

On the long-time asymptotics for degenerate kinetic equations

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Structure of the talk

- 1 The problem
- 2 Convergence to equilibrium for non degenerate cross sections
- 3 Convergence to equilibrium for degenerate cross sections
 - Degeneracy in isolated points
 - A counterexample
 - The geometrical condition
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The linear Boltzmann equation in the d -dimensional torus \mathbb{T}^d , $d \geq 2$

$$\begin{cases} \partial_t f + v \cdot \nabla_x f + \sigma(f - Kf) = 0 & (t, x, v) \in \mathbb{R}_+ \times \mathbb{T}^d \times V \\ f(0, x, v) = f^{in}(x, v) \in L^1(\mathbb{T}^d \times V) & (x, v) \in \mathbb{T}^d \times V \end{cases}$$

Velocity space: $V = \{v \in \mathbb{R}^d : 0 < v_m \leq |v| \leq v_M\}$ or $V = \mathbb{S}^{d-1}$

Normalization on $\mathbb{T}^d \times V$: $\int_{\mathbb{T}^d} dx = \int_V dv = 1$

Scattering operator $Kf := \int_V k(v, w) f(t, x, w) dw$ with

$k \in L^\infty(V \times V)$, $\int_V k(v, w) dw = 1$ and $k(v, w) > 0$ a.e. on $V \times V$

Cross section $\sigma \in L^\infty(\mathbb{T}^d)$, with $\sigma \geq 0$ a.e. and $\int_{\mathbb{T}^d} \sigma(x) dx > 0$

Taxonomy

Non degenerate cross section:

$\sigma \in L^\infty(\mathbb{T}^d)$ and there exists $m > 0$ such that $\sigma \geq m$ a.e. in \mathbb{T}^d

Degenerate cross section:

$\sigma \in L^\infty(\mathbb{T}^d)$, $\sigma \geq 0$ a.e. in \mathbb{T}^d , $\int_{\mathbb{T}^d} \sigma(x) dx > 0$ but it does not exist $m > 0$ such that $\sigma \geq m$ for a.e. x belonging to \mathbb{T}^d

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Convergence to equilibrium: the non degenerate case

Theorem (Ukai, Point, Ghidouche - 1978)

If $\sigma(x)$ is non degenerate, there exist $C, \gamma > 0$ such that the solution of the transport equation satisfies the estimate

$$\|f(t, \cdot, \cdot) - f_\infty\|_{L^2(\mathbb{T}^d \times \mathbb{S}^{d-1})} \leq C e^{-\gamma t} \|f^{in}\|_{L^2(\mathbb{T}^d \times \mathbb{S}^{d-1})}.$$

Theorem (Mouhot, Neumann - 2006)

If $\sigma(x)$ is non degenerate, there exist two **explicit**, strictly positive constants C and γ , such that the solution of the transport equation satisfies the estimate

$$\|f(t, \cdot, \cdot) - f_\infty\|_{H^1(\mathbb{T}^d \times \mathbb{S}^{d-1})} \leq C e^{-\gamma t} \|f^{in}\|_{H^1(\mathbb{T}^d \times \mathbb{S}^{d-1})}.$$

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Degeneracy in isolated points

First suppose that the cross section $\sigma : \mathbb{T}^d \rightarrow \mathbb{R}_+$ is degenerate and satisfies, moreover, the following property:

Assumption

There exist $x_i \in \mathbb{T}^d$, $i = 1, \dots, N$, $C_\sigma > 0$ and $\lambda_\sigma > 0$ such that

$$\text{for a.e. } x \in \mathbb{T}^d, \quad \sigma(x) \geq C_\sigma \inf_{i=1, \dots, N} |x - x_i|^{\lambda_\sigma}.$$

Assumption on the scattering kernel

$$k \equiv 1, \quad \bar{f} := \int_V f(t, x, w) dw.$$

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Assumption on the scattering kernel

$$k \equiv 1, \quad \bar{f} := \int_V f(t, x, w) dw.$$

Theorem (Desvillettes, S. - 2009)

