Pi_h[|z|^2 - h]\Pi_h \iff \frac{1}{2}(-h^2\partial_y^2 + y^2 - 1)$$

$$\frac{1}{(\pi h)^{1/2} h^{n/2} \sqrt{n!}} z^n \iff H_n^h = \frac{1}{h^{n/2} \sqrt{n!}} (a_h^*)^n H_0,$$

$$H_0(y) = (\pi h)^{-1/4} e^{-y^2/h}.$$

$$\Pi_h \alpha(z) \Pi_h \text{ (Toeplitz)} \iff \alpha^{A\text{-Wick}}(y, hD_y).$$

Bargmann space

spaces \mathcal{F}_h^s , $s \in \mathbb{R}$:

$$\begin{aligned}\mathcal{F}_h^s &= \left\{ f \text{ holomorphic, } \left((1 + |z|^2)^{s/2} f \in L^2(\mathbb{C}; e^{-\frac{|z|^2}{h}} dz) \right) \right\} \\ &= \{ f \text{ holomorphic, } ((1 + N_h)^s f \in \mathcal{F}_h) \}.\end{aligned}$$

The imbedding $\mathcal{F}_h^s \subset \mathcal{F}_h$ is **compact** as soon as $s > 0$.

$$\begin{aligned}E^h(f) &= \langle f | (N_h + h) f \rangle_{\mathcal{F}_h} + \frac{G\Omega_h^2}{2} \int_{\mathbb{C}} |u|^4 dz \\ f &\in \mathcal{F}_h^1 & u(z) &= f(z) e^{-\frac{|z|^2}{h}}\end{aligned}$$

Bargmann space

Consequence:

For $h > 0$ the minimization problem ($u(z) = f(z)e^{-\frac{|z|^2}{2h}}$)

$$\inf_{\|f\|_{\mathcal{F}_h}=1} E^h(f); \quad E^h(f) = \int_{\mathbb{C}} |z|^2 |u(z)|^2 + \frac{G\Omega_h^2}{2} |u|^4 dz .$$

admits a solution. A solution belongs to \mathcal{F}_h^1 .

$$\frac{2\Omega_h}{3} \sqrt{\frac{2G}{\pi}} < \min_{\|f\|_{\mathcal{F}_h}=1} E^h(f) \leq \frac{2\Omega_h}{3} \sqrt{\frac{2Gb}{\pi}} + O(h^{1/4}) .$$

$$(b \sim 1.1596)$$

Lower bound

Forget the holomorphic constraint:

$$\inf \left\{ \int_{\mathbb{C}} |r|^2 |u|^2 + \frac{G\Omega_h}{2} |u|^4, \quad u \in L^2 \cap L^4, \quad \int_{\mathbb{C}} |u|^2 = 1 \right\}.$$

Unique minimizer up to a phase:

$$u_{\min}(r) = \frac{1}{\sqrt{G\Omega_h}} (\lambda - |r|^2)_+^{1/2}, \quad \lambda = \sqrt{\frac{2G\Omega_h}{\pi}}$$

energy

$$E = \frac{2\Omega_h}{3} \sqrt{\frac{2G}{\pi}}.$$

Euler-Lagrange equation

$$zh\partial_z f + G\Omega_h^2 \Pi_h \left(e^{-\frac{|z|^2}{h}} |f|^2 \right) \Pi_h f - (\lambda - h)f = 0$$

$$\Pi_h \left[\left(|z|^2 + G\Omega_h^2 e^{-\frac{|z|^2}{h}} |f|^2 - \lambda \right) f \right] = 0$$

or
$$zh\partial_z f + \frac{G\Omega_h^2}{2} \bar{f}(h\partial_z)[f^2(2^{-1}\cdot)] - (\lambda - h)f = 0$$

Theorem (A. Aftalion, XB, F. Nier):

Any minimizer has an **infinite number of zeros** as soon as

$$h(1 + O_G(h^{1/4})) < \frac{729}{1024} \frac{\sqrt{G}}{(2\pi b)^{3/2}} c_0^2 \approx 0.04276\sqrt{G}.$$

