Unifying spin-fluctuations and DMFT: TRILEX and vertex-based approaches

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Solve the Quantum Many-Body Problem ?



DMFT family tree [lecture 1]



What is missing in DMFT ?

- Short range spatial correlations
- Control : small parameter ?



Cluster DMFT

• A few atoms + self-consistent bath

Real space $\mathcal{G}(\tau)$ Reciprocal space Brillouin zone patching



- Short range fluctuations. K-dependence of self-energy.
- Control parameter = size of cluster / momentum resolution

Hubbard model & high Tc superconductors A lot of authors & works e.g. Capone, Civelli,

Ferrero, Georges, Gull, Haule, Imada, Jarrell, Kotliar, Lichtenstein, Katsnelson, Maier, Millis, Tremblay, Werner, OP, ...



- Pseudo-gap (node vs antinode, Fermi Arcs), d-wave SC dome
- But solving large clusters is hard, specially at low T.

What is missing in (cluster) DMFT ?

- Short range spatial correlations
- Control : small parameter ?

- Long range correlations / interactions
- Feedback of low energy collective modes onto one particle properties.
- (Trilex, DGA, Quadrilex). See also EDMFT, GW + DMFT

Vertex methods

Cluster DMFT

• e.g. at quantum critical points (beyond Hertz-Millis theory)

Let us look at a simpler problem ...

Weak coupling 2d Hubbard model

- Half filled.
- DMFT has AF order (mean field).
- Mermin-Wagner theorem long range AF fluctuations.

$$Q = (\pi, \pi) \qquad \xi \sim e^{a/T}$$



 AF fluctuations destroys the Fermi liquid, opens pseudogap (Vilk-Tremblay 1997)
 Fermi surface and nesting

 $\Sigma(k,i\omega) \approx$

 Benchmark for methods beyond DMFT.





 $t' \neq 0$

Goal : Mott physics & long range fluctuations



Formalism with

Spin Fluctuation theory at weak coupling & (cluster) DMFT at strong coupling ?

Reminder : one particle Green functions

$$G(x,\tau) = -i\langle T_{\tau}c(x,\tau)c^{\dagger}(0,0)\rangle$$

Self-energy $\Sigma = G_0^{-1} - G^{-1}$

- Experiments : Photoemission (ARPES). STM.
- In Fermi liquid, at low energy, Σ encodes properties of the quasiparticles, e.g. effective mass, quasi-particle weight, lifetime.



Correlated Fermi liquid

Two particle physics in DMFT ?

• DMFT : self-consistent problem on <u>one-particle Green function</u>

$$S_{\text{eff}} = -\iint_{0}^{\beta} d\tau d\tau' c_{\sigma}^{\dagger}(\tau) \mathcal{G}_{\sigma}^{-1}(\tau - \tau') c_{\sigma}(\tau') + \int_{0}^{\beta} d\tau \ U n_{\uparrow}(\tau) n_{\downarrow}(\tau)$$

$$G_{\sigma imp}(\tau) \equiv -\left\langle Tc_{\sigma}(\tau)c_{\sigma}^{\dagger}(0)\right\rangle_{S_{eff}} \qquad \qquad \Sigma_{\sigma latt}(k, i\omega_n) = \Sigma_{\sigma imp}(i\omega_n)$$

$$\Delta = t^2 G$$

• Questions :

- Part I : How to compute susceptibilities in DMFT ? transport ?
- Part II : Self-consist on two-particle Green function ? U ?

Part I

Susceptibility in DMFT

Static susceptibility

• Static susceptibility at simple q : solve DMFT in ordered phase

$$\chi \propto \frac{\partial m}{\partial h} \big|_{h=0}$$

- Need a more general method for
 - Frequency dependency
 - Momentum dependency (incommensurate order)
 - General χ tensor (multiple possible instability)

Kubo formula

 Quantum linear response theory Response of operator A to a field coupled to B

$$\chi_{AB}(t-t') = -i\theta(t-t')\langle [A(t), B(t')] \rangle$$

• A, B : quadratic in the fundamental operators

$$A = A_{ab} c_a^{\dagger} c_b \qquad B = B_{cd} c_c^{\dagger} c_d$$

E.g.: susceptibilities $A = B = \sum_{i} (-1)^{\sigma} c_{\sigma i}^{\dagger} c_{\sigma i}$, conductivity (A = B = J)