Consider the linear transport equation with a cross section $\sigma \in L^\infty(\mathbb{T}^d) \cap H^1(\mathbb{T}^d)$ satisfying the previous assumption, $k \equiv 1$, and with an initial condition $f^{in} \geq 0$ a.e. such that $f^{in} \in L^\infty(\mathbb{T}^d \times V)$, $\nabla_x \bar{f}^{in} \in L^2(\mathbb{T}^d)$, and $v \otimes v : \nabla_x \nabla_x f^{in} \in L^2(\mathbb{T}^d \times V)$.

Then there exists a unique nonnegative solution $f := f(t, x, v)$ to this system in $C(\mathbb{R}_+; L^2(\mathbb{T} \times V))$.

The solution f converges when $t \rightarrow +\infty$ to its asymptotic profile

$$f_\infty(x, v) := \int_{\mathbb{T}^d} \int_V f^{in}(y, w) dw dy$$

and

$$\|f(t, \cdot, \cdot) - f_\infty\|_{L^2(\mathbb{T} \times V)}^2 \leq C_1 t^{-\frac{1}{1+2\lambda_\sigma}}.$$

The explicit constant C_1 depends on C_σ , λ_σ , $\|\sigma\|_{H^1(\mathbb{T}^d) \cap L^\infty(\mathbb{T}^d)}$, and f^{in} .

Strategy of proof

Proposition (Desvillettes, Villani - 2001)

Let z and y be two nonnegative C^2 functions defined on \mathbb{R}_+ and satisfying (for all $t > 0$)

$$\begin{cases} -z'(t) \geq \alpha_1 y^{1+\delta}(t), \\ y''(t) \geq \alpha_3 z(t) - \alpha_2 y^{1-\varepsilon}(t), \end{cases}$$

for some constants $\delta \geq 0$, $\varepsilon \in]0, 1[$ and $\alpha_1, \alpha_2, \alpha_3 > 0$.

Then there exists a constant $\alpha_4 > 0$ depending only on $x(0)$, α_1 , α_2 , α_3 , δ and ε such that (for all $t > 0$)

$$z(t) \leq \alpha_4 t^{-\frac{1-\varepsilon}{\delta+\varepsilon}}.$$

The entropy/entropy production pair

$$H(f) = \int_{\mathbb{T}^d \times V} |f - f_\infty|^2 dv dx, \quad D(f) = \int_{\mathbb{T}^d \times V} |f - \bar{f}|^2 dv dx.$$

Relationship between entropy production and D :

$$\int \sigma |f - \bar{f}|^2 dv dx \leq \|\sigma\|_{L^\infty(\mathbb{T}^d)} D(f).$$

By interpolation:

$$D(f)^{1+\lambda_\sigma} \leq \beta_1 \int \sigma |f - \bar{f}|^2 dv dx, \quad \beta_1 > 0$$

We deduce

$$\begin{cases} -\frac{dH(f)}{dt} \geq 2\beta_1 D(f)^{1+\lambda_\sigma} \\ \frac{d^2}{dt^2} D(f) \geq \beta_2 H(f) - \beta_3 D(f)^{1/2} \end{cases}$$

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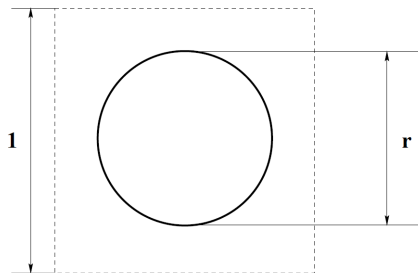
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The domain

For all $r \in (0, 1/2)$ consider the periodic open set

$$Z_r = \{x \in \mathbb{R}^d : \text{dist}(x, \mathbb{Z}^d) > r\}$$

together with the associated fundamental domain $Y_r = Z_r/\mathbb{Z}^d$.