Approximation by polynomials.

$$e_{LLL}^h = \min_{\|f\|_{\mathcal{F}_h}=1} E^h(f)$$

$$e_{LLL,K}^h = \min_{P \in \mathbb{C}_K[z], \|P\|_{\mathcal{F}_h}=1} E^h(P)$$

$$\Pi_{h,K} = 1_{[0,hK]}(N_h) \text{ orth. proj. on } \mathbb{C}_K[z].$$

Theorem (A. Aftalion, XB, F. Nier):

- $0 < e_{LLL,K}^h - e_{LLL}^h \leq \frac{C}{h^2K}$, and $\|f - \Pi_{h,K}f\|_{\mathcal{F}_h} \leq \frac{C}{h^2K}$, when f is a minimizer.
- If P_K is a minimizer of $e_{LLL,K}^h$, then after extraction

$$\lim_{n \rightarrow \infty} \|f - P_{K_n}\|_{\mathcal{F}_h} + \|N_h(f - P_{K_n})\|_{\mathcal{F}_h} = 0.$$

Theta function

Definition:

$$\Theta(v, \tau) = \frac{1}{i} \sum_{n=-\infty}^{+\infty} (-1)^n e^{i\pi\tau(n+1/2)^2} e^{(2n+1)\pi iv}.$$

Proposition: If f is holomorphic and cancels on each site of the lattice $\frac{1}{\delta}\mathbb{Z} \oplus \frac{\tau}{\delta}\mathbb{Z}$, with $\delta \in \mathbb{R}^{+*}$ and $\tau \in \mathbb{C} \setminus \mathbb{R}$, and if the function $|u(z)| = |f(z)|e^{-\frac{|z|^2}{h}}$ is periodic, then

$$\delta = \sqrt{\frac{\tau_I}{\pi h}} \quad \text{and} \quad f(z) = e^{\frac{z^2}{2h}} \Theta\left(\sqrt{\frac{\tau_I}{\pi h}} z, \tau\right).$$

Abrikosov problem

$$\text{Abrikosov: } \inf \left\{ \frac{f |u|^4}{(f |u|^2)^2}, \quad u(z) = f(z) e^{-|z|^2/2h}, \quad f \in \mathcal{F}_h \right\}.$$

$$\text{Euler-Lagrange equation: } \Pi_h (|u|^2 f) = \lambda f.$$

$$\text{LLL problem: } zh \partial_z f + G \Omega_h^2 \Pi_h (|u|^2 f) = (\lambda - h) f.$$

Lattice $\mathbb{Z} \oplus \tau \mathbb{Z}$:

$$\gamma(\tau) := \frac{f |u_\tau|^4}{(f |u_\tau|^2)^2}, \quad u_\tau(z) = e^{\frac{z^2}{2h}} \Theta \left(\sqrt{\frac{\tau_I}{\pi h}} z, \tau \right) e^{-\frac{|z|^2}{2h}}.$$

γ has a unique minimizer^a at $\tau = e^{\frac{2i\pi}{3}}$

Open problem: prove that the (global) minimizer is a lattice.

^aNonnenmacher, Voros, 1998.

Limit $h \rightarrow 0$

$$f(z) = e^{\frac{z^2}{2h}} \Theta \left(\sqrt{\frac{\tau_I}{\pi h}} z, \tau \right), \quad \gamma(\tau) = \frac{\int_{Q_\tau} |\Theta|^4}{\left(\int_{Q_\tau} |\Theta|^2 \right)^2}, \quad \boxed{f_\alpha = \Pi_h(\alpha f)},$$

where $\alpha \in \mathcal{C}^{0,\beta}(\mathbb{C})$ with compact support.

