


Intermediate and Deep Earthquakes: Observation and Modeling

Deep earthquakes in subducting slabs hosted in highly anisotropic rock fabric

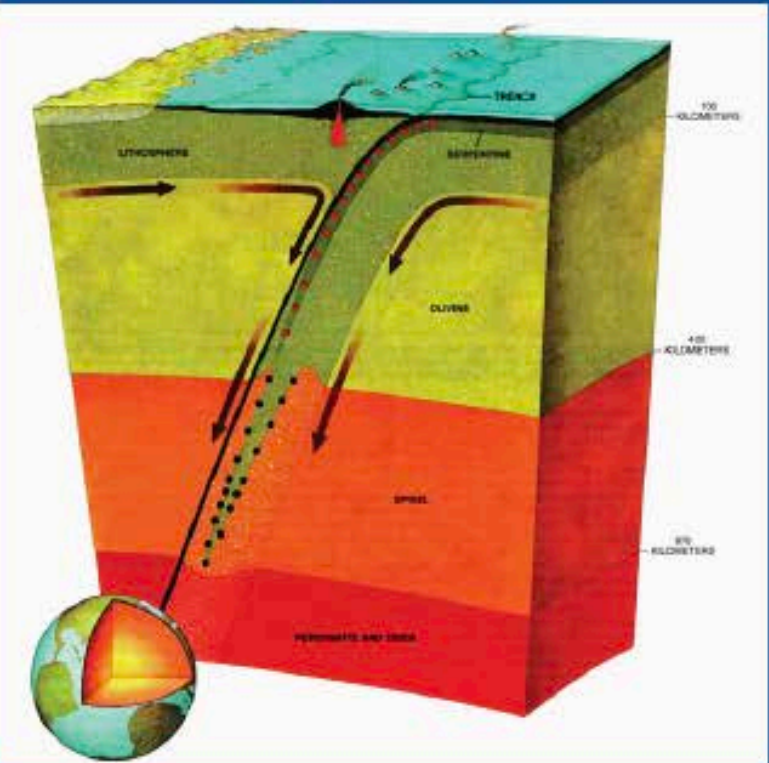
Yingcai Zheng

Nov 20, 2018

with **Jiaxuan Li, Leon Thomsen, Thomas Lapen, Xinding Fang**



COLLÈGE DE FRANCE
1530



CHAIRE DE PHYSIQUE DE L'INTÉRIEUR DE LA TERRE
Année académique 2017-2018

Pr Barbara ROMANOWICZ

**Intermediate and Deep Earthquakes:
Observation and Modeling**

Colloque en anglais - Workshop in English
co-organised with Alexandre Schubnel, ENS de Paris

Monday 19 November and Tuesday 20 November 2018



UNIVERSITY of
HOUSTON



Problem

A large number of deep earthquakes (depth $> \sim 60\text{km}$) produce non-double-couple components (NDCC) for radiated seismic waves. WHY?

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A large number of deep earthquakes (depth > ~60km) produce non-double-couple components (NDCC) for radiated seismic waves. WHY?

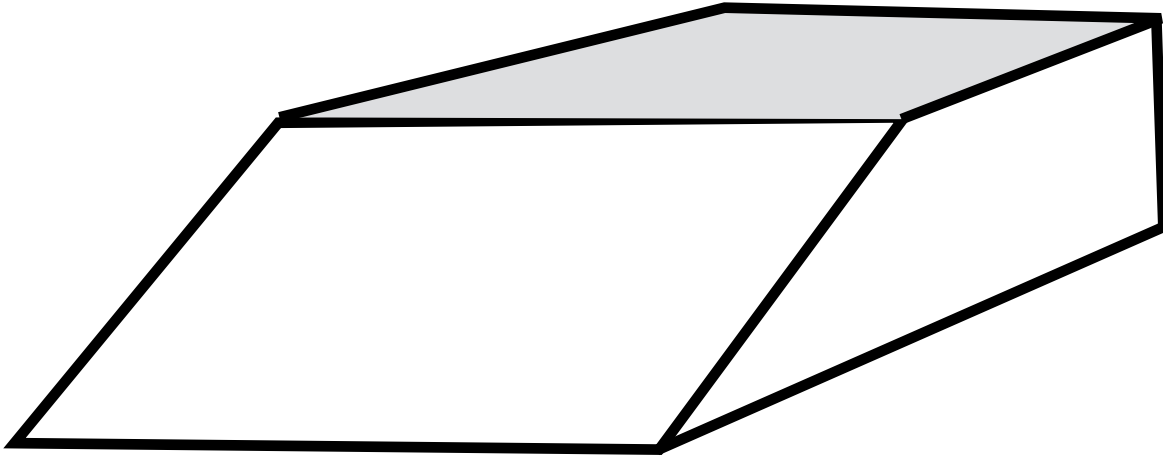
(e.g., Houston 2007TOG; Knopoff and Randall 1970; Kuge and Kawakatsu 1990, 1992, 1993; Kuge and Lay 1994a,b; Frohlich 1994, 1995; Julian et al., 1998; Richardson and Jordan, 2002BSSA; Vavrycuk 2004, 2006; Okal et al., 2018; Romanowicz, 2018; Li et al., 2018)

Problem

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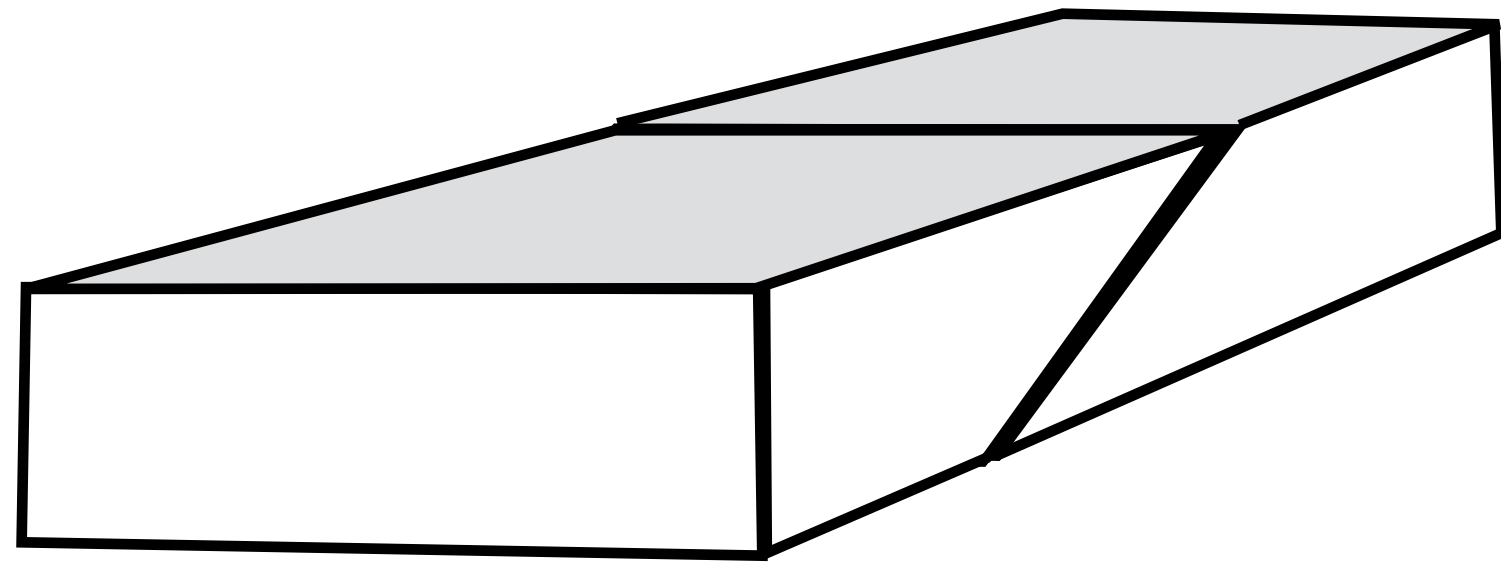
Shear Slip + **Isotropic** Medium =

Problem



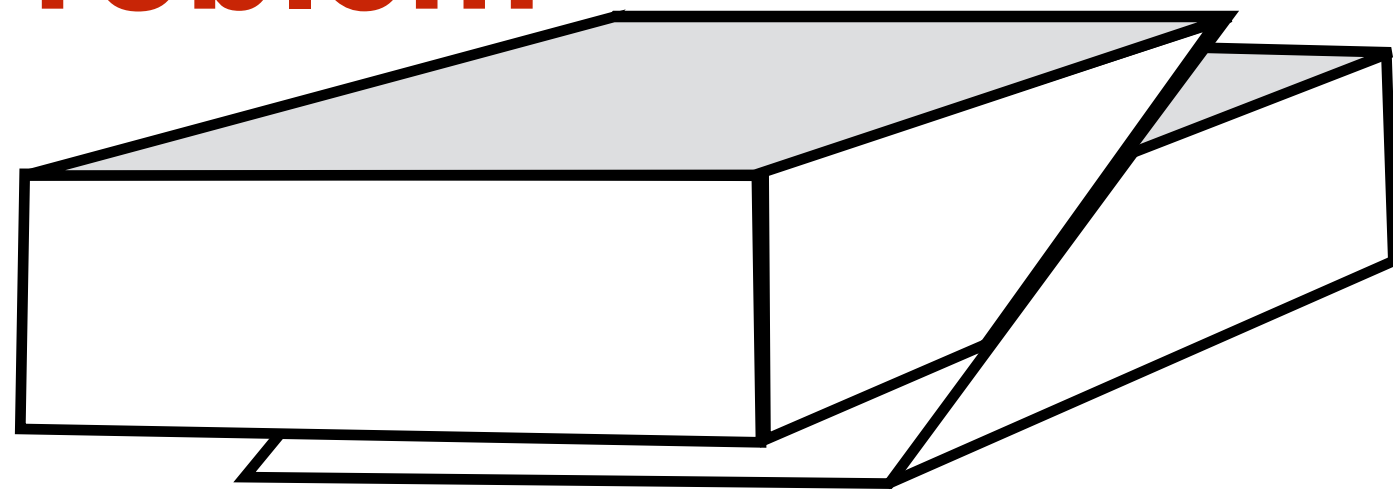
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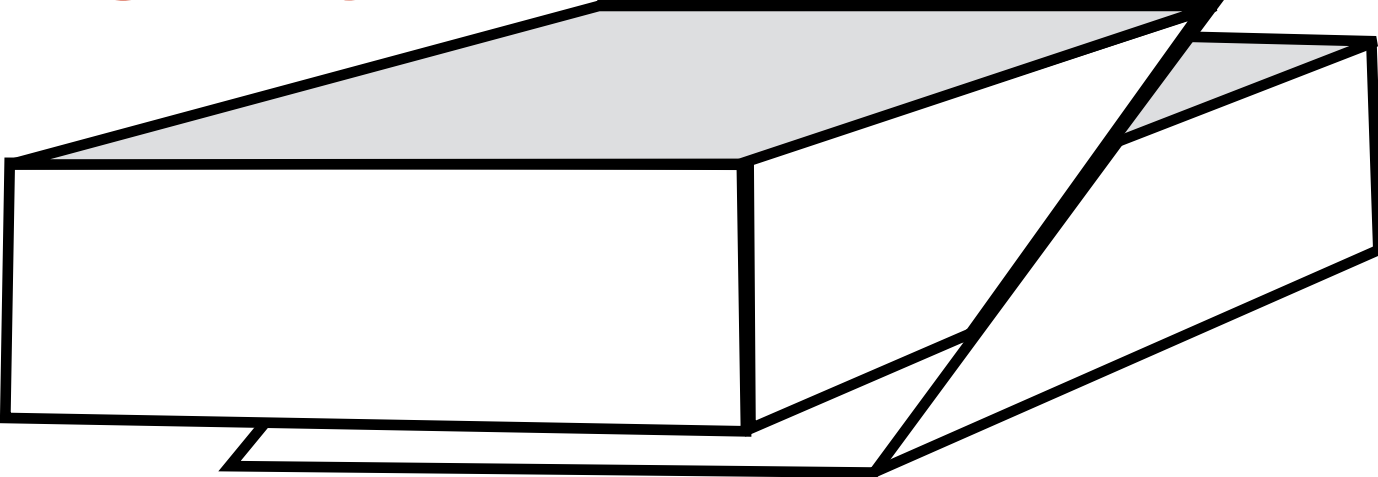
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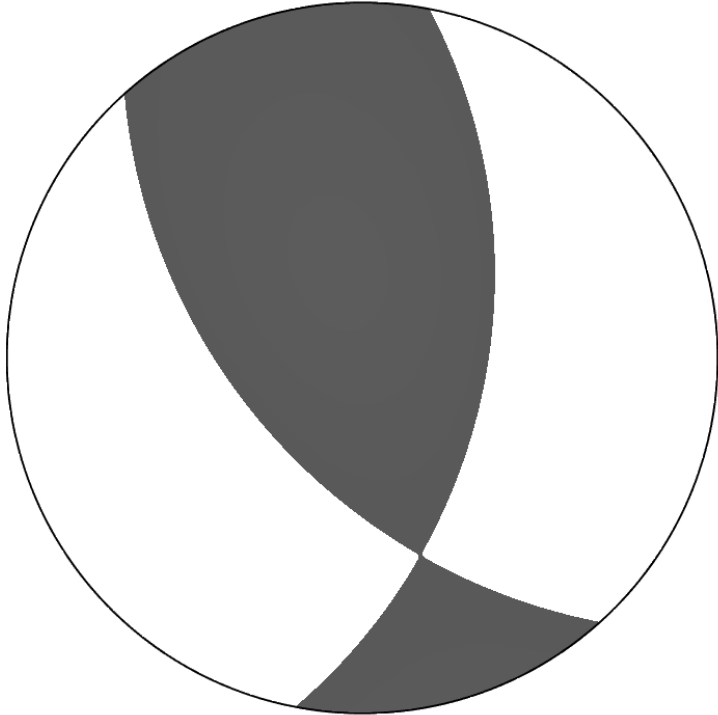


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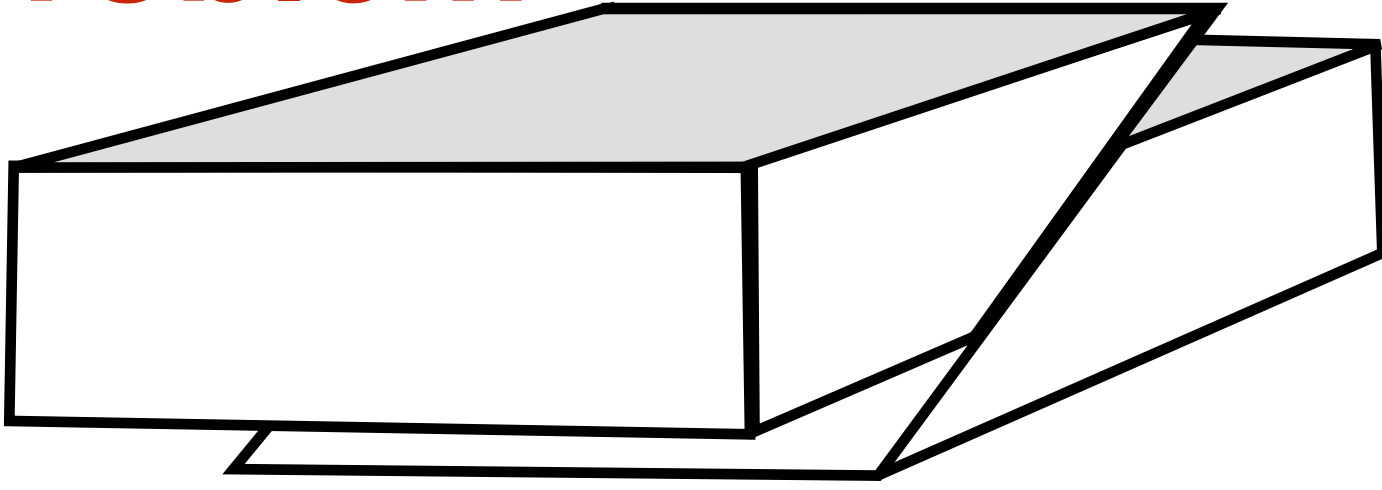


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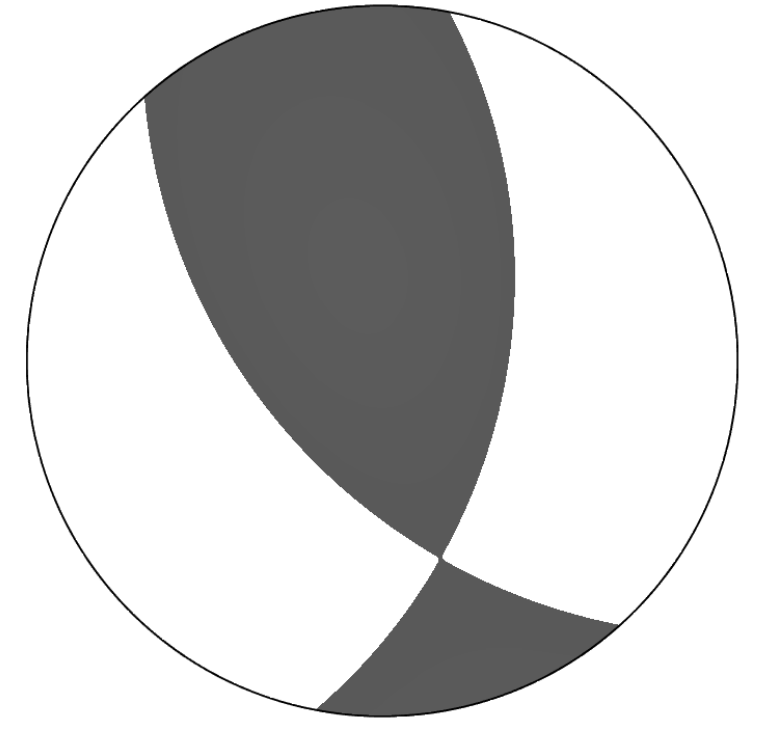


(Double Couple)

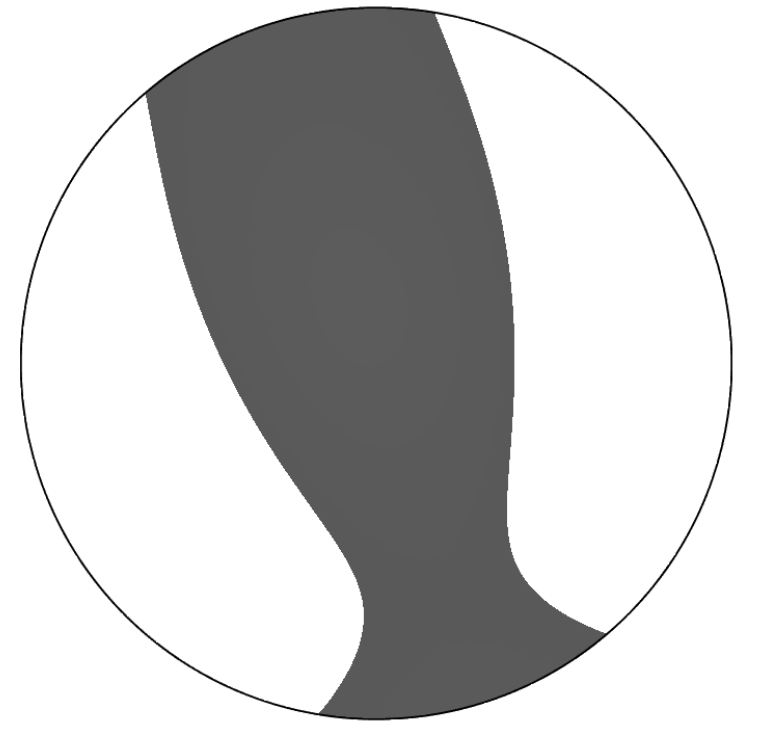
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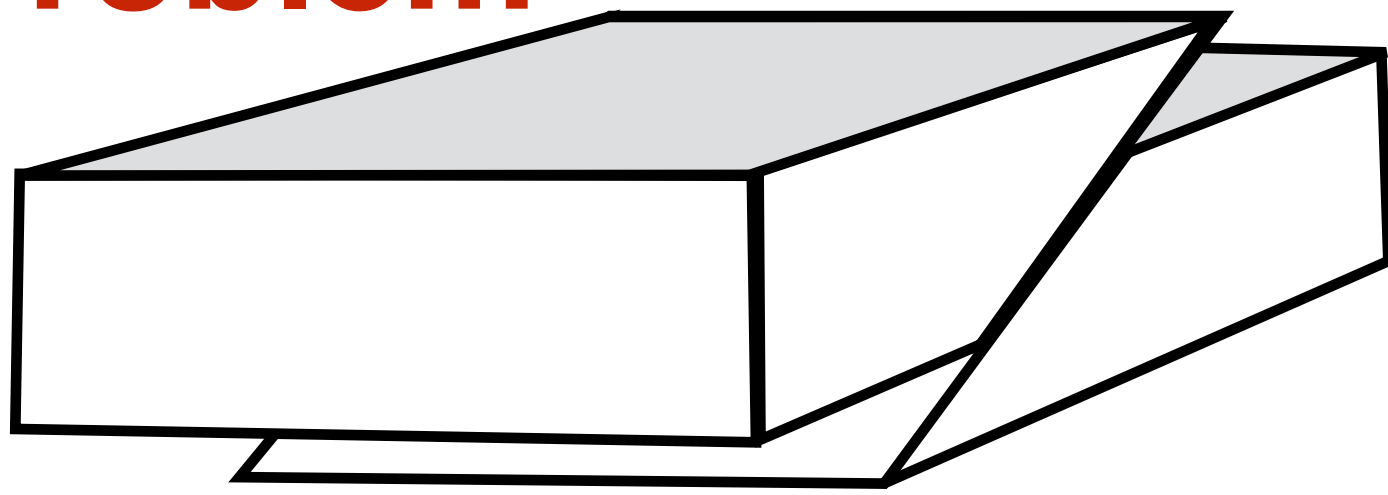


