

# Reading in the brain

## 2. Masking, subliminal reading, and the mechanisms of conscious access

Stanislas Dehaene

Collège de France,

and

INSERM-CEA

Cognitive Neuroimaging Unit

NeuroSpin Center, Saclay, France

[www.unicog.org](http://www.unicog.org)

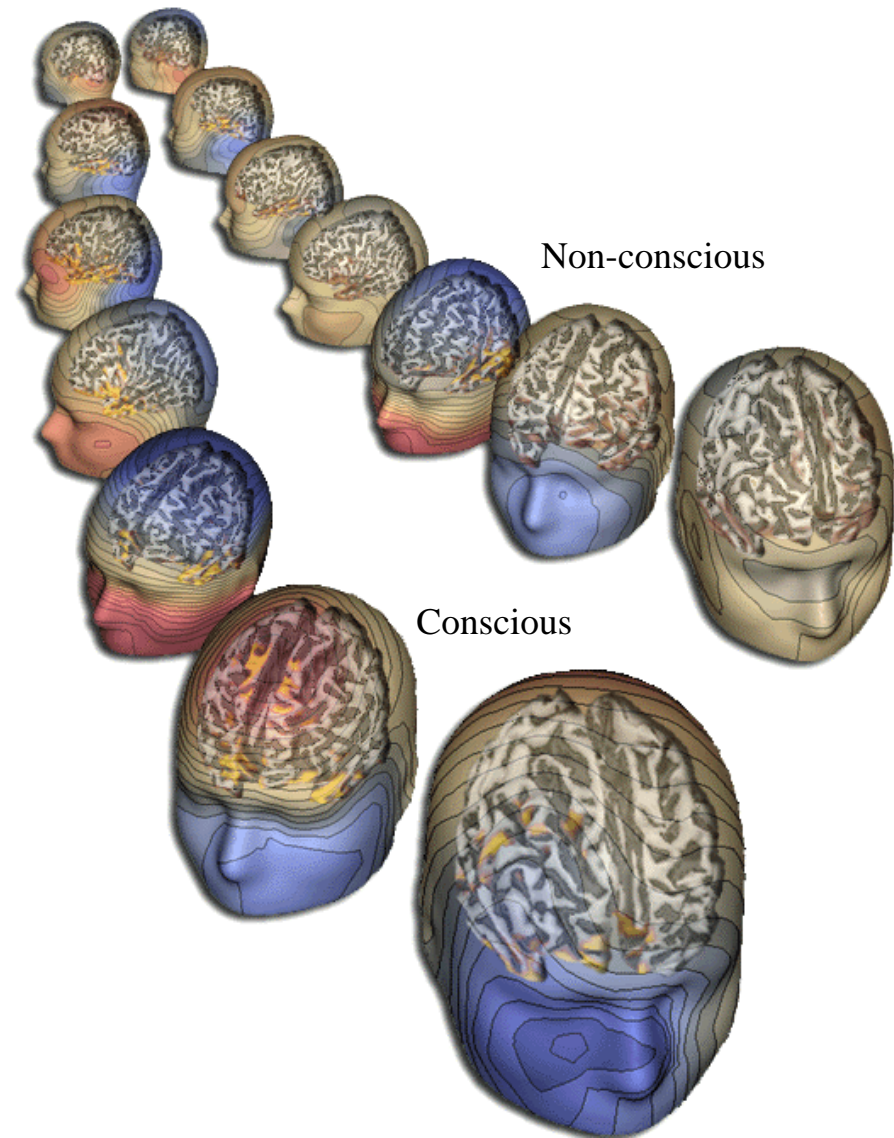
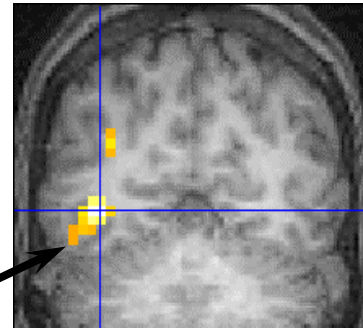
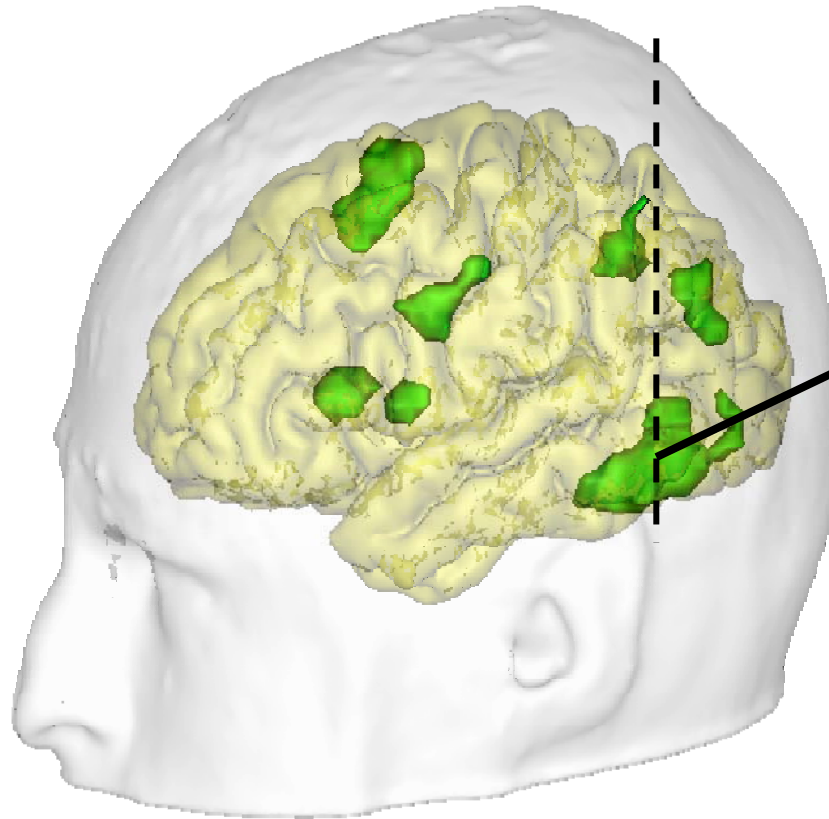


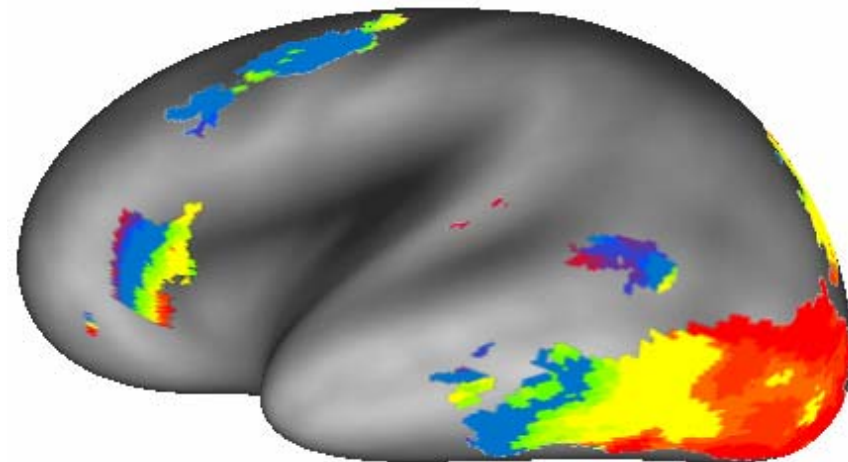
Image by Claire Sergent

# The visual word form area



**A subpart of the occipito-temporal visual system that systematically responds to written words.**

**A hierarchically organized region**



| False Font | Infrequent Letters | Frequent Letters | Frequent Bigrams | Frequent Quadrigrams | Words  |
|------------|--------------------|------------------|------------------|----------------------|--------|
| ᠎᠎᠎᠎᠎᠎     | JZWYWK             | QOADTQ           | QUMBSS           | AVONIL               | MOUTON |

# **A short demonstration of digit masking**

# Two central issues in consciousness research

## 1. The depth of subliminal processing

- How deeply can subliminal stimuli be processed into the visual system?

## 2. The nature of conscious access

- What prevents subliminal stimuli from becoming conscious?
- **What processes** occur when a stimulus is consciously accessed, and **when** do conscious and non-conscious processing diverge?

## Our research strategy

- The **contrastive method**: « ... contrasting pairs of similar events, where one is conscious but the other is not. » (Baars, 1989)
- The **primacy of the subjective**: « ...the first crucial step is *to take seriously introspective phenomenological reports*. (...) They constitute primary data that need to be measured and recorded along with other psychophysiological observations » (Dehaene & Naccache, 2001)

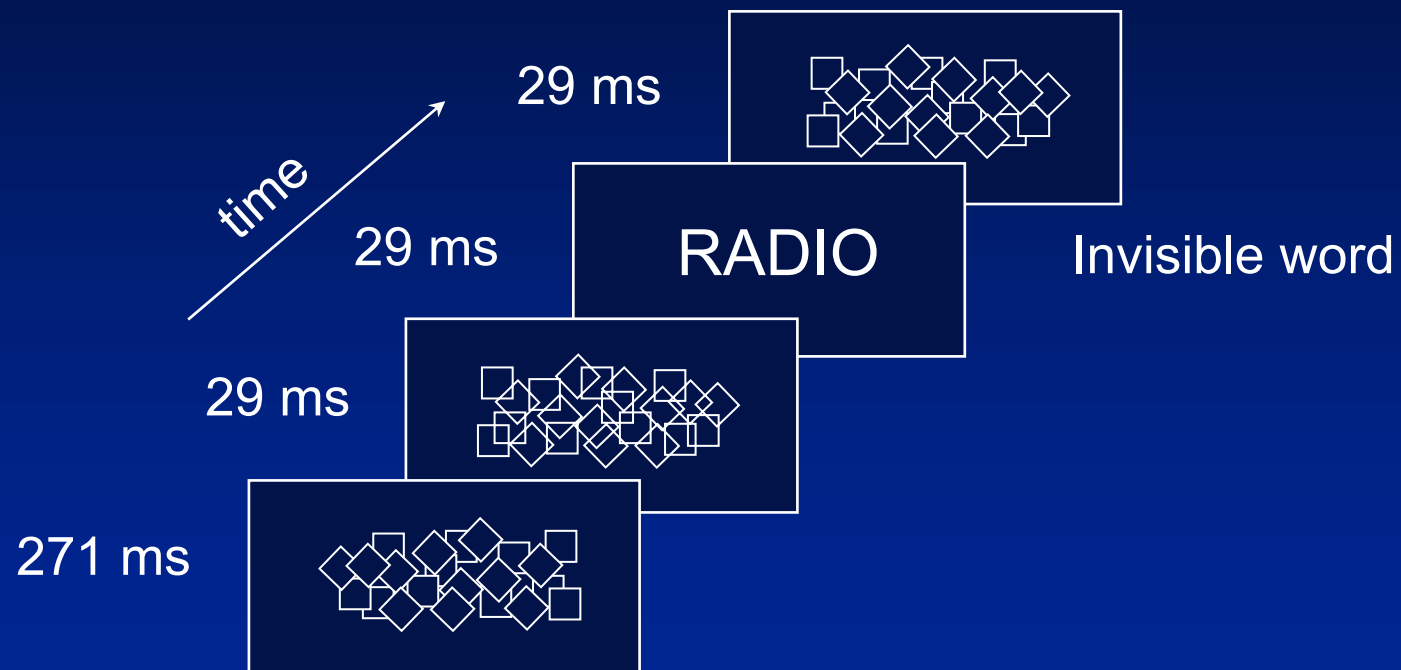
In summary, look for:

**objective** neurobiological correlates of **subjective** processes

# Part I. The fate of a non-conscious stimulus

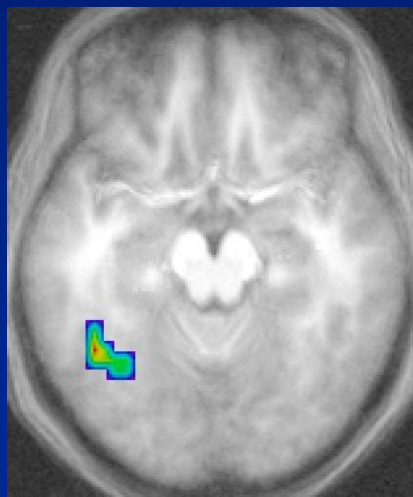
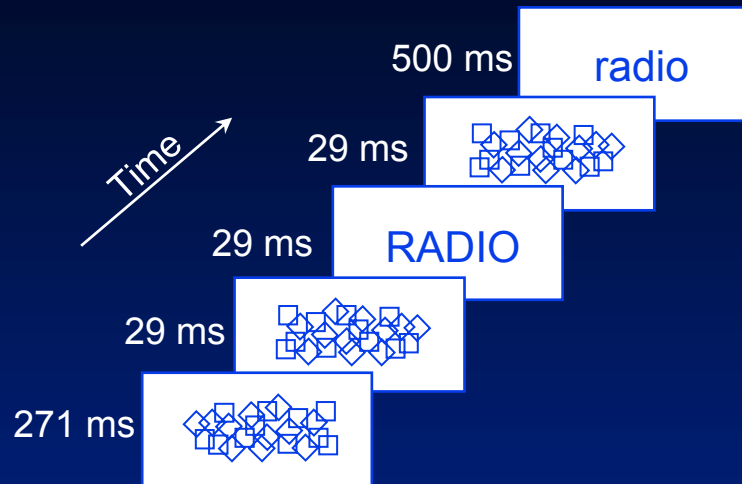
- Masked words and digits elicit activity at a series of hierarchical levels, including visual, semantic and motor stages.
- fMRI priming can help decipher how information is coded in a given region.

# Making a word invisible: the masking paradigm



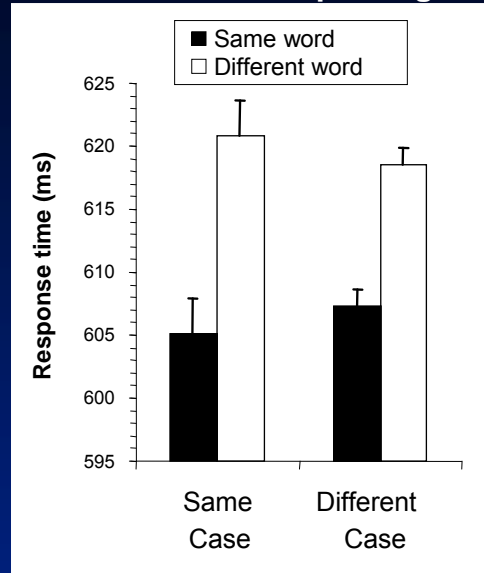
# Invariance for case in the visual word form area

Dehaene et al, *Nature Neuroscience*, 2001; *Psychological Science*, 2004

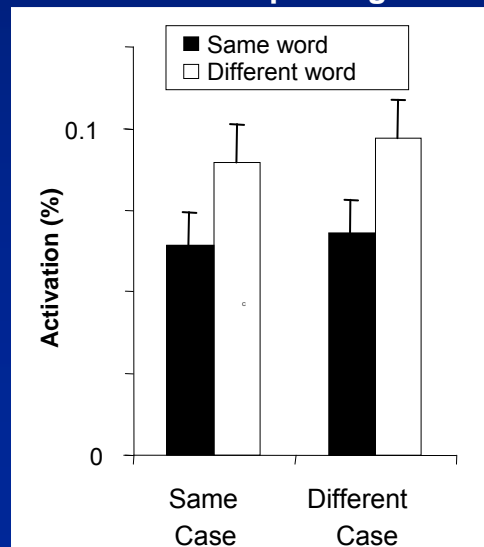


Left fusiform  
(-44, -52, -20)

## Behavioral priming



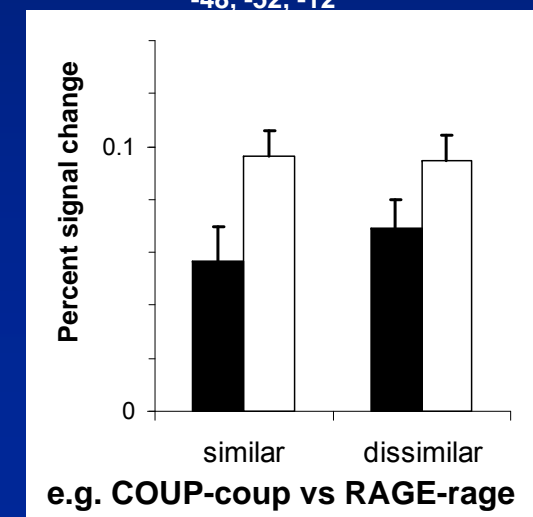
## fMRI priming



## Case-invariant priming independent of letter similarity



Left fusiform  
-48, -52, -12



# What are the coding units underlying orthographic priming?



Single letter?

Bigram?

Morpheme or whole word?

## Prime-Target Relation

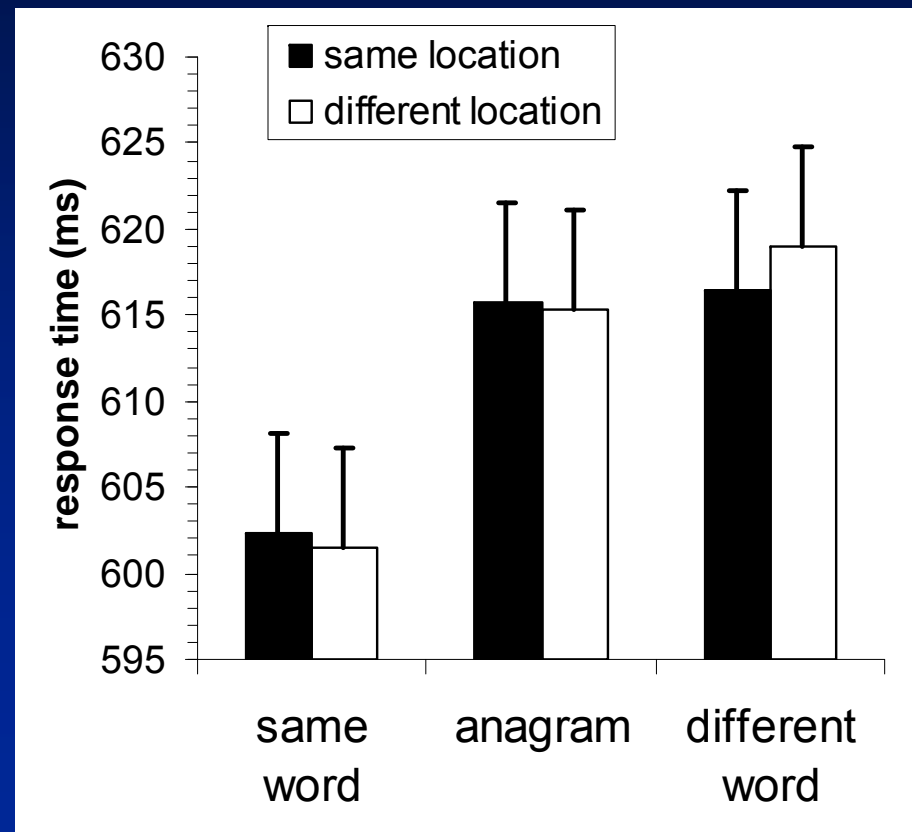
|                  |           | same word          | anagram            | different word     |
|------------------|-----------|--------------------|--------------------|--------------------|
| word<br>location | same      | #REFLET<br>#reflet | #TREFLE<br>#reflet | #PATERE<br>#reflet |
|                  | different | REFLET#<br>#reflet | TREFLE#<br>#reflet | EPATER#<br>#reflet |



### Prime-Target Relation

|                          | same word          | anagram            | different word     |
|--------------------------|--------------------|--------------------|--------------------|
| word locations same      | #REFLET<br>#reflet | #TREFLE<br>#reflet | #PATERE<br>#reflet |
| word locations different | REFLET#<br>#reflet | TREFLE#<br>#reflet | EPATER#<br>#reflet |