$$(N_h + h - \lambda) f_\alpha + G \Omega_h^2 \Pi_h \left(e^{-\frac{|z|^2}{h}} |f_\alpha|^2 f_\alpha \right) = \\ \Pi_h \left[(|z|^2 - \lambda + \gamma(\tau) G |\alpha|^2) \alpha \right] \Pi_h f + O_{\mathcal{F}_h}(h^{\beta/2}),$$

$$\text{and } E^h(f_\alpha) = \int_{\mathbb{C}} |r|^2 |\alpha|^2 + \gamma(\tau) \frac{G}{2} |\alpha|^4 + O(h^{\beta/2}).$$

$$\text{Minimum for } |\alpha| = \frac{1}{G\gamma(\tau)} \left(\sqrt{\frac{G\gamma(\tau)}{\pi}} - |r|^2 \right)_+^{1/2} \text{ and } \tau = e^{2i\pi/3}.$$

Extension: quartic trap

Change the potential:

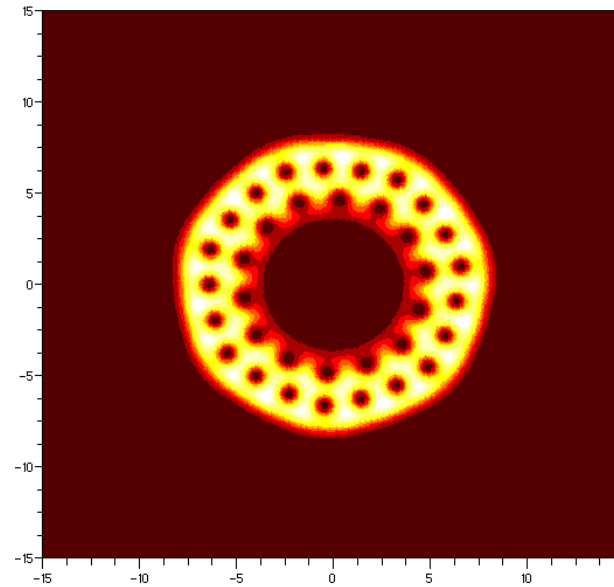
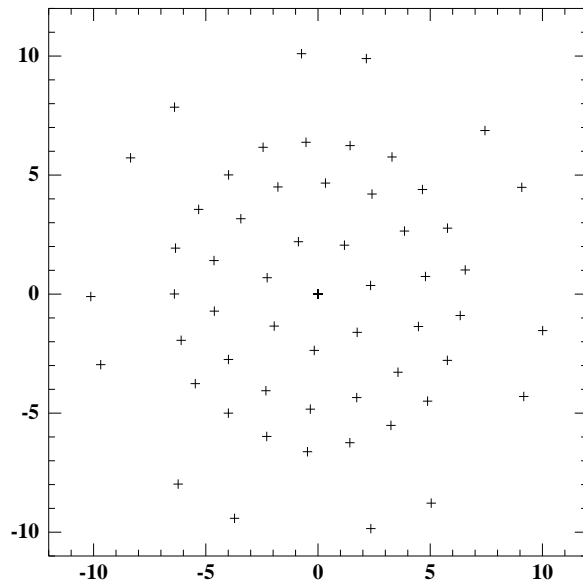
$$E_{2D}(\phi) = \int_{\mathbb{R}^2} \frac{1}{2} |\nabla \phi - i\Omega r^\perp \phi|^2 + \frac{1}{2} (1 - \Omega^2) |r|^2 |\phi|^2 + \frac{k}{2} |r|^4 |\phi|^2 + \frac{1}{2} G |\phi|^4,$$

$\Omega > 1$ is allowed.