The forward exit time

Forward exit time for a particle starting from $x \in Z_r$ in the direction $v \in \mathbb{S}^{d-1}$

$$\tau_r(x, v) = \inf\{t > 0 : x + tv \in \partial Z_r\}$$

Definition of the forward exit time on the quotient space $Y_r \times \mathbb{S}^{d-1}$

$$\tau_r(x + k, v) = \tau_r(x, v) \text{ for all } (x, v) \in Z_r \times \mathbb{S}^{d-1} \text{ and } k \in \mathbb{Z}^d$$

On $Y_r \times \mathbb{S}^{d-1}$, equipped with its Borel σ -algebra, define μ_r as the probability measure proportional to the Lebesgue measure on $Y_r \times \mathbb{S}^{d-1}$:

$$d\mu_r(y, v) = \frac{dy dv}{|Y_r| |\mathbb{S}^{d-1}|}$$

Distribution of τ_r under μ_r :

$$\Phi_r(t) := \mu_r \left(\{(x, v) \in Y_r \times \mathbb{S}^{d-1} : \tau_r(y, v) > t\} \right)$$

The distribution of forward exit time

Theorem (Bourgain, Golse, Wennberg - 1998, 2000)

Let $d \geq 2$. Then there exist two positive constants C_1 and C_2 such that, for all $r \in (0, 1/2)$ and each $t > 1/r^{d-1}$

$$\frac{C_1}{r^{d-1}} t^{-1} \leq \Phi_r(t) \leq \frac{C_2}{r^{d-1}} t^{-1}.$$

The counterexample

A particular choice of σ and f^{in}

Choose

$$\sigma(x) = \mathbb{1}_{\mathbb{T}^d \setminus Y_r}$$

and

$$f^{in}(x, v) = f^{in}(x) = \mathbb{1}_{Y_r}$$

Remarks:

- The only steady solution with the same mass as the initial condition f^{in} is the constant function $f_\infty = \mathbb{1}_{Y_r}$.
- Some particles never meet the scattering region, i.e. $\{x \in \mathbb{T}^d : \sigma(x) > 0\}$, because of the presence of **infinite channels**.

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An upper bound on the convergence speed to equilibrium

The only equilibrium solution to which f can converge in $L^2(\mathbb{T}^d \times \mathbb{S}^{d-1})$ as $t \rightarrow +\infty$ is

$$f_\infty = \frac{1}{|\mathbb{S}^{d-1}|} \int_{\mathbb{T}^d \times \mathbb{S}^{d-1}} f^{in}(x, v) dx dv = |Y_r|.$$

Study of the L^2 -norm

$$\begin{aligned} \int_{\mathbb{T}^d \times \mathbb{S}^{d-1}} (f - f_\infty)^2 dx dv &\geq \int_{Y_r \times \mathbb{S}^{d-1}} (f - f_\infty)^2 dx dv \\ &= \int_{Y_r \times \mathbb{S}^{d-1}} \mathbb{1}_{\tau_r(x, -v) > t} (f - f_\infty)^2 dx dv \\ &\quad + \int_{Y_r \times \mathbb{S}^{d-1}} \mathbb{1}_{\tau_r(x, -v) \leq t} (f - f_\infty)^2 dx dv \\ &= I + J. \end{aligned}$$

Duhamel's formula

$$\begin{aligned}
 f(t, x, v) &= f^{in}(x - tv, v) \exp\left(-\int_0^t \sigma(x - sv) ds\right) \\
 &\quad + \int_0^t \exp\left(-\int_0^s \sigma(x - \tau v) d\tau\right) \sigma(x - sv) \bar{f}(s, x - sv) ds \\
 &\geq f^{in}(x - tv, v) \exp\left(-\int_0^t \sigma(x - sv) ds\right)
 \end{aligned}$$

Since $\tau_r(x, -v) > t \implies \sigma(x - sv) = 0$ for all $s \in [0, t]$:

$$f(t, x, v) \mathbb{1}_{\tau_r(x, -v) > t} \geq f^{in}(x - tv, v) \mathbb{1}_{\tau_r(x, -v) > t}.$$