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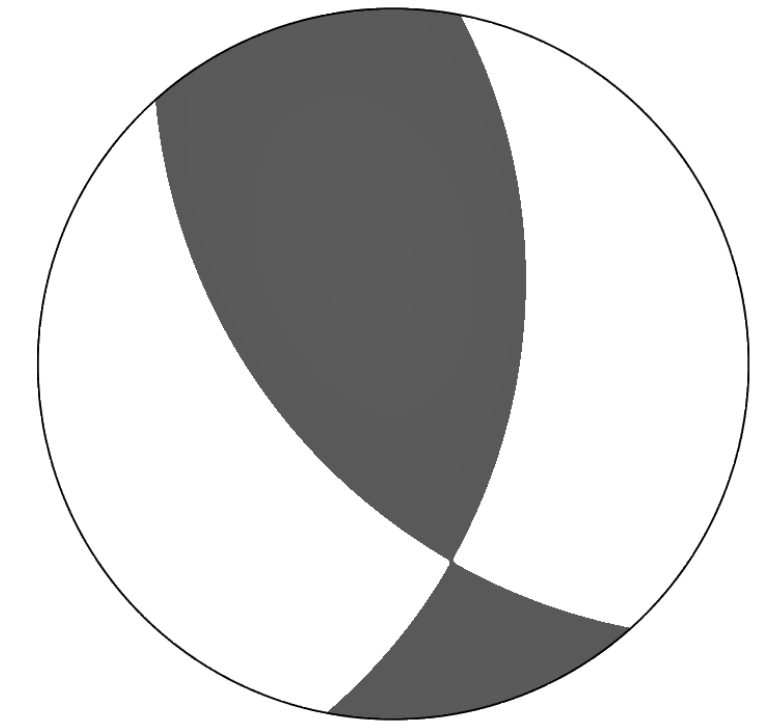


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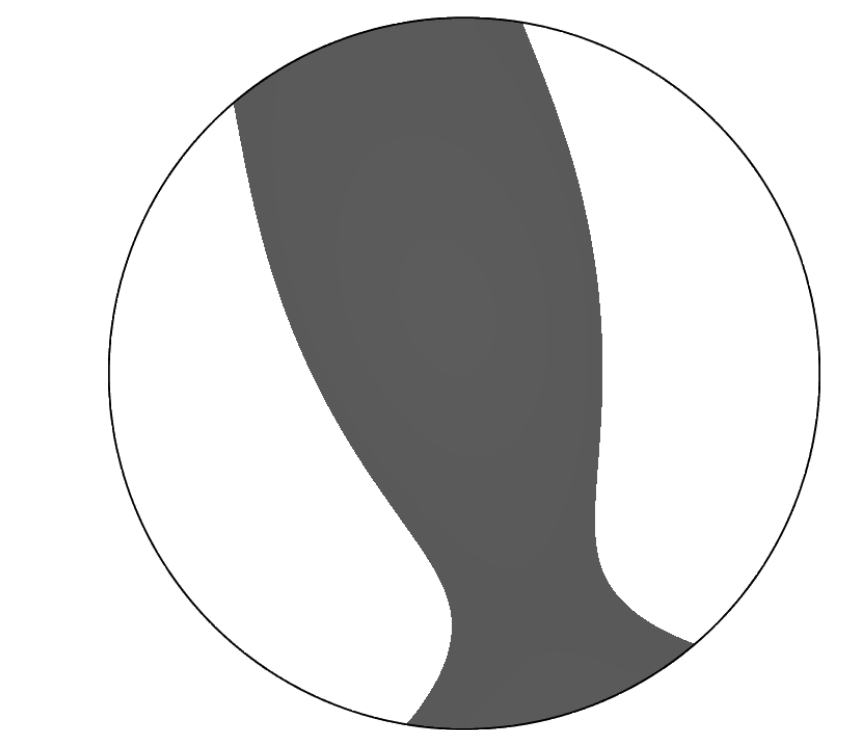
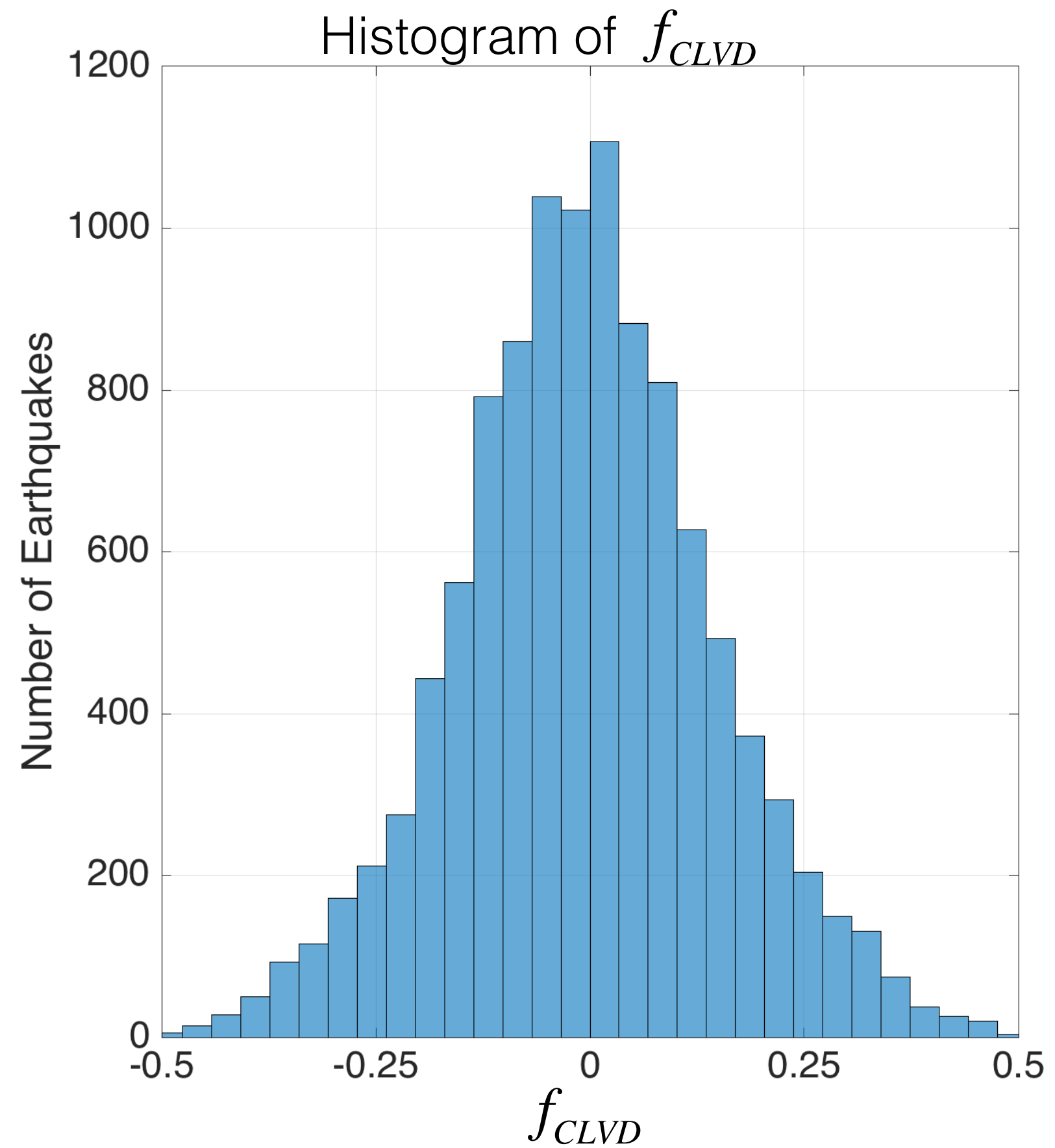
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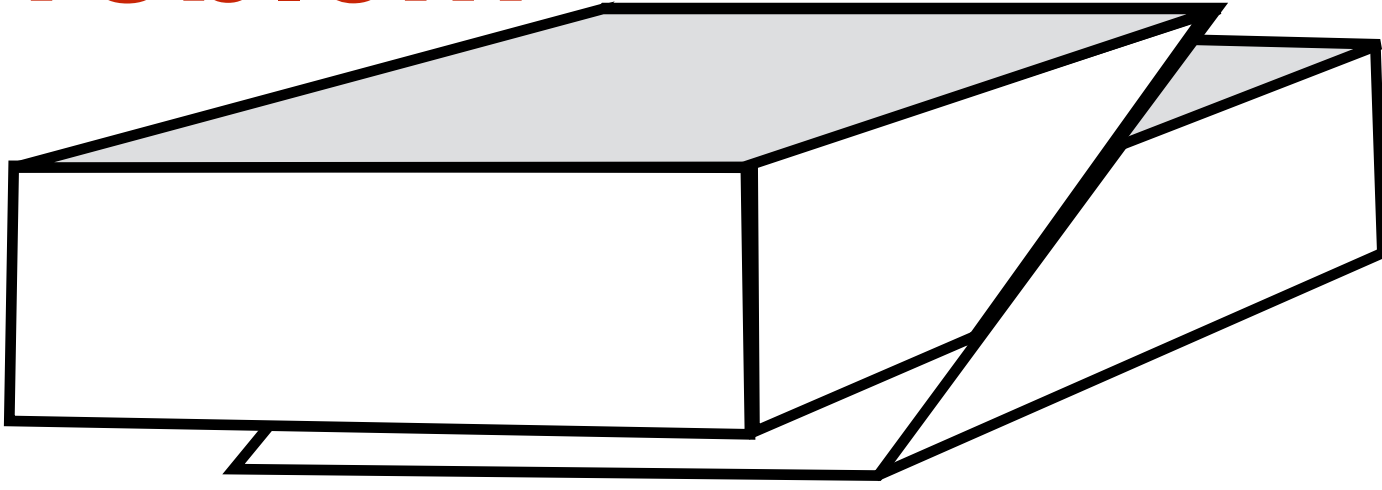


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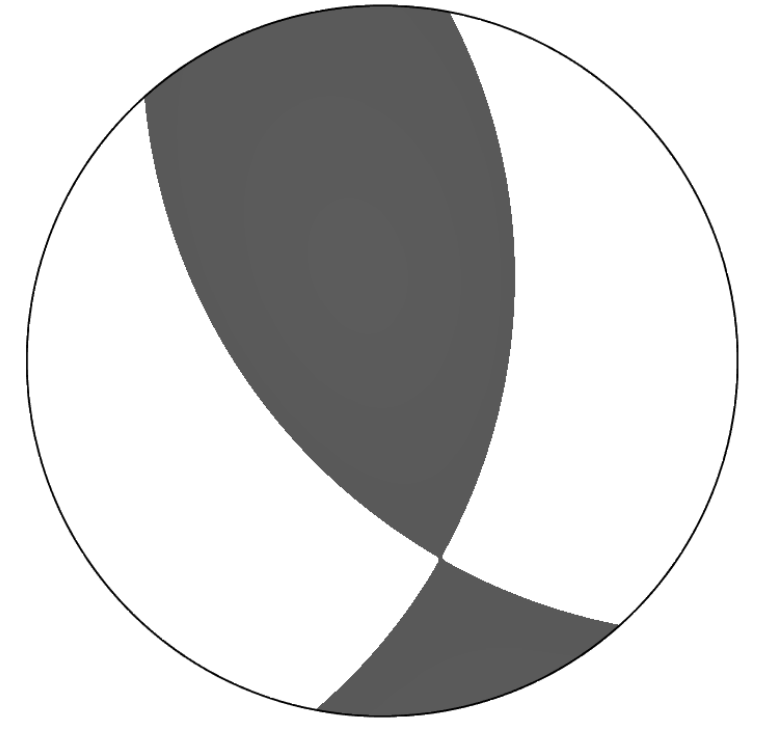


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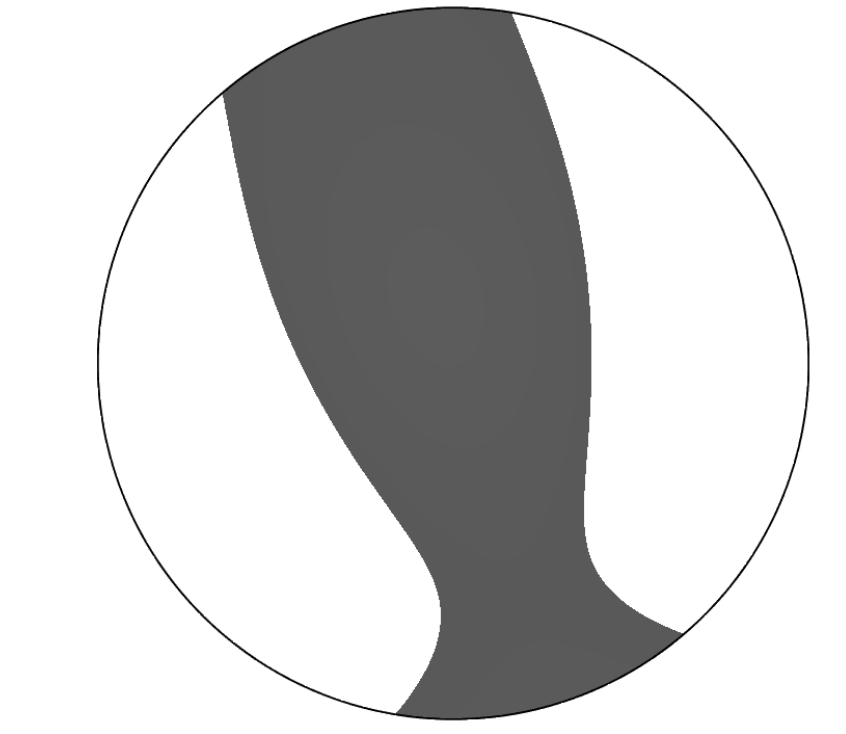
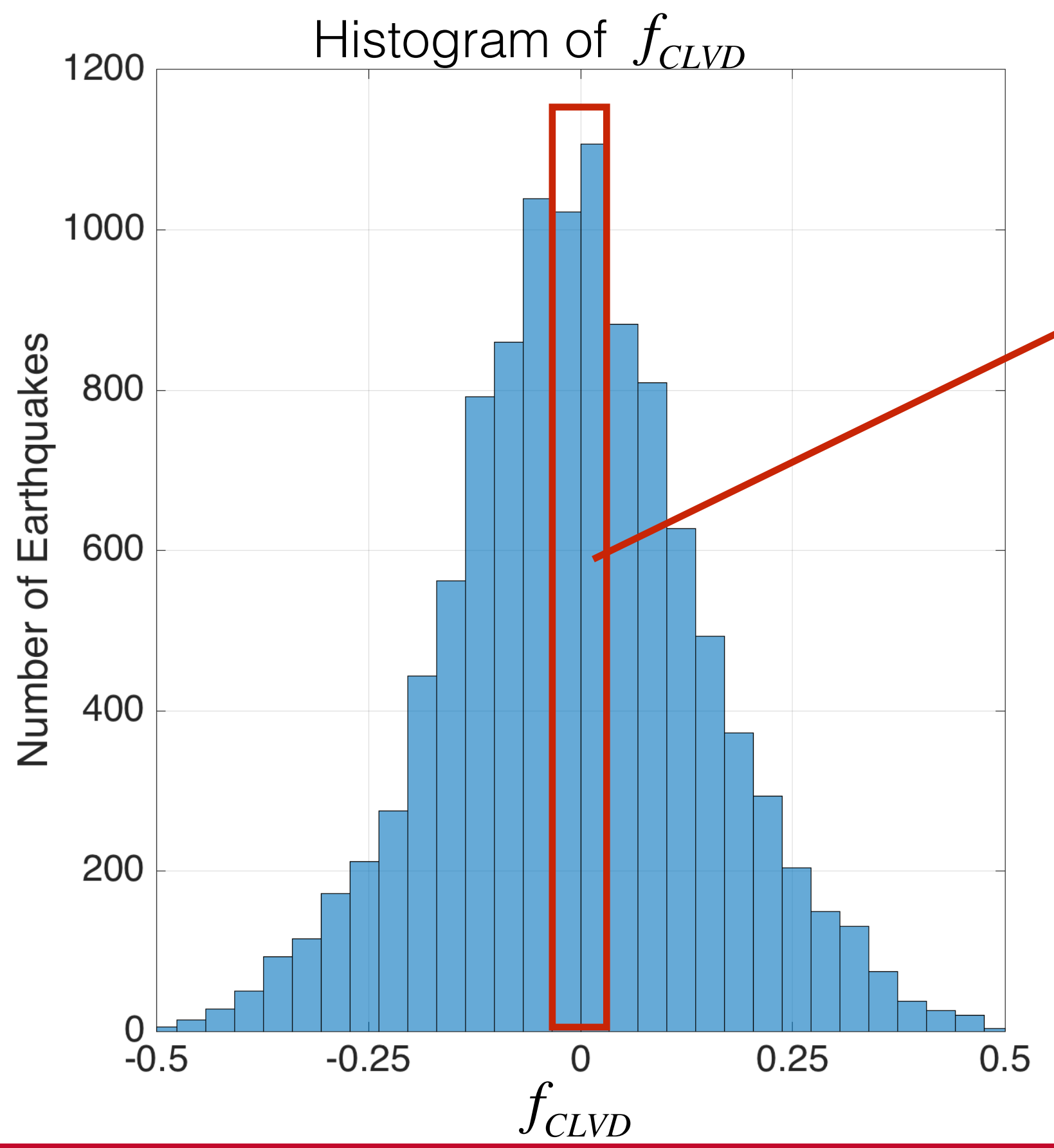
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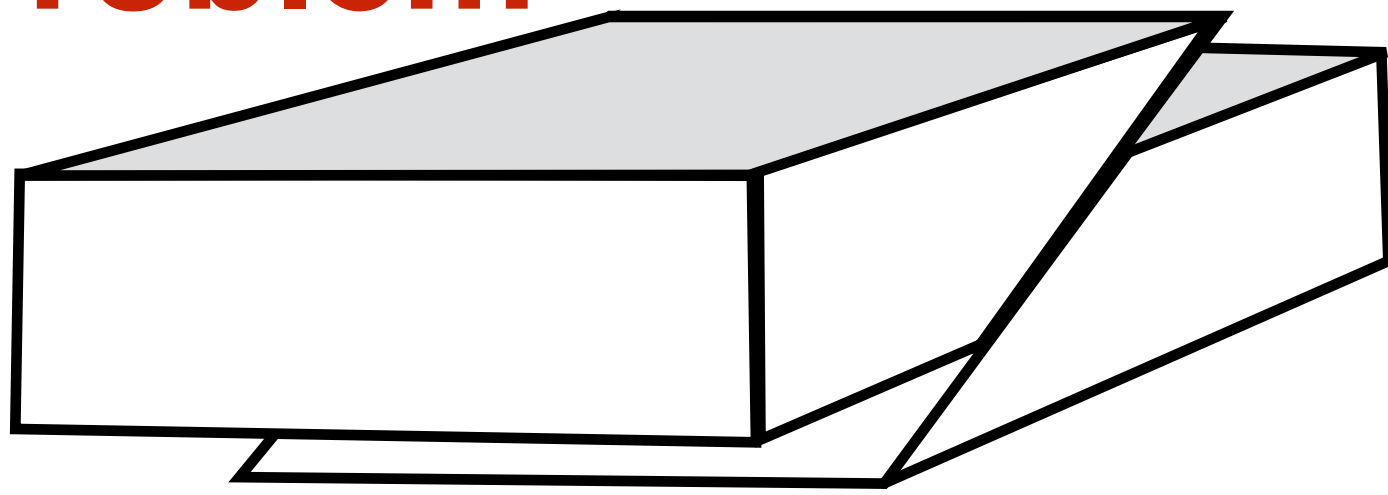