## Behavior

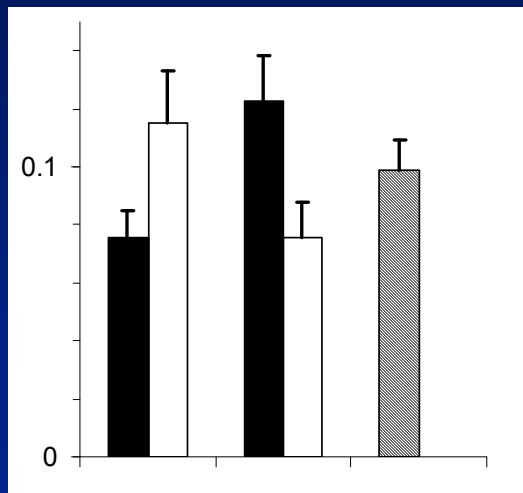


### Prime-Target Relation

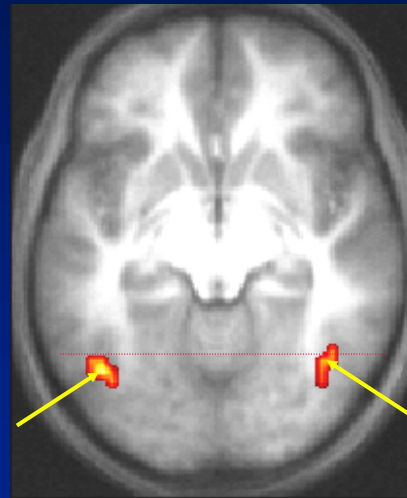
|           | same word | anagram | different word |
|-----------|-----------|---------|----------------|
| word      | #REFLET   | #TREFLE | #PATERE        |
| locations | #reflet   | #reflet | #reflet        |
| same      |           |         |                |
| different | REFLET#   | TREFLE# | EPATER#        |
|           | #reflet   | #reflet | #reflet        |

fMRI : location-specific priming

Left posterior fusiform

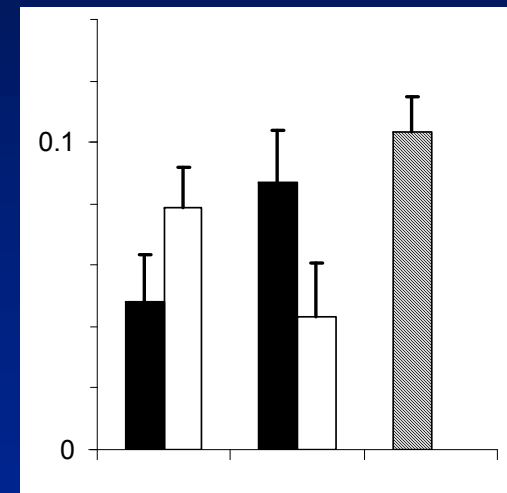


same word    anag    diff word



■ same location  
□ different location  
▨ both locations

right posterior fusiform



same word    anag    diff word

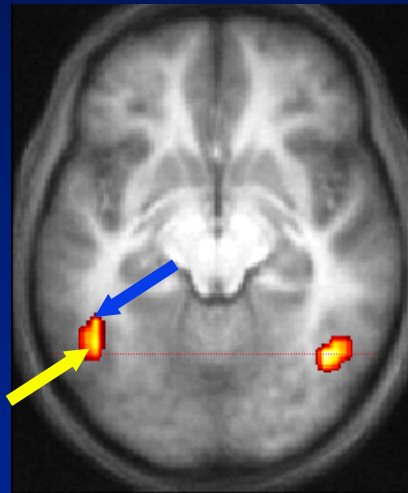
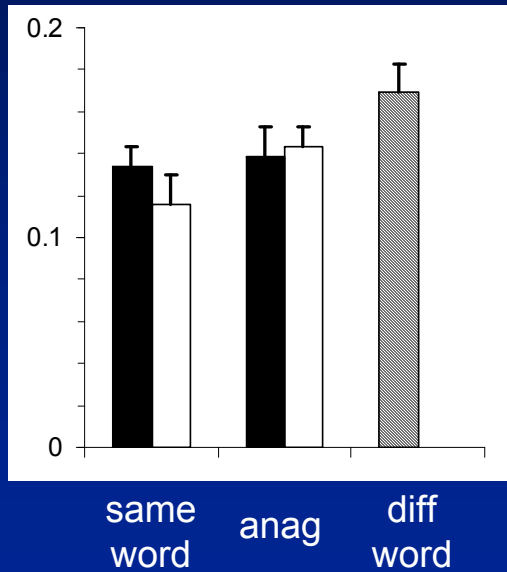
LETTERS AT THE SAME LOCATION

Prime-Target Relation

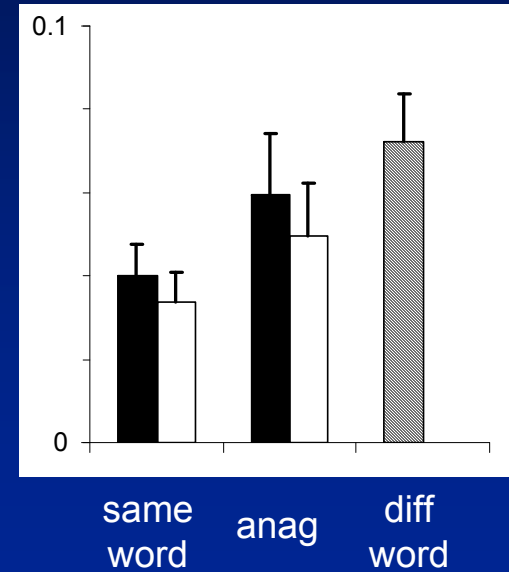
|                     | same word          | anagram            | different word     |
|---------------------|--------------------|--------------------|--------------------|
| word locations same | #REFLET<br>#reflet | #TREFLE<br>#reflet | #PATERE<br>#reflet |
| different           | REFLET#<br>#reflet | TREFLE#<br>#reflet | EPATER#<br>#reflet |

fMRI : location-independent priming

Left middle fusiform (y=-56)



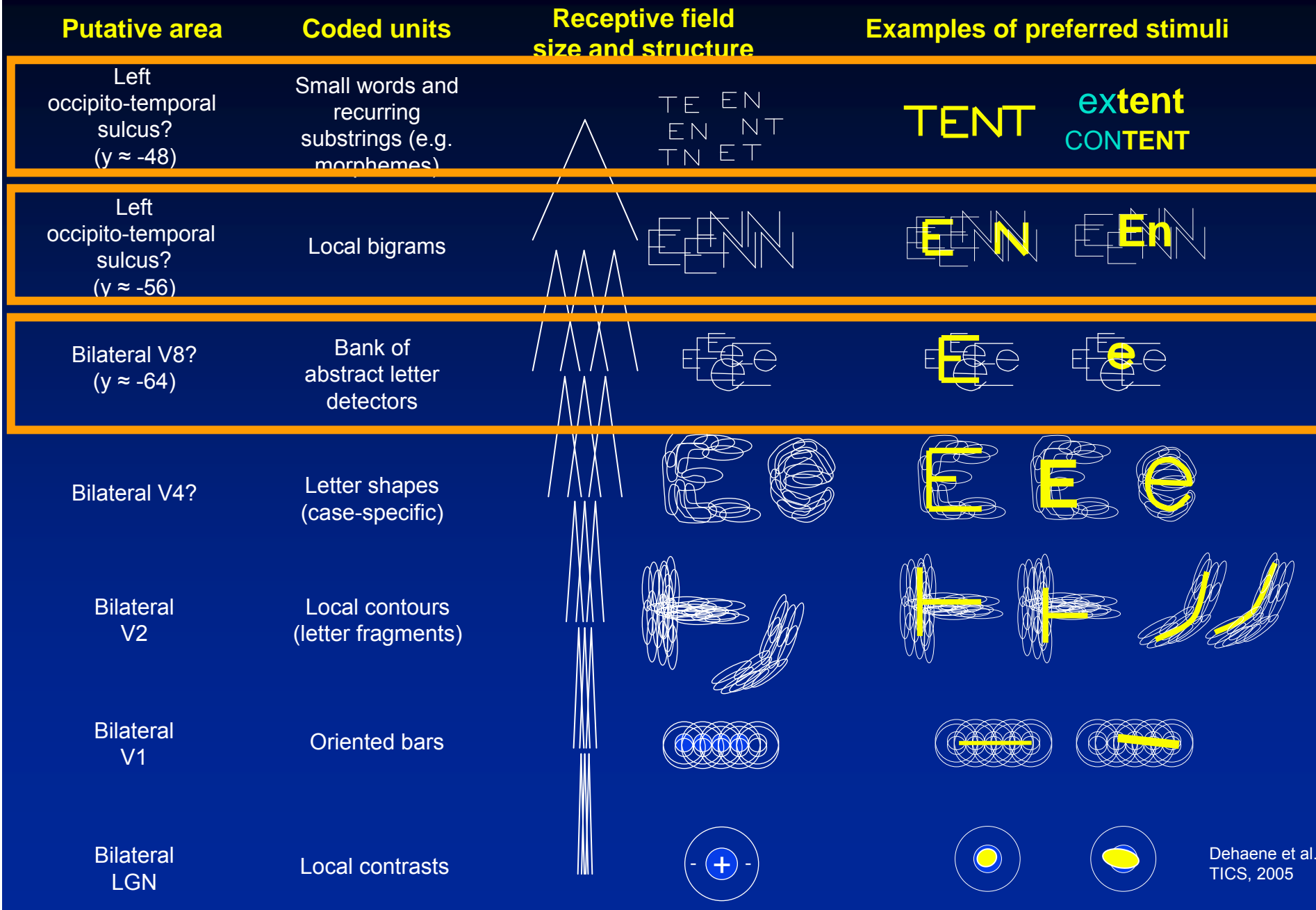
Left middle fusiform (y=-48)



LETTERS AT ANY LOCATION

LARGER UNIT (WHOLE WORD?)

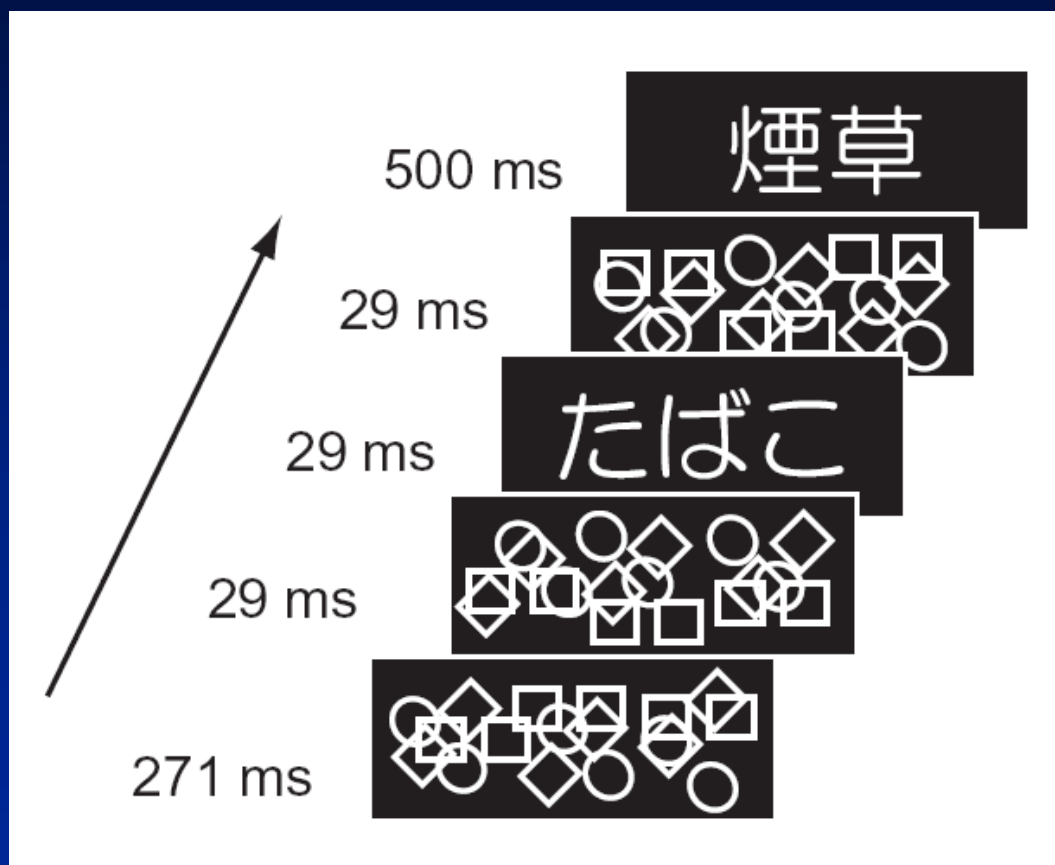
# Local combination detectors: A model of invariant visual word recognition



# Priming within and across scripts in Japanese subjects

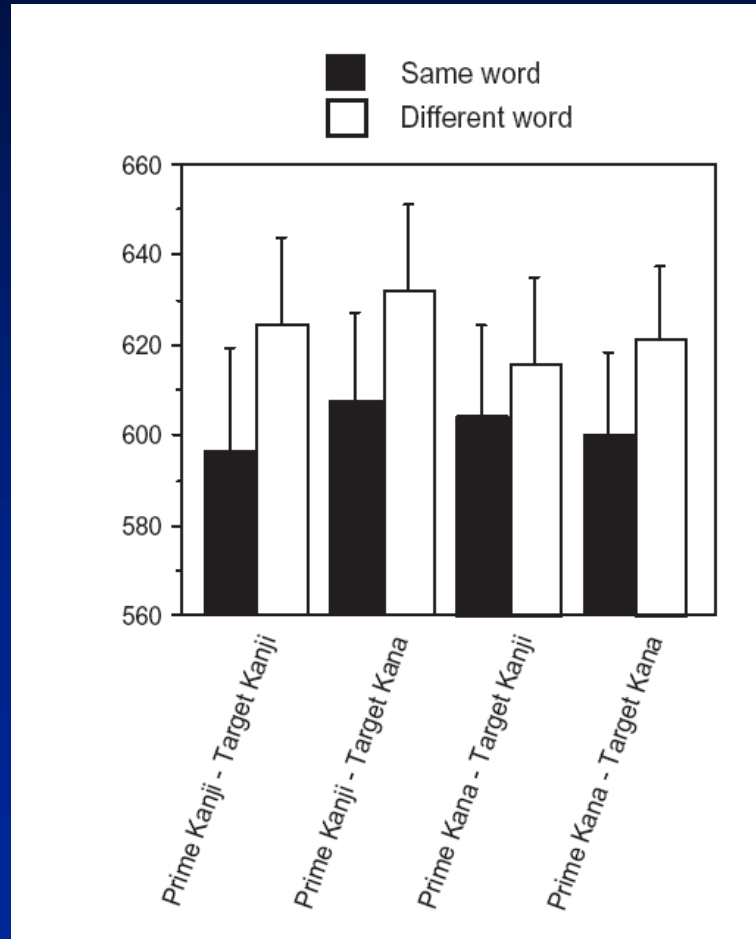
Design:

- Targets and primes can appear in Kanji or in Kana
- Task = semantic classification (natural/man-made)



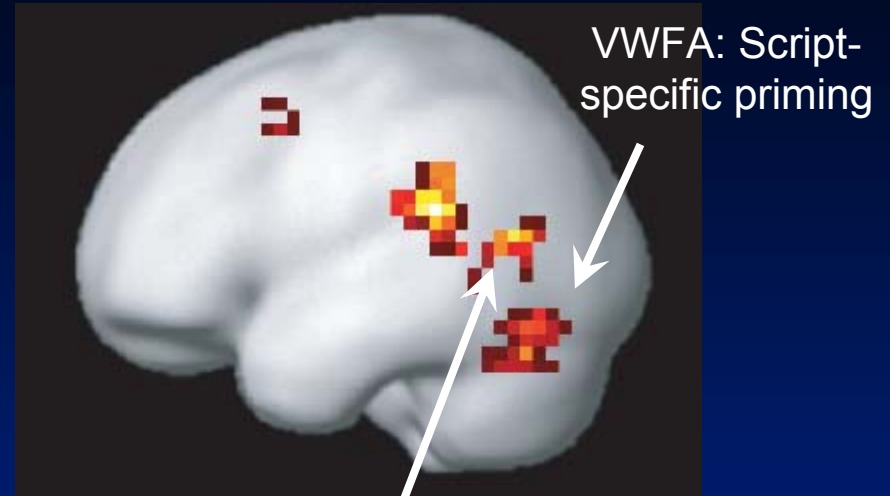
# Repetition priming in Japanese

Within and cross-script priming in response times

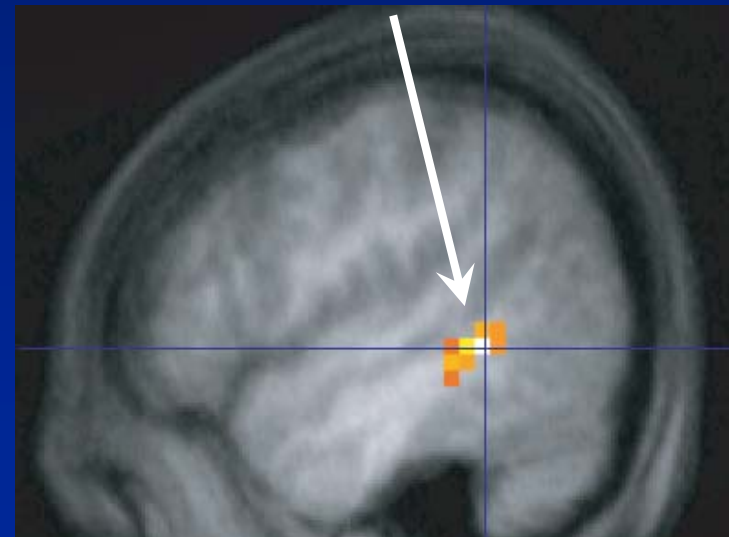


Nakamura, Dehaene et al., JOCN, 2005

Repetition priming with Kanji primes and Kanji targets



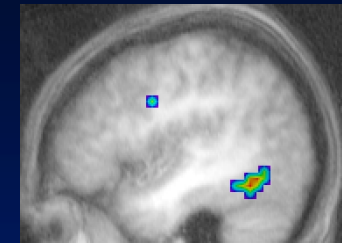
Left middle temporal region:  
Cross-script priming (semantic?)