From $\tau_r(x, -v) > t \implies x - tv \in Y_r \implies f^{in}(x - tv, v) = 1$:

$$f(t, x, v) \mathbb{1}_{\tau_r(x, -v) > t} \geq \mathbb{1}_{\tau_r(x, -v) > t}$$

Since $f_\infty < 1$: $\mathbb{1}_{\tau_r(x,-v)>t} f_\infty \leq \mathbb{1}_{\tau_r(x,-v)>t} f \leq \mathbb{1}_{\tau_r(x,-v)>t} f(t, x, v)$.

Hence

$$\begin{aligned} I &= \int_{Y_r \times \mathbb{S}^{d-1}} (\mathbb{1}_{\tau_r(x,-v)>t} f - \mathbb{1}_{\tau_r(x,-v)>t} f_\infty)^2 dx dv \\ &\geq \int_{Y_r \times \mathbb{S}^{d-1}} \mathbb{1}_{\tau_r(x,-v)>t} (1 - f_\infty)^2 dx dv \\ &= (1 - |Y_r|)^2 \int_{Y_r \times \mathbb{S}^{d-1}} \mathbb{1}_{\tau_r(x,-v)>t} dx dv \\ &= (1 - |Y_r|)^2 |Y_r| |\mathbb{S}^{d-1}| \Phi_r(t). \end{aligned}$$

Therefore

$$I \geq (1 - |Y_r|)^2 |Y_r| |\mathbb{S}^{d-1}| \frac{C_1}{r^{d-1}} t^{-1}$$

for all $t > r^{1-d}$.

Bound on J :

$$J = \int_{Y_r \times \mathbb{S}^{d-1}} \mathbb{1}_{\tau_r(x, -v) \leq t} (f - f_\infty)^2 dx dv \geq 0,$$

Hence

$$\int_{\mathbb{T}^d \times \mathbb{S}^{d-1}} (f - f_\infty)^2 dx dv \geq \frac{C_1}{r^{d-1}} (1 - |Y_r|)^2 |Y_r| |\mathbb{S}^{d-1}| t^{-1}$$

or, equivalently,

$$\|f - f_\infty\|_{L^2(\mathbb{T}^d \times \mathbb{S}^{d-1})} \geq \frac{C}{\sqrt{t}}.$$

Theorem (Bernard, S. - 2012)

For all $r \in (0, 1/2)$, there exists an initial condition $f^{in} \in L^\infty(\mathbb{T}^d \times \mathbb{S}^{d-1})$ satisfying $f^{in}(x, v) \geq 0$ for a.e. $(x, v) \in \mathbb{T}^d \times \mathbb{S}^{d-1}$ and such that, for each cross section $\sigma \in L^\infty(\mathbb{T}^d)$ satisfying $\sigma(x) \geq 0$ for a.e. $x \in \mathbb{T}^d$ and $\sigma(x) = 0$ for a.e. $x \in Y_r$, the solution f of the transport problem satisfies

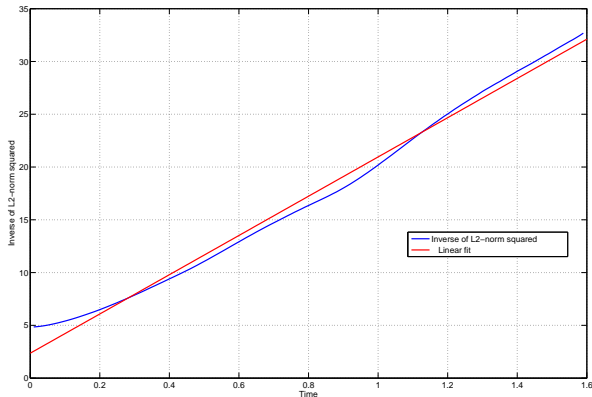
$$\|f - f_\infty\|_{L^2(\mathbb{T}^d \times \mathbb{S}^{d-1})} \geq \frac{C}{\sqrt{t}}$$

for each $t > r^{1-d}$, where

$$f_\infty = \frac{1}{|\mathbb{S}^{d-1}|} \int_{\mathbb{T}^d \times \mathbb{S}^{d-1}} f^{in}(x, v) dx dv$$

and C is a positive constant.