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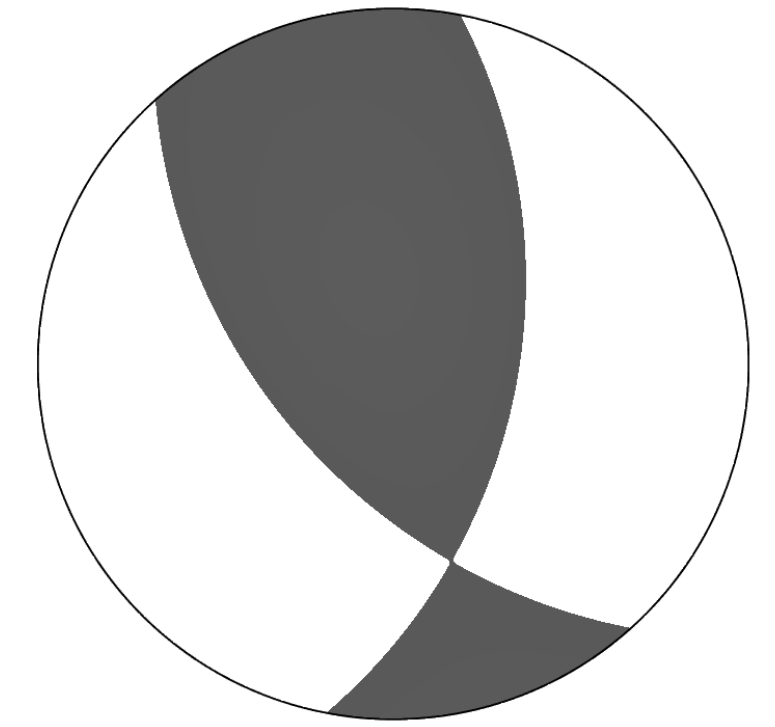


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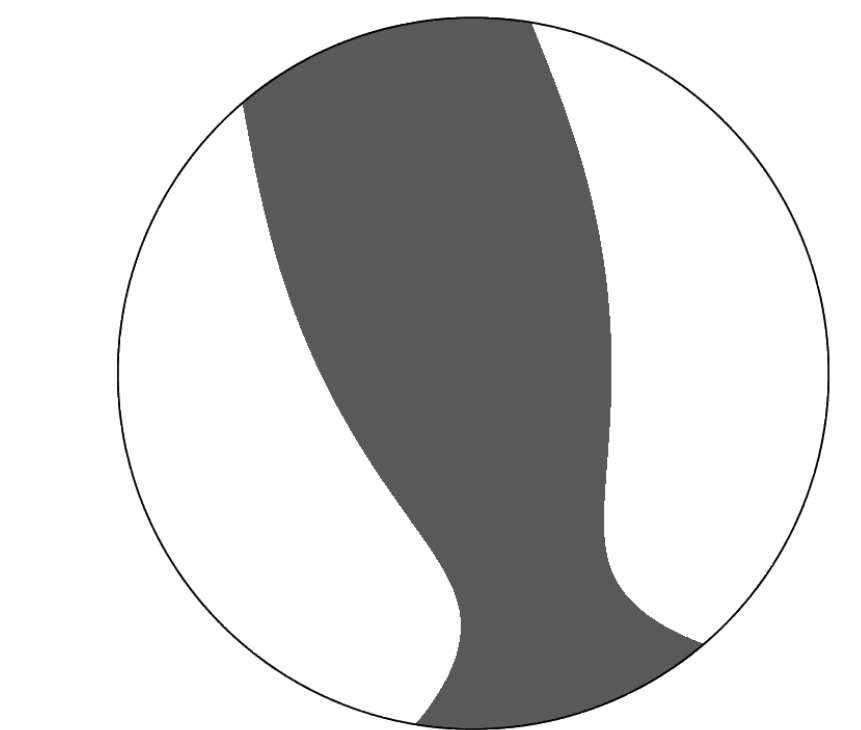
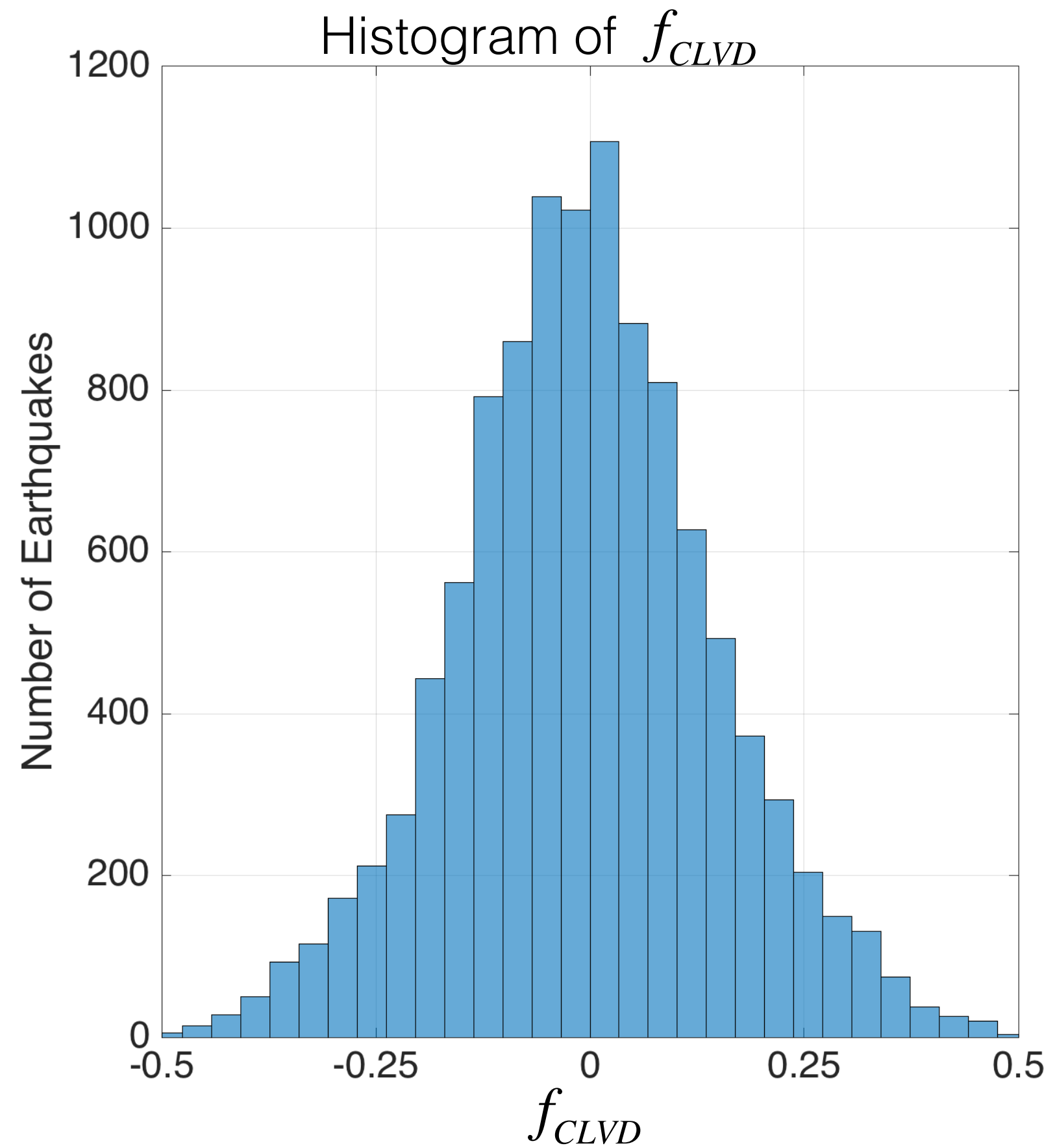
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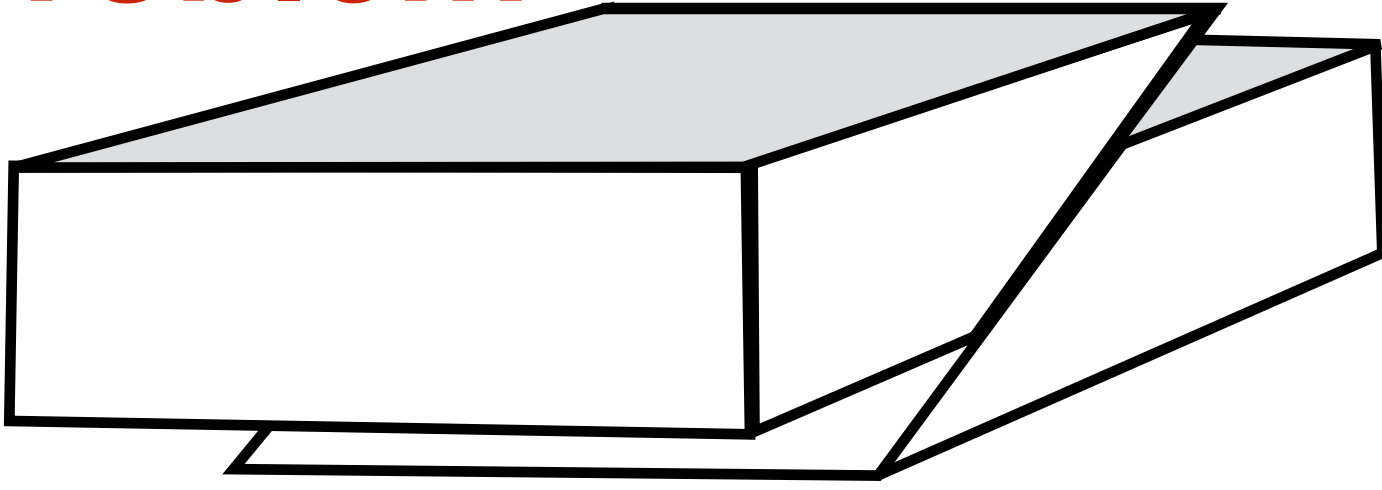


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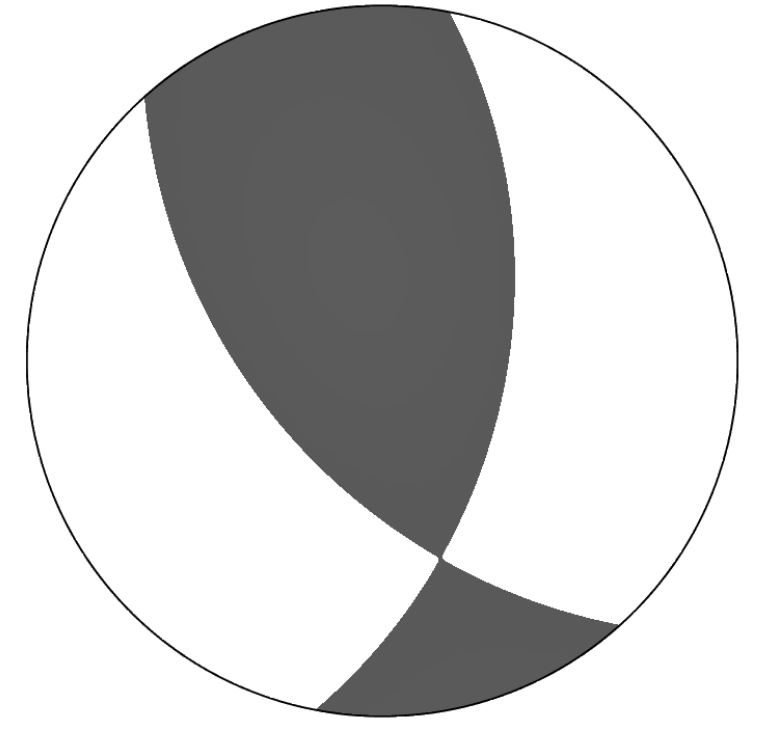


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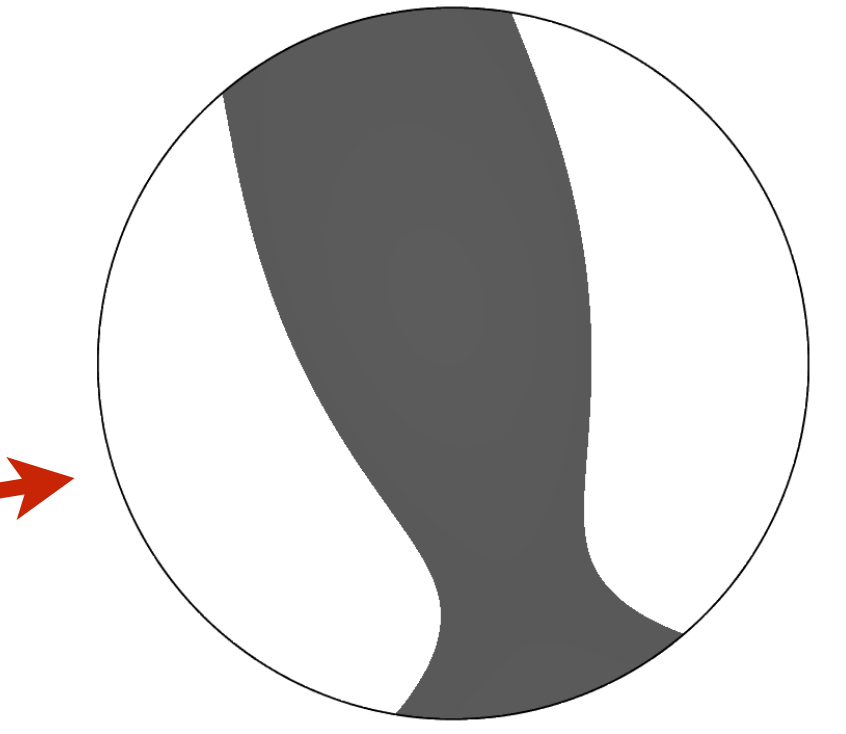
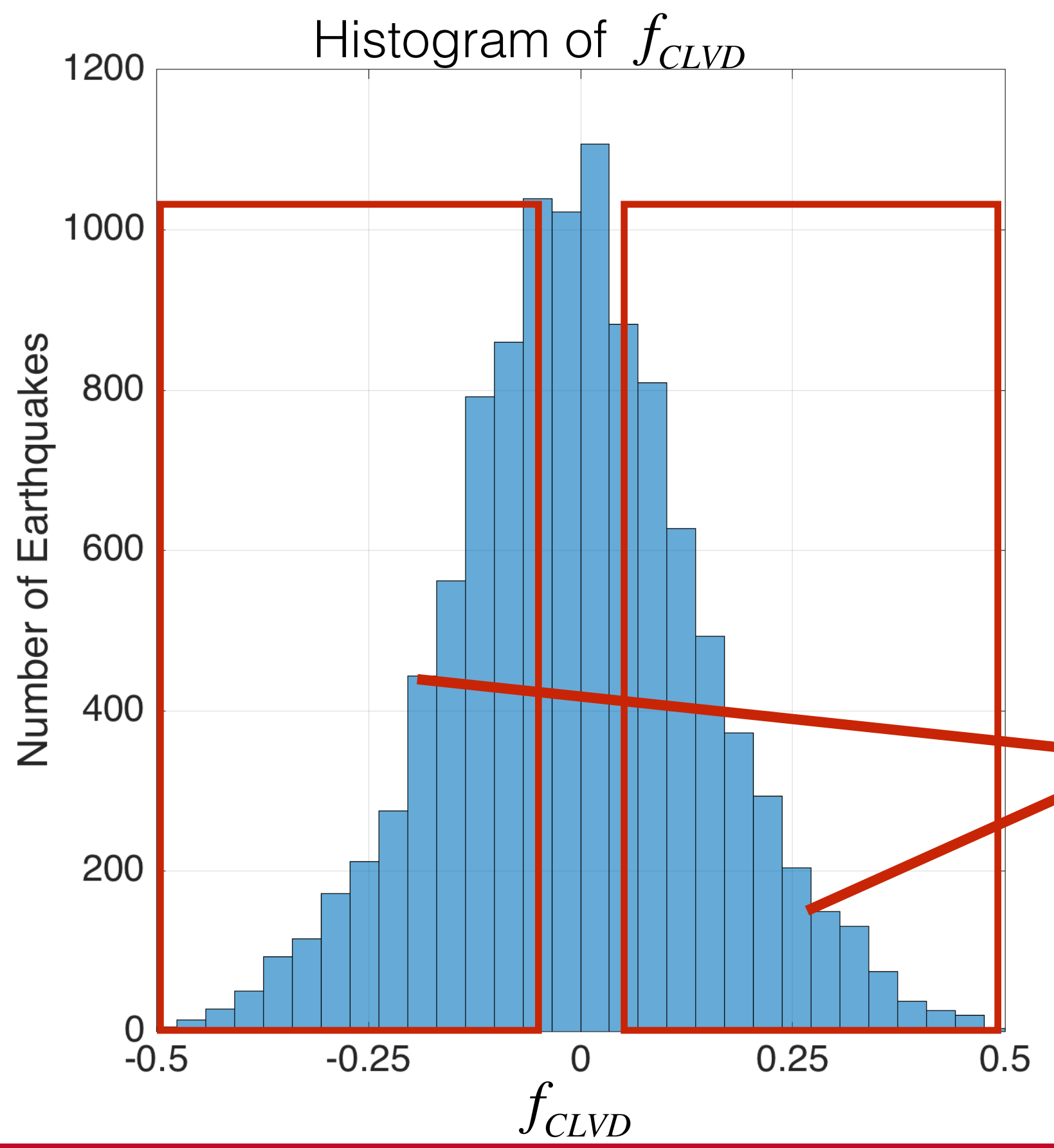
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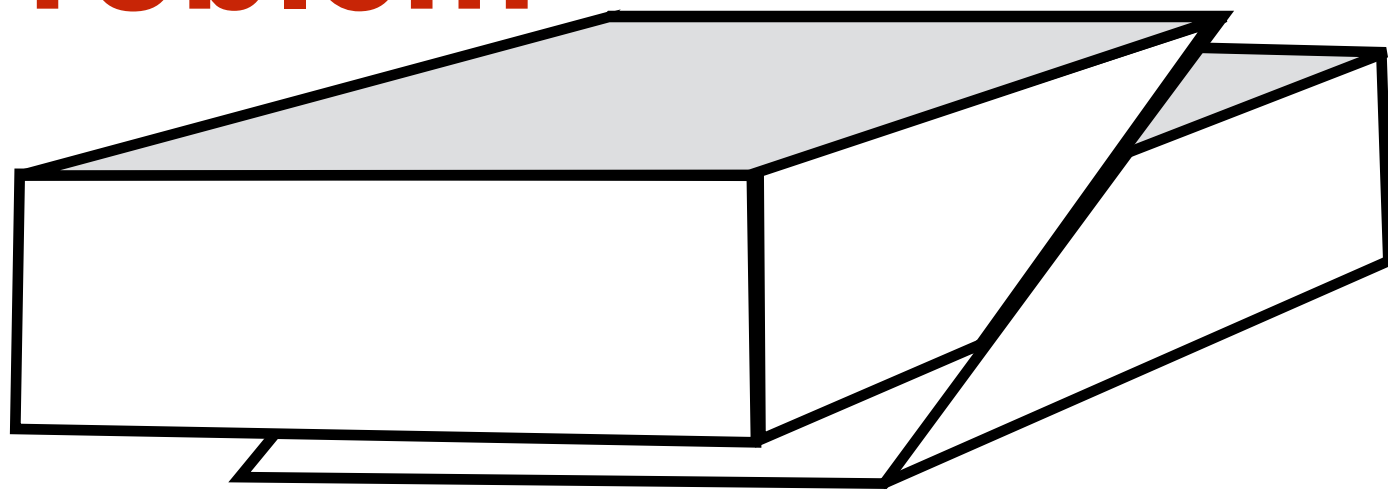


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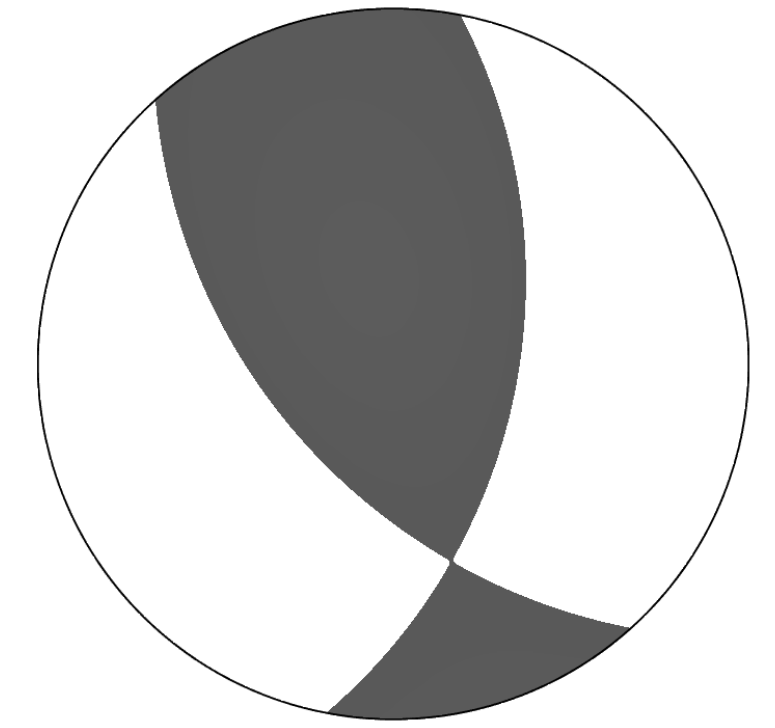