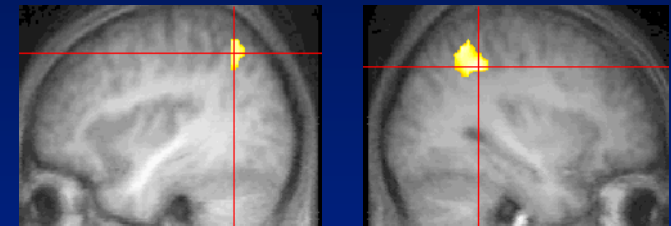
# Evidence for extensive subliminal processing using fMRI priming

- Orthographic priming  
Left fusiform gyrus (Dehaene et al, 2001; Devlin et al, 2004)
- Semantic priming  
Numerical proximity in bilateral intraparietal sulci (Naccache and Dehaene, 2001)  
Semantic proximity of words in left middle temporal gyrus (Devlin et al, 2004; Nakamura, Dehaene et al, 2005)  
Amygdala activation by masked emotional words (Naccache et al, 2005)
- Motor priming  
Bilateral motor areas (Dehaene et al., 1998)

Visual word form area



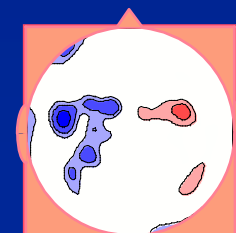
bilateral intraparietal sulci



Left middle temporal gyrus



Motor lateralized readiness potential

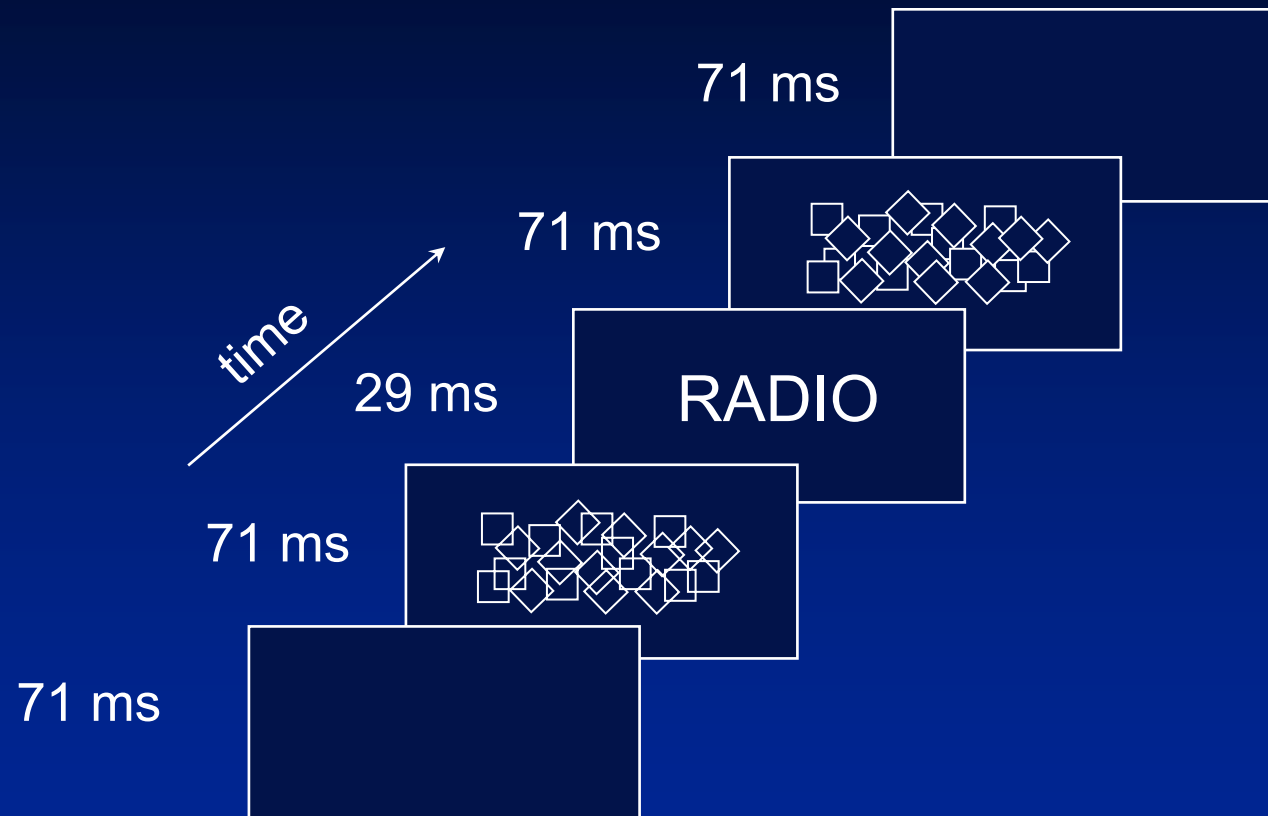


## **Part II. The nature of conscious access**

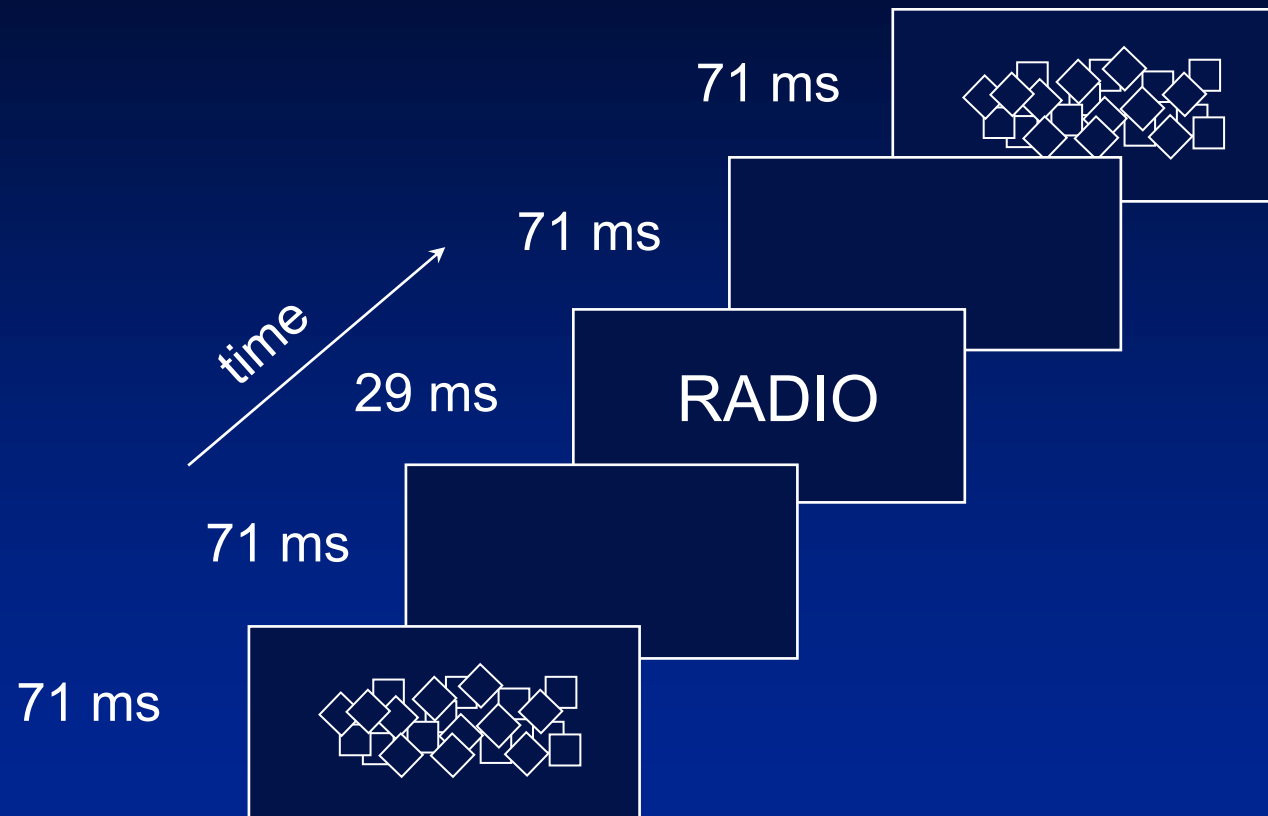
- **Conscious access is associated with a sudden activation of a distributed parieto-prefrontal network**



# Making a word visible again



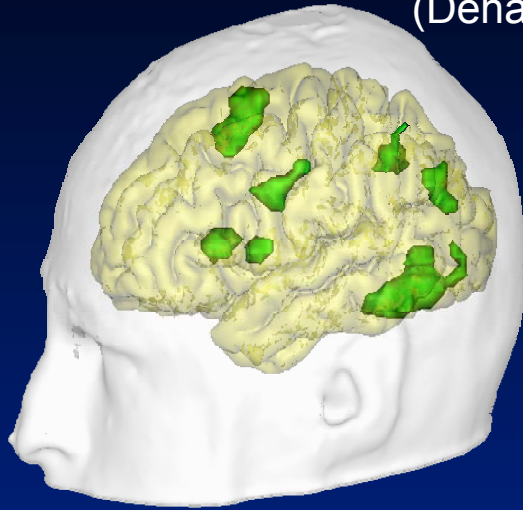
# Making a word visible again



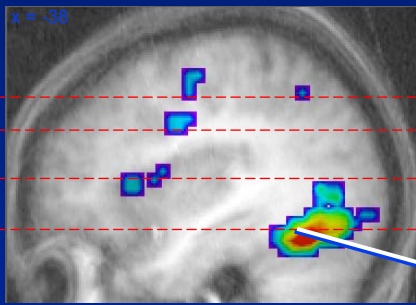
# Consciousness correlates with

- Local amplification in the relevant processors
- Global parieto-frontal activity

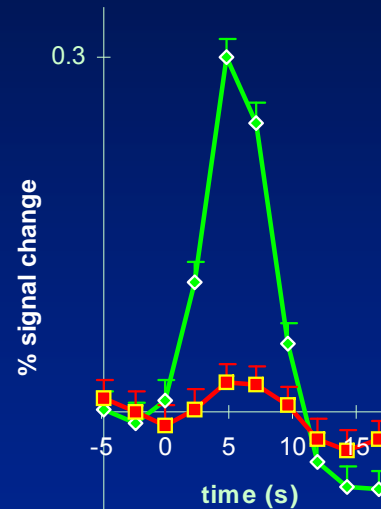
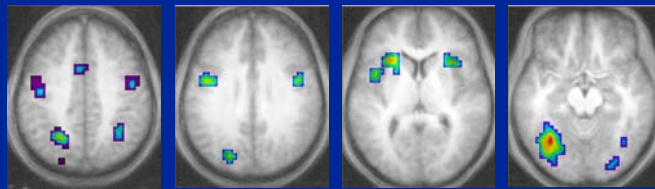
(Dehaene et al, *Nature Neuroscience* 2001)



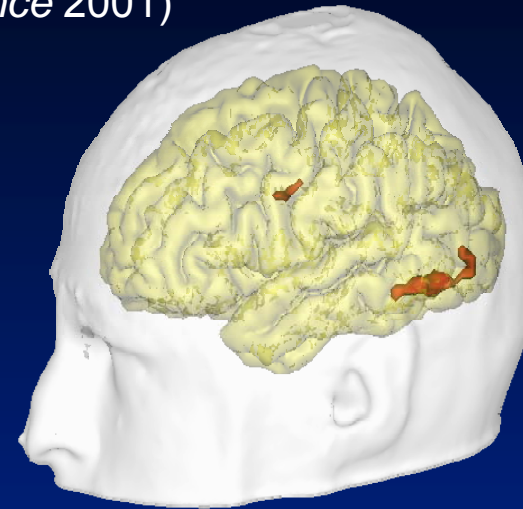
visible words



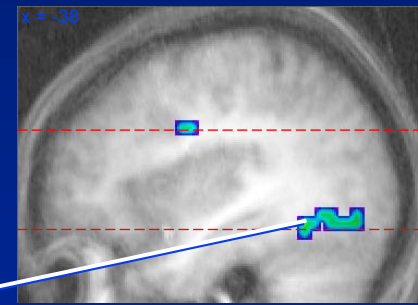
6.3 t scale 20.8  
 $10^{-5}$  p value  $3.10^{-12}$



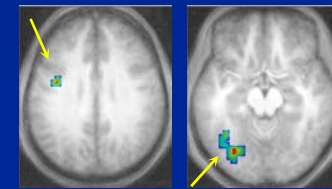
left fusiform gyrus  
 (-48, -60, -12)



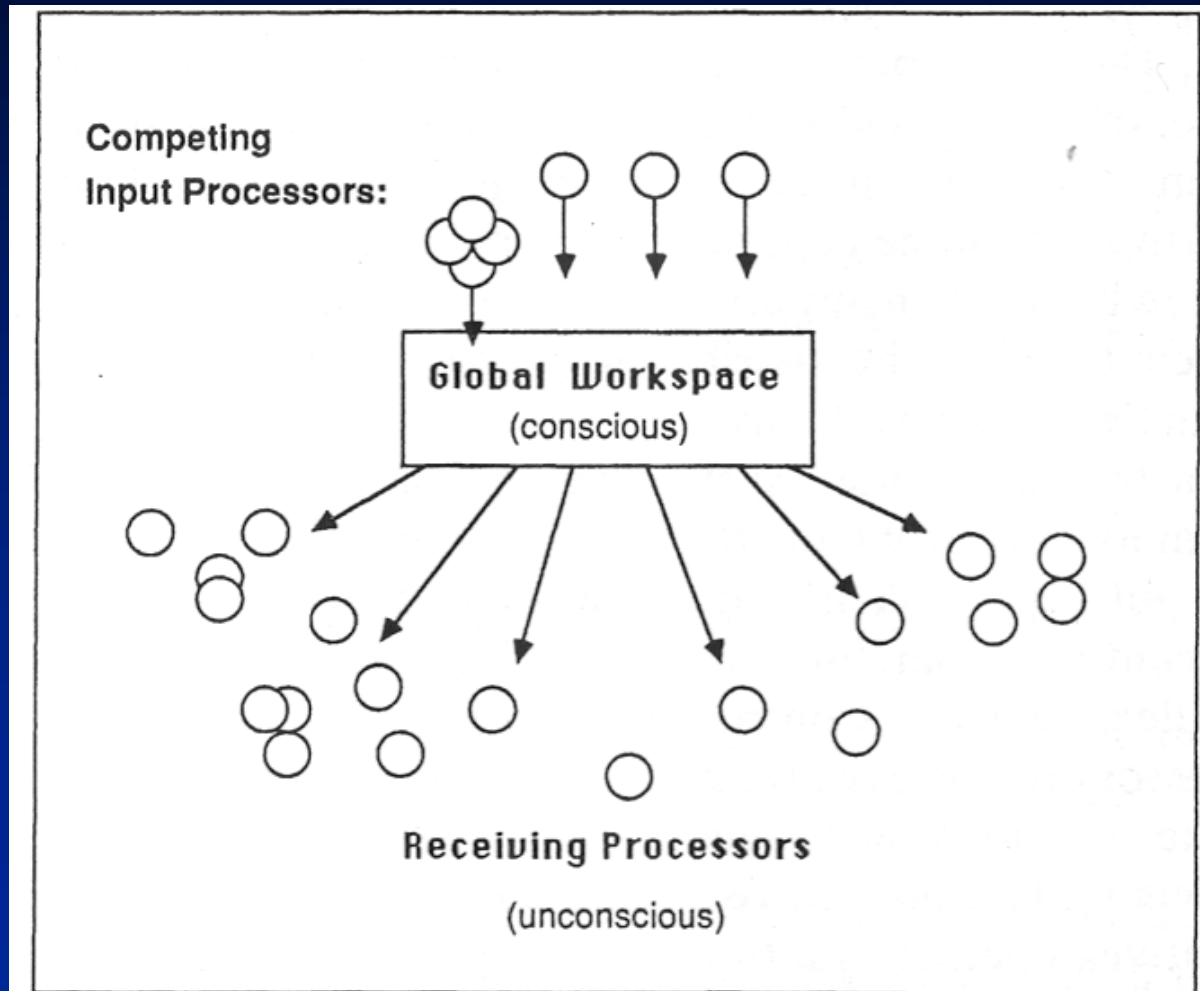
masked words



2.26 t scale 3.33  
 0.02 p value 0.0025

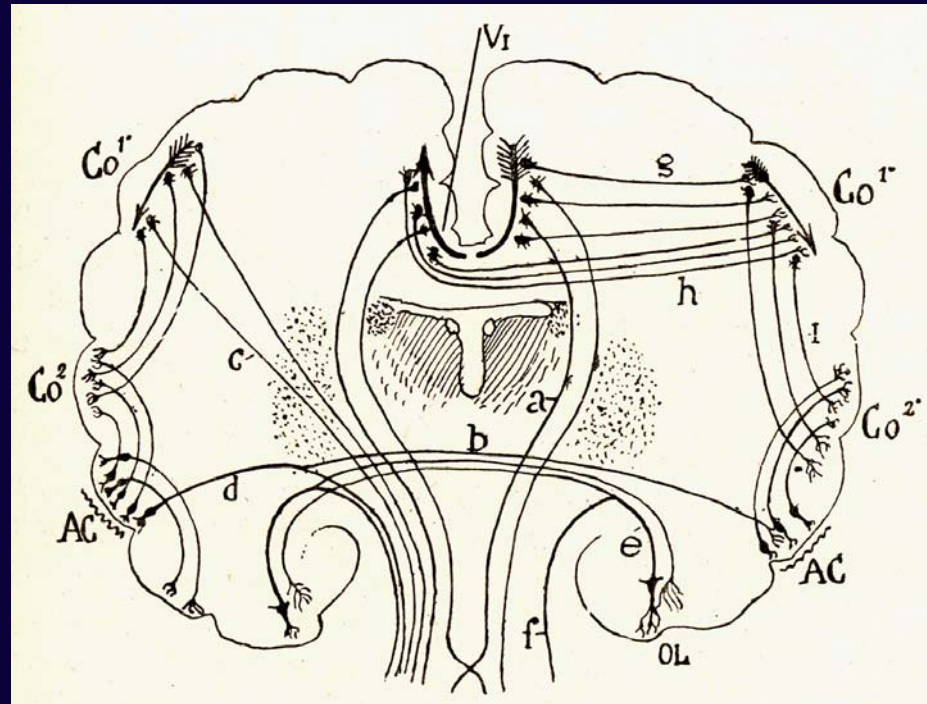


Baar's (1989) theory of a conscious global workspace:  
An architecture mixing parallel and serial processing



Model 1. A global workspace in a distributed system.

# What is the neural basis of the global workspace?

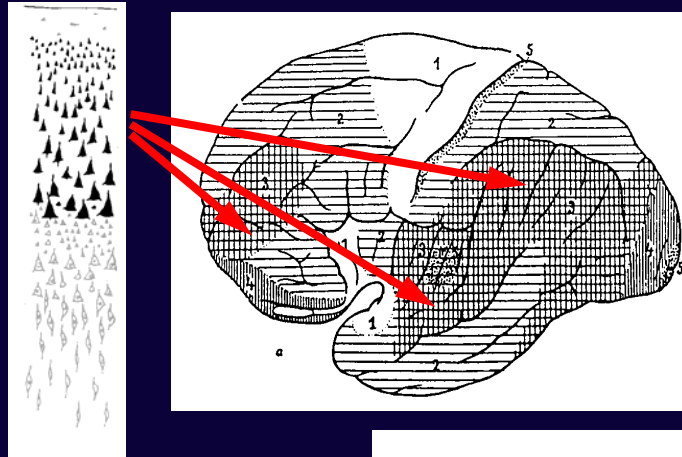


...the intellectual activity, the will and self-consciousness...  
are the result of a combined action of a large number of mnemonic spheres

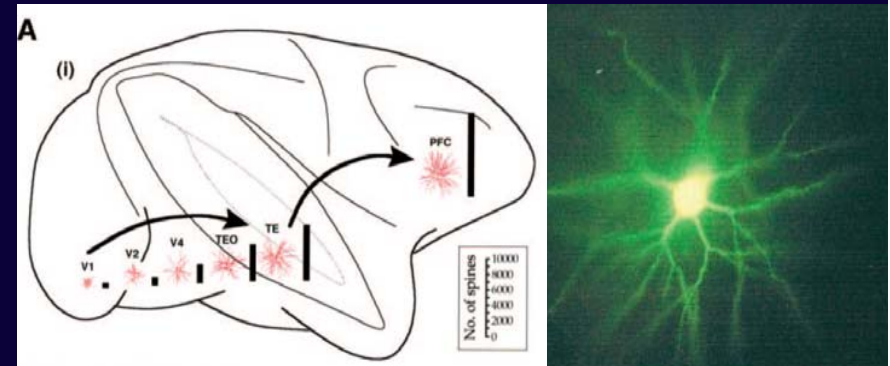
(Ramon y CAJAL, *Histologie*, p.878)

# Prefrontal cortex and temporo-parietal association areas form long-distance networks

Von Economo (1929):  
Greater layer II/III thickness



Guy Elston (2000)  
Greater arborizations and spine density



Pat Goldman-Rakic  
(1980s):  
long-distance  
connectivity of dorso-  
lateral prefrontal cortex