Numerical simulation of the long-time decay (De Vuyst, S.)



Particle method 10^9 numerical particles, $r = 0.3$, $\sigma = 3$

Uniform mesh $100 \times 100 \times 100$ on $\mathbb{T}^2 \times (0, 2\pi)$

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Definition

The cross section $\sigma \equiv \sigma(x)$ is said to verify the geometrical condition if there exist T_0 and $C > 0$ such that

$$\int_0^{T_0} \sigma(\phi_{x,v}(s)) ds \geq C \text{ a.e. in } (x, v) \in \mathbb{T}^d \times V,$$

where $\phi_{x,v}$ designates the linear flow starting at $x \in \mathbb{T}^d$ in the direction $-v \in V$:

$$\phi_{x,v} : t \mapsto x - tv.$$

- The geometrical condition entails that, for a.e. $(x, v) \in \mathbb{T}^d \times V$, there exists $t \in (0, T_0)$ such that $\phi_{x,v}(t) \in \{x \in \mathbb{T}^d \mid \sigma(x) > 0\}$.
- In 1D: **geometrical condition always fulfilled** for cross sections that are strictly positive on a sub-domain of the interval $(0, 1)$ with positive Lebesgue measure, since $|v| \geq v_m > 0$.

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Theorem (Bernard, S. - 2012)

Let $\sigma \in L^\infty(\mathbb{T}^d)$ be a non-negative cross section satisfying the geometrical condition. Then there exist two constants $M > 0$ and $\alpha > 0$ such that the solution f of the transport problem satisfies the inequality

$$\left\| f - \int_{\mathbb{T}^d \times V} f^{in}(x, v) dx dv \right\|_{L^1(\mathbb{T}^d \times V)} \leq M e^{-\alpha t} \|f^{in}\|_{L^1(\mathbb{T}^d \times V)}$$

for all $t \in \mathbb{R}_+$.

Conversely, if the solution of the linear Boltzmann equation converges uniformly in L^1 to its equilibrium state at an exponential rate, then σ must satisfy the geometrical condition.

The semigroup formulation of the problem

Define the transport operator $B := A_0 - M_\sigma + K_\sigma$ with domain

$$D(B) = \left\{ f \in L^1(\mathbb{T}^d \times V) \mid v \cdot \nabla_x f \in L^1(\mathbb{T}^d \times V) \right\}.$$

The collisionless transport operator is

$$(A_0 f)(x, v) := -v \cdot \nabla_x f \text{ for each } f \in D(A_0),$$

with domain $D(A_0) = D(B)$.

The absorption and the scattering operator are

$$(M_\sigma f)(x, v) := \sigma(x) f(x, v) \text{ for each } f \in L^1(\mathbb{T}^d \times V)$$

and

$$(K_\sigma f)(x, v) := \sigma(x) \int_V k(v, w) f(x, w) dw \text{ for each } f \in L^1(\mathbb{T}^d \times V)$$

The abstract Cauchy problem

$$\begin{cases} \frac{d}{dt}f = Bf \\ f(0, x, v) = f^{in}(x, v) \in \mathbb{T}^d \times V. \end{cases}$$

The operator B generates a **strongly continuous positive semigroup** on $L^1(\mathbb{T}^d \times V)$ $\mathcal{T} \equiv (T_t)_{t \geq 0}$

GOAL: prove the existence of a pair (M, α) of positive constants such that

$$\|T_t - P\|_{\mathcal{L}(L^1(\mathbb{T}^d \times V))} (t) \leq Me^{-\alpha t},$$

where

$$P(f) = \int_{\mathbb{T}^d \times V} f(x, v) dx dv \text{ for each } f \in L^1(\mathbb{T}^d \times V).$$