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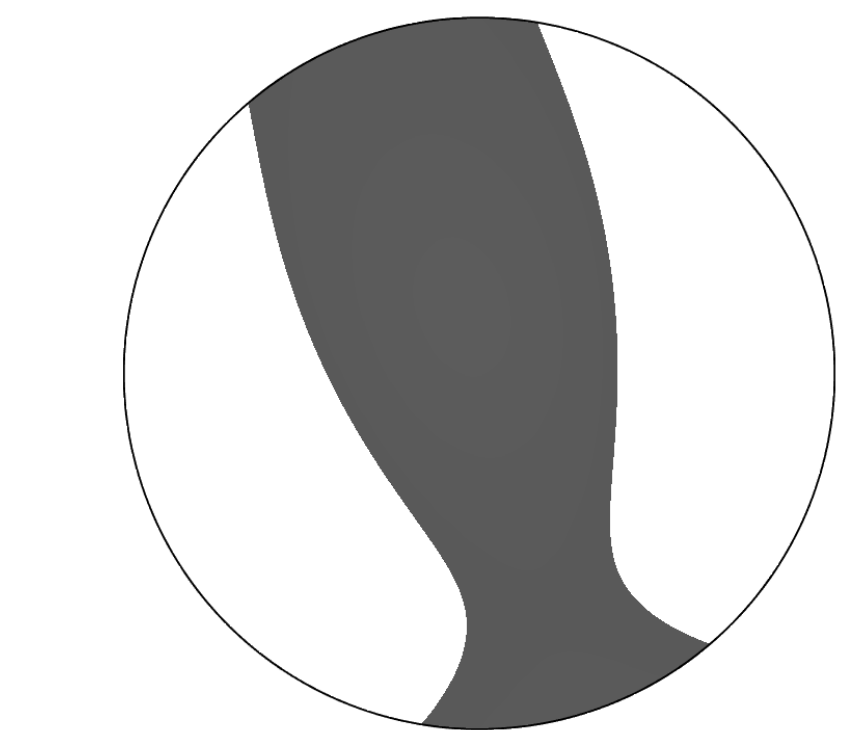
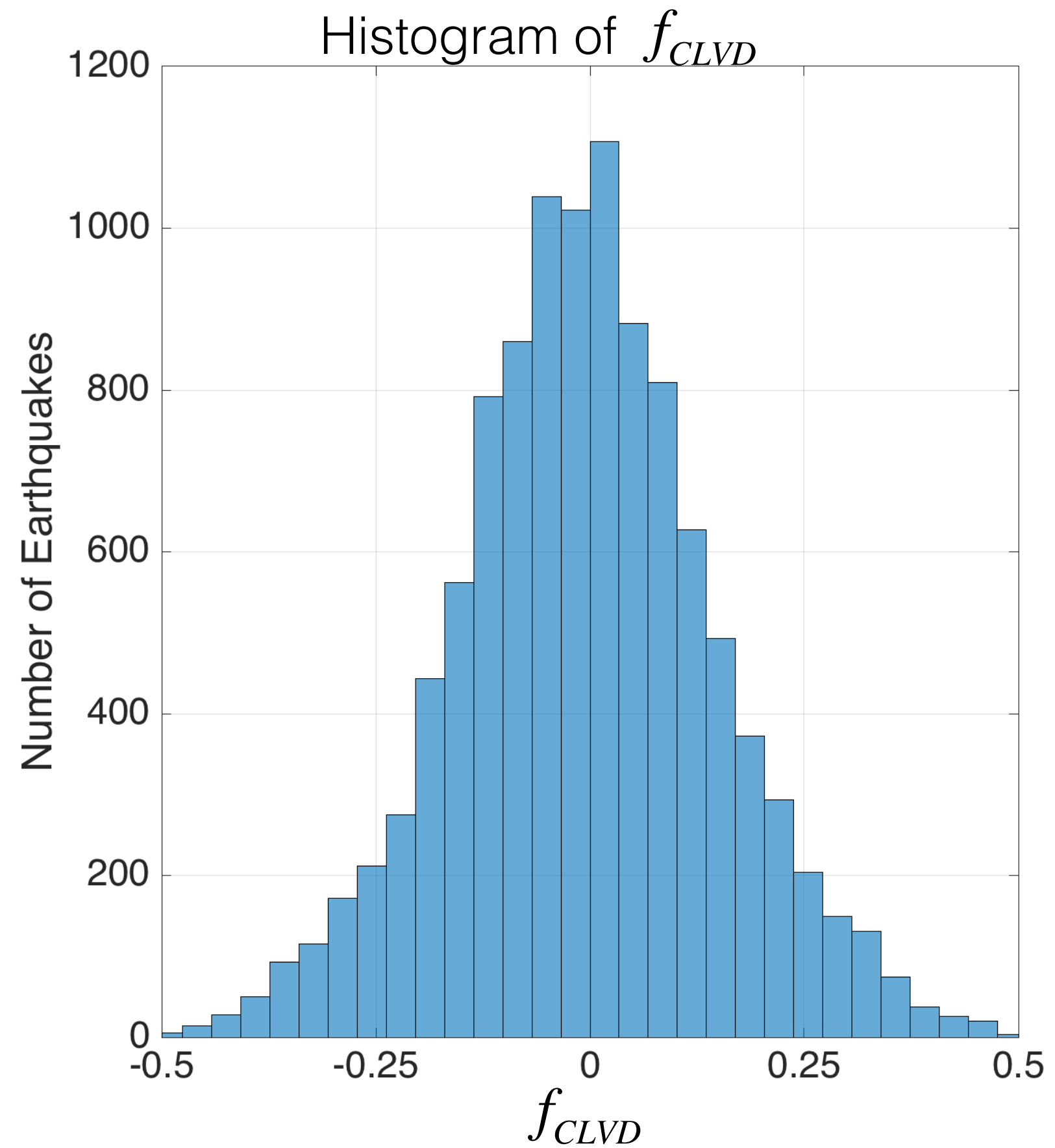
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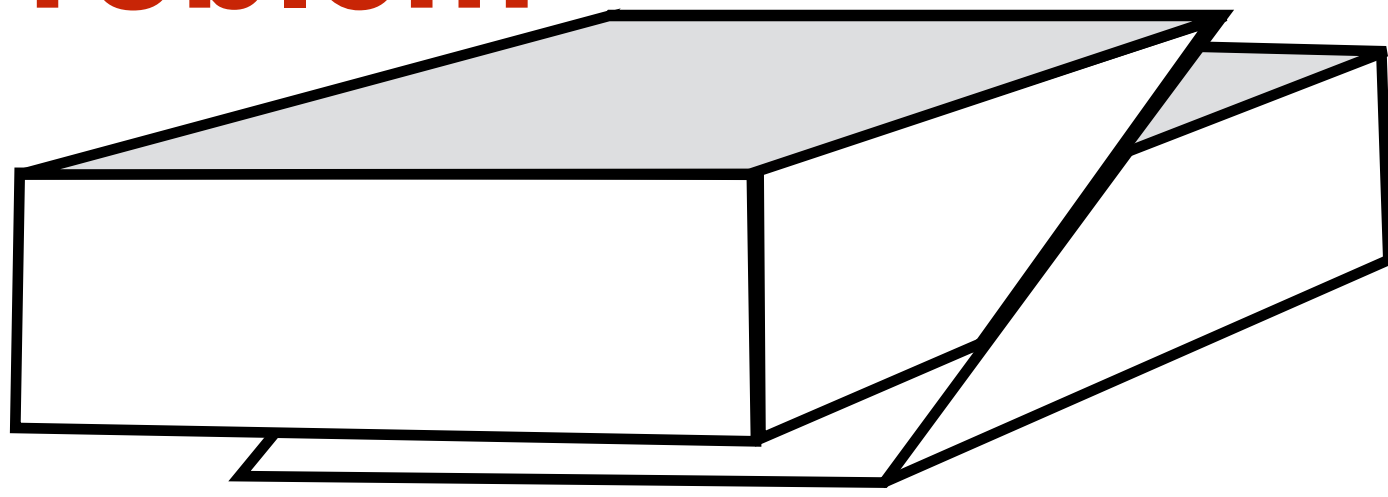


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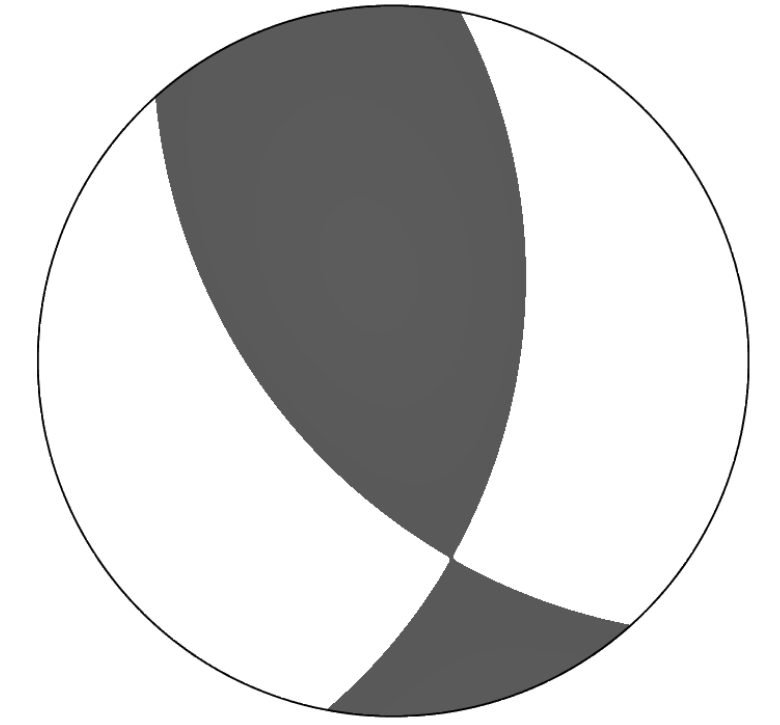


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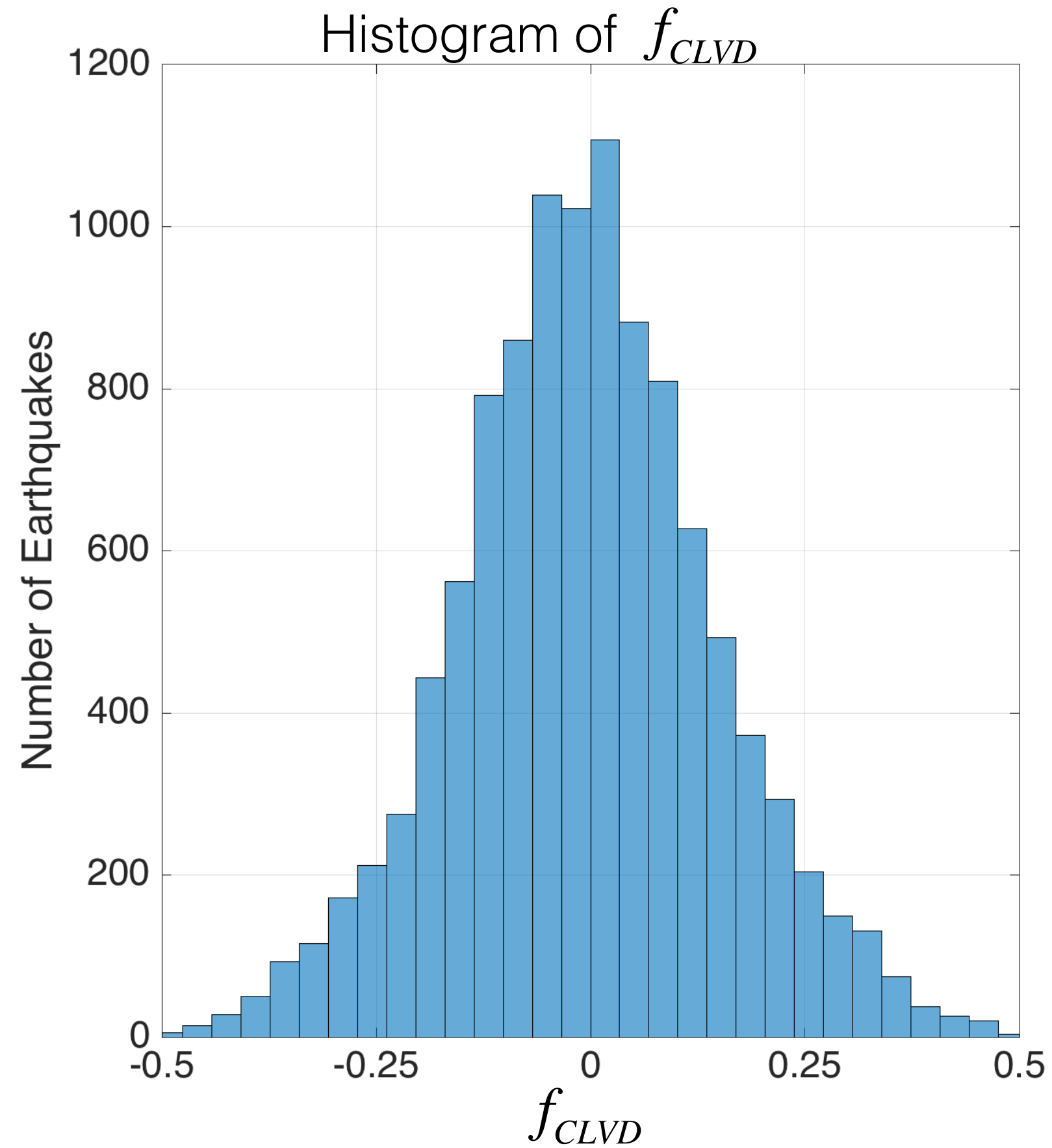
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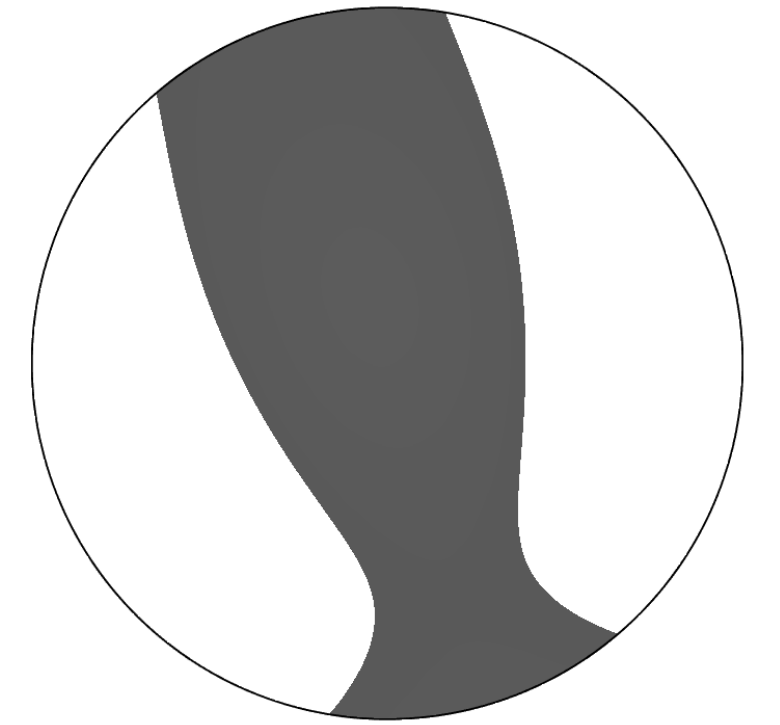
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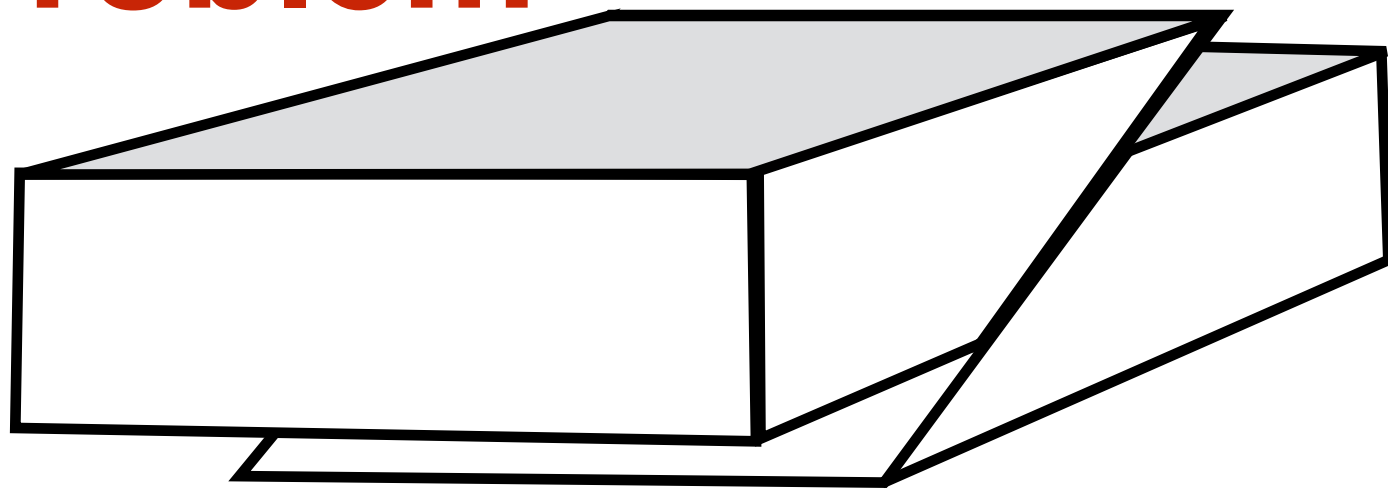