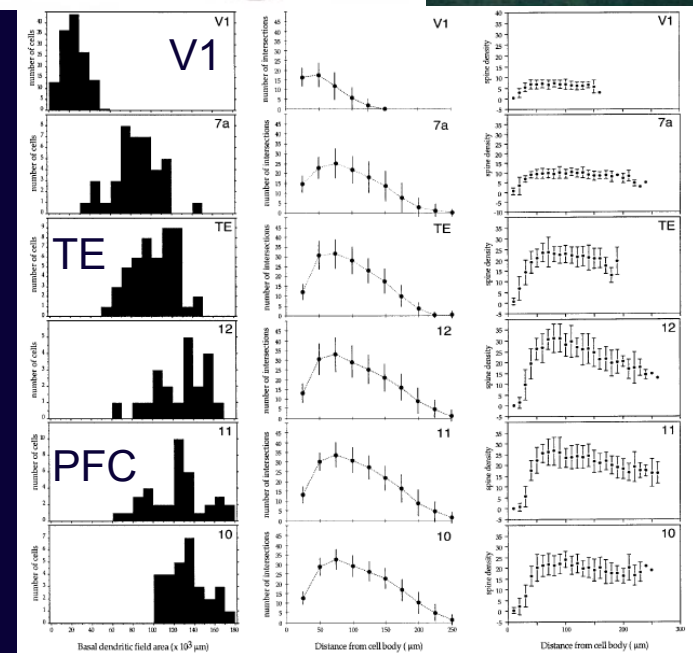
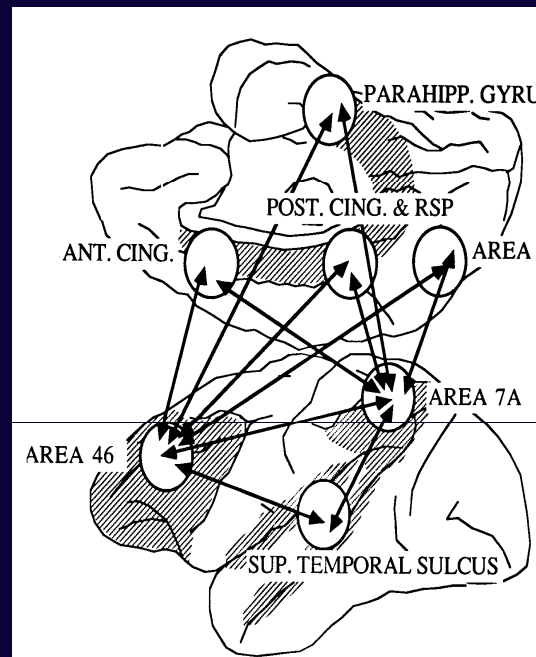
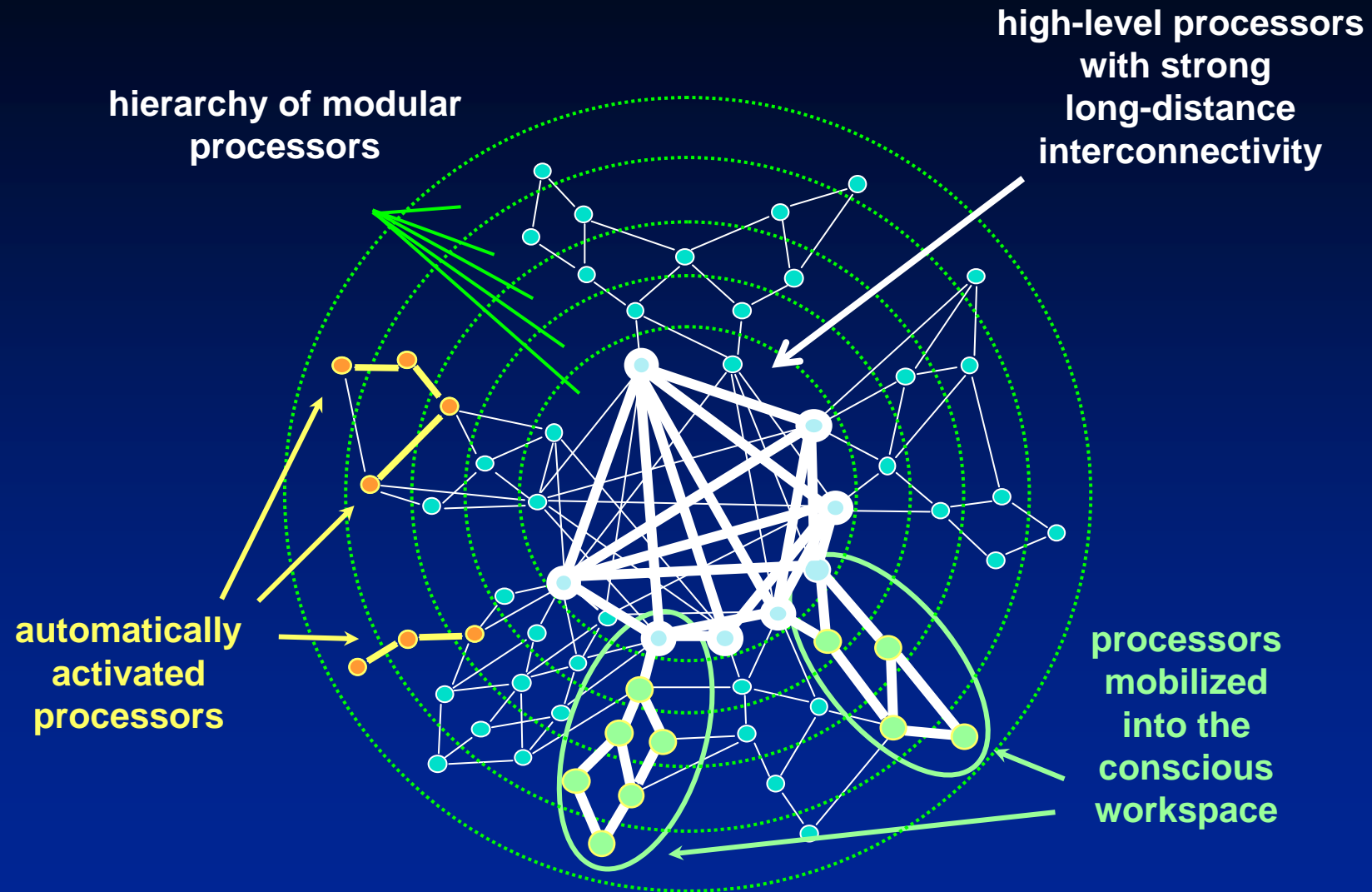


Figure 2. *Left*, Frequency histograms of basal dendritic field areas of layer III pyramidal neurons in the primary visual area ( $n = 136$ ), area 7a ( $n = 40$ ), and cytoarchitectonic areas TE ( $n = 50$ , 10 ( $n = 29$ ), 11 ( $n = 27$ ), and 12 ( $n = 21$ )) in the macaque monkey. *Middle*, Graphs of the branching patterns of the basal dendritic trees of layer III pyramidal neurons in areas V1, 7a, TE, 10, 11, and 12. *Right*, Spine densities were plotted by counting the number of spines per 10  $\mu\text{m}$  of 20 horizontally projecting dendrites of different cells in each cortical area. Spines were counted along the entire length of each dendrite.

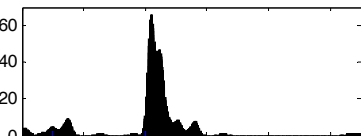
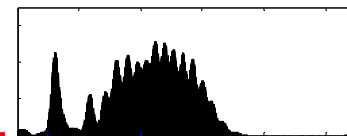
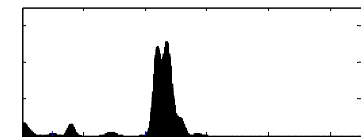
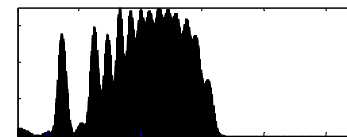
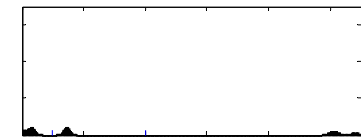
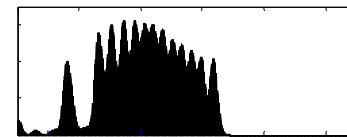
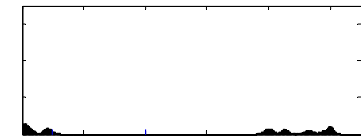
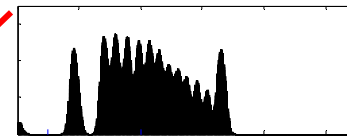
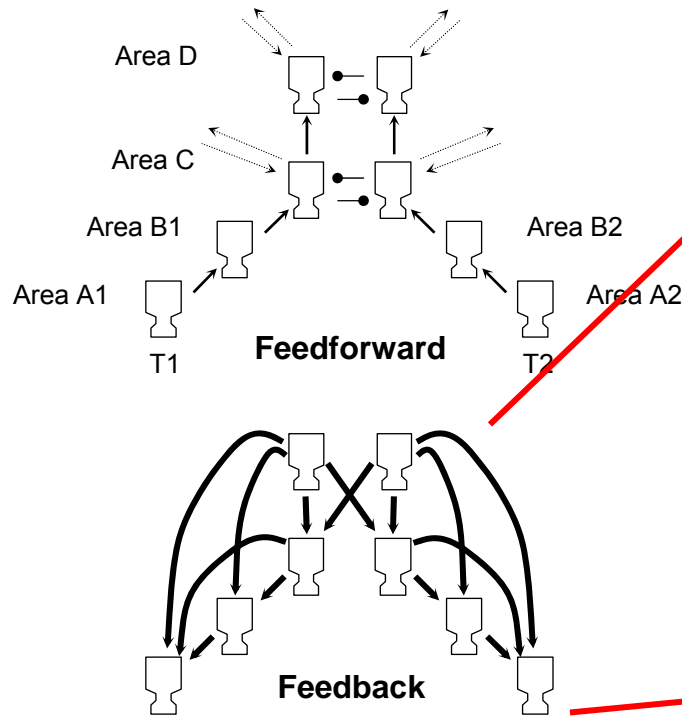
# The global neuronal workspace model



Dehaene, Kerszberg & Changeux, *PNAS*, 1998  
Dehaene & Changeux, *PNAS*, 2003; *PLOS*, 2005  
inspired by Mesulam, *Brain*, 1998

# Detailed simulations of the global neuronal workspace using a semi-realistic network of spiking neurons

(Dehaene et al., *PNAS* 2003, *PLOS Biology*, 2005)

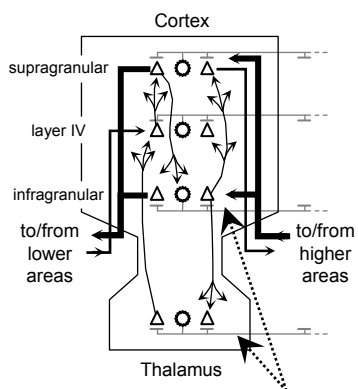


0 100 200 300 400 500

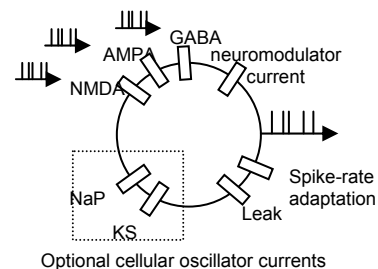
« Ignition » of  
the global  
workspace by  
target T1

Failure of  
ignition by  
target T2

Thalamocortical column



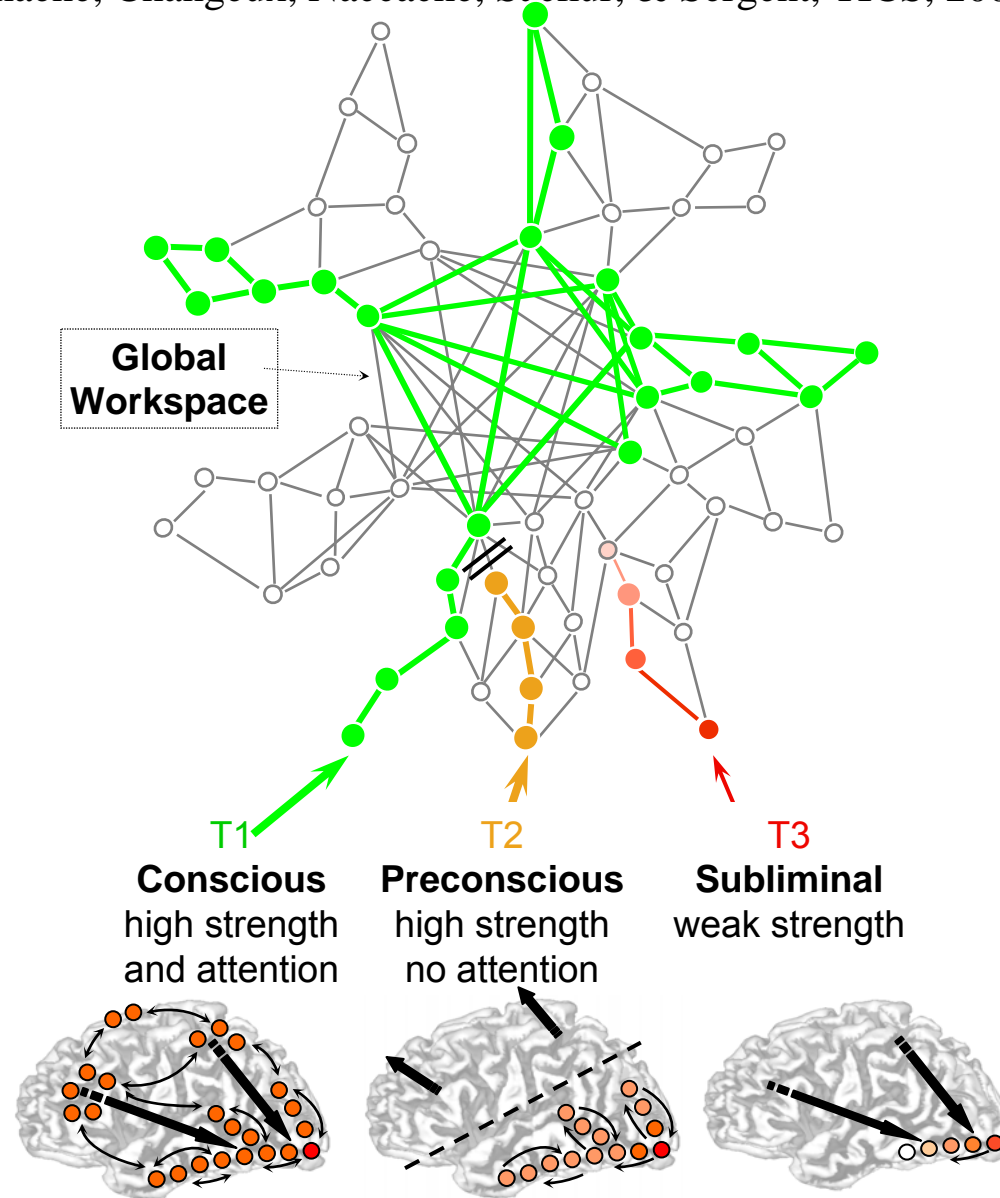
Spiking neurons



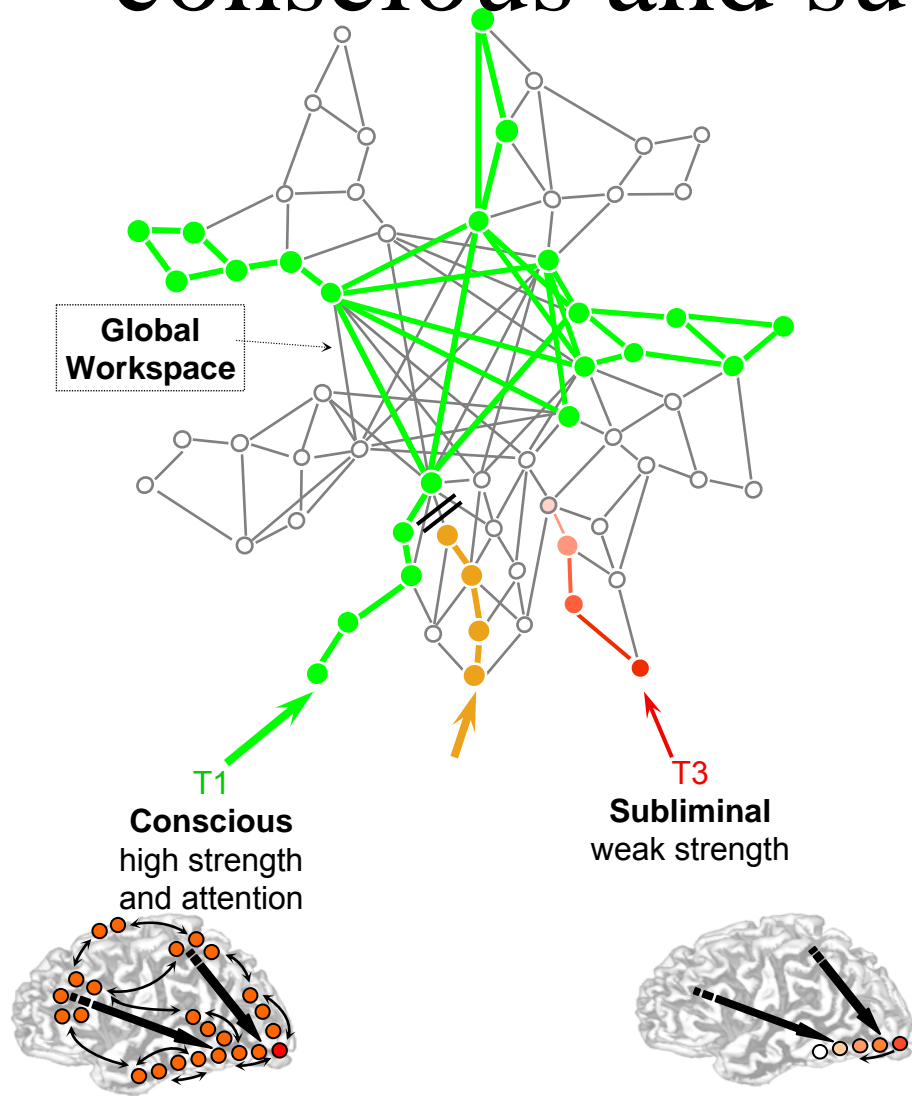


# Three distinguishable states of subliminal, preconscious and conscious processing

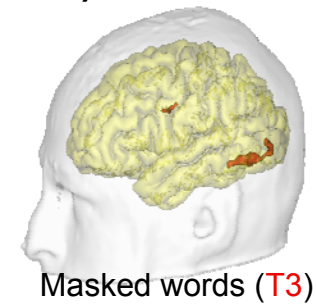
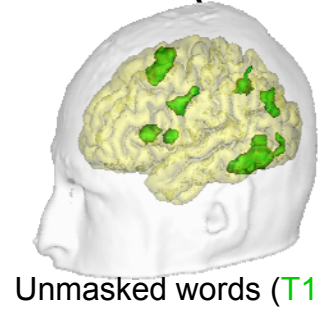
Dehaene, Changeux, Naccache, Sackur, & Sergent, TICS, 2006<sup>2</sup>