Requires the computation of two-particle Green functions

$$\sim \left< c_a^{\dagger}(t) c_b^{}(t) c_c^{}(0) c_d^{}(0) \right>$$

Two particle Green functions

• Definition

$$G^{(2)}_{\bar{a}a\bar{b}b}(x_1, x_2, x_3, x_4, \tau_1, \tau_2, \tau_3, \tau_4) \equiv -i\langle T_{\tau}c^{\dagger}_{\bar{a}}(x_1, \tau_1)c_a(x_2, \tau_2)c^{\dagger}_{\bar{b}}(x_3, \tau_3)c_b(x_4, \tau_4)\rangle$$

a,b : multi-index orbital, spin

• Rank 4 tensor, with 3 frequencies/momenta

$$G_{\bar{a}a\bar{b}b}^{(2)}(k,k',q,\nu,\nu',\omega) = \begin{bmatrix} \bar{a},\mathbf{k}+\mathbf{q},\nu+\omega & \cdots & \mathbf{b},\mathbf{k}'+\mathbf{q},\nu'+\omega \\ a,\mathbf{k},\nu & \mathbf{c},\cdots & \bar{b},\mathbf{k}',\nu' \end{bmatrix}$$
Non interacting case (Wick theorem)

$$G_{\bar{a}\bar{a}\bar{b}\bar{b}}^{(2)} = \begin{bmatrix} \bar{a} \\ a \end{bmatrix} \qquad \uparrow \begin{matrix} b \\ \bar{b} \end{matrix} + \begin{bmatrix} \bar{a} & & \\ \hline b \\ \bar{b} \end{matrix} + \begin{bmatrix} \bar{a} & & \\ a & & \\ \hline \bar{b} \end{matrix}$$
$$G_{0a\bar{a}}G_{0b\bar{b}} \qquad -G_{0a\bar{b}}G_{0b\bar{a}}$$

Two particle Green functions

Definition

$$G^{(2)}_{\bar{a}a\bar{b}b}(x_1, x_2, x_3, x_4, \tau_1, \tau_2, \tau_3, \tau_4) \equiv -i\langle T_\tau c^{\dagger}_{\bar{a}}(x_1, \tau_1)c_a(x_2, \tau_2)c^{\dagger}_{\bar{b}}(x_3, \tau_3)c_b(x_4, \tau_4)\rangle$$

a,b : multi-index orbital, spin

Rank 4 tensor, with 3 frequencies/momenta

Perturbative expansion

$$G_{\bar{a}\bar{a}\bar{b}\bar{b}}^{(2)} = \stackrel{\bar{a}}{a} \downarrow \qquad \uparrow_{\bar{b}}^{b} + \stackrel{\bar{a}}{a} \stackrel{b}{\longrightarrow} \stackrel{\bar{a}}{\leftarrow} \stackrel{\bar{b}}{b} + \stackrel{\bar{a}}{a} \stackrel{b}{\leftarrow} \stackrel{\bar{b}}{\leftarrow} \stackrel{\bar{b}}{} \stackrel{\bar{b}}{\leftarrow} \stackrel{\bar{b}}{\leftarrow}$$

Full propagator $G_{a\bar{a}}G_{b\bar{b}}$ $-G_{a\bar{b}}G_{b\bar{a}}$

reducible vertex F

In Fermi liquid, interactions between quasi-particles.

Generalized susceptibilities

• Generalized susceptibility (remove disconnected part, <A>)

 $ilde{\chi}_0 \, \bar{a} a \bar{b} b$



• Susceptibility : contract with A and B, sum over frequencies/momenta

$$\chi(q,\omega) = \sum_{\nu\nu'kk'} \tilde{\chi}_{\bar{a}a\bar{b}b}(q,k,k',\omega,\nu,\nu')A_{\bar{a}a}(k)B_{\bar{b}b}(k')$$

$$\chi_{AB}(q,\omega) = \bigoplus_{\mathbf{q},\omega} \mathbf{A}_{\mathbf{q},\omega} + \bigoplus_{\mathbf{q},\omega} \mathbf{A}_{\mathbf{q},\omega} \mathbf{F}_{\mathbf{q},\omega} \mathbf{B}_{\mathbf{q},\omega}$$
Lindhard function Vertex corrections