What Produces

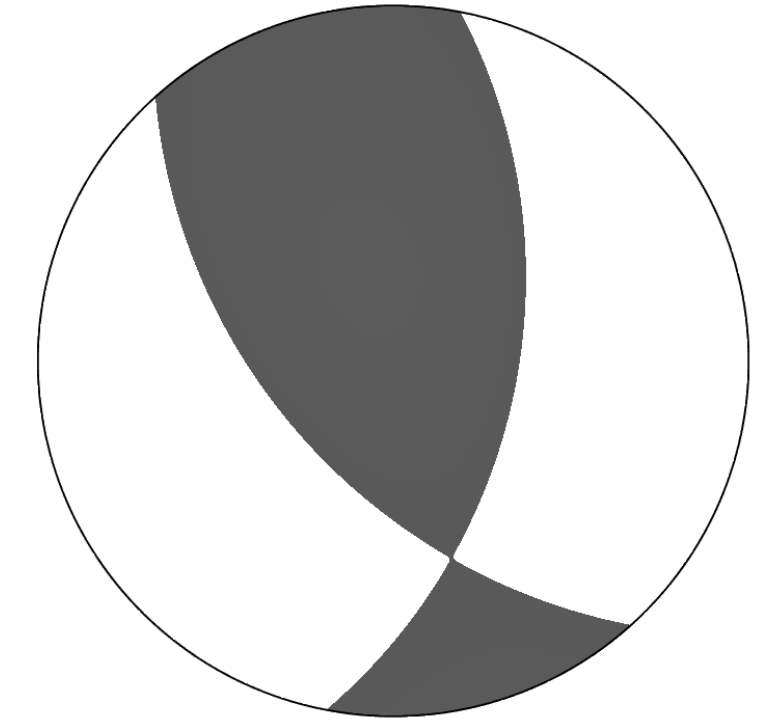


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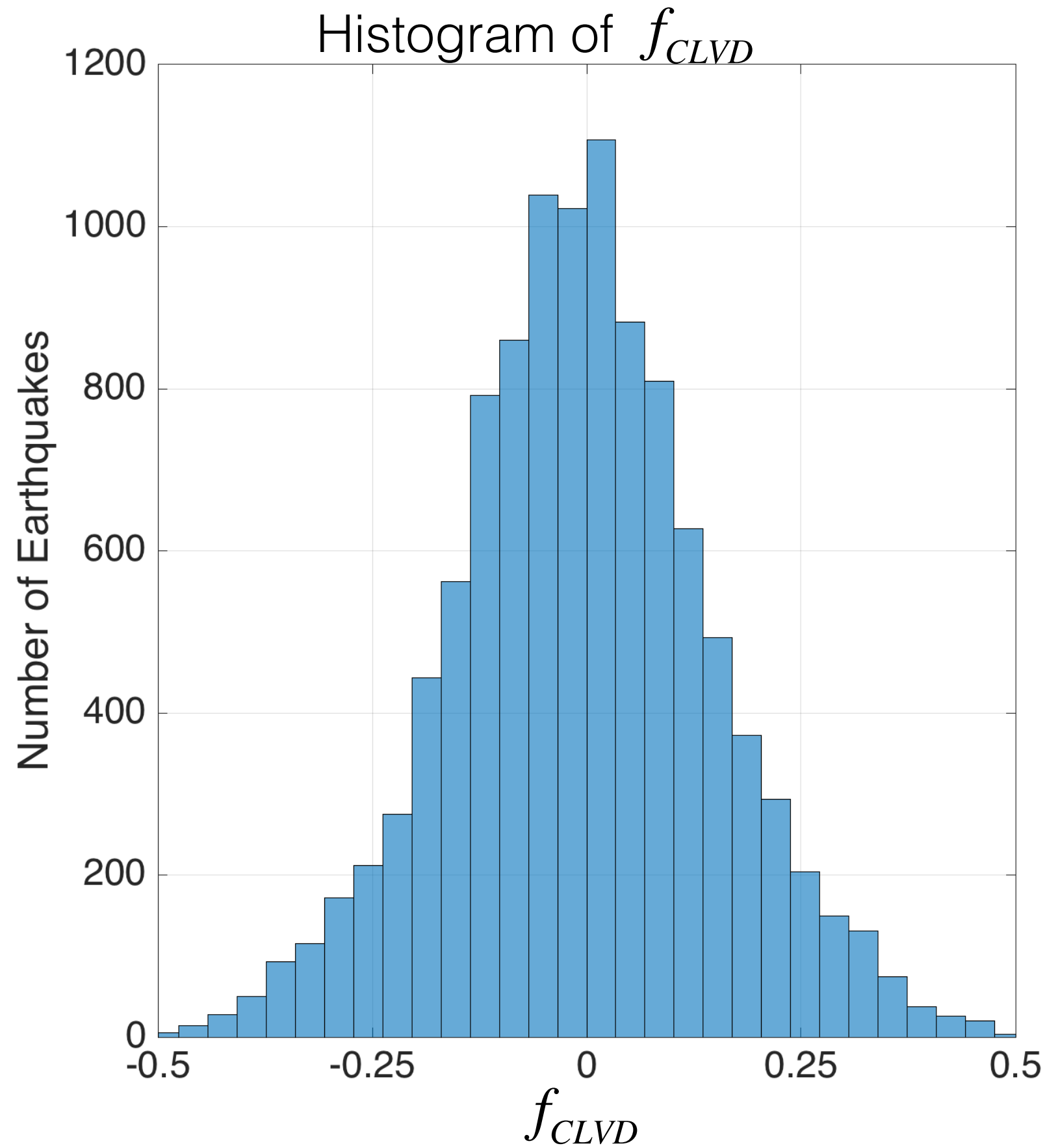
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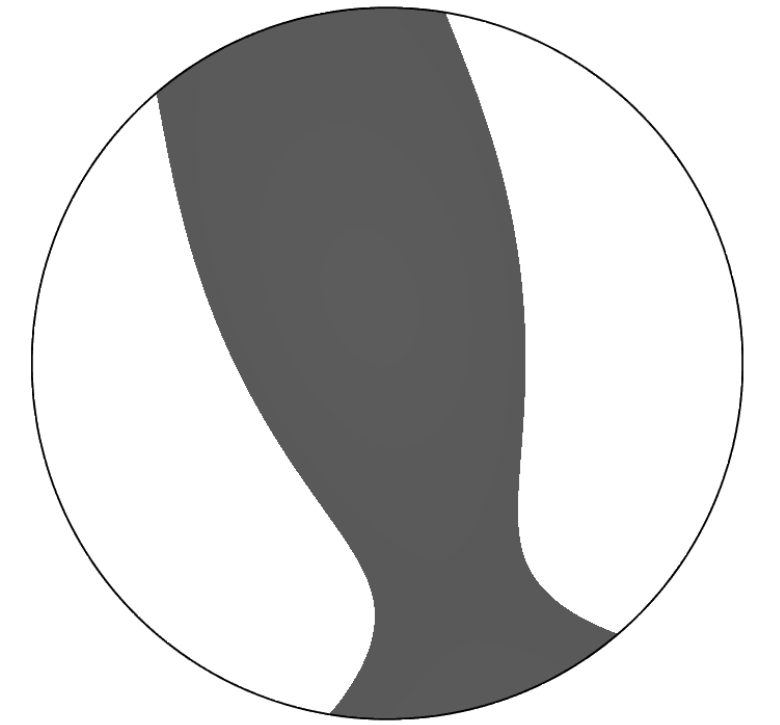
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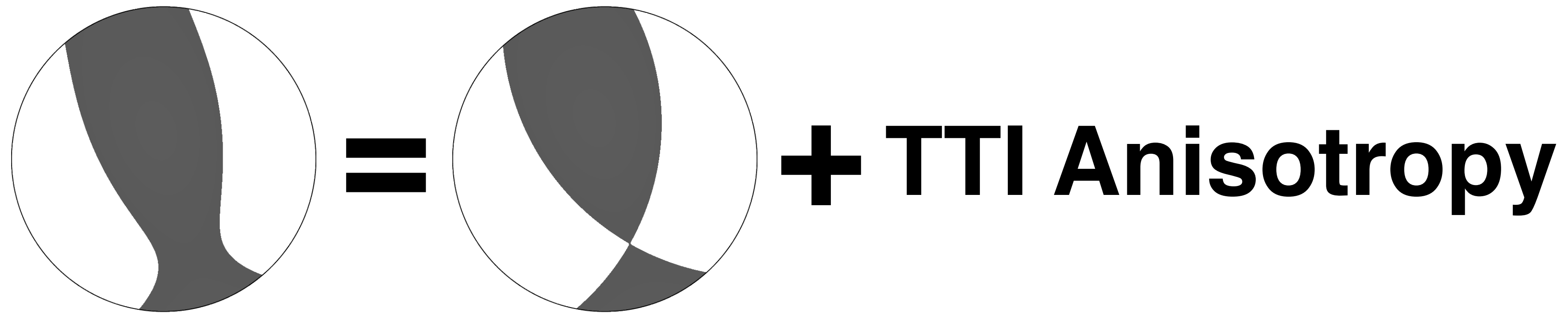
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(Knopoff and Randall 1970; Kuge and Kawakatsu 1993; Kuge and Lay 1994; Frohlich 1994 Science; Julian et al., 1998; Vavrycuk 2004,2006; Li et al., 2018)

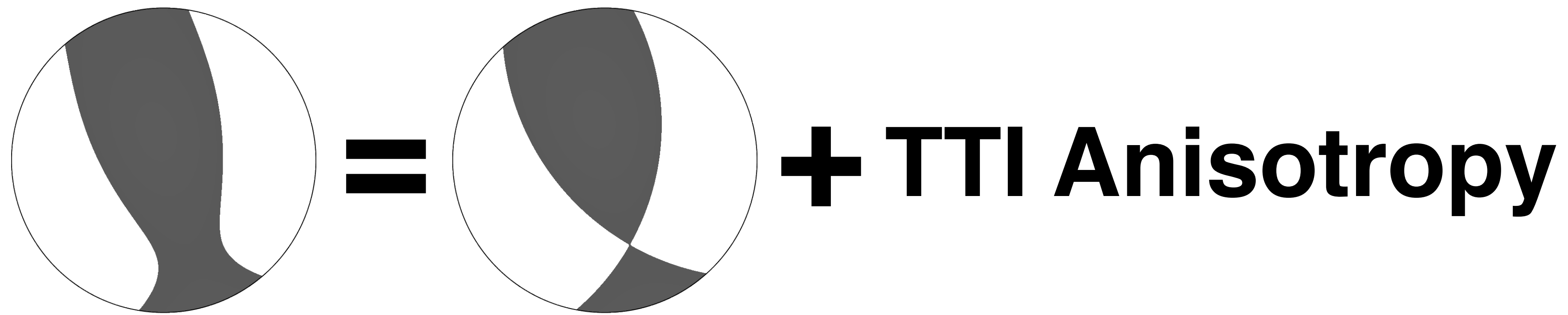
Proposed explanations

- sources are different such as implosion (e.g., Okal et al. 2018)?
- multi-faulting - rupture on nonplanar fault (e.g., Kuge et al. 1990, 1992, 1993, 1994a,b)
- 3D path effect
- slab structure effect, high-velocity core, slow MOW
- station coverage effect
- anisotropy outside of the slab
- anisotropy around the EQs in the slab (e.g., Vavrycuk 2004, 2006; Li et al., 2018natgeo)

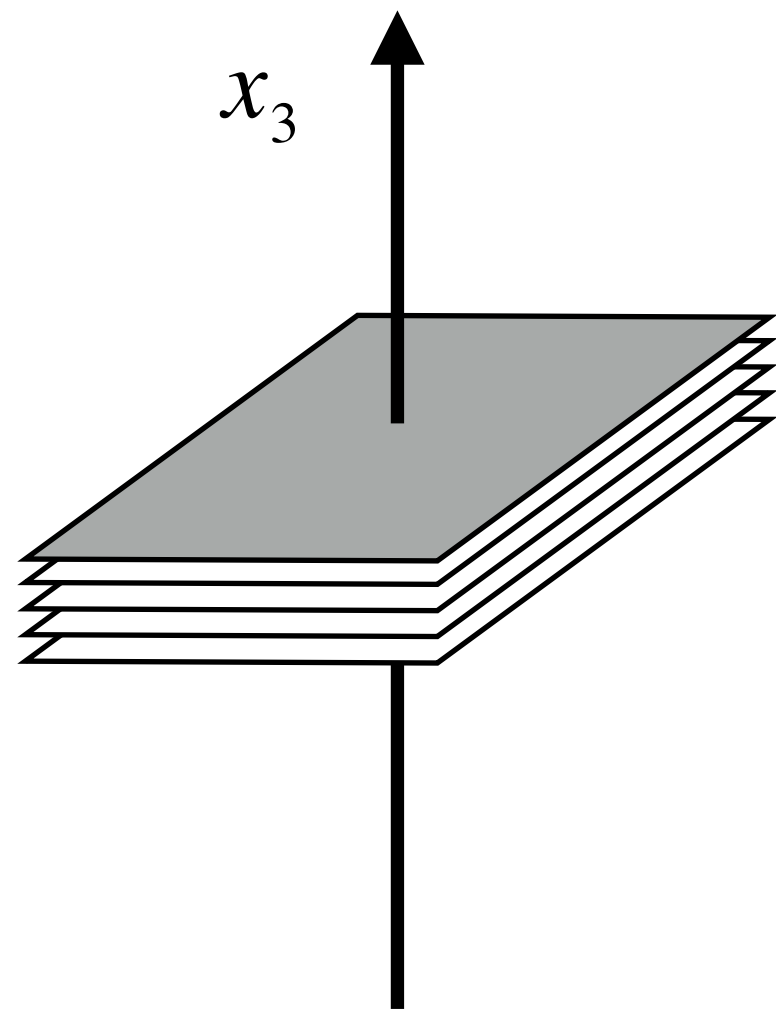
Hypothesis: non-DC radiation patterns = DCs + a common medium anisotropy?



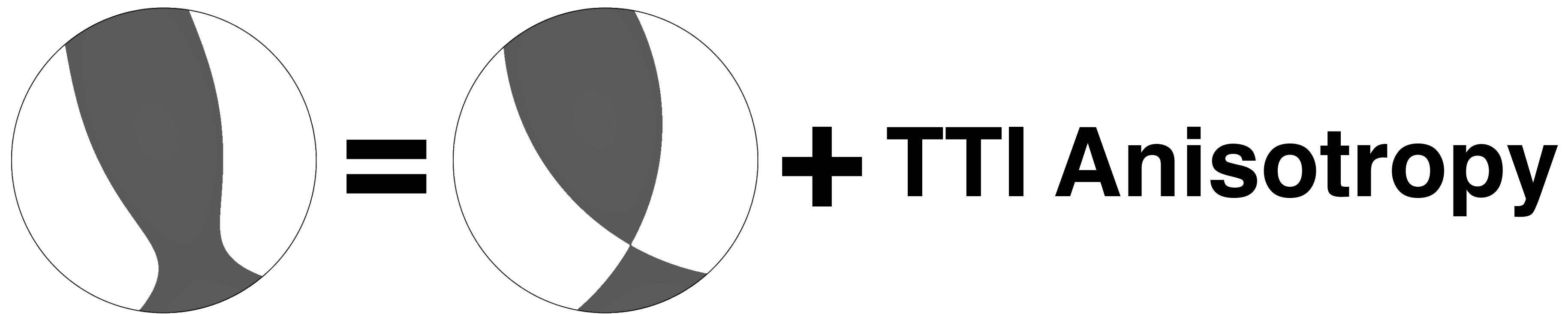
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VTI:



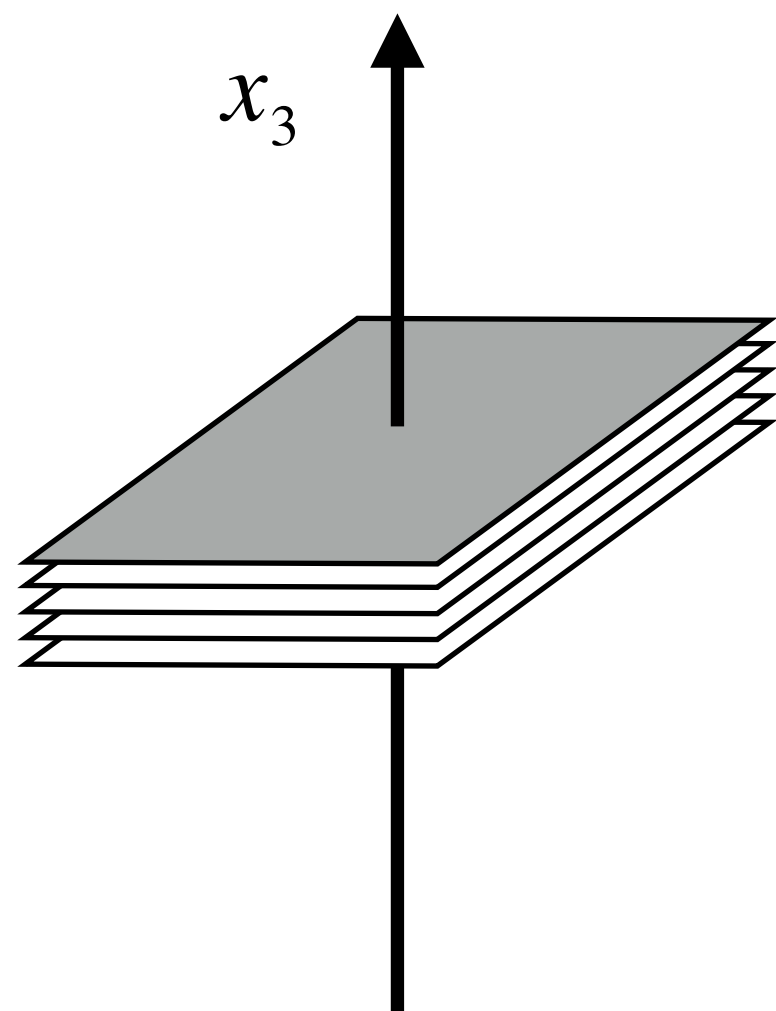
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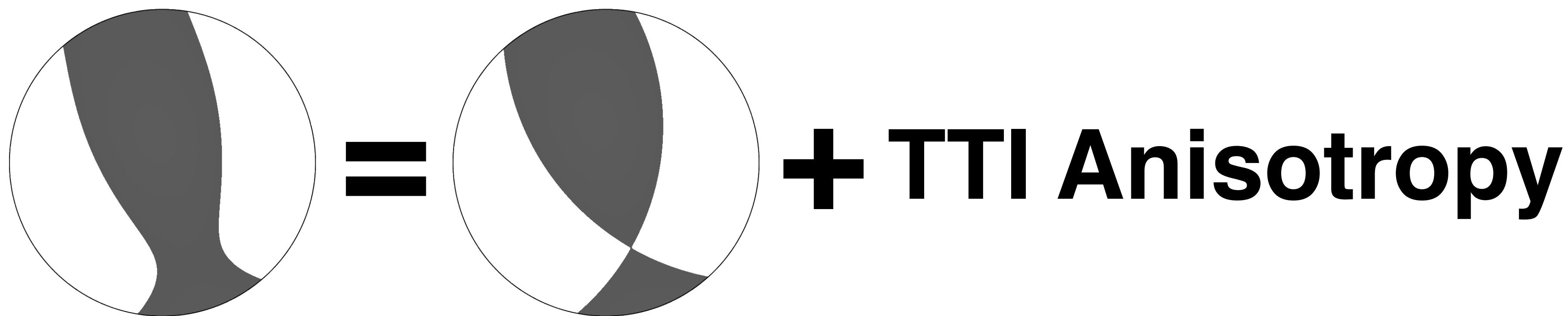
VTI:

P and S wave velocities along the symmetry axis x_3 :