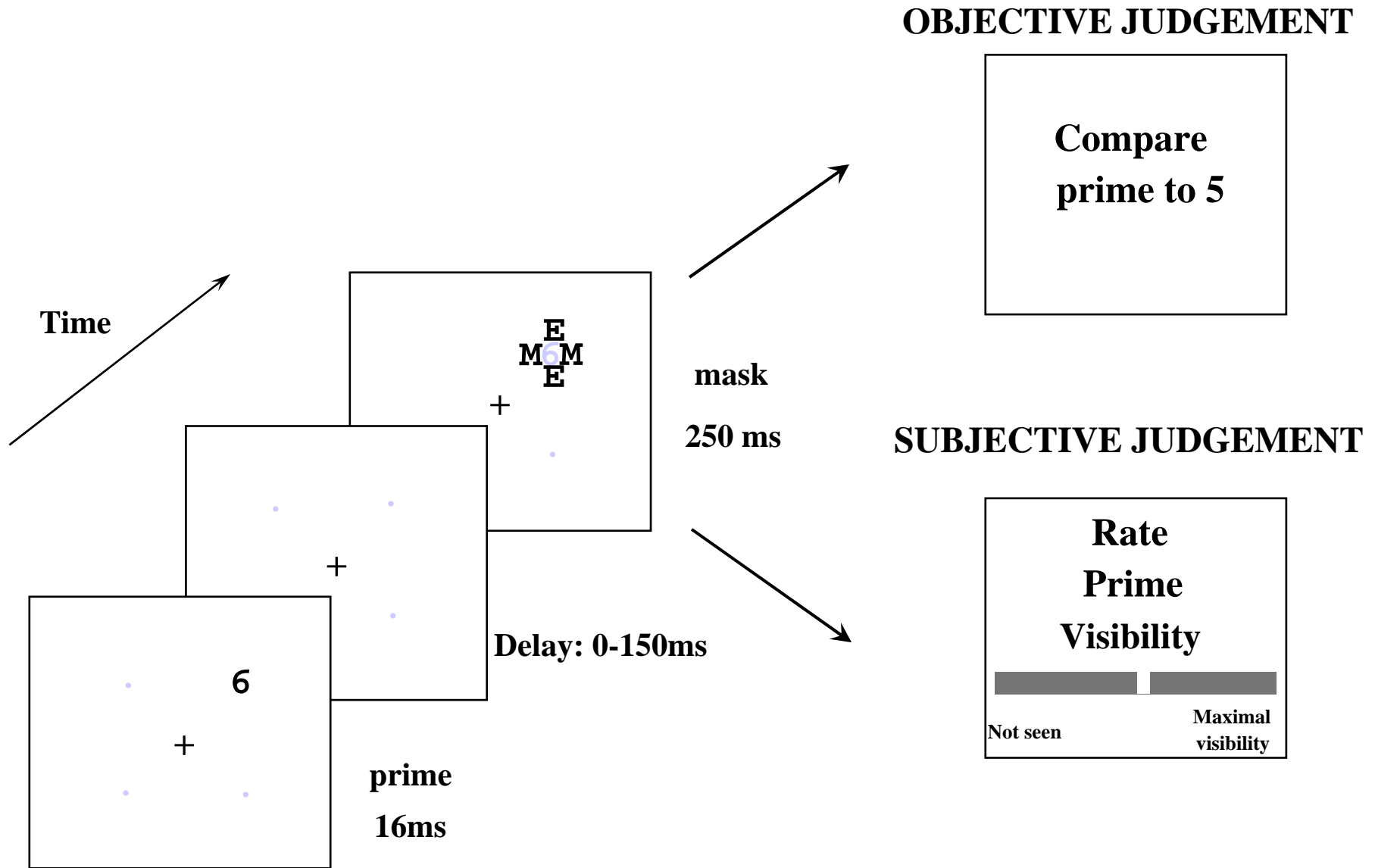
# Contrast between conscious and subliminal processing



T1 versus T3 : unmasked versus masked stimuli  
(both attended)

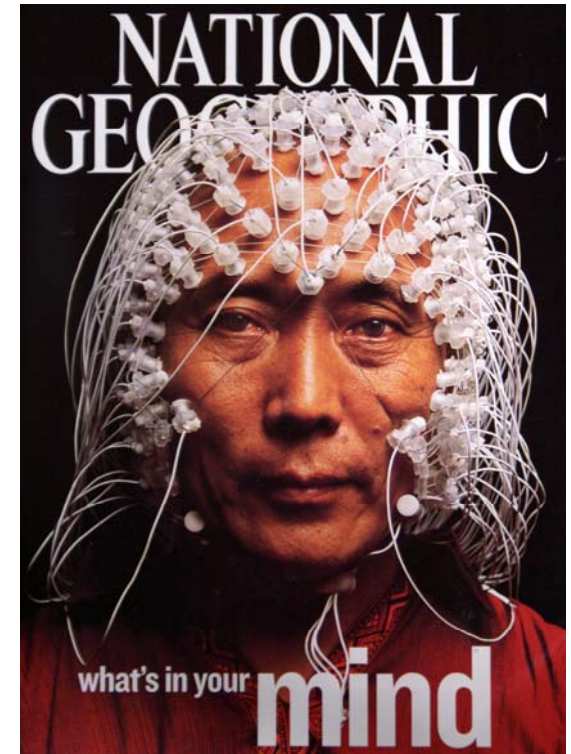
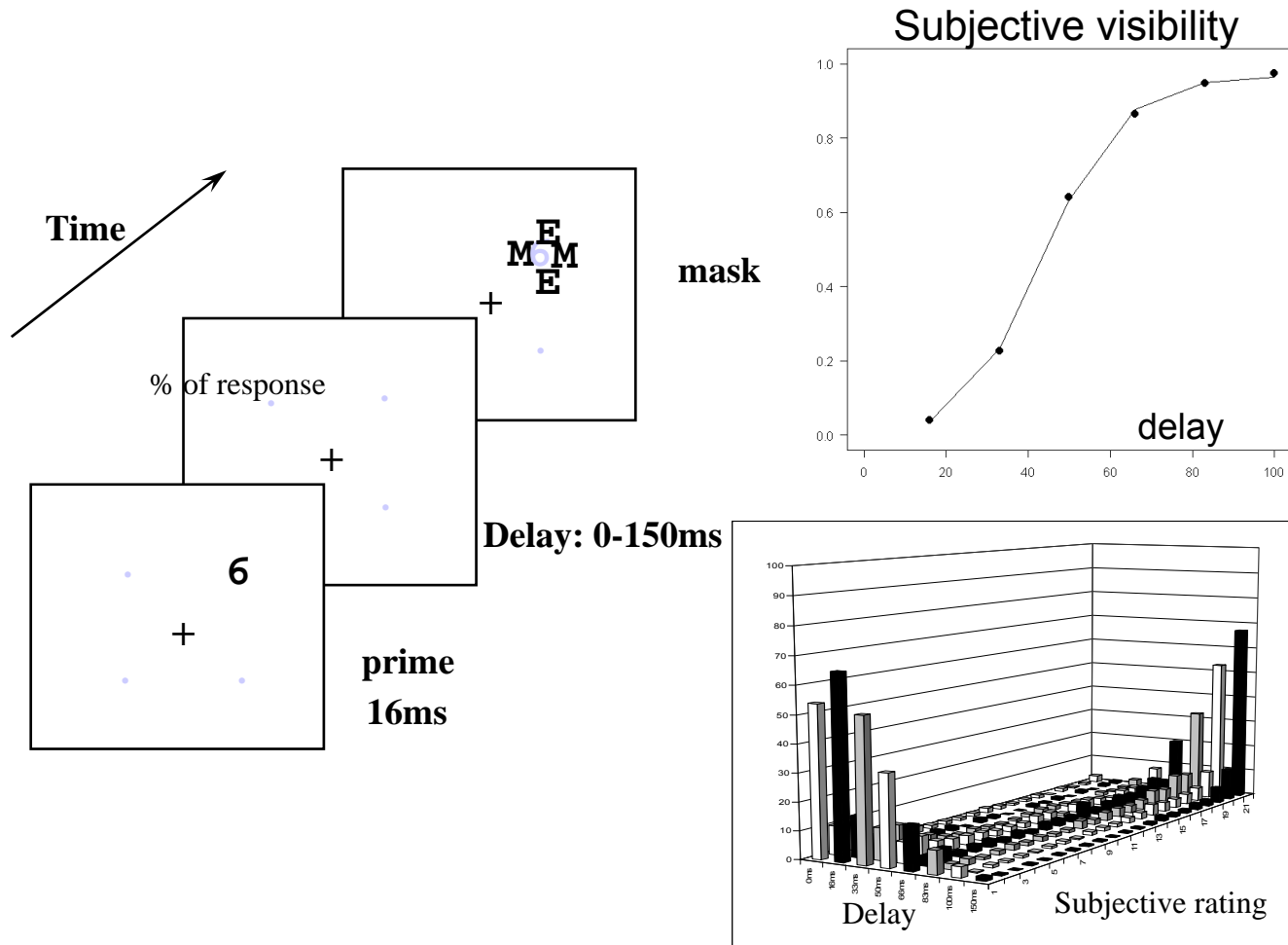


# DIGIT MASKING PARADIGM



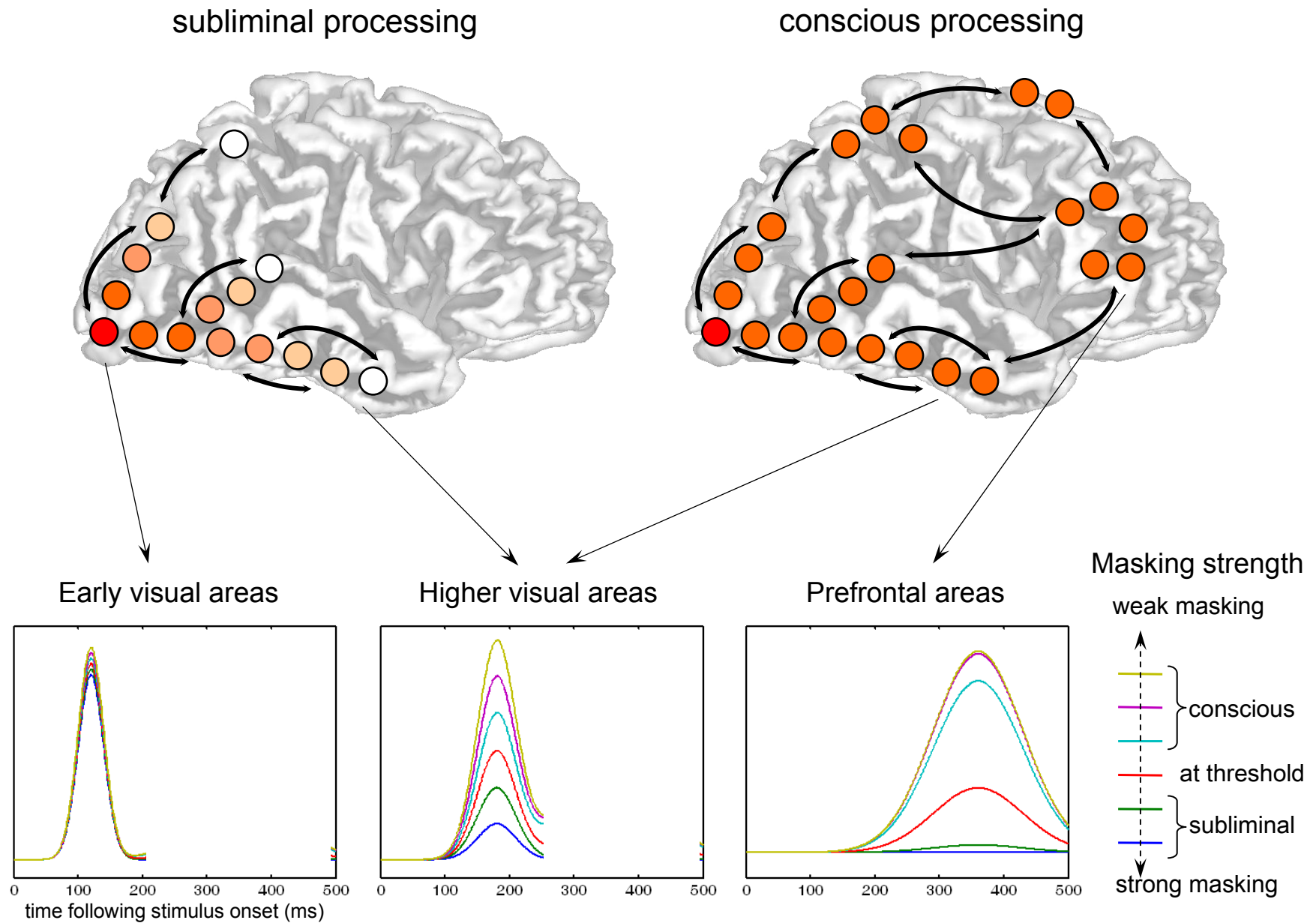
# Exploring the cerebral mechanisms of the non-linear threshold in conscious access

(Delcul and Dehaene, PLOS Biology 2007)



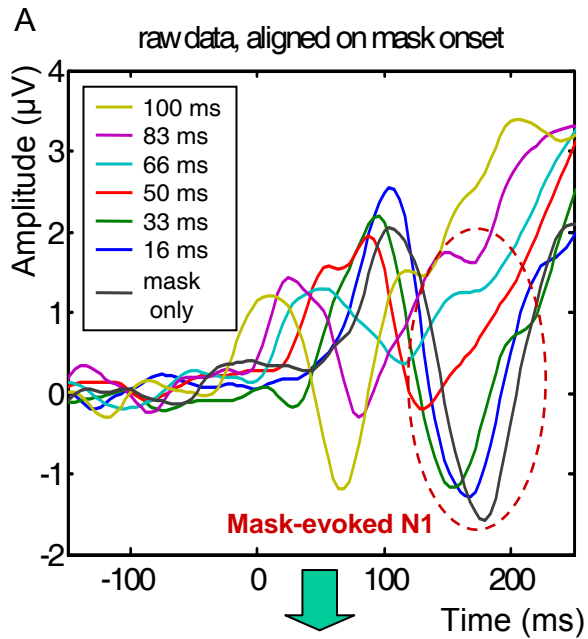
Logic = Use this sigmoidal profile as a « signature » of conscious access. Which ERP components show this profile?

# Predictions of the global neuronal workspace model

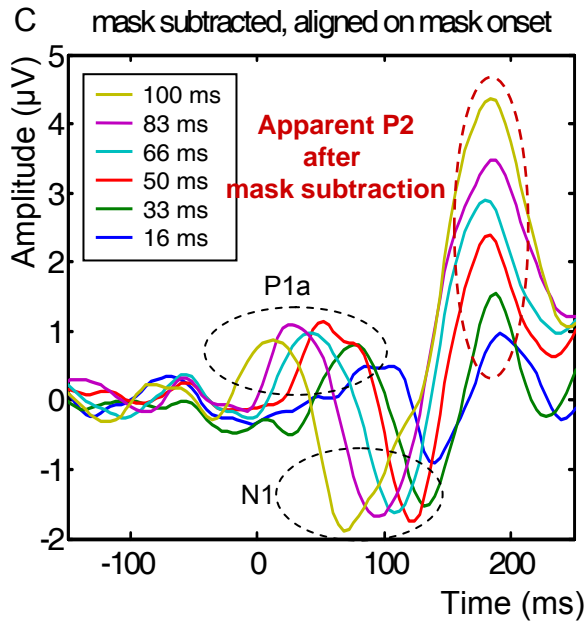


# The Mask-Subtraction Method

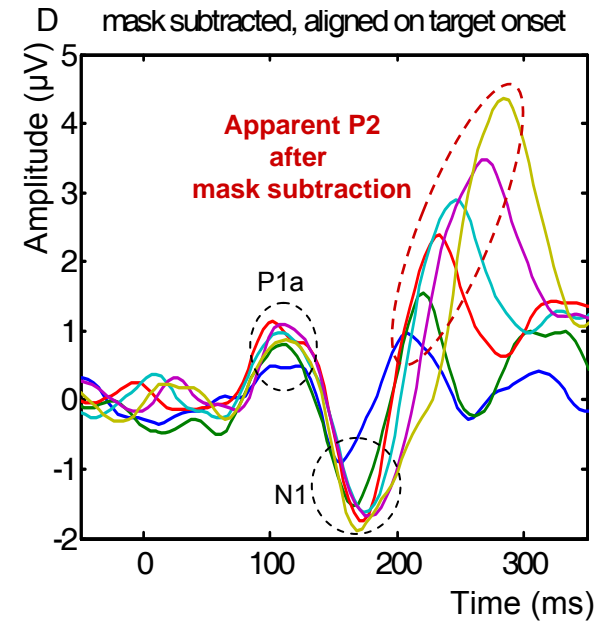
Separation of activation evoked by the mask and by the target



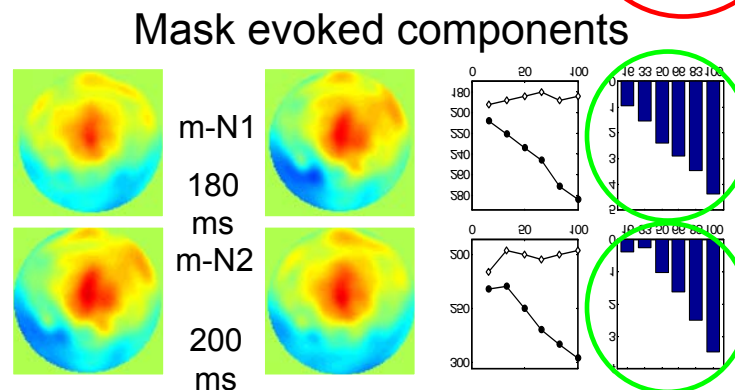
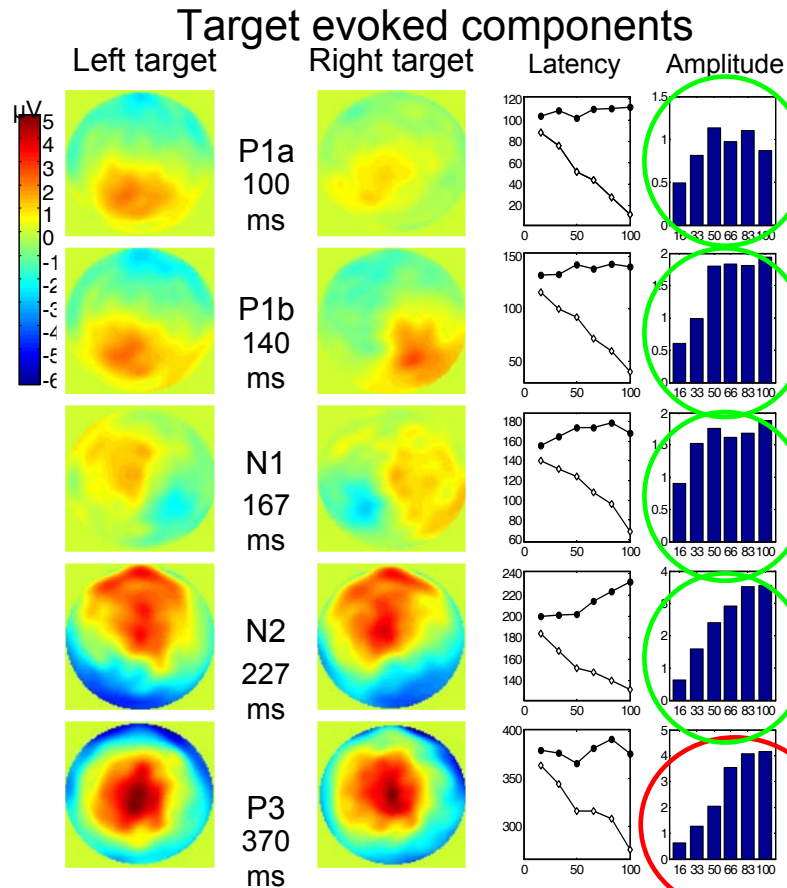
Mask subtraction