A result concerning positive semigroups

Theorem

Let $(G_t)_{t \geq 0}$ be a bounded, quasi-compact, irreducible, positive C_0 -semigroup on $L^1(\mathbb{T}^d \times V)$ with spectral bound zero. Then there exist a positive rank-one projection P and suitable constants $C \geq 1$ and $a > 0$ such that

$$\|G_t - P\|_{\mathcal{L}(L^1(\mathbb{T}^d \times V))} \leq Ce^{-at} \text{ for each } t \geq 0.$$

Check, under the assumptions above, that

- the spectral bound of B is zero,
- \mathcal{T} is irreducible,
- the geometrical condition implies that \mathcal{T} is quasi-compact.

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A result concerning positive semigroups

Theorem

Let $(G_t)_{t \geq 0}$ be a bounded, quasi-compact, irreducible, positive C_0 -semigroup on $L^1(\mathbb{T}^d \times V)$ with spectral bound zero. Then there exist a positive rank-one projection P and suitable constants $C \geq 1$ and $a > 0$ such that

$$\|G_t - P\|_{\mathcal{L}(L^1(\mathbb{T}^d \times V))} \leq Ce^{-at} \text{ for each } t \geq 0.$$

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The spectral bound of \mathcal{T}

Proposition

Let B be the transport operator with domain $D(B)$ and let \mathcal{T} be the semigroup generated by B . Then $s(\mathcal{T}) = s(B) = 0$.

\mathcal{T} is a strongly continuous positive semigroup in $L^1(\mathbb{T}^d \times V) \implies$ its spectral bound $s(\mathcal{T})$ is equal to its growth bound $\omega_0(\mathcal{T})$:

$$s(B) = \omega_0(\mathcal{T}) := \inf \left\{ \omega \in \mathbb{R} \mid \exists M \geq 1 : \|T_t\|_{\mathcal{L}(L^1(\mathbb{T}^d \times V))} \leq M e^{\omega t} \quad \forall t \geq 0 \right\}$$

$$\omega_0(\mathcal{T}) = \frac{1}{t} \ln r(T_t) \text{ for each } t > 0, \quad r(T_t) = \sup\{|\lambda| : \lambda \in \sigma(T_t)\}$$

For each $t \geq 0$,

$$r(T_t) \leq \|T_t\|_{\mathcal{L}(L^1(\mathbb{T}^d \times V))} = 1 \text{ and } T_t(\mathbb{1}_{\mathbb{T}^d \times V}) = \mathbb{1}_{\mathbb{T}^d \times V}$$

$$r(T_t) = 1 \text{ for each } t \geq 0$$

Irreducibility

Definition

Banach lattice (of type L^p): a real Banach space E endowed with an ordering \geq compatible with the vector structure such that, if $f, g \in E$ and $|f| \geq |g|$, then $\|f\|_E \geq \|g\|_E$.

Example: the space $L^1(\mathbb{T}^d \times V)$, endowed with the standard L^1 -norm, with the partial order defined by

$$f \geq 0 \text{ if and only if } f(x, v) \geq 0 \text{ a.e. on } \mathbb{T}^d \times V.$$

Let E be a Banach lattice. The space $\mathcal{L}(E)$ of bounded operators on E can be ordered in the following way: Let $A, B \in \mathcal{L}(E)$ then

$$0 \leq A \leq B \text{ if and only if, for each nonnegative } x \in E, 0 \leq Ax \leq Bx.$$

Order ideals

Definition

A closed vector subspace W of a Banach lattice E is called **order ideal** if, when $x \in W$ and $y \in E$, $|y| \leq |x|$ implies $y \in W$.

Notation: $\mathcal{I}(E)$ is the set of the order ideals of E .