$$V_{P0} \quad V_{S0}$$

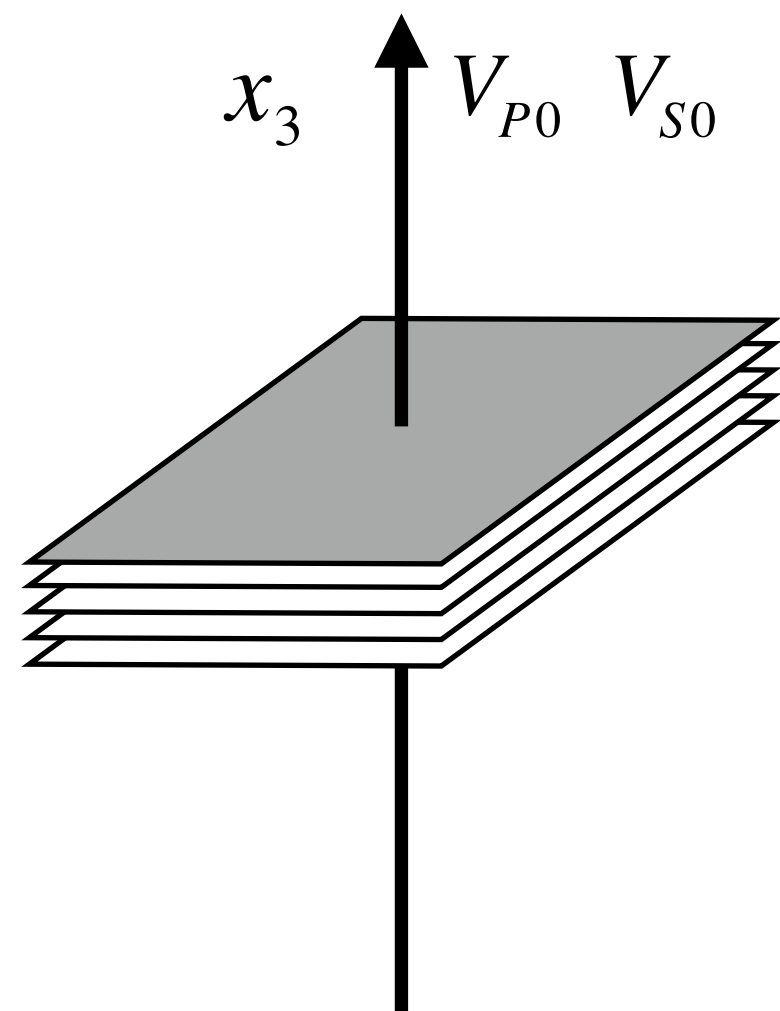


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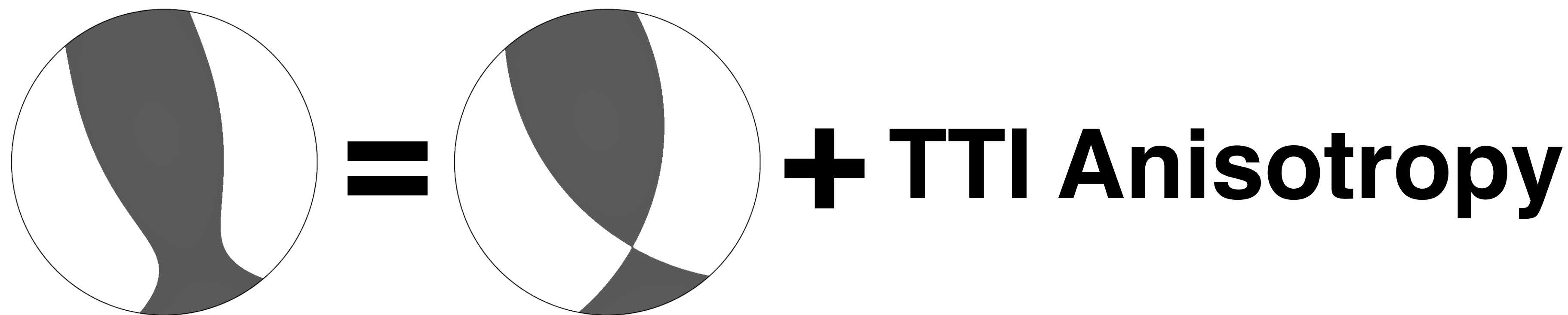
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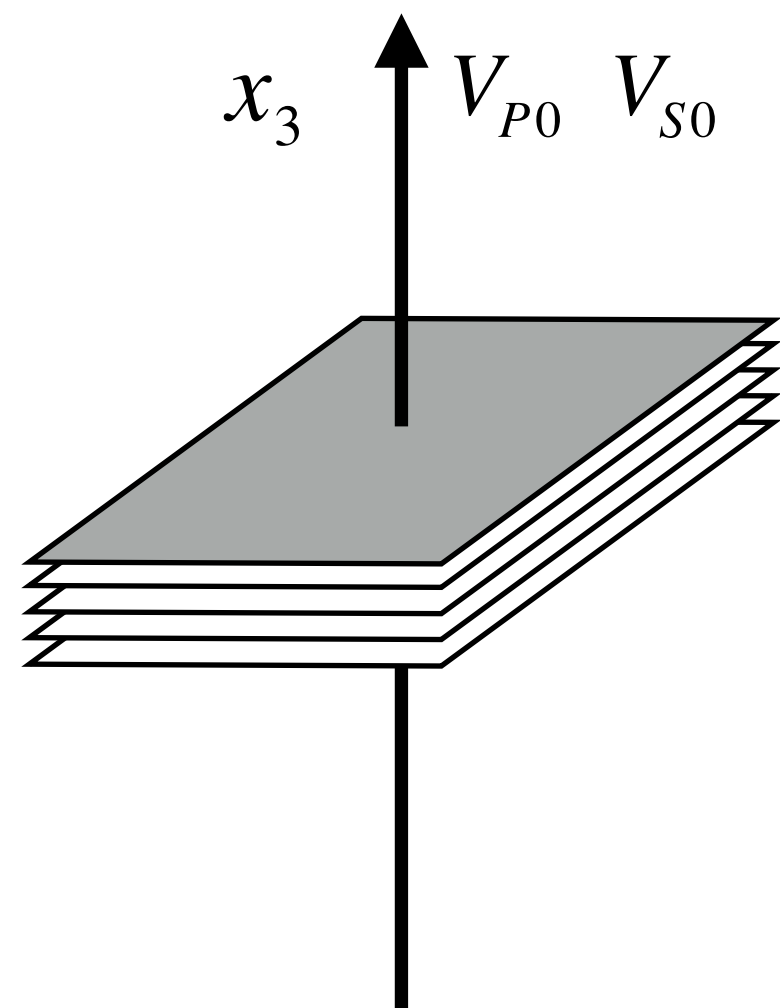


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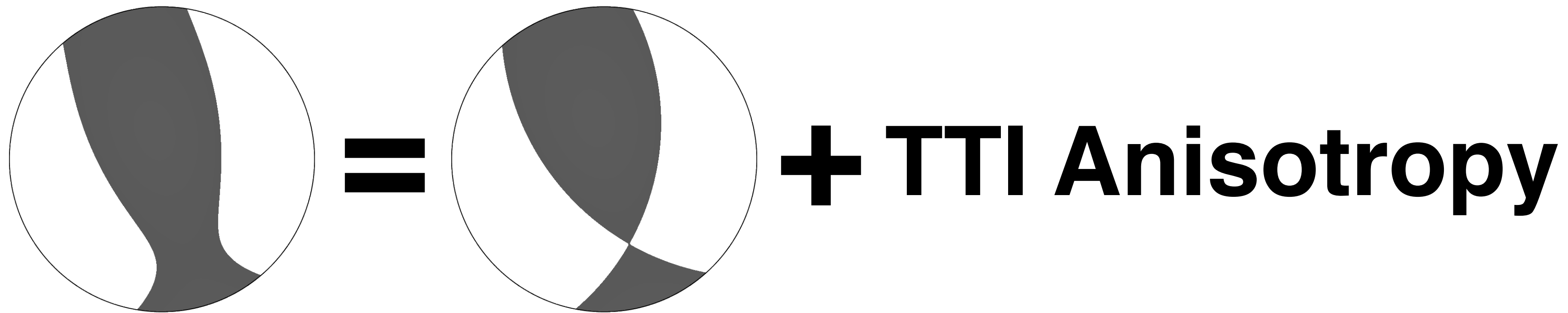
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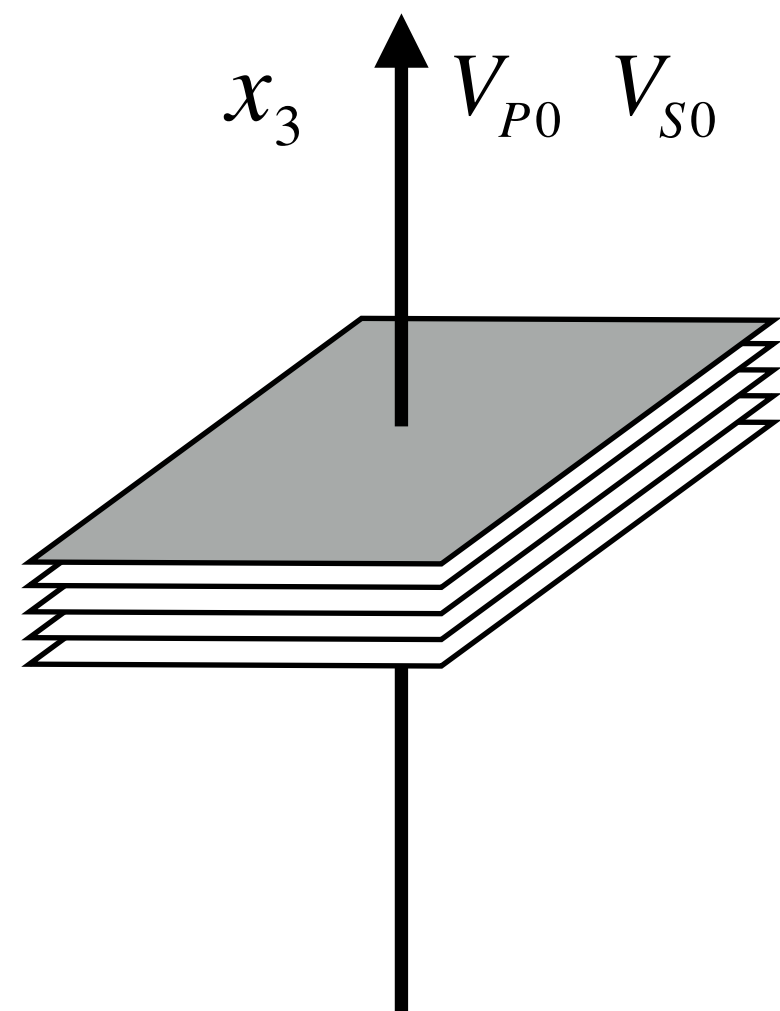
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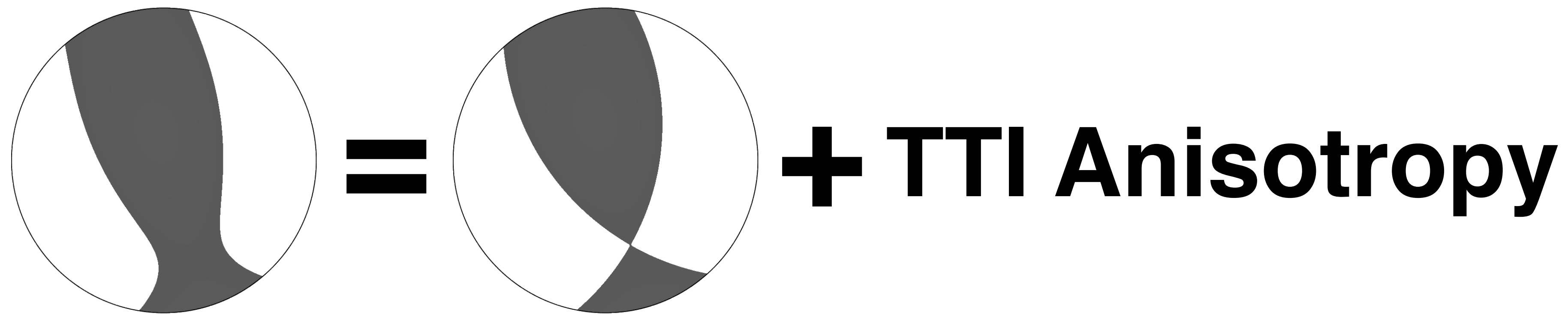
Thomsen Parameters:

\mathcal{E} : P wave anisotropy

γ : S wave anisotropy



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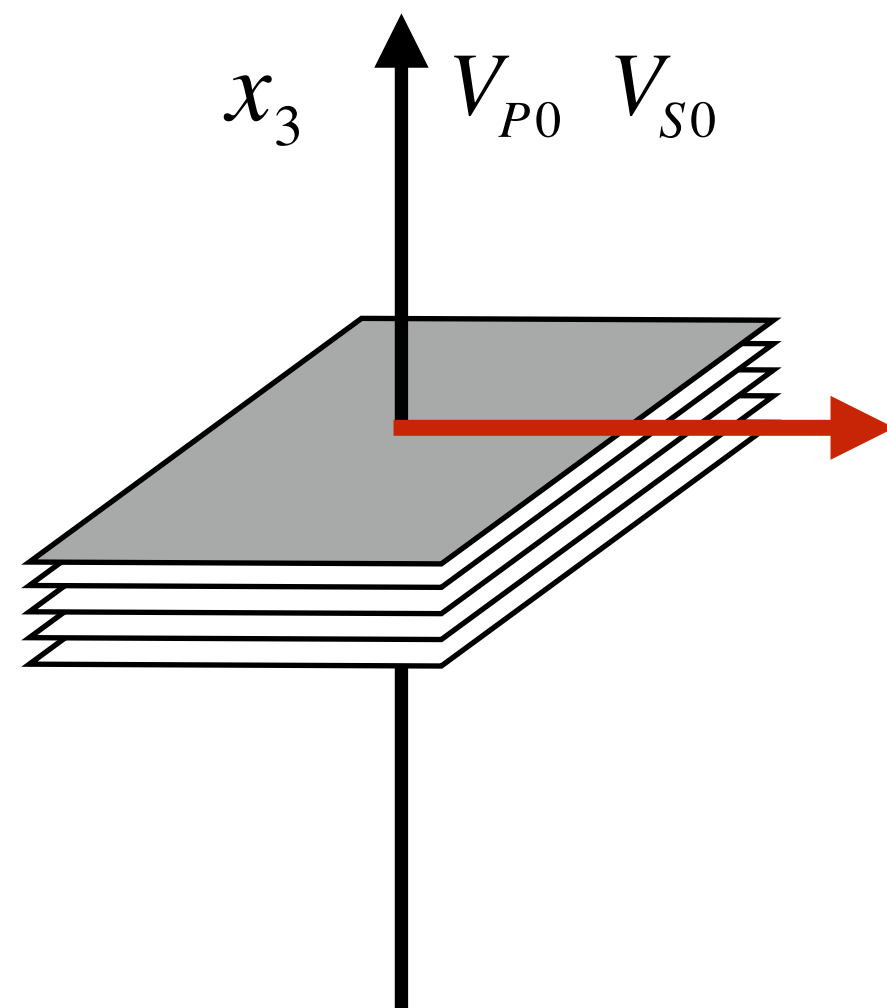
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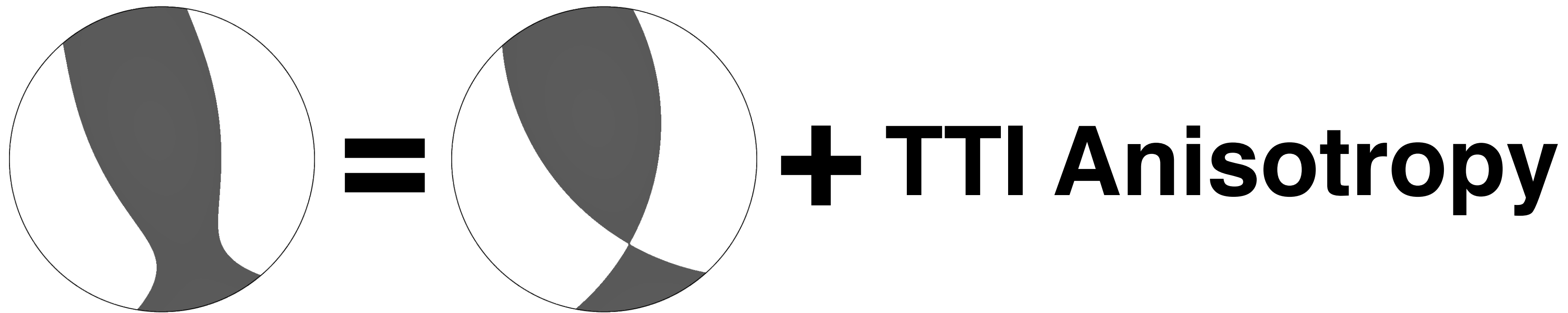
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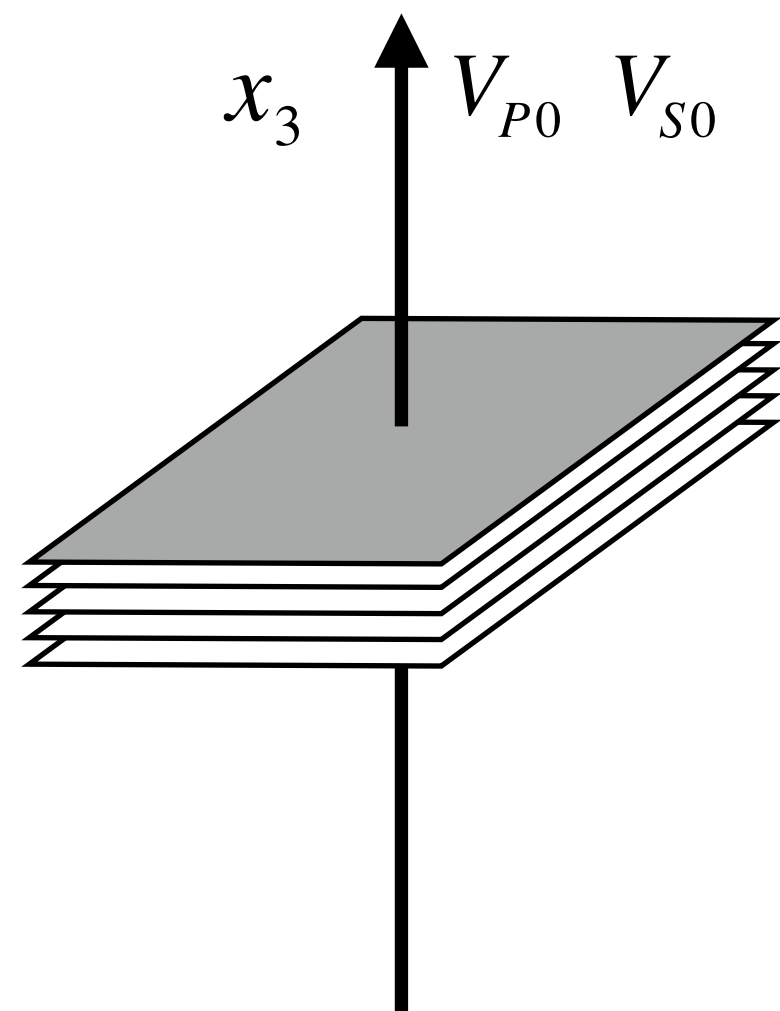
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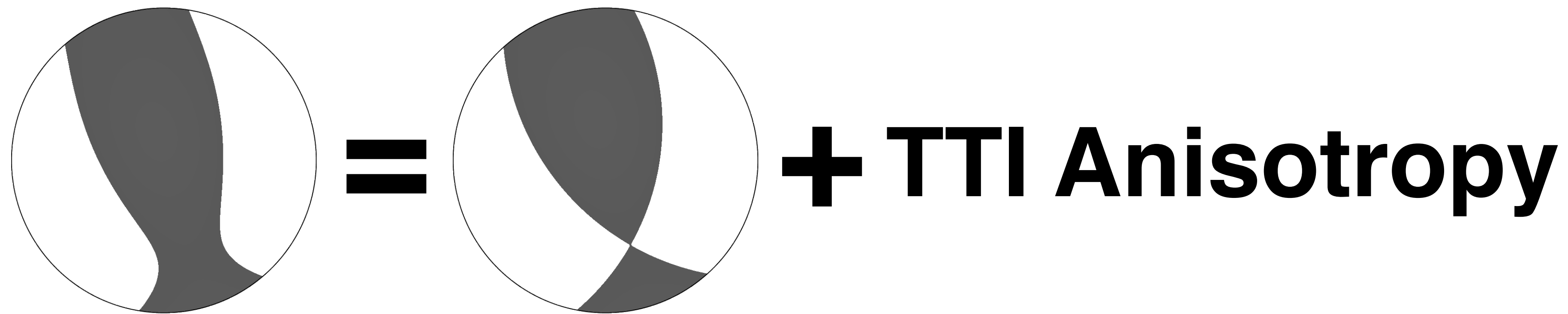
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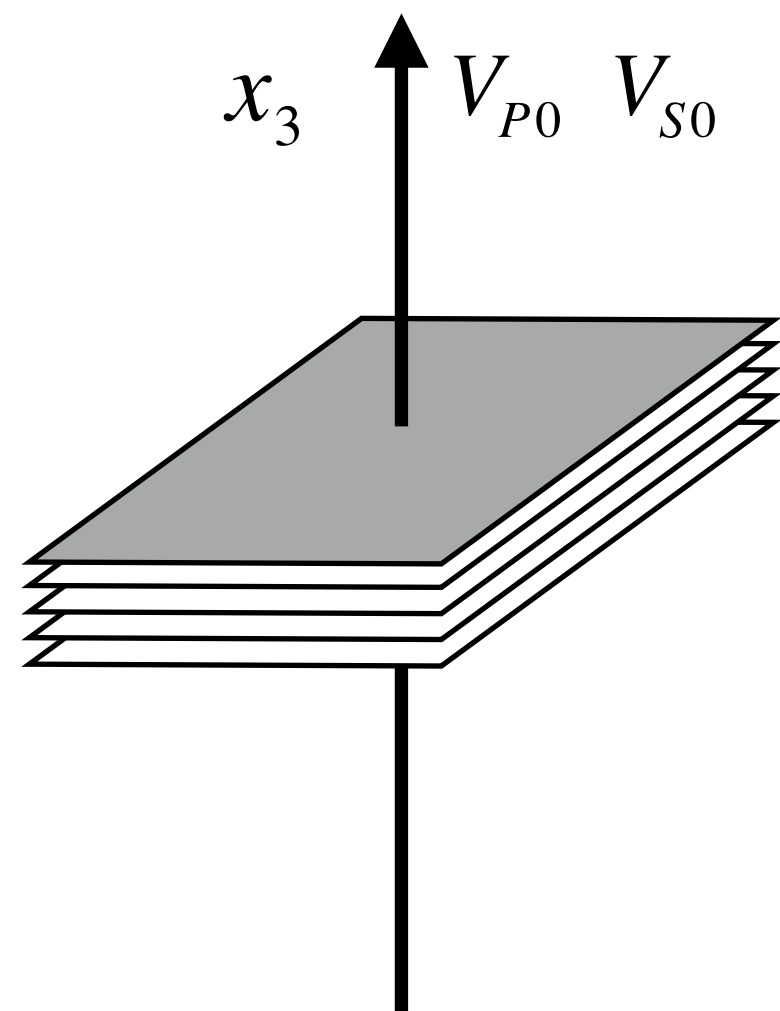
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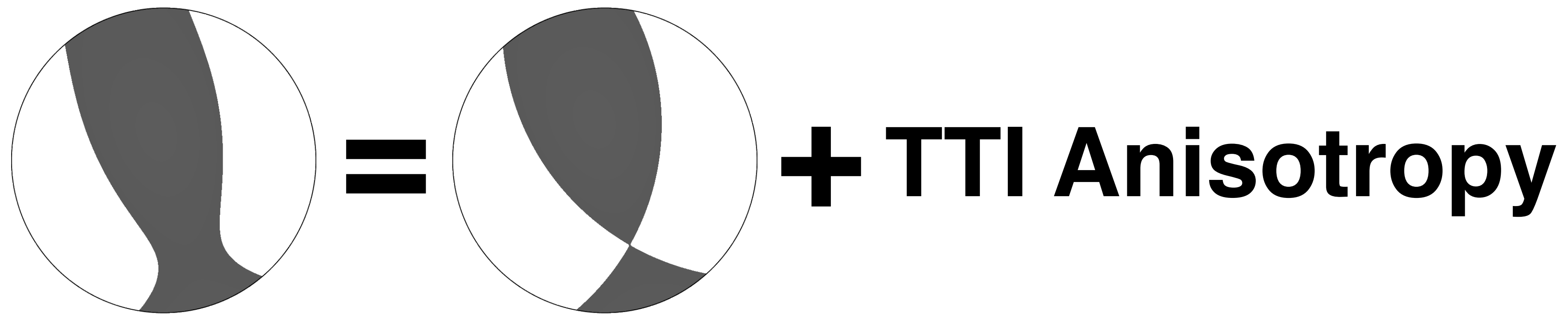
Thomsen Parameters:

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δ : Affect both P and S wave anisotropy

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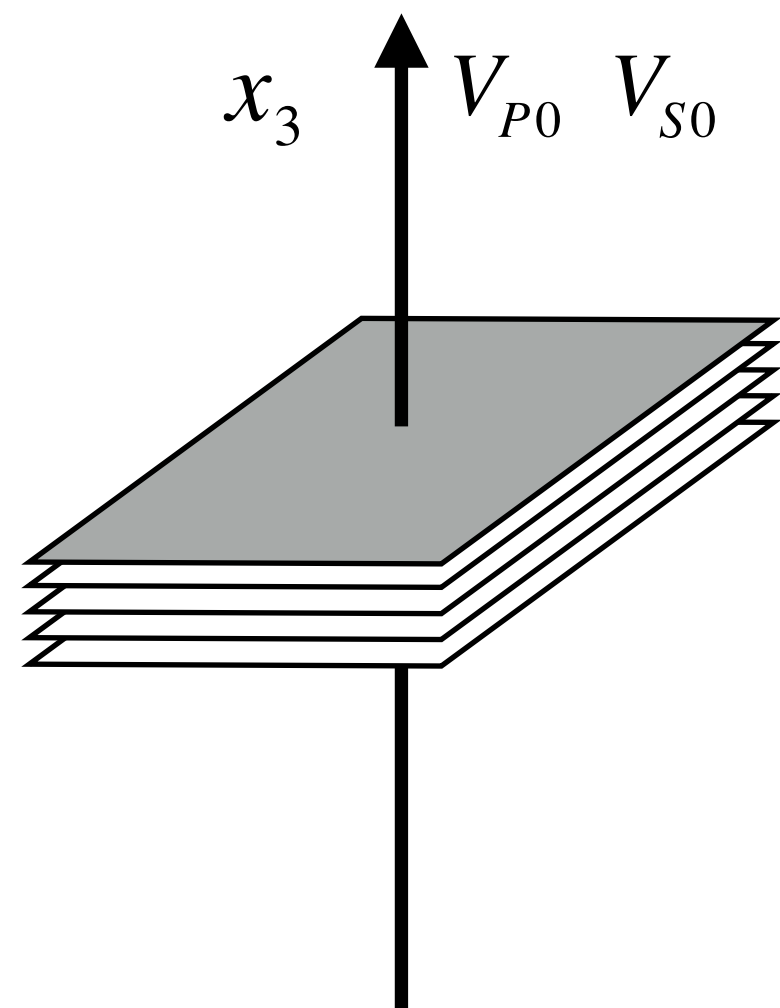
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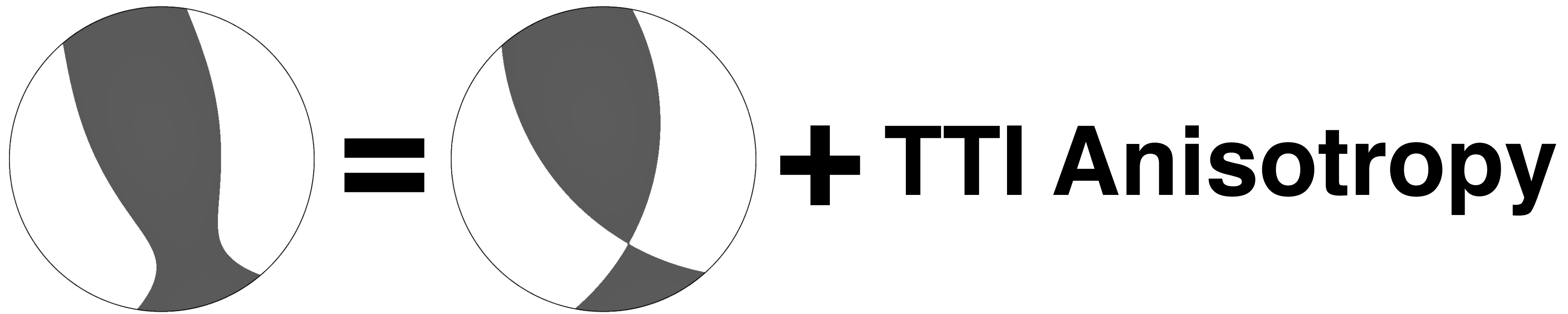
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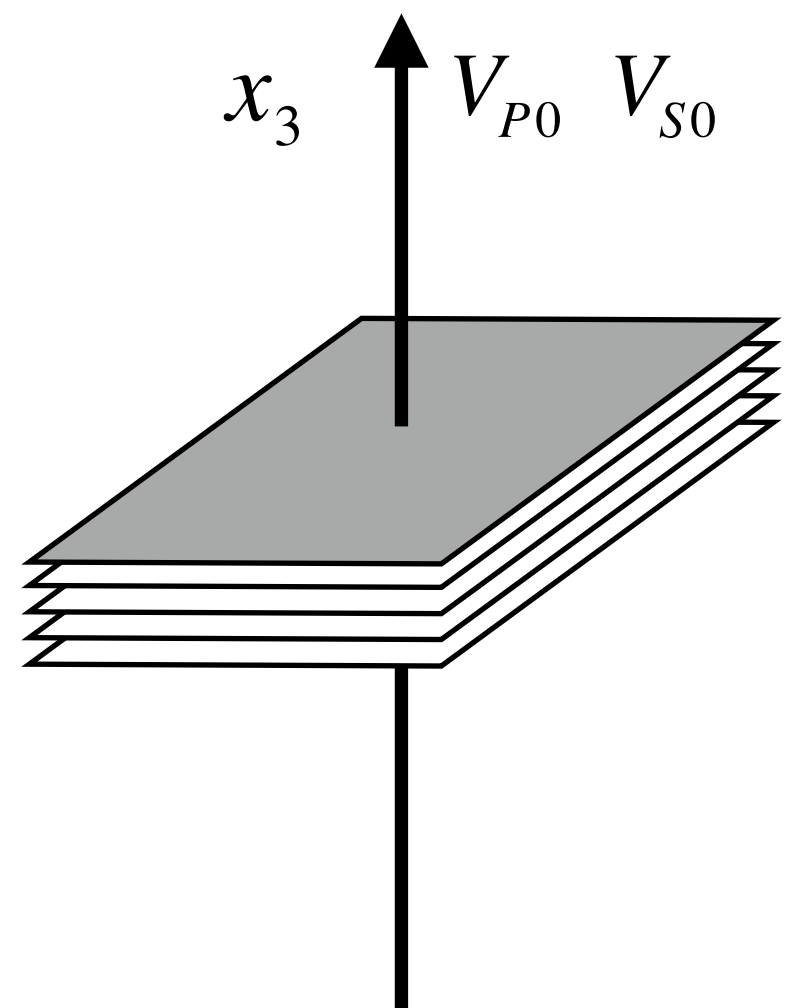
(θ, ϕ)



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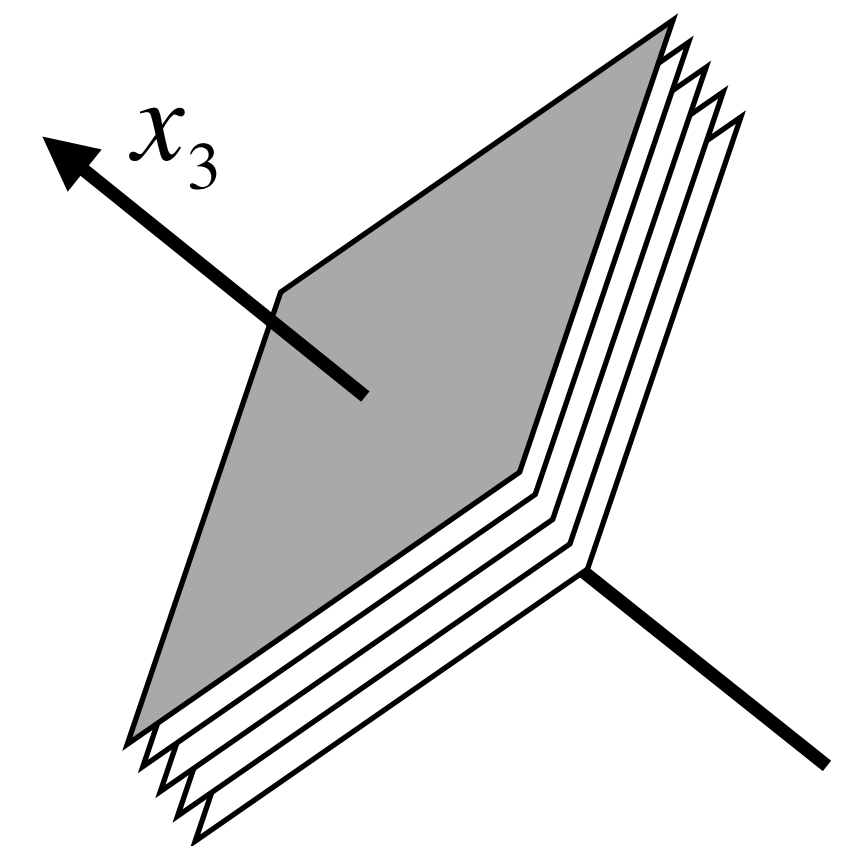
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TTI:



(Thomsen 1986)

Moment tensor

$$m_{ij} = \begin{bmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \\ m_{31} & m_{32} & m_{33} \end{bmatrix}$$

non-double-couple component

$$f_{clvd} = \frac{\lambda_2}{\max\{|\lambda_1|, |\lambda_3|\}}$$

$$-0.5 \leq f_{clvd} \leq 0.5$$

Methods

Methods

(Vavrycuk 2004,2006; Aki and Richards, 1980)

$$m_{ij} = u S C_{ijkl} n_k v_l$$

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moment tensor

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moment tensor

displacement
x
fault area

Methods

(Vavrycuk 2004,2006; Aki and Richards, 1980)

$$m_{ij} = u S C_{ijkl} n_k v_l$$

The diagram illustrates the relationship between the moment tensor m_{ij} , displacement u , elastic tensor C_{ijkl} , fault area S , and slip vector v_l . The equation $m_{ij} = u S C_{ijkl} n_k v_l$ is shown with red boxes around m_{ij} , $u S$, and C_{ijkl} . Arrows point from these terms to labels in white boxes: m_{ij} to "moment tensor", $u S$ to "displacement x fault area", and C_{ijkl} to "elastic tensor".

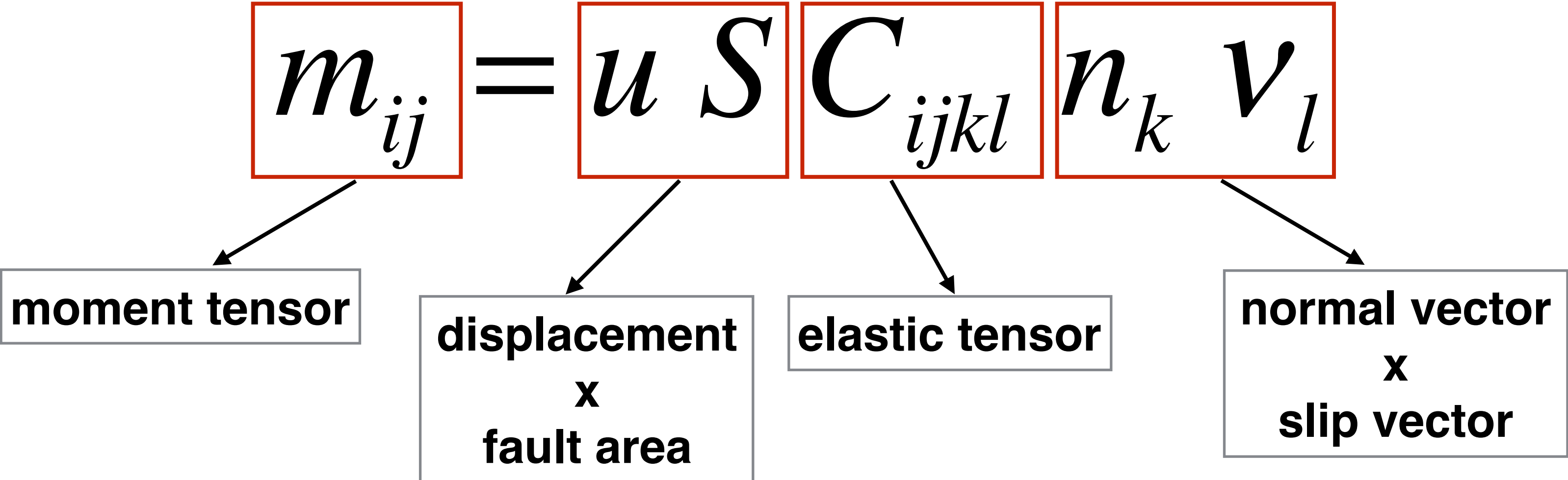
moment tensor

displacement
x
fault area

elastic tensor

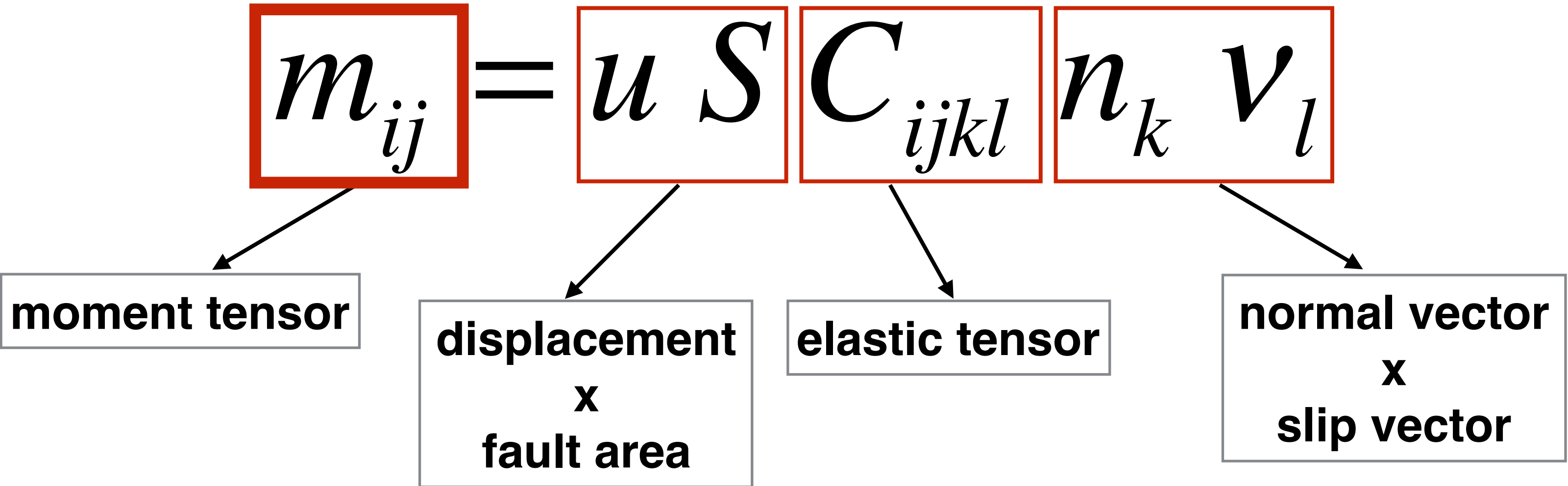
Methods

(Vavrycuk 2004,2006; Aki and Richards, 1980)



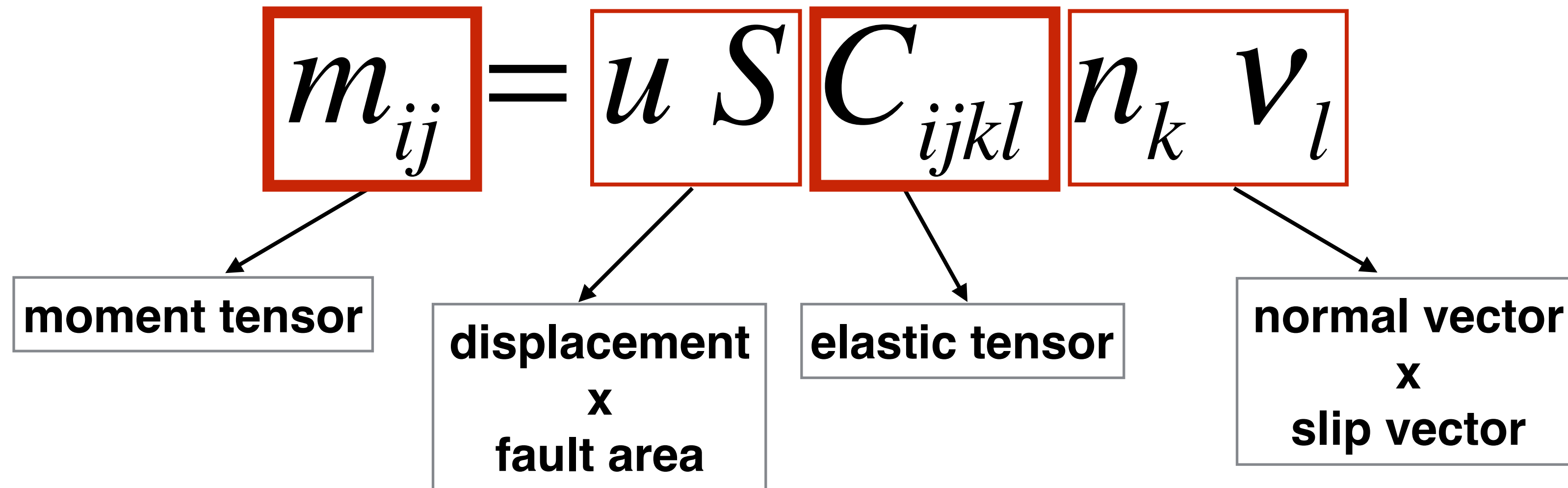
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(Vavrycuk 2004,2006; Aki and Richards, 1980)



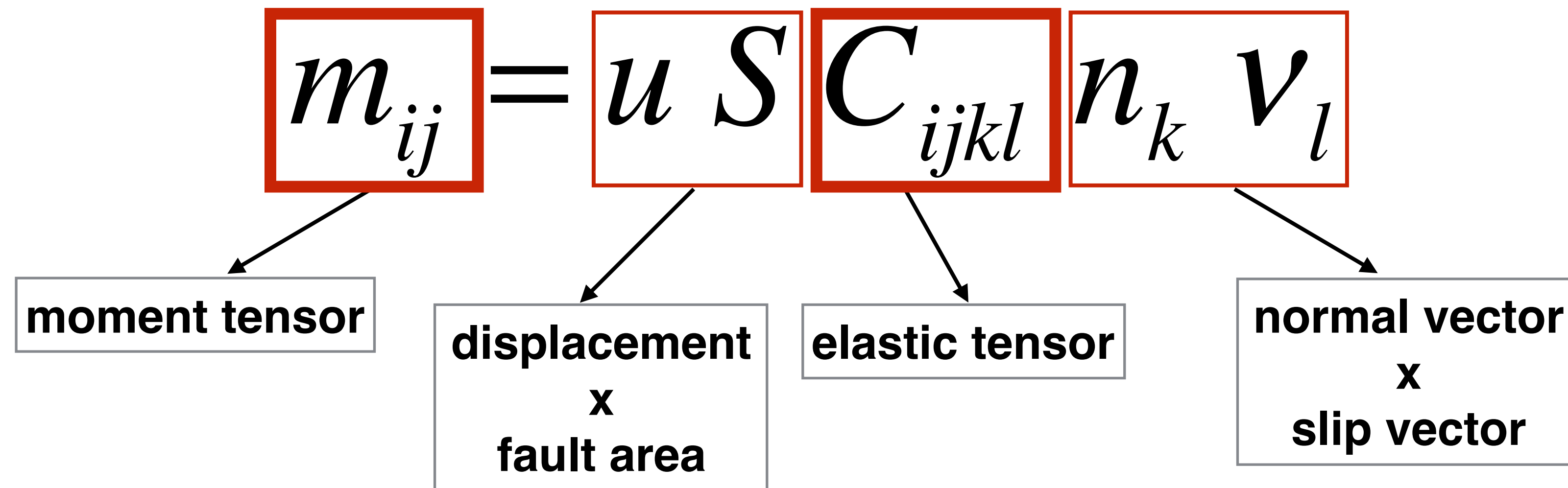
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1. First invert for the TTI symmetry axis orientation