Alignment on target onset



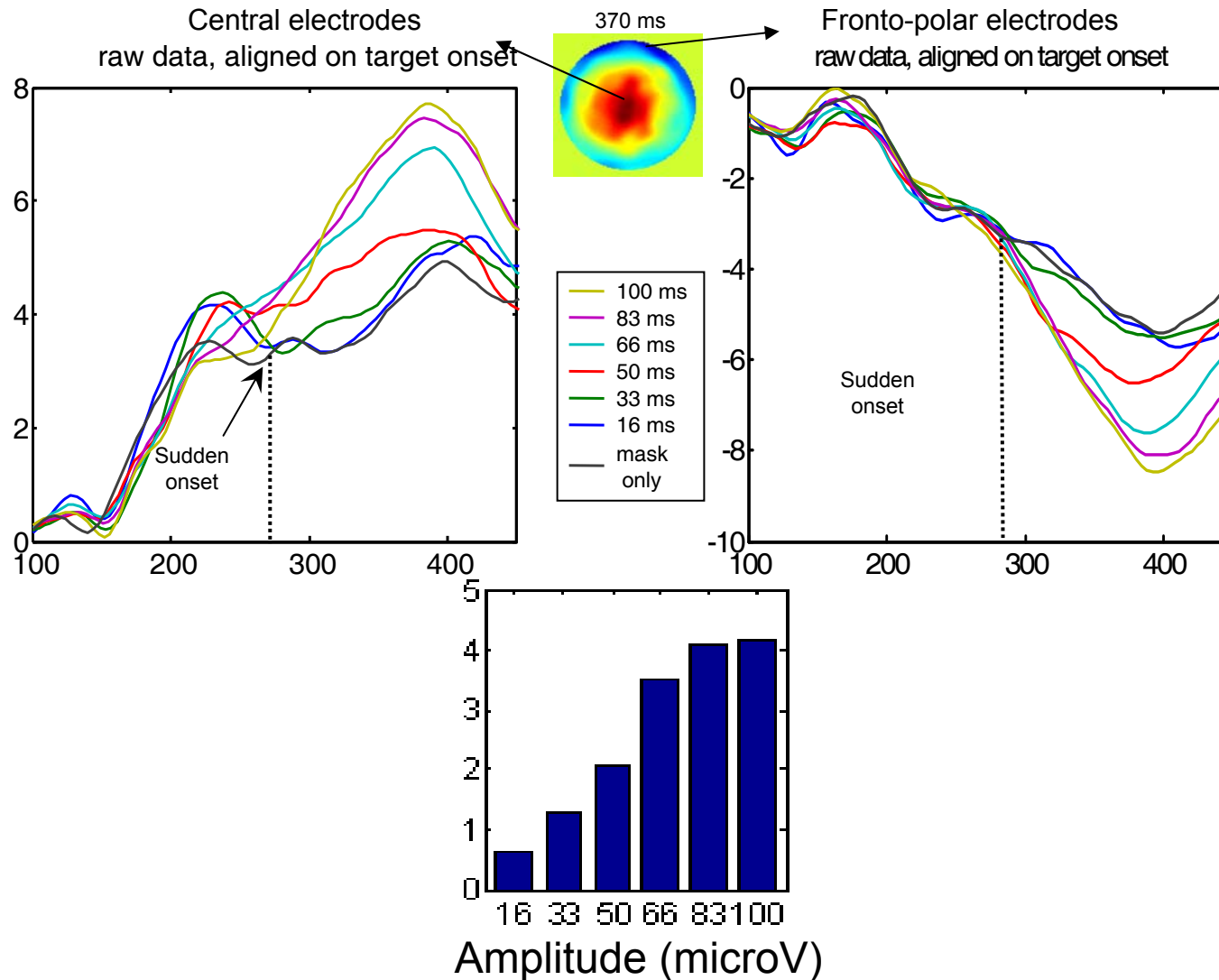
# Brain mechanisms of masking



## Conclusions:

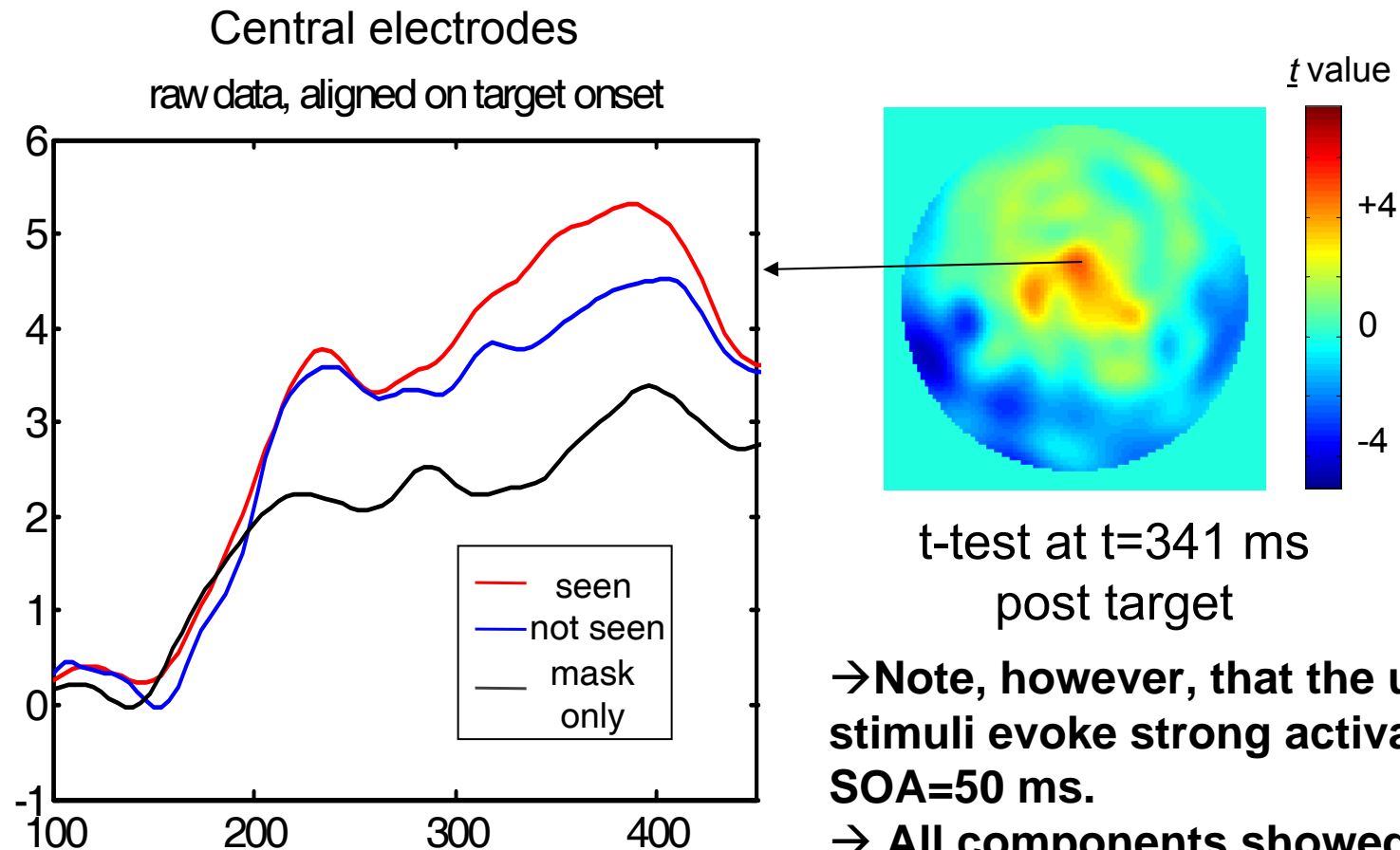
- Masking leaves the P1a and N1 essentially intact (except at the shortest SOA)
- Masking reduces the P1b (less inter-hemispheric transfer)
- Masking interrupts the N2
- As the masking delay increases
  - target activation become increasingly stronger and longer lasting
  - Mask activation decreases correspondingly
- Only the P3 shows a non-linearity similar to behavioral report

# P3: sudden non linear divergence around 270 ms





**A second independent criterion for conscious access:**  
Only the amplitude of the P3 distinguishes  
**seen versus not-seen trials** at a fixed delay (50 ms)  
(9 subjects only)

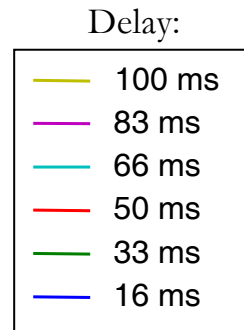
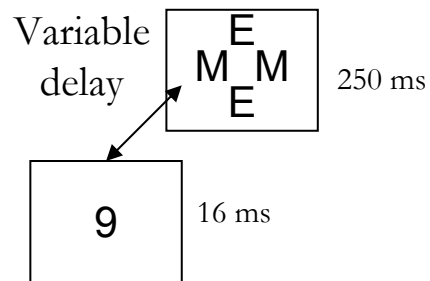


→ Note, however, that the unseen stimuli evoke strong activation at SOA=50 ms.

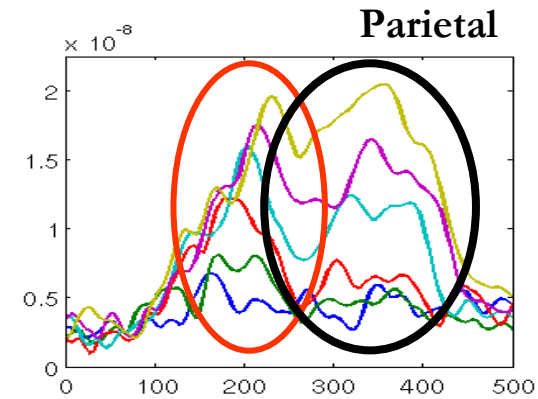
→ All components showed a significant difference:  
**Not-seen > mask-only**

# A late non-linearity underlying conscious access during masking

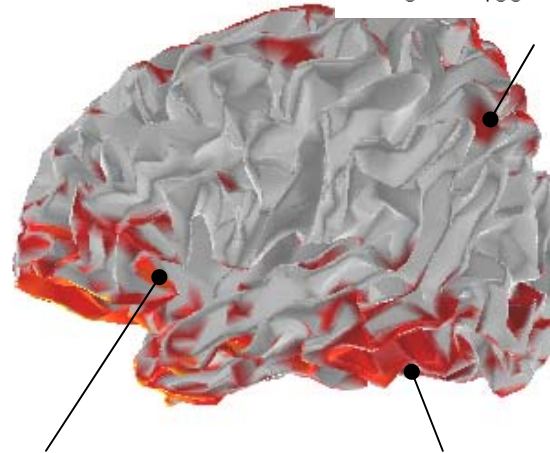
(Delcul et Dehaene, PLOS Biology 2007)



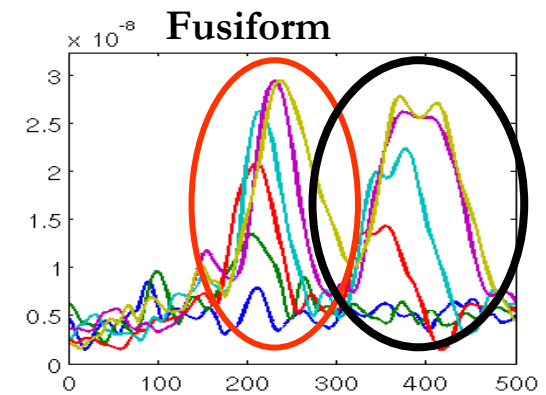
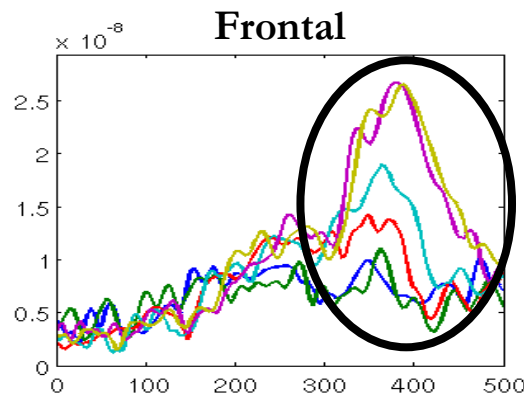
**First phase:  
local and linear**



Distributed visual, parietal and prefrontal activity at the peak of the P3 (conscious trials)

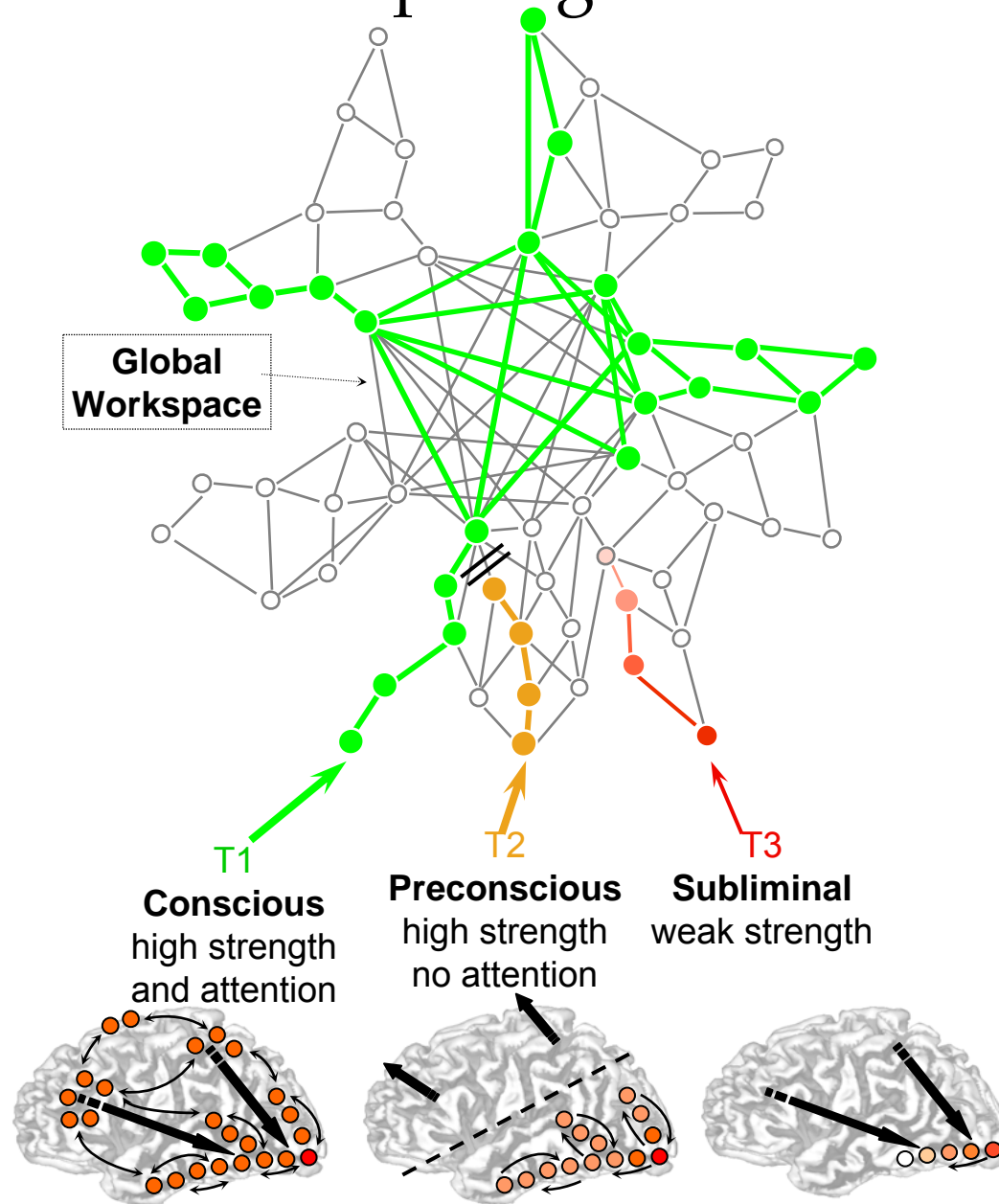


**Second phase:  
global and non-linear  
(amplification)**

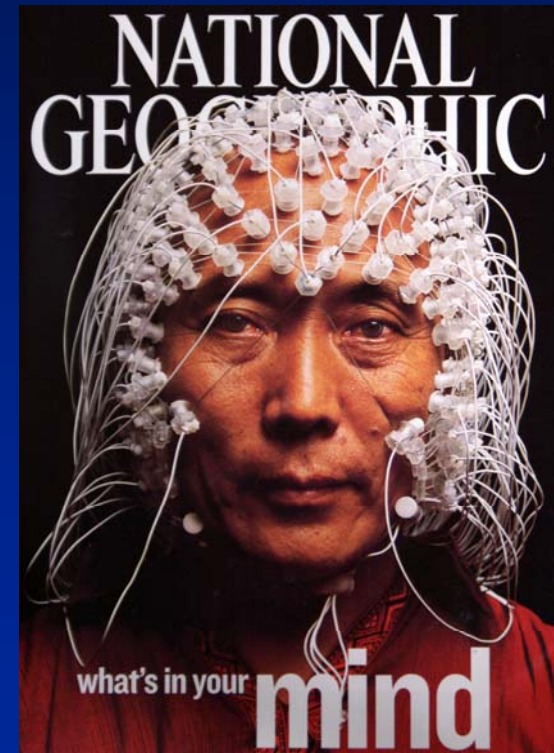
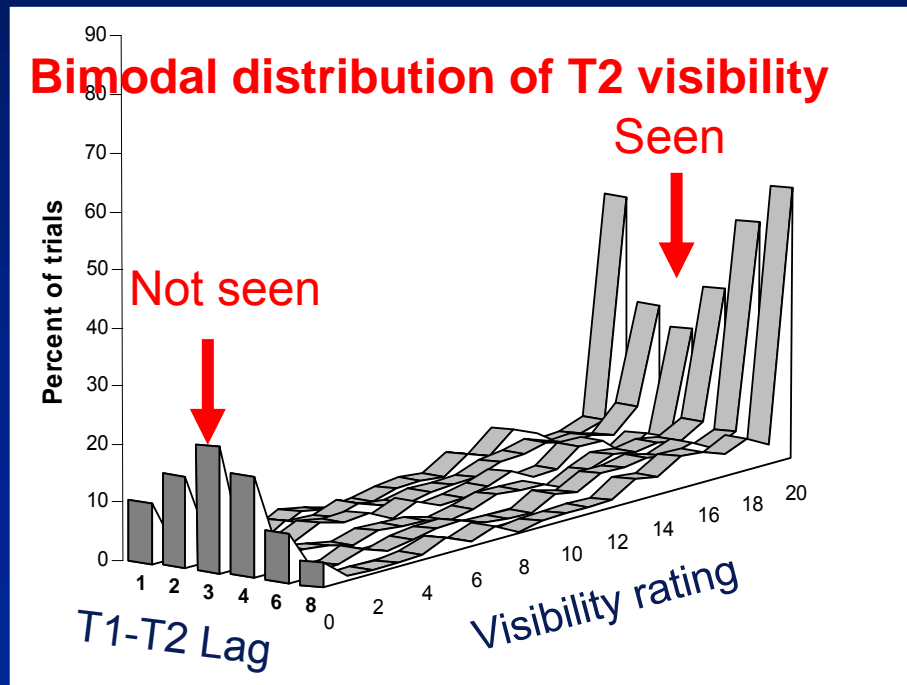
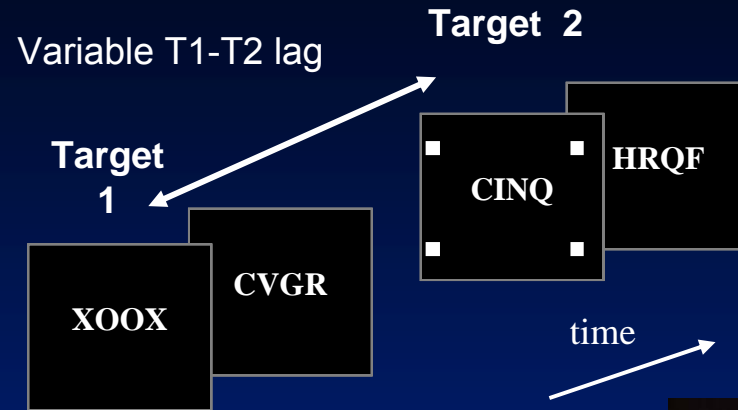


# Test of the theory

## 2. with two competing stimuli during AB



# Conscious access and non-conscious processing during the attentional blink



# Time course of scalp-recorded potentials during the attentional blink

UNSEEN T2  
(minus T2-absent trials)

-36 ms

Not Seen - Absent



SEEN T2  
(minus T2-absent trials)