If $k \propto (\Omega - 1)^{3/2}$, the LLL approximation is valid, and reduces to

$$E^h(u) = \int_{\mathbb{C}} (k_0 |z|^4 - |z|^2) |u|^2 + \frac{G}{2} |u|^4, \quad u(z) = f(z) e^{-\frac{|z|^2}{2h}}.$$

Extension: quartic trap



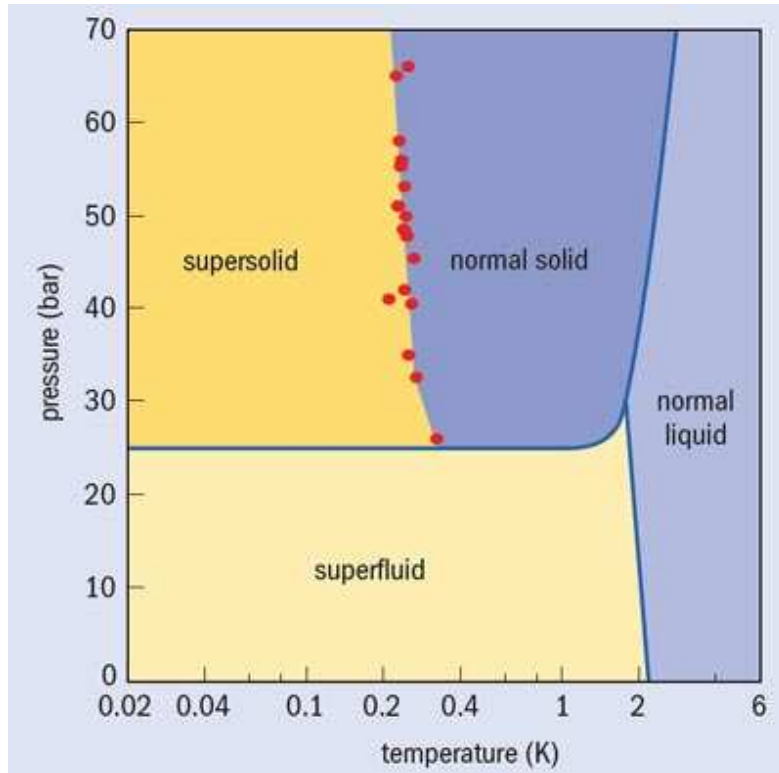
Numerical simulations (N. Rougerie) for
 $G = 3, k_0 = 1, \Omega = 1 + 2.15 \times 10^{-3}$.

Same ansatz: Θ function and

$$|\alpha(z)|^2 = \frac{1}{G} (\lambda + |z|^2 - k_0 |z|^4)_+.$$

Supersolid models

Crystalline Helium exhibits **superfluid** properties: "**supersolid**"



Experiments by Kim and Chan, *Nature* 427, 2004.

Penrose-Onsager (1956), Andreev-Lifshitz (1969), Leggett (1970), Prokof'ev and Svistunov (2005), Balibar et al (2007), ...

Supersolid models

Gross-Pitaevskii with nonlocal interaction (Josserand, Pomeau, Rica, PRL **98**, 2007):