Reminder : Dyson Equation

• Dyson equation for the one particle Green function

$$G = \longrightarrow + \longrightarrow \Sigma \longrightarrow + \longrightarrow \Sigma \longrightarrow + \longrightarrow \Sigma \longrightarrow + \dots$$

• Self-energy : I PI (particle irreducible) diagrams

$$G = G_0 + G_0 \Sigma G$$
 $\Sigma = G_0^{-1} - G^{-1}$

Bethe-Salpeter equation

• Reducibility in particle-hole channel



Matrix equation grouping indices $I = (a, \bar{a}, k, \nu)$ $J = (b, \bar{b}, k', \nu')$ diagonal in (q, ω) Matrix equation $a, \mathbf{k} + \mathbf{q}, \nu + \omega$ \bar{a}, \mathbf{k}, ν \bar{a}, \mathbf{k}, ν $\bar{c}, \mathbf{k}' + \mathbf{q}, \nu' + \omega$ $\bar{c}, \mathbf{k}', \nu'$ $\bar{c}, \mathbf{k}', \nu'$

• $\Gamma_{a\bar{a}b\bar{b}}(k,k',q,\nu,\nu',\omega)$: Irreducible vertex in the particle-hole channel

Bethe-Salpeter equation

• Relation (exact) between the irreducible vertex Γ and χ



$$\tilde{\chi} = \tilde{\chi}_0 + \tilde{\chi}_0 \Gamma \tilde{\chi} \Longleftrightarrow \Gamma = \tilde{\chi}^{-1} - \tilde{\chi}_0^{-1}$$

- Approximations for Γ
 - RPA : $\Gamma \propto U$
 - DMFT ?

DMFT

Cf. A. Georges et al. Rev. Mod. Phys. 1996

• DMFT : atomic approximation of Luttinger-Ward functional

$$\Phi[G] \approx \sum_{i} \phi_{atomic}[G_{ii}] \qquad \Sigma_{ij}^{latt} = \frac{\delta \Phi}{\delta G_{ji}} = \delta_{ij} \Sigma^{imp}$$

- Impurity model : auxiliary problem to solve the approximation.
- The irreducible vertex Γ

$$\Gamma^{lattice}_{ijkl} = \frac{\delta^2 \Phi}{\delta G_{ji} \delta G_{lk}}$$

• In DMFT susceptibilities

$$\Gamma_{ijkl}^{lattice} \approx \delta_{i=j=k=l} \Gamma_{imp}$$

 $\Gamma_{lattice}(k,k',q,\nu,\nu',\omega) \approx \Gamma_{imp}(\nu,\nu',\omega)$

Susceptibilities in DMFT

Cf. A. Georges et al. Rev. Mod. Phys. I 996

- Solve DMFT
- Compute impurity two-particle functions
- Use BSE for impurity and lattice

Numerically harder e.g. noise control in BSE inversion.

$$\Gamma_{imp} = \tilde{\chi}_{imp}^{-1} - \tilde{\chi}_{imp,0}^{-1} \qquad \qquad \tilde{\chi}_{lattice}^{-1} = \Gamma_{lattice} + \tilde{\chi}_{lattice,0}^{-1}$$

Does not feedback in DMFT self-consistency loop

Are vertex corrections important ?



- Magnetic susceptibility
 - Non interacting case. Lindhard function χ charge $= \chi$ spin $\propto G_0 G_0$
 - Mott insulator: charge gap vs low energy spin excitations
- Conductivity
 - Cancellation of vertex corrections by symmetry in DMFT

Simple example

• I band Hubbard model, 2d square lattice, DMFT.

M. Jarrell 92 Curves from T. Schaefer



 $Q_{AF} = (\pi, \pi)$

$$\chi(q = (\pi, q_y), \omega = 0)$$



Sr₂RuO₄

• Ab initio : DFT + DMFT

Cf Talk by Hugo Strand ArXiv:1904.07324

Magnetic susceptibility

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\chi_{ab}(q,\omega=0)
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Cf Talk by Manuel Zingl ArXiv:1902.07324

Hall effect

 Origin of sign change of Hall coefficient with T ?