Definition

Let G be an operator in a Banach lattice E and $\mathcal{G} \equiv (G_t)_{t \geq 0}$ be a semigroup.

An order ideal W is a **G -invariant** if $G(W) \subset W$.

Notation: $\mathcal{I}(G) := \{W \in \mathcal{I}(E) \mid G(W) \subset W\}$ is the set of G -invariants.

We denote

$$\mathcal{I}(\mathcal{G}) := \bigcap_{t \geq 0} \mathcal{I}(G_t)$$

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Irreducibility of \mathcal{T}

Definition

An operator $G \in \mathcal{L}(L^1(\mathbb{T}^d \times V))$ is said to be **irreducible** if and only if

$$\mathcal{I}(G) = \left\{ \{0\}, L^1(\mathbb{T}^d \times V) \right\}.$$

Likewise, a semigroup \mathcal{G} is irreducible if

$$\mathcal{I}(\mathcal{G}) = \left\{ \{0\}, L^1(\mathbb{T}^d \times V) \right\}.$$

Proposition

The semigroup \mathcal{T} generated by the transport operator B is irreducible in $L^1(\mathbb{T}^d \times V)$.

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Quasi-compactness of \mathcal{T} I

Definition

The essential resolvent of $A \in \mathcal{L}(E)$ is

$$\rho_{\text{ess}}(A) := \{\lambda \in \mathbb{C} \mid \lambda I - A \text{ is Fredholm}\},$$

and its essential spectrum is

$$\sigma_{\text{ess}}(A) := \mathbb{C} \setminus \rho_{\text{ess}}(A).$$

The essential radius of A is

$$r_{\text{ess}}(A) := \sup \{|\lambda| \mid \lambda \in \sigma_{\text{ess}}(A)\}.$$

Quasi-compactness of \mathcal{T} II

Definition

A semigroup $\mathcal{G} \equiv (G_t)_{t \geq 0}$ is said to be quasi-compact on $L^1(\mathbb{T}^d \times V)$ if and only if there exist a compact operator C on $L^1(\mathbb{T}^d \times V)$ and a constant $t_0 > 0$ such that

$$\|G_{t_0} - C\|_{\mathcal{L}(L^1(\mathbb{T}^d \times V))} < 1.$$

Proposition

The semigroup \mathcal{T} is quasi-compact on $L^1(\mathbb{T}^d \times V)$ if and only if

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The semigroup \mathcal{T} is quasi-compact on $L^1(\mathbb{T}^d \times V)$ if and only if

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A control of the essential radius of \mathcal{T}

Define $\mathcal{S} \equiv (S_t)_{t \geq 0}$ by the formula

$$S_t g(x, v) := e^{-\int_0^t \sigma(x-vs) ds} g(x - vt, v) \quad \text{for all } g \in L^1(\mathbb{T}^d \times V).$$

The semigroup \mathcal{T} can be seen as a perturbation of \mathcal{S} by Duhamel's formula

$$T_t = S_t + \int_0^t S_s K_\sigma T_{t-s} ds. \quad (1)$$

Proposition

Under the assumptions above we have, for each $t > 0$,

$$r_{\text{ess}}(T_t) \leq r(S_t).$$

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The asymptotic behaviour of the essential radius

In order to prove that \mathcal{T} is quasi-compact on $L^1(\mathbb{T}^d \times V)$, it is enough to prove that for some $t_0 > 0$, $r(S_{t_0}) < 1$:

Proposition

If σ verifies the geometrical condition, then

$$\lim_{t \rightarrow +\infty} r(S_t) = 0.$$

The geometrical condition means that there exist T_0 and C such that

$$\int_0^{T_0} \sigma(x - sv) ds > C \text{ a.e. in } (x, v) \in \mathbb{T}^d \times V.$$