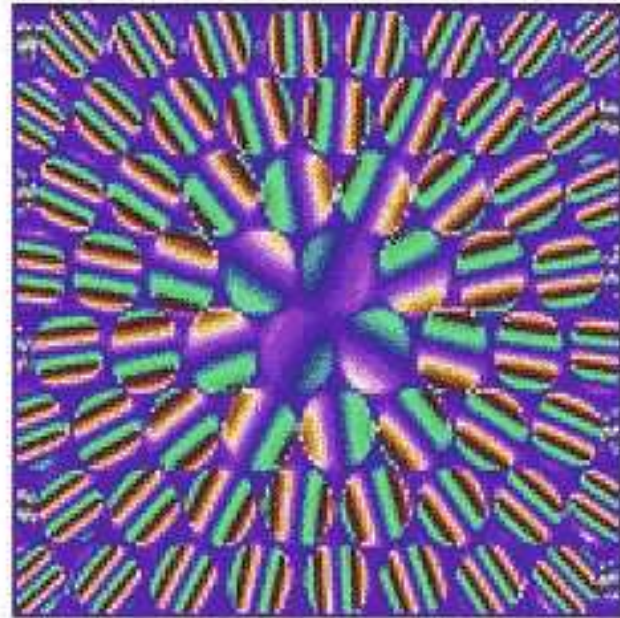
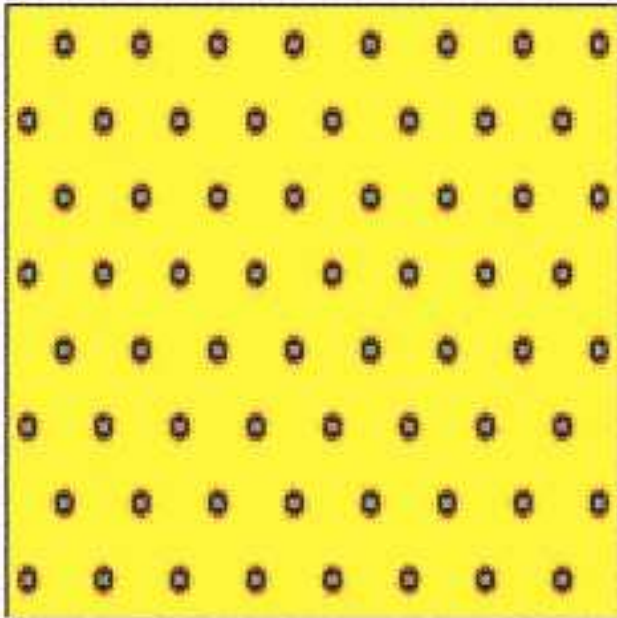
$$E(\phi) = \int_{\mathcal{D}} \frac{1}{2} |\nabla \phi|^2 + \frac{G}{2} (V * |\phi|^2) |\phi|^2.$$

$$V(x) = \mathbf{1}_{B_1}(x) = \begin{cases} 1 & \text{if } |x| < 1, \\ 0 & \text{if } |x| \geq 1. \end{cases}$$

$$\inf \left\{ E(\phi), \quad \phi \in H_{\text{per}}^1(\mathcal{D}), \quad \int_{\mathcal{D}} |\phi|^2 = |\mathcal{D}| \right\}.$$

Supersolid models

Numerical simulation of G-P at 2D



Supersolid models

Theorem (A. Aftalion, XB, R. L. Jerrard): Define

$$n(\mathcal{D}) := \max\{k : \exists x_1, \dots, x_k \in \mathcal{D} \text{ such that } |x_i - x_j| > 1 \ \forall i \neq j\}.$$

Then
$$\inf \left\{ \int_{\mathcal{D}} (V * \rho) \rho, \rho \geq 0, \int_{\mathcal{D}} \rho = |\mathcal{D}| \right\} = |\mathcal{D}|^2 / n(\mathcal{D}),$$

and ρ is a minimizer iff there exist $n(\mathcal{D})$ pairwise disjoint closed sets $A_1, \dots, A_{n(\mathcal{D})} \subset \bar{\mathcal{D}}$, such that

$$\text{dist}(A_i, A_j) \geq 1 \text{ if } i \neq j, \quad \text{and} \quad \forall i, \int_{A_i} \rho \, dx = \frac{|\mathcal{D}|}{n(\mathcal{D})}$$

Supersolid models

Theorem (A. Aftalion, XB, R. L. Jerrard):

As $G \rightarrow \infty$, any subsequence of minimizers of

$$\inf \left\{ \int_{\mathcal{D}} \frac{1}{2} |\nabla \phi|^2 + \frac{G}{2} (V * |\phi|^2) |\phi|^2, \quad \int_{\mathcal{D}} |\phi|^2 = |\mathcal{D}| \right\}$$