• Structure of magnetic excitations ?



Cluster DMFT susceptibilities: examples on Hubbard model

Pseudogap in cuprate

Superconductivity



Part II

Beyond (cluster) DMFT

Trilex, $D\Gamma A$, Quadrilex

A self consistent approximation at the two-particle level

Toschi et al. (2007)

T. Ayral et al. (2015-2016)

Functionals

- A general method in statistical physics:
 - Find relevant physical quantity X
 - Build a functional $\Gamma(X)$ using Legendre transforms.
 - Approximate the "complicated" part of $\Gamma(X)$
- Examples:
 - magnetic transition X =m
 - Density functional theory $X = \rho(x)$, electronic density
 - DMFT, X = G

Trilex, Quadrilex, D Γ A X = Some two-particle Green function

DMFT

• Hubbard model action. Add a quadratic source h

$$S = \int d\tau d\tau' \sum_{ij} c_{i\sigma}^{\dagger}(\tau) \Big(g_{0ij}^{-1} + h_{ij} \Big) (\tau - \tau') c_{\sigma j}(\tau') + \int d\tau U \sum_{i} n_{i\uparrow}(\tau) n_{i\downarrow}(\tau)$$

• Free energy is a function of h

$$\Omega[h] = -\log \int \mathcal{D}[c^{\dagger}c] e^{-S[h]}$$
$$G_{ij}(\tau - \tau') = -\left\langle c_i(\tau)c_j^{\dagger}(\tau') \right\rangle = \frac{\partial\Omega}{\partial h_{ji}(\tau' - \tau)}$$

• Legendre transform to eliminate h for G.

$$\Gamma[G] = \Omega[h] - \operatorname{Tr}(hG)$$

$$\Gamma[G] = \underbrace{\operatorname{Tr} \ln G - \operatorname{Tr}(g_0^{-1}G)}_{U=0 \text{ term}} + \Phi[G]$$

$$\frac{\partial \Gamma[G]}{\partial G} = h = 0$$
$$\Sigma_{ij} = \frac{\delta \Phi}{\delta G_{ji}}$$

• Functional of one-particle Green function

$$\Gamma[G, \lambda] = \underbrace{\operatorname{Tr} \ln G - \operatorname{Tr}(g_0^{-1}G)}_{U=0 \text{ term}} + \Phi_{LW}[G]$$

- Eliminate λ by Legendre transform
- Higher functionals of vertex, two particle functions De Dominicis, Martin, Math. Phys. 1, 1964.
- Idea : these objects are more local than the self-energy. Approximation on these functionals rather than Φ_{LW} .

Two ways to implement this idea :Trilex, DFA

I-TRILEX

T.Ayral & O.P. Phys. Rev. B 92, 115109 (2015) T.Ayral & O.P. Phys. Rev. B 94, 075159 (2016) T.Ayral, J Vucicević, and O.P. Phys. Rev. Lett. 119, 166401 (2017)

Electron-boson language

$$S_{\rm eb} = \bar{c}_u \left[-G_0^{-1} \right]_{uv} c_v + \frac{1}{2} \phi_\alpha \left[-W_0^{-1} \right]_{\alpha\beta} \phi_\beta + \lambda_{uv\alpha} \bar{c}_u c_v \phi_\alpha$$

- Hubbard model : decouple in spin/charge channel.
- Coulomb interaction. Beyond GW + DMFT ?
- Electron-phonon.
- Low energy effective spin fermion model.

$$\varphi_{\alpha} \equiv \langle \phi_{\alpha} \rangle$$
$$W_{\alpha\beta}^{\rm nc} \equiv -\langle \phi_{\alpha} \phi_{\beta} \rangle$$
$$G_{uv} \equiv -\langle c_u \bar{c}_v \rangle$$

The 3PI functional



De Dominicis Martin, Math. Phys. 1, 1964.