-36 ms

Seen - Absent



DIFFERENCE

-36 ms

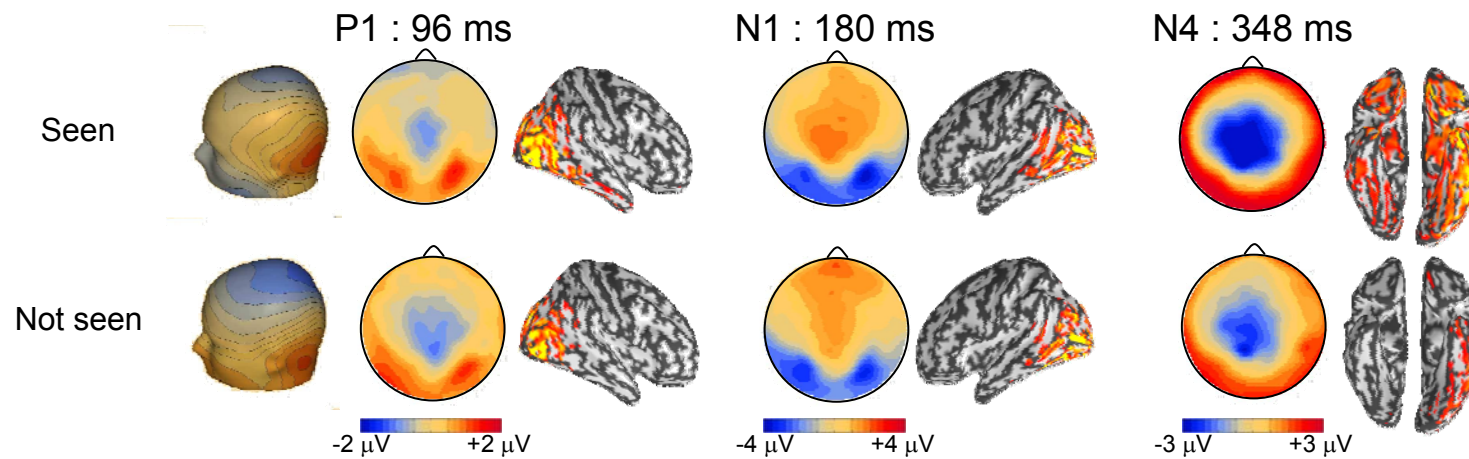
Seen - Not Seen



# Timing the divergence between seen and not-seen trials in the attentional blink (Sergent et al., *Nature Neuroscience* 2005)

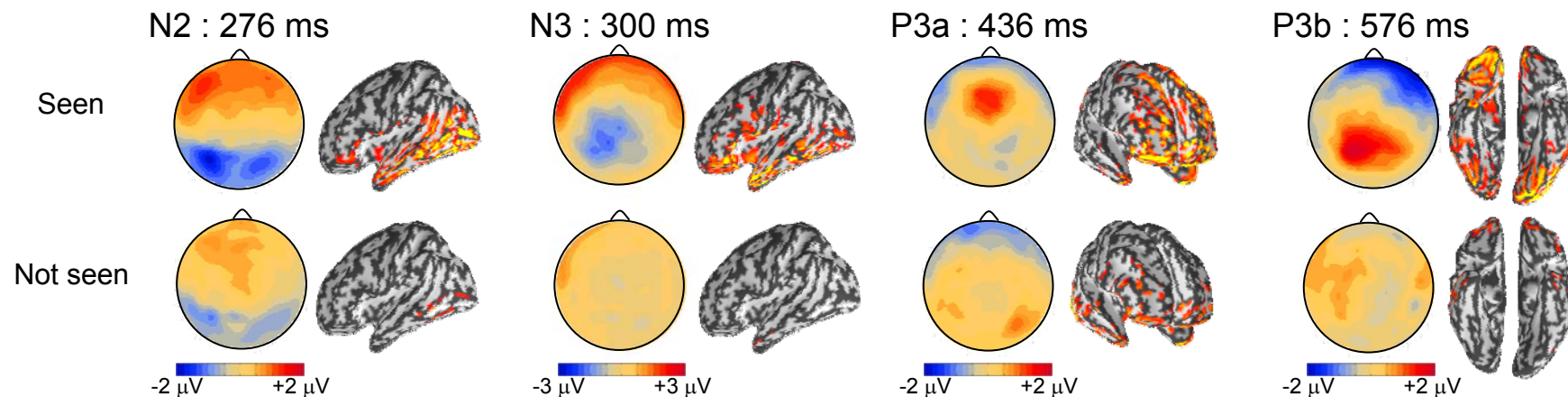
Unchanged initial processing

Late non-conscious processing



Abrupt divergence around 270 ms...

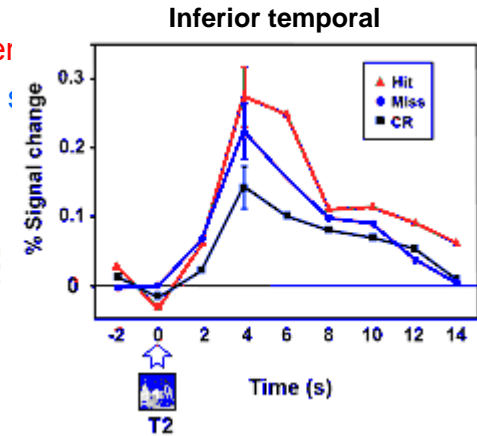
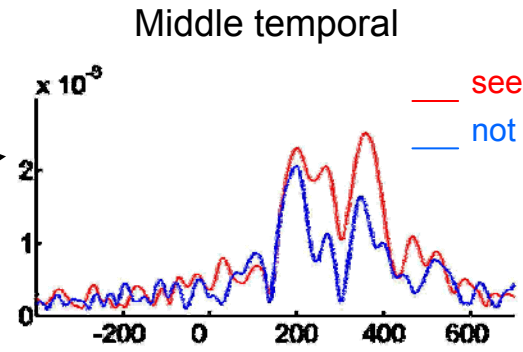
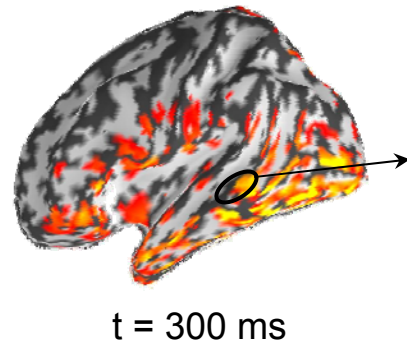
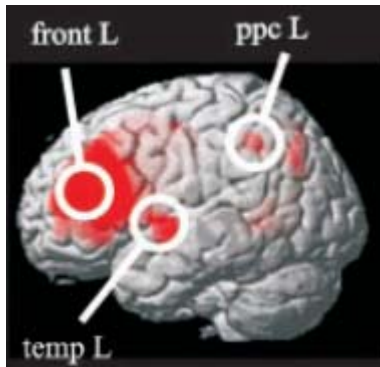
All-or-none ignition



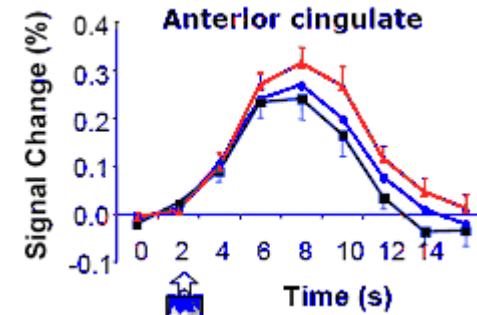
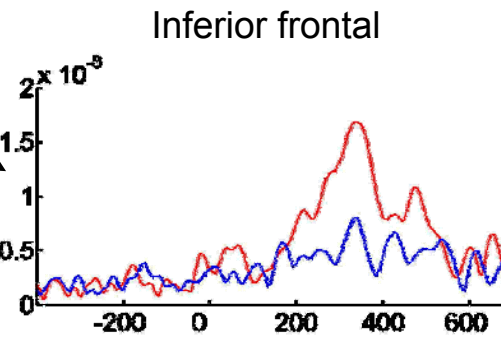
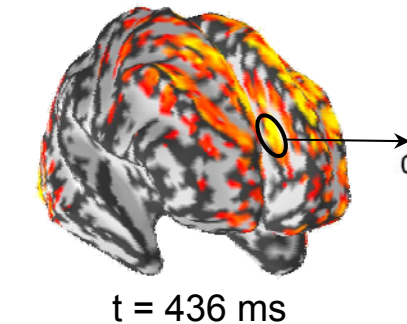
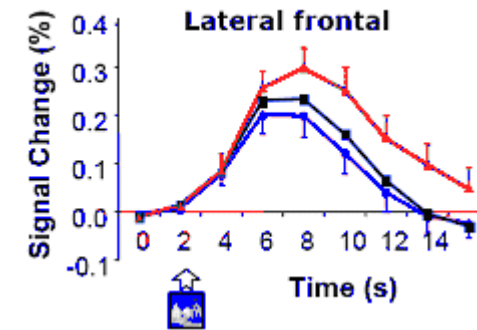
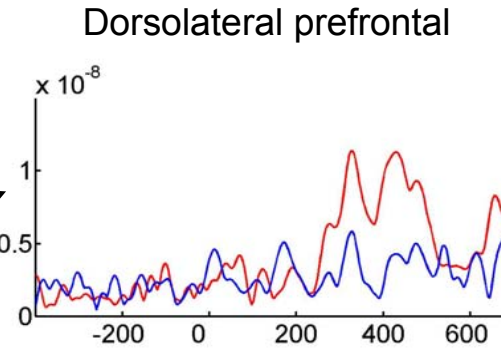
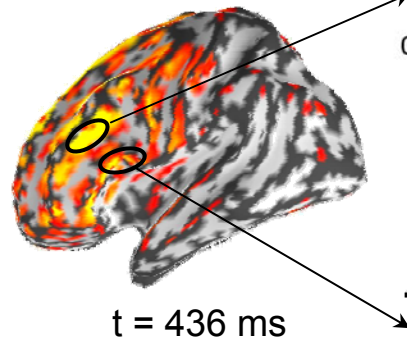
# Difference between seen and not seen trials

Recording of 'virtual sources' at various cortical locations

MEG:  
Gross et al,  
*PNAS* 2004



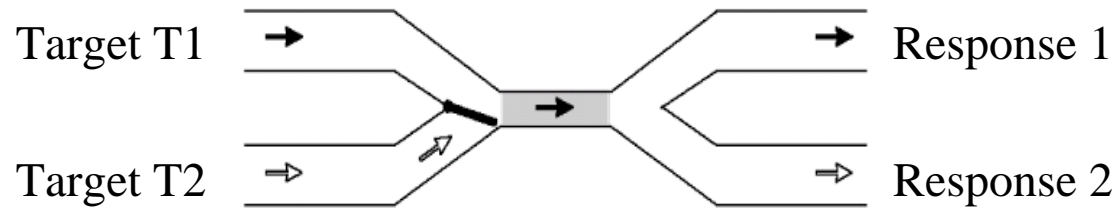
fMRI:  
Marois et al,  
*Neuron* 2004



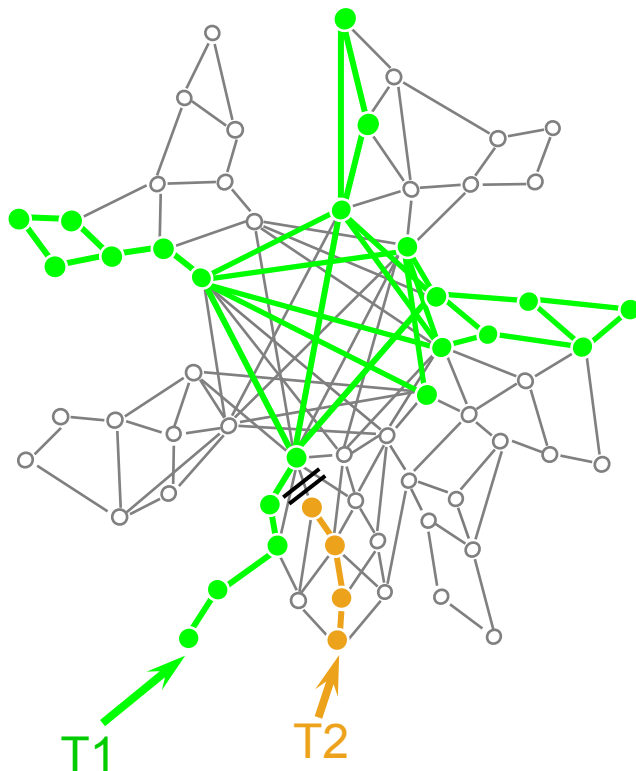
# Test of the theory

## 3. with two competing stimuli during PRP

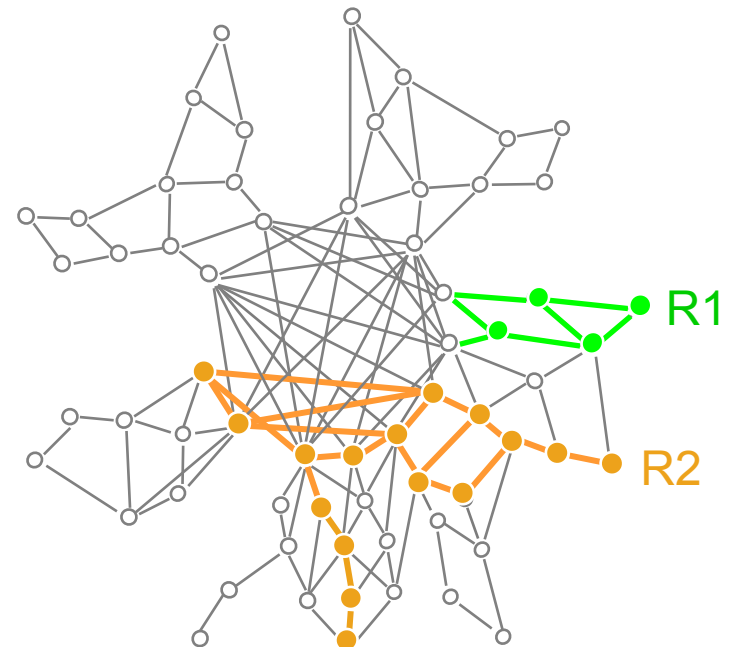
Pashler's « central bottleneck » model of the psychological refractory period



Workspace state for task 1

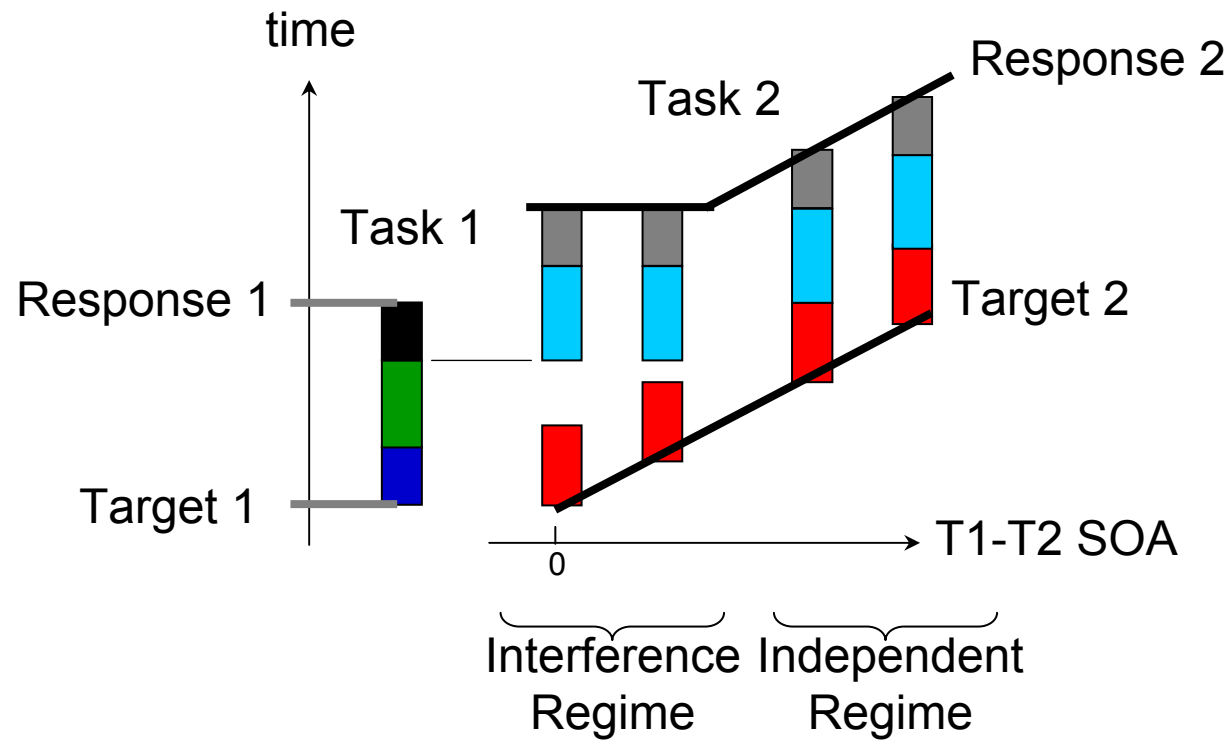


Workspace state for task 2





# Pashler's central bottleneck model

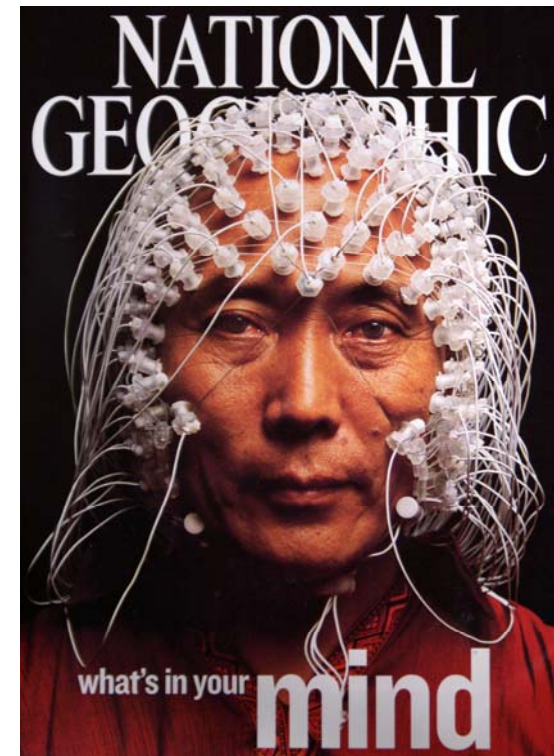
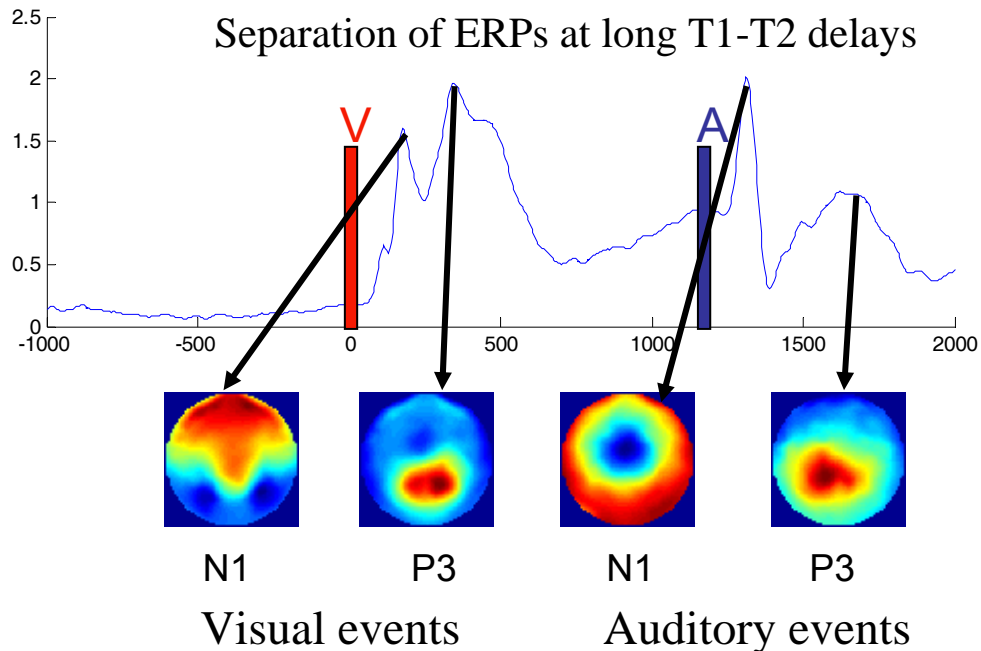
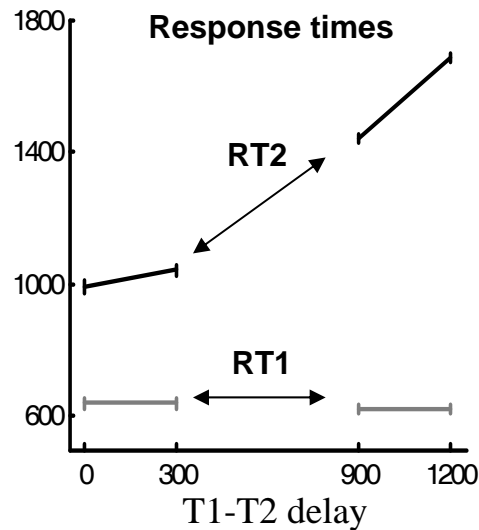