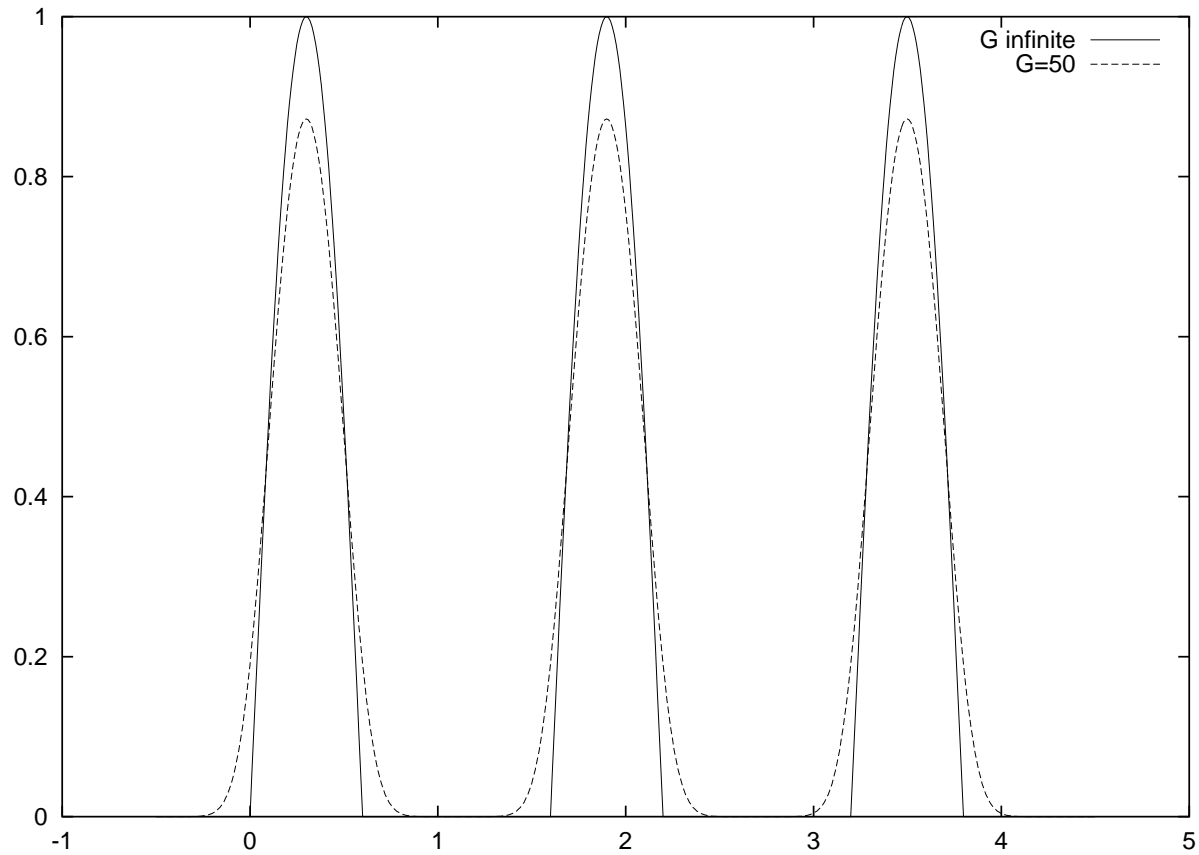
is relatively compact in $H^1(\mathcal{D})$. Any limit point is a minimizer of the Dirichlet energy in the set of minimizers of the interaction.

In dimension 1, we thus have, up to translation ($n = n(\mathcal{D})$)

$$\phi_0(x) = \begin{cases} \sqrt{\frac{2L}{h_0 n}} \sin\left(\frac{\pi}{h_0}(x - x_i)\right) & \text{if } x \in (x_i, x_i + h_0), 0 \leq i \leq n - 1, \\ 0 & \text{if not} \end{cases}$$

$$n = \lceil |\mathcal{D}| \rceil, \quad h_0 = (|\mathcal{D}| - (n - 1))/n, \quad x_i = i(1 + h_0).$$

Supersolid models



Perspectives

- Quartic trap : large rotation $\Omega \rightarrow \infty$.
- Abrikosov problem
- **Anisotropic trap:** $H_\Omega = -\frac{1}{2}\Delta + \frac{1}{2}x_1^2 + \frac{1+\nu^2}{2}x_2^2 - \Omega L$
- "very fast" rotation: $N\sqrt{1-\Omega} = O(1)$ correlation effects.
- Prove crystallization for the supersolid model.
- Rotation for the supersolid model.