 $G_{uv\alpha}^{(3)} \equiv \langle c_u \bar{c}_v \phi_\alpha \rangle$ $\Lambda_{uv\alpha} \equiv G_{xu}^{-1} G_{vw}^{-1} W_{\alpha\beta}^{-1} G_{wx\beta}^{(3)}$



TRILEX (triply-irreducible local expansion).

$$\mathcal{K}^{\text{latt}}(G, W, G^{(3)}) \approx \sum_{i} \mathcal{K}_{atomic}(G_{ii}, W_{ii}, G^{(3)}_{iii}) + Cluster Trilex$$

 $\Lambda^{\eta}(\mathbf{q},\mathbf{k},i\omega,i\Omega)\approx\Lambda^{\eta}_{\mathrm{imp}}(i\omega,i\Omega)$



Weak coupling, $U \longrightarrow 0$

Atomic limit, $t \rightarrow 0$

- No vertex correction: $\Lambda = \lambda$
- Spin fluctuation diagram

- Exact in this limit
- Mott physics (DMFT)

Spin-fluctuation and DMFT are two "asymptotic" regimes of TRILEX.

Summary of equations



• Cluster TRILEX & benchmark

T. Ayral et al. Phys. Rev. Lett. 119, 166401 (2017)



Superconductivity

J. Vucicevic, et al. Phys. Rev. B 96, 104504 (2017)

- Proof of concept, with Hubbard model
- d-SC with one site impurity model (\neq cluster DMFT).
- From high temperature, compute leading instability.





Strong interaction d-SC & Mott physics SC disappear close to Mott insulator

• Comparison cluster DMFT ?

Long range interaction & superconductivity

X. Cao, T. Ayral, Z. Zhong, OP, D. Manske, P. Hansmann Phys. Rev. B 97, 155145 (2018)

70

- Adatoms on a Si(111) surface.
- Extended Hubbard model with long range Coulomb interaction, triangular lattice

$$H = \sum_{ij\sigma} t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} + \frac{1}{2} \sum_{ij} U_{ij} n_i n_j - \mu \sum_i n_i$$
$$U_q = U_0 + V \sum_{i \neq 0} \frac{e^{iqR_i}}{|R_i|}$$

- $\langle V = 0.3 eV$ $\bigcirc V = 0.2eV$ 65($[\mathbf{K}]$ strong spin Temperature fluctuations 60 (no order) 5550 chiral d-wave SC 450.0 0.10.20.30.40.50.6 0.70.8doping δ
- Prediction : (chiral) d-wave superconductivity (TRILEX and EDMFT)

2- DΓA / Quadrilex

Toschi, A., A. A. Katanin, and K. Held, Phys. Rev. B 75, 045118 (2007)

Rohringer et al. Rev. Mod. Phys 90 025003 (2018)

Ayral, O.P. Phys. Rev. B 94, 075159 (2016)

Parquet equations

- 3 Channels for two-particle reducibility $r = ph, \overline{ph}, pp$
- Bethe Salpeter equation in each channel
 Φ_r, Γ_r: reducible/irreducible vertex in channel r

$$F = \Gamma_r + \Phi_r$$

$$\tilde{\mathbf{F}} = \tilde{\mathbf{F}}_{r} + \tilde{\mathbf{F}}_{r} + \tilde{\mathbf{F}}_{r}$$

• $\Lambda^{(4)}$: Fully irreducible vertex (in all channels)



Quadrilex/DFA

- Second Legendre transform De Dominicis, Martin, Math. Phys. 1, 1964. $\Gamma_4[G, G^{(2)}] \equiv \Gamma[G, U] - \frac{1}{2}U \cdot G^{(2)}$ **4PI** diagrams $\Gamma_4[G, G^{(2)}] = \Gamma_{4,0}[G, G^{(2)}] + \mathcal{K}_4[G, G^{(2)}]$ $\in \mathcal{K}_4$ $\Lambda_{u\bar{u}v\bar{v}}^{(4)} = \lambda_{u\bar{u}v\bar{v}}^{(4)\text{bare}} - 2\frac{\partial\mathcal{K}_4[G,G_2]}{\partial G_{2\bar{z}v\bar{z}v}}$ $T\Omega = \langle H \rangle + T\Gamma_4$ $\in \delta \Lambda$ Quadrilex approximation T.Ayral & OP (2016) $\mathcal{K}_{4}^{\text{QUADRILEX}}[G_{\mathbf{R}_{1}\mathbf{R}_{2}},G_{2,\mathbf{R}_{1}\mathbf{R}_{2}\mathbf{R}_{3}\mathbf{R}_{4}}] \equiv \sum_{n} \mathcal{K}_{4}[G_{\mathbf{R}\mathbf{R}},G_{2,\mathbf{R}\mathbf{R}\mathbf{R}}].$
- Solvable with impurity model