# Event-related potentials dissociate parallel and serial stages during dual-task processing

Task 1: number comparison of a visual Arabic numeral with 45, respond with right hand

Task 2: pitch judgment on an auditory tone, respond with left hand

- 300 ms delay in or outside of the interference regime



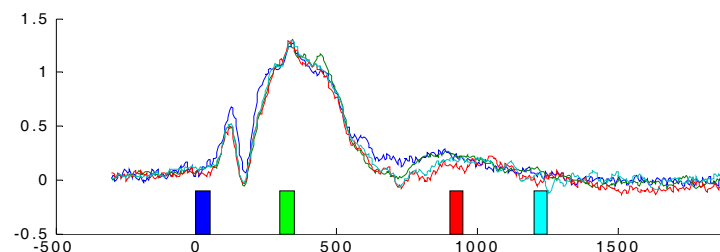
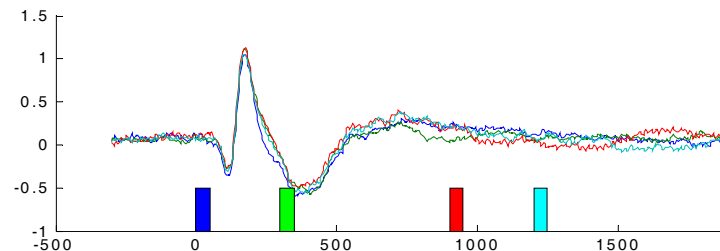
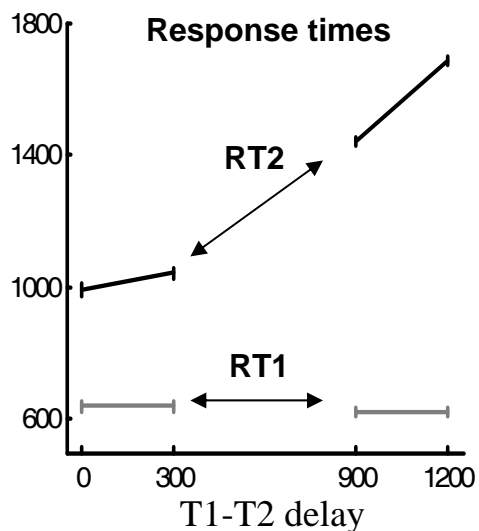
Sigman and Dehaene, submitted

# Event-related potentials dissociate parallel and serial stages during dual-task processing

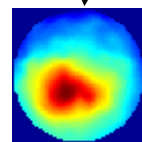
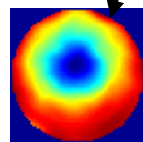
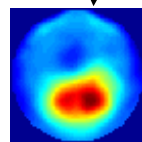
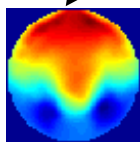
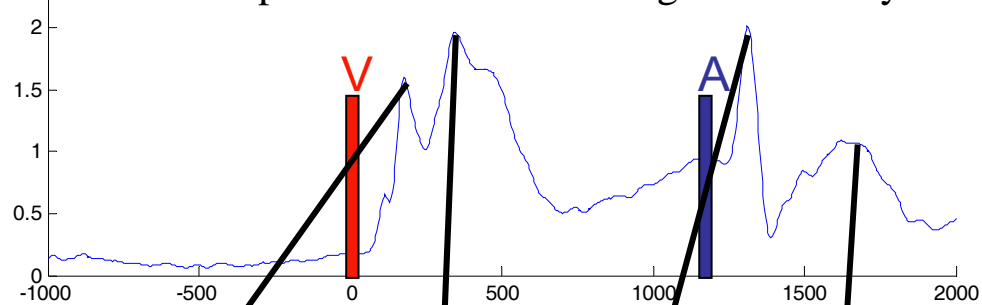
Task 1: number comparison of a visual Arabic numeral with 45, respond with right hand

Task 2: pitch judgment on an auditory tone, respond with left hand

- 300 ms delay in or outside of the interference regime

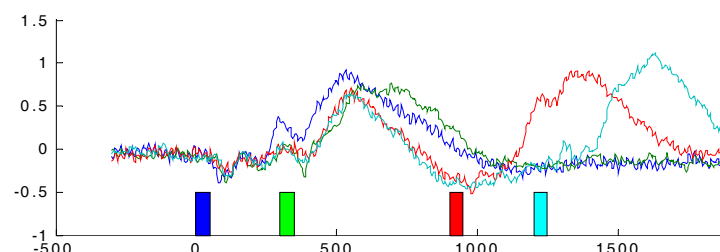
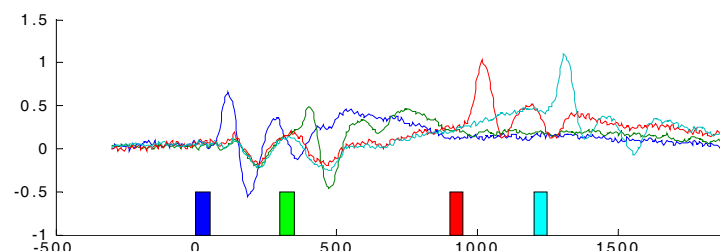


Separation of ERPs at long T1-T2 delays



Visual events

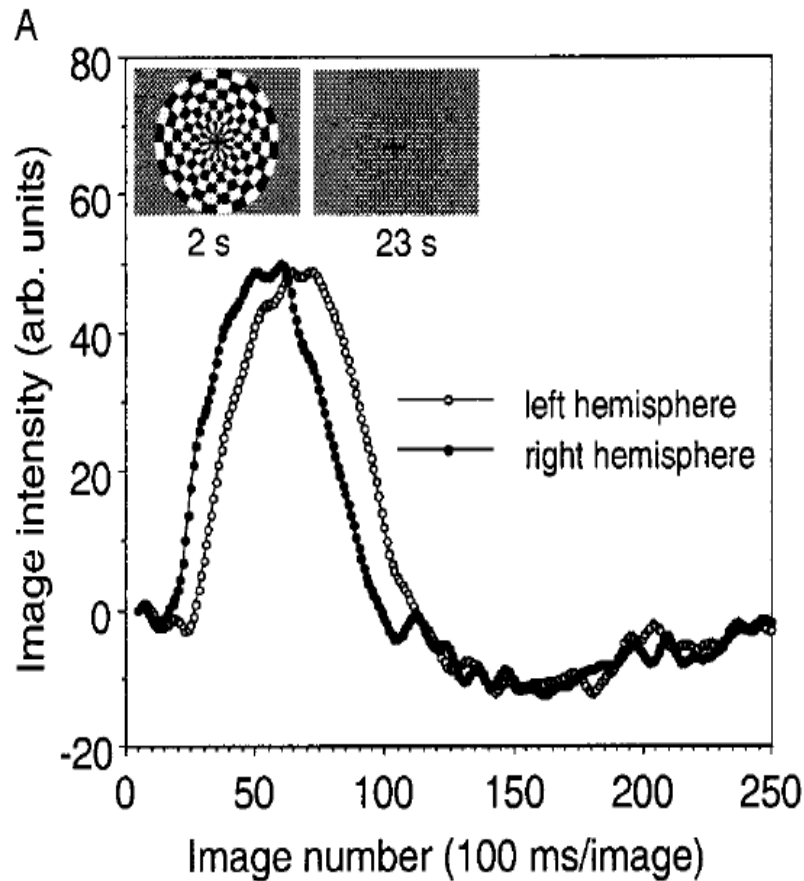
Auditory events



Sigman and Dehaene, submitted

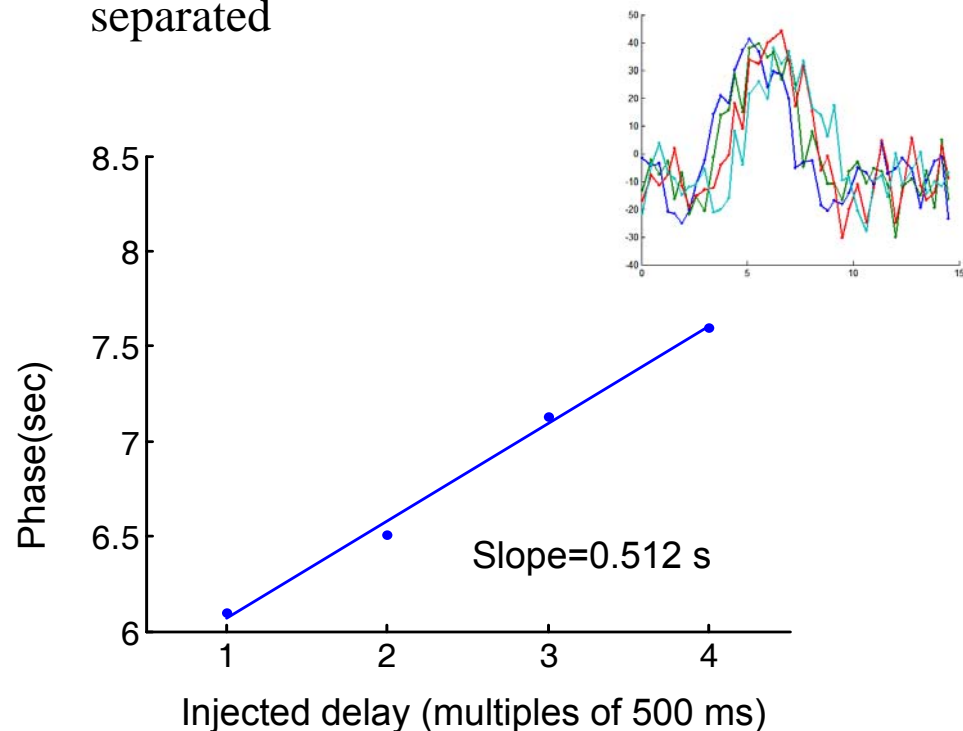
# Functional MRI can be sensitive to small delays, in the order of a few hundreds of milliseconds

Sigman, M., Jobert, A., LeBihan, D., & Dehaene, S. (2006). Parsing a sequence of brain activations at psychological times using fMRI. *NeuroImage*, 2006



Menon et al, 1998

- The phase of the BOLD response can be estimated with high precision even when the TR exceeds 2 seconds
- Injected delays of ~200 ms can be distinguished *with whole-brain imaging*
- Changes in onset, duration or both can be separated



# Separating parallel and serial stages during dual-task processing with high temporal resolution fMRI

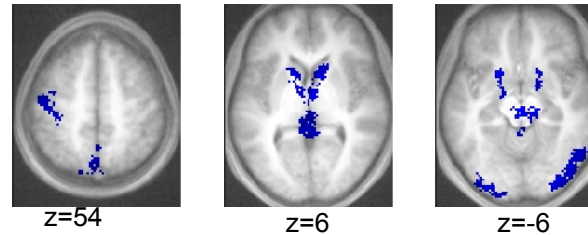
Task 1: number comparison of a visual Arabic numeral with 45, respond with right hand

Task 2: pitch judgment on an auditory tone, respond with left hand

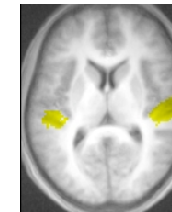
- 300 ms delay in or outside of the interference regime

- slow fMRI (one trial = 14.4 s)

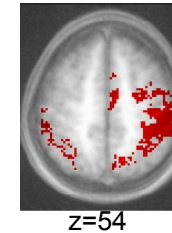
*Task 1 network*



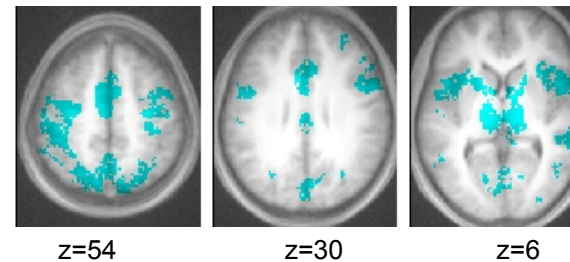
*Task 2 perceptual*



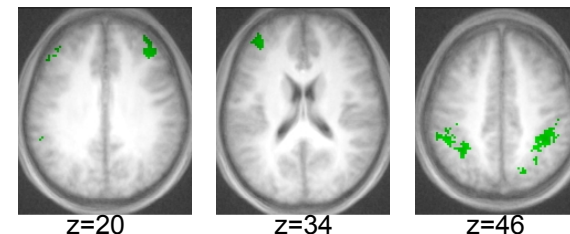
*Task 2 Decision/motor*



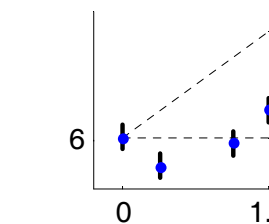
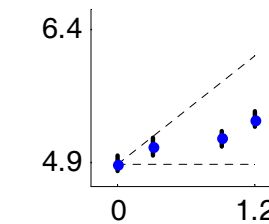
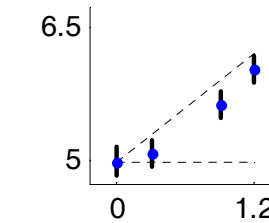
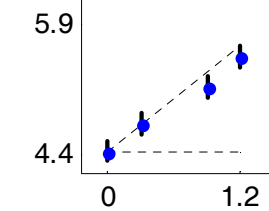
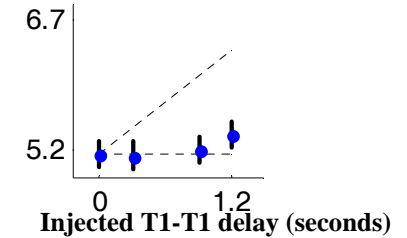
*Shared between task 1 and task 2*



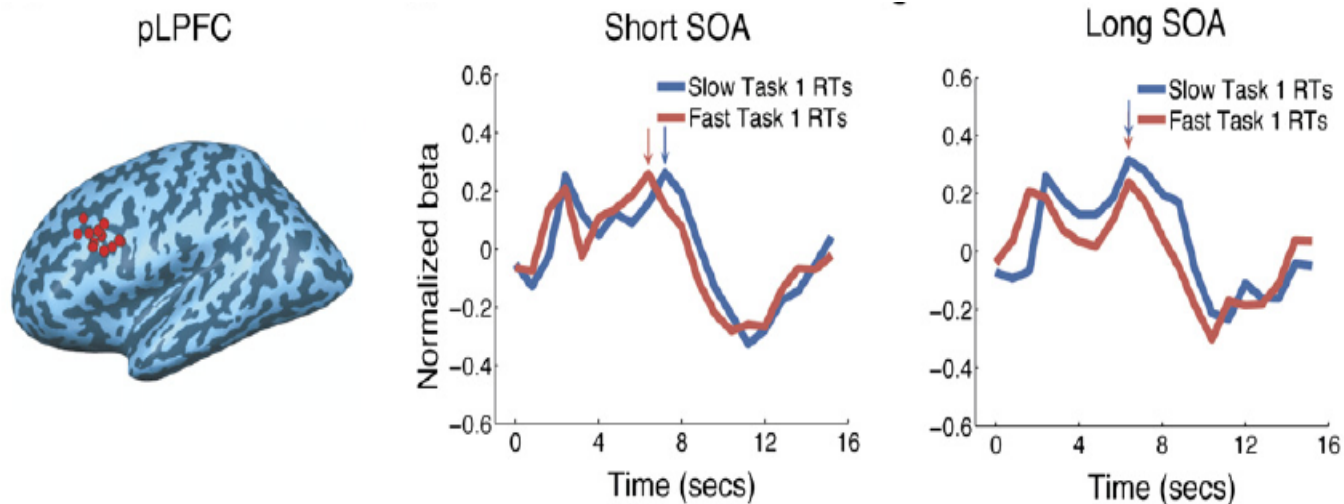
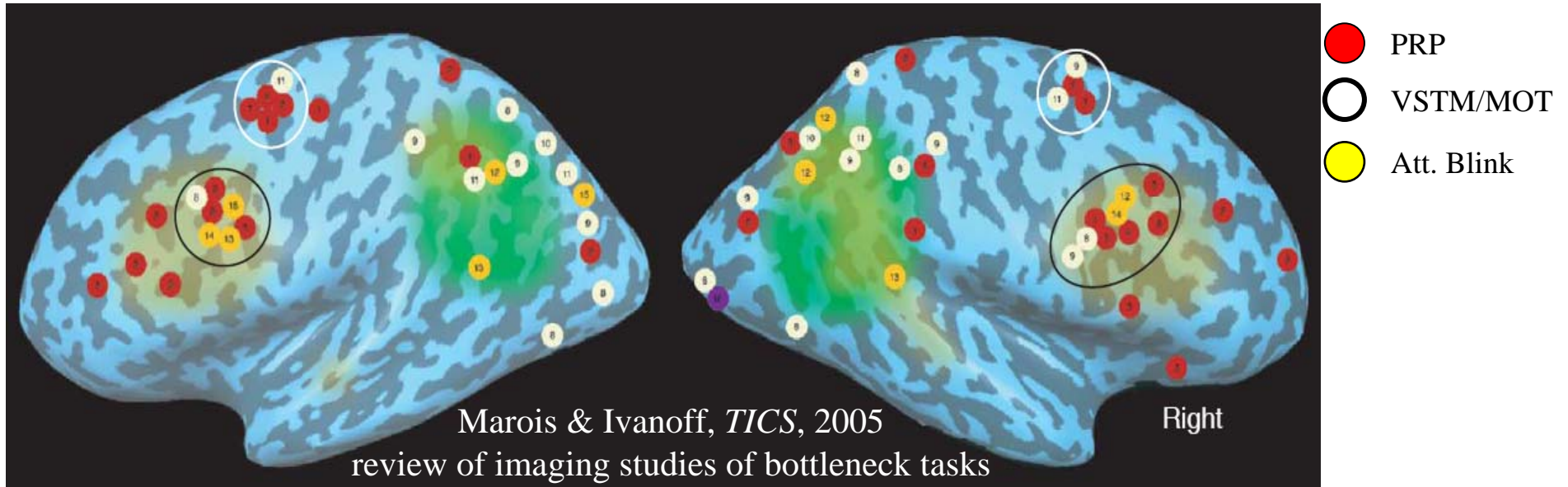
*High-level control*



Measured BOLD phase (seconds)



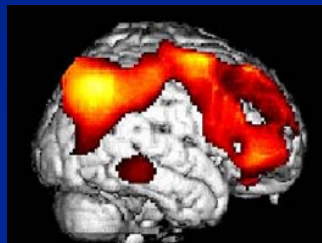
# Locating the sites of processing bottlenecks: parieto-prefrontal networks



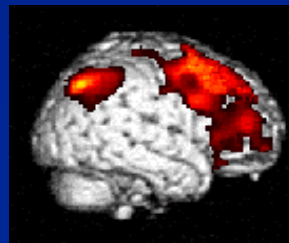
Dux, Ivanoff, Asplund & Marois, *Neuron*, 2007

# Conclusion: Towards a neuronal understanding of consciousness

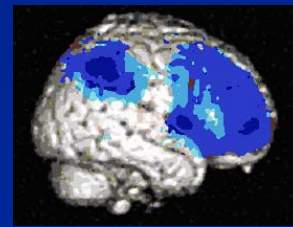
- Non-conscious processing is extensive in the human brain
- Brain activity can remain non-conscious for at least two reasons:
  - bottom-up strength is insufficient (e.g. masking)
  - Top-down attention is distracted (e.g. attentional blink)
- A representation becomes conscious whenever it wins the central competition and ignites a distributed, self-sustained assembly of neurons in prefrontal, cingulate and other cortical association areas
- Conscious access corresponds to a sharp and relatively late (~270 ms) dynamical phase transition in neural network activity.
- Although I have only talked about **access to consciousness**, changes in **vigilance** (intransitive consciousness) relate to neuromodulation of the same network (S. Laureys, P. Maquet).



*Vegetative state*



*Coma*



*Slow-wave sleep*



*General anesthesia*