The asymptotic behaviour of the essential radius II

Since $\sigma \geq 0$ we have, for each $t > T_0$ ($\lfloor x \rfloor$: largest integer $\leq x$):

$$\begin{aligned} \int_0^t \sigma(x - sv) ds &\geq \int_0^{\lfloor \frac{t}{T_0} \rfloor T_0} \sigma(x - sv) ds \\ &\geq \sum_{n=0}^{\lfloor \frac{t}{T_0} \rfloor} \int_0^{T_0} \sigma((x - nT_0v) - sv) ds \geq \left\lfloor \frac{t}{T_0} \right\rfloor C. \end{aligned}$$

Hence

$$\|S_t\|_{\mathcal{L}(L^1(\mathbb{T}^d \times V))} \leq e^{-\lfloor \frac{t}{T_0} \rfloor C} \text{ for each } t \geq T_0.$$

Since $r(S_t) \leq \|S_t\|_{\mathcal{L}(L^1(\mathbb{T}^d \times V))}$ we deduce

$$r(S_t) \leq e^{-C \lfloor \frac{t}{T_0} \rfloor} \text{ for each } t \geq T_0 \implies \lim_{t \rightarrow +\infty} r(S_t) = 0.$$

The characterization of P

Sketch of the proof:

- If σ verifies the geometrical condition, then $\lim_{t \rightarrow +\infty} r_{\text{ess}}(T_t) = 0$.
- The spectrum of B is discrete. In particular, $s(A)$ is a pole of the resolvent $R(A)$.
- B is the generator of an irreducible semigroup \mathcal{T} : the residue P associated to $s(A) = 0$ is a projection onto $\text{Ker} B$, that is one-dimensional.
- By conservation of the mass, we have, for each $f \in L^1(\mathbb{T}^d \times V)$,

$$\int_{\mathbb{T}^d \times V} Pf(x, v) dx dv = \int_{\mathbb{T}^d \times V} f(x, v) dx dv.$$

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On the sharpness of the geometrical condition

The quasi-compactness of \mathcal{T} in $L^1(\mathbb{T}^d \times V)$ implies the quasi-compactness of \mathcal{S} in $L^1(\mathbb{T}^d \times V)$ as a consequence of:

Proposition (Caselles - 1987)

Let E be a Banach lattice. Let $S, T \in \mathcal{L}(E)$ be such that

$$0 \leq S \leq T.$$

If $r(T) \leq 1$ and $r_{\text{ess}}(T) < 1$, then $r_{\text{ess}}(S) < 1$.

Lemma

The semigroup \mathcal{S} is quasi-compact on $L^1(\mathbb{T}^d \times V)$ if \mathcal{T} is quasi-compact on $L^1(\mathbb{T}^d \times V)$.

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The quasi-compactness of \mathcal{T} and \mathcal{S}

By Duhamel's Formula:

$$T_t = S_t + \int_0^t S_s K_\sigma T_{t-s} ds, \text{ for all } t \geq 0.$$

\mathcal{T} and \mathcal{S} are positive semigroups and K_σ is a positive operator, \implies

$$\int_0^t S_s K_\sigma T_{t-s} ds \geq 0 \text{ for each } t \geq 0.$$

The equality above implies that $T_t \geq S_t$ for each $t \geq 0$. Besides,

$$r(T_t) = 1 \text{ for each } t \geq 0.$$

Since \mathcal{T} is quasi-compact on $L^1(\mathbb{T}^d \times V)$, there exists t_0 such that

$$r_{\text{ess}}(T_{t_0}) < 1.$$

Hence Caselles' Theorem implies that

$$r_{\text{ess}}(S_{t_0}) < 1.$$

The geometrical condition

Assume that $\|S_t\|_{\mathcal{L}(L^1(\mathbb{T}^d \times V))} \rightarrow 0$ as $t \rightarrow +\infty$.

$\mathcal{S} \equiv (S_t)_{t \geq 0}$ is defined by the formula

$$S_t g(x, v) := e^{-\int_0^t \sigma(x-vs) ds} g(x - vt, v) \quad \text{for all } g \in L^1(\mathbb{T}^d \times V).$$

This implies that there exist T_0 and C such that

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