$$S_{imp}^{\text{Quadrilex}} = -\int d\tau d\tau' c_{\sigma}^{\dagger}(\tau) \mathcal{G}^{-1}(\tau - \tau') c_{\sigma}(\tau') + \frac{1}{2} \int d\tau_1 d\tau_2 d\tau_3 d\tau_4 \mathcal{U}_{\sigma_1 \sigma_2 \sigma_3 \sigma_4}(\tau_1, \tau_2, \tau_3, \tau_4) c_{\sigma_1}^{\dagger}(\tau_1) c_{\sigma_2}(\tau_2) c_{\sigma_3}^{\dagger}(\tau_3) c_{\sigma_4}(\tau_4)$$

DFA vs Quadrilex

- DFA Toschi et al. 2007
 No renormalisation of the interaction in the impurity model
- Both Quadrilex/DFA are (in theory) cluster-controlled.



Ladder DFA

A. Toschi et al (2007) Rohringer et al. Rev. Mod. Phys 90 025003 (2018)

- Solving Parquet equations is hard ! (not a matrix equation).
- In most cases, further approximation are made.
 - Ladder DGA
 - Approximation on the irreducible vertex in PH channel only Γ
 - Solve Bethe-Salpeter in this channel, recompute self-energy

$$\Gamma_{latt} = \Gamma_{imp}$$

- One shot computation (no self-consistency).
- "Moriya" correction (ad-hoc constant to adjust some sum rules)

Weak coupling 2d Hubbard model

- 0.48 T_N^{DMFT} Half filled. 0.40 0.32 DMFT has AF order (mean field). E0.24 0.16 0.08 T_N^{2D} 0.8.0 10.0 2.0 4.0 8.0 12.0 6.0 []
- Correlation length ξ (T) (from a fit of $\chi(q,0)$), U= 2t



Weak coupling Hubbard model



 $Im\Sigma(k,\omega)$ in DFA



F. Simkovic et al.(arXiv:1812.11503)

T. Schaefer et al. PRB 125109 (2015), J. Magn. Magn. Mat. 400, 107(2016) (and in preparation)

Quantum critical points

- 3d Hubbard model.
 QCP destruction of AF by doping
 - Computation of critical exponents
 - Control ? Clusters ?



T. Schaefer et al. Phys. Rev. Lett. 119, 046402 (2017)

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T. Schaefer et al. Phys. Rev. Lett. 122, 227201 (2019) Periodic Anderson model

т

DFA with full parquet equations

Parquet equations are hard to solve.
 Not a matrix equation.
 Limited to small resolution (or small a systems,).

Yang et al. Phys. Rev. E 80, 046706 (2009), Tam et al Phys. Rev. E 87, 013311 (2013) Li et al. Phys. Rev. B 93, 165103 (2016)

- Examples
 - Polariton in strongly correlated systems A. Kauch et al. arXiv:1902.09342
 - "Nanorings" benchmarks



A.Valli et al. PRB 91, 115115 (2015))

• How local is the irreducible vertex ? Or Trilex vertex ?

How local is the vertex ?

(4)

- Some results with cluster DMFT T. Maier et al. PRL. 96, 047005. (2006)
- Nanoring benchmarks : in some cases, strong q dependence. A.Valli et al. PRB 91, 115115 (2015))



Cluster DFA corrections ?

Cluster Trilex Λ

 Non local only at low frequencies



Summary

A family of methods



- Beyond DMFT, a family of approaches with two-particle self-consistency
- Solved with an auxiliary quantum impurity model
- Local approximations for vertex instead of self-energy.
- Controlled by cluster extensions

Perspectives

- Work in progress :
 - More applications
 - Can we control DFA with clusters ?
 - Quadrilex : is it better to have a self-consistent interaction ?

Collaborators



Thomas Ayral







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Thomas Schäfer

Thank you for your attention