Methods

(Vavrycuk 2004,2006; Aki and Richards, 1980)

$$m_{ij} = u S C_{ijkl} n_k v_l$$

moment tensor

displacement
x
fault area

elastic tensor

normal vector
x
slip vector

1. First invert for the TTI symmetry axis orientation
2. Anisotropy strength at this TTI symmetry axis

Inversion For Real Data from CMT Catalog

<http://www.globalcmt.org/>

(Dziewonski, Chou & Woodhouse 1981; Ekström, Nettles, and Dziewonski, 2012)

Data/Moment tensor Selection

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- We finally choose 1,057 earthquakes worldwide

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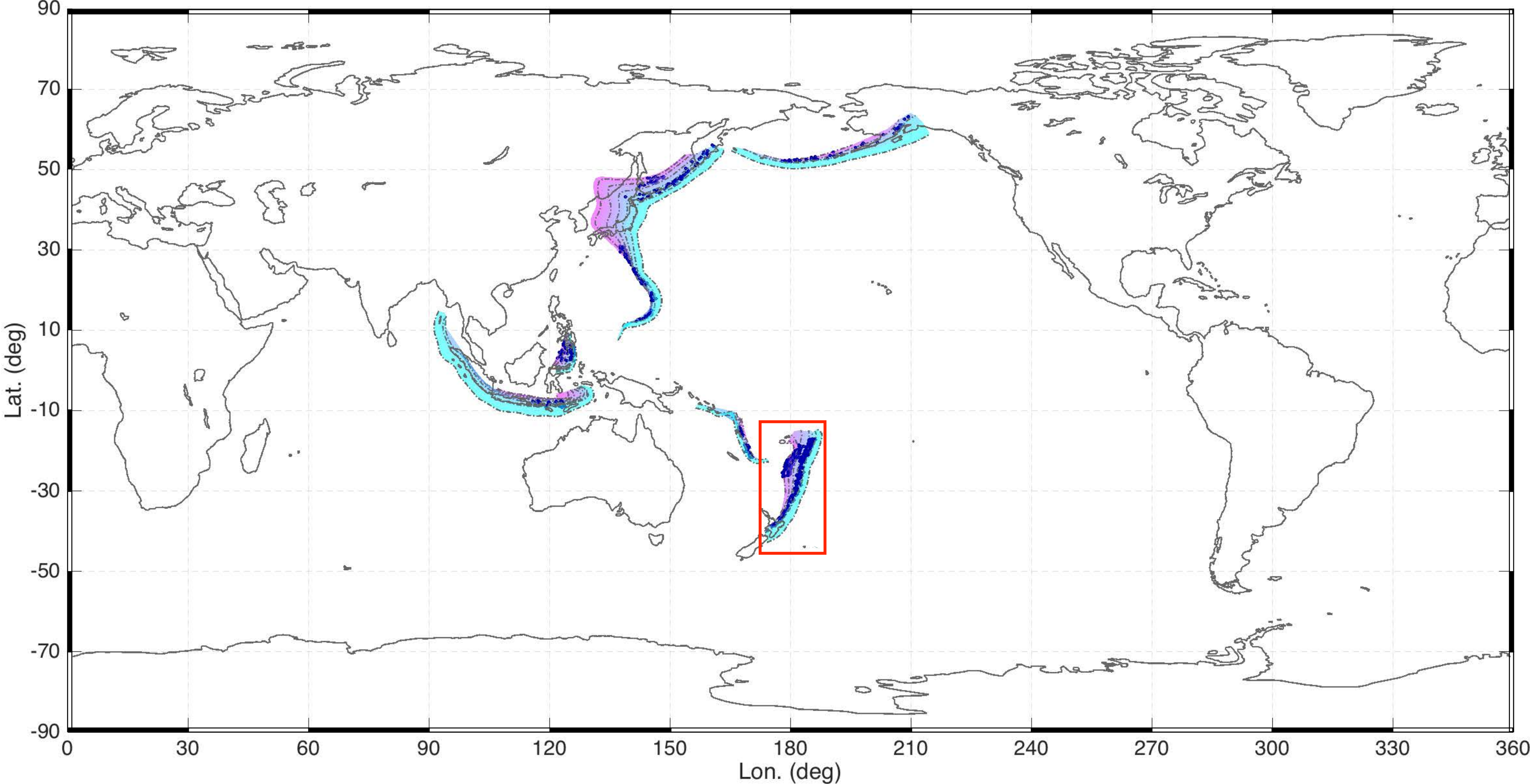
Data/Moment tensor Selection

- We finally choose 1,057 earthquakes worldwide
- Focal depth Range $>100\text{km}$
- $M_w \sim 5-6.6$
- From 1976 to 2013
- In the vicinity of subducting slabs (Gudmundsson et al. 1998)

Data/Moment tensor Selection

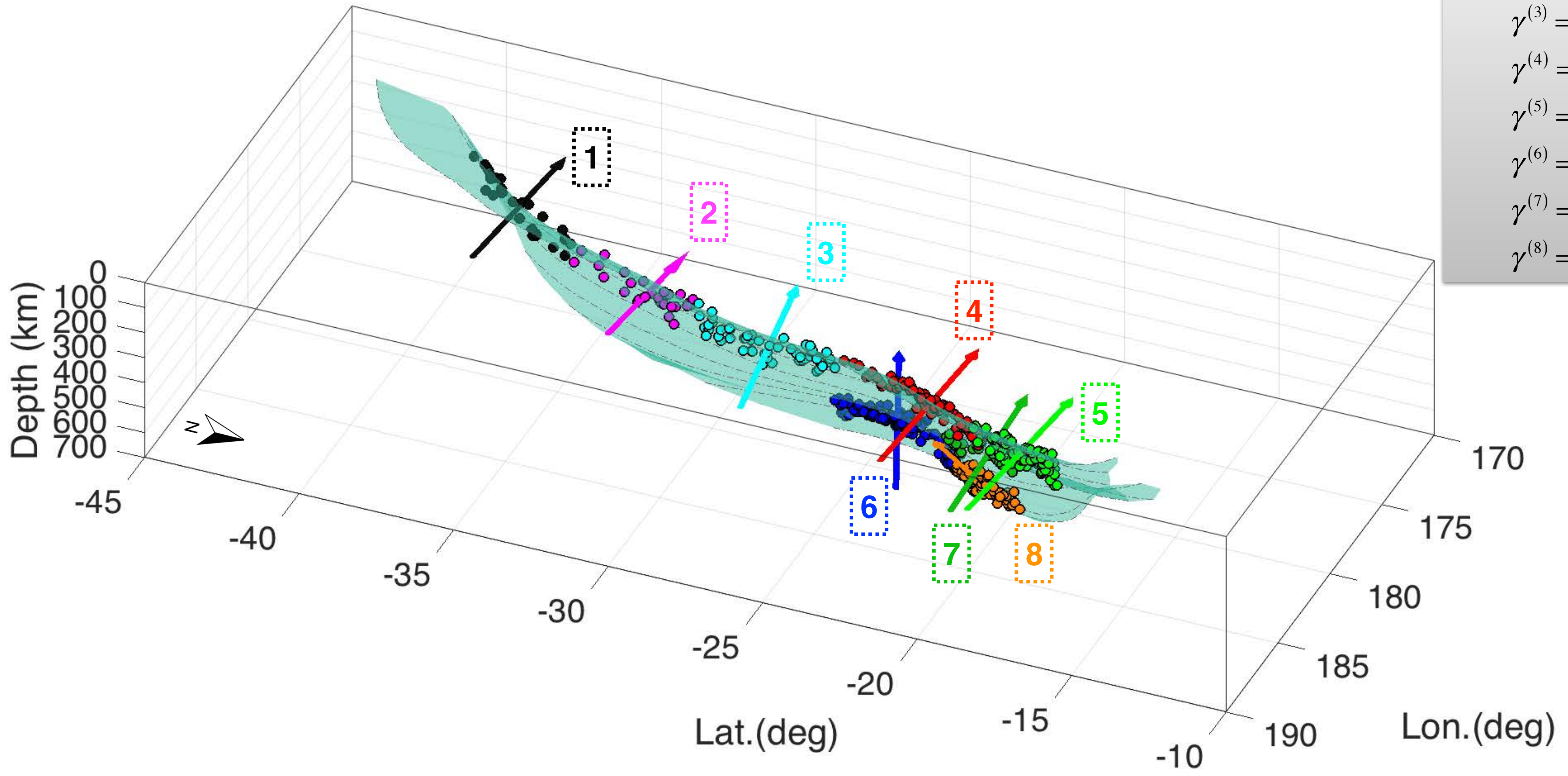
- **We finally choose 1,057 earthquakes worldwide**
- **Focal depth Range >100km**
- **M_w~5-6.6**
- **From 1976 to 2013**
- **In the vicinity of subducting slabs (Gudmundsson et al. 1998)**
- **Frohlich's criteria of selecting 'better-determined' mechanisms (Frohlich and Davis, 1999)**

Tonga



Tonga

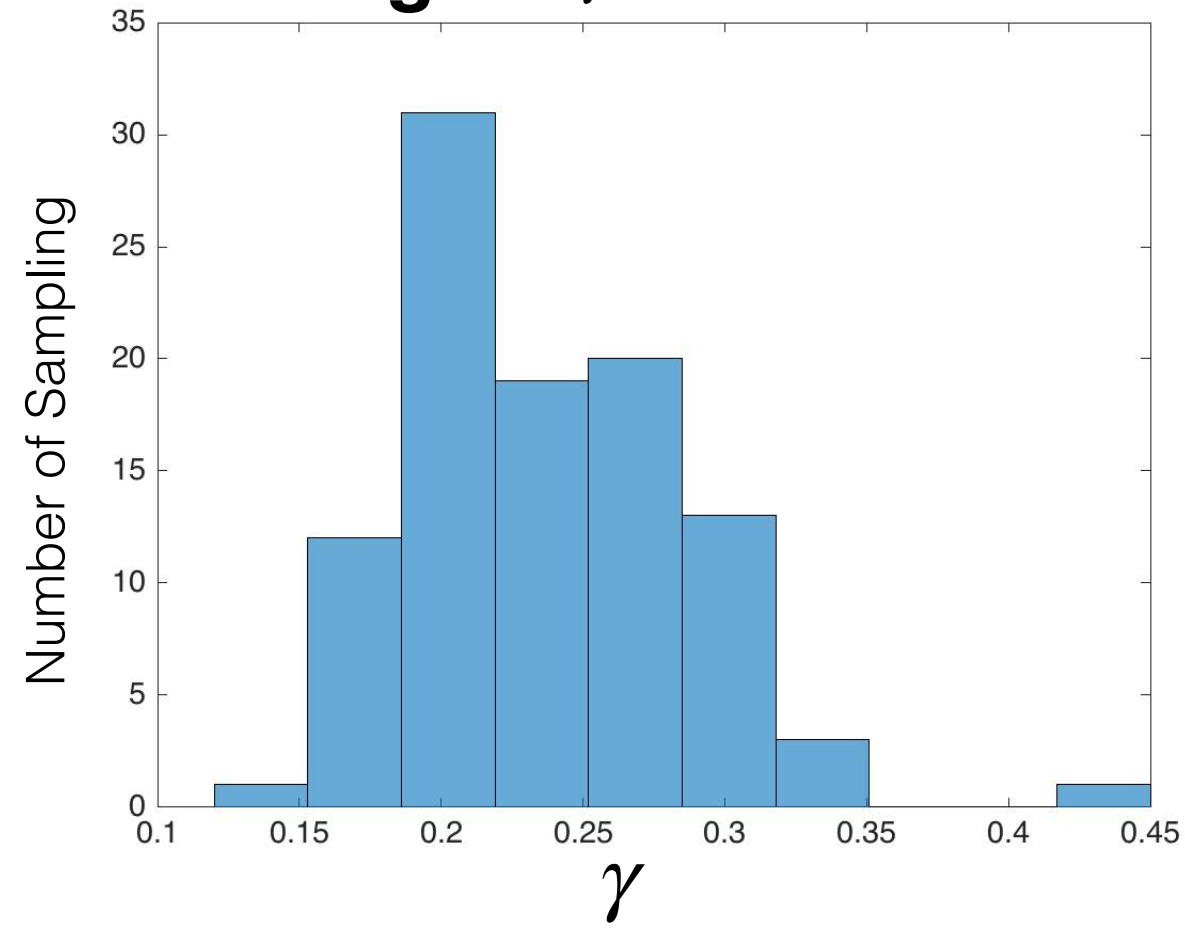
Thomsen Parameter:
 $\gamma^{(1)} = 24 \pm 5\%$
 $\gamma^{(2)} = 26 \pm 2\%$
 $\gamma^{(3)} = 27 \pm 3\%$
 $\gamma^{(4)} = 30 \pm 3\%$
 $\gamma^{(5)} = 35 \pm 4\%$
 $\gamma^{(6)} = 30 \pm 4\%$
 $\gamma^{(7)} = 46 \pm 4\%$
 $\gamma^{(8)} = 23 \pm 2\%$



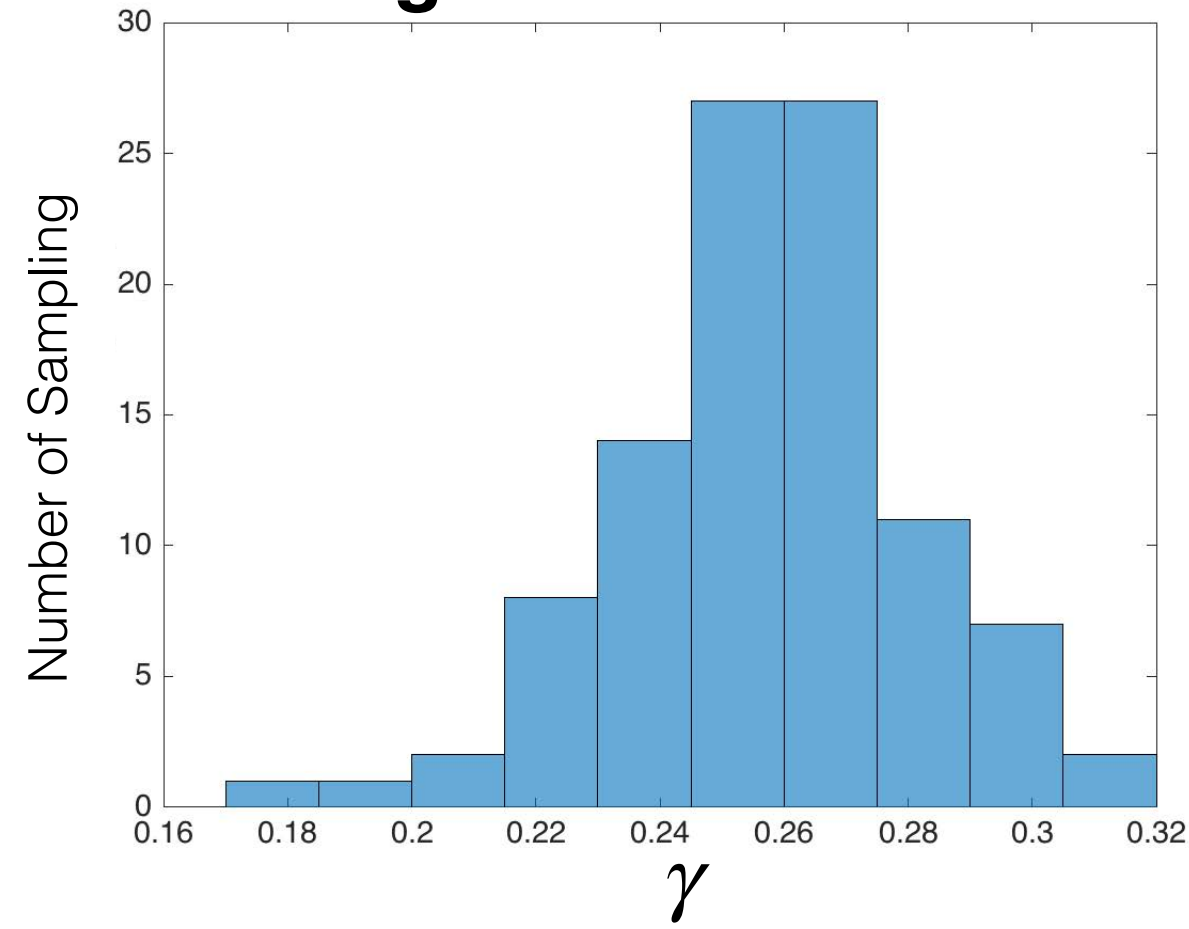
Tonga

Tonga

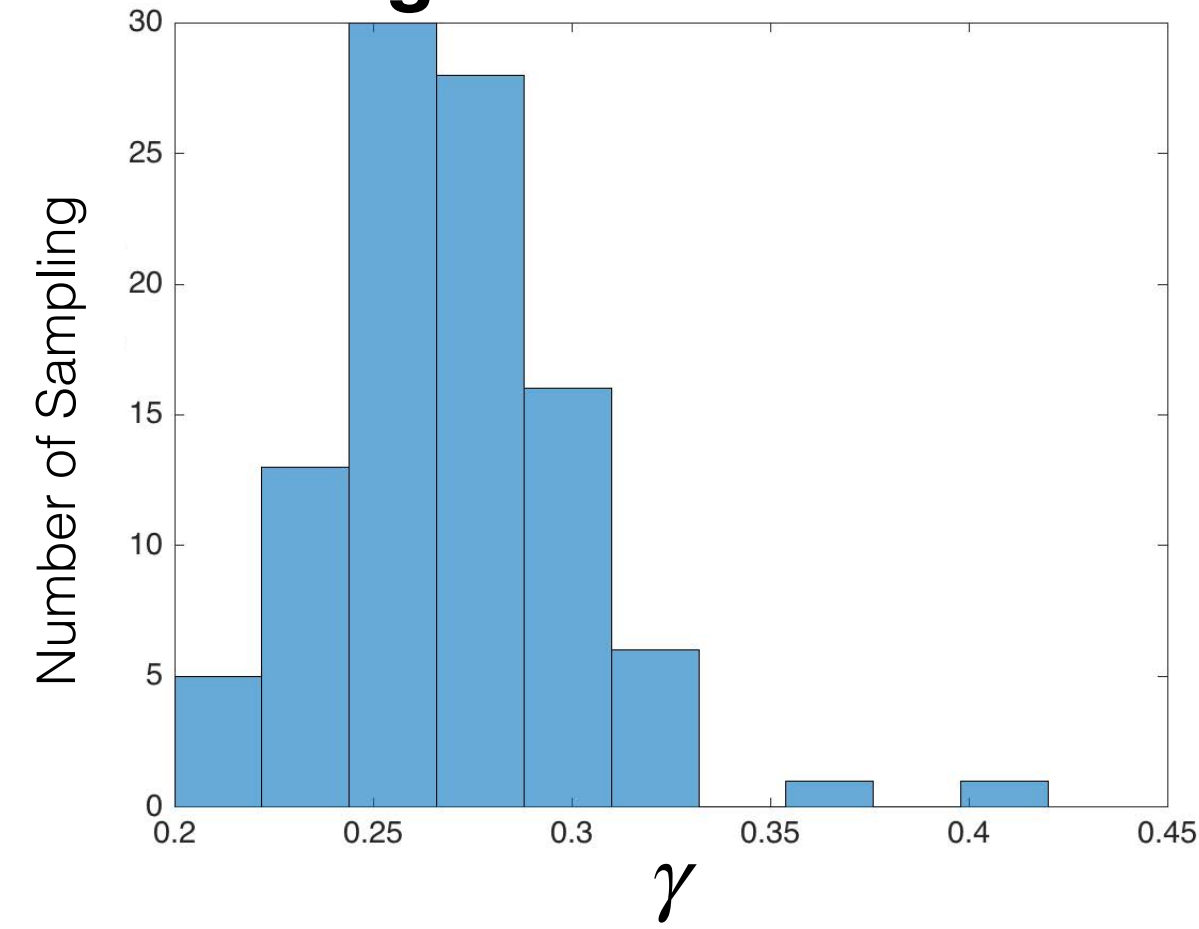
Tonga1: $\gamma = 0.24 \pm 0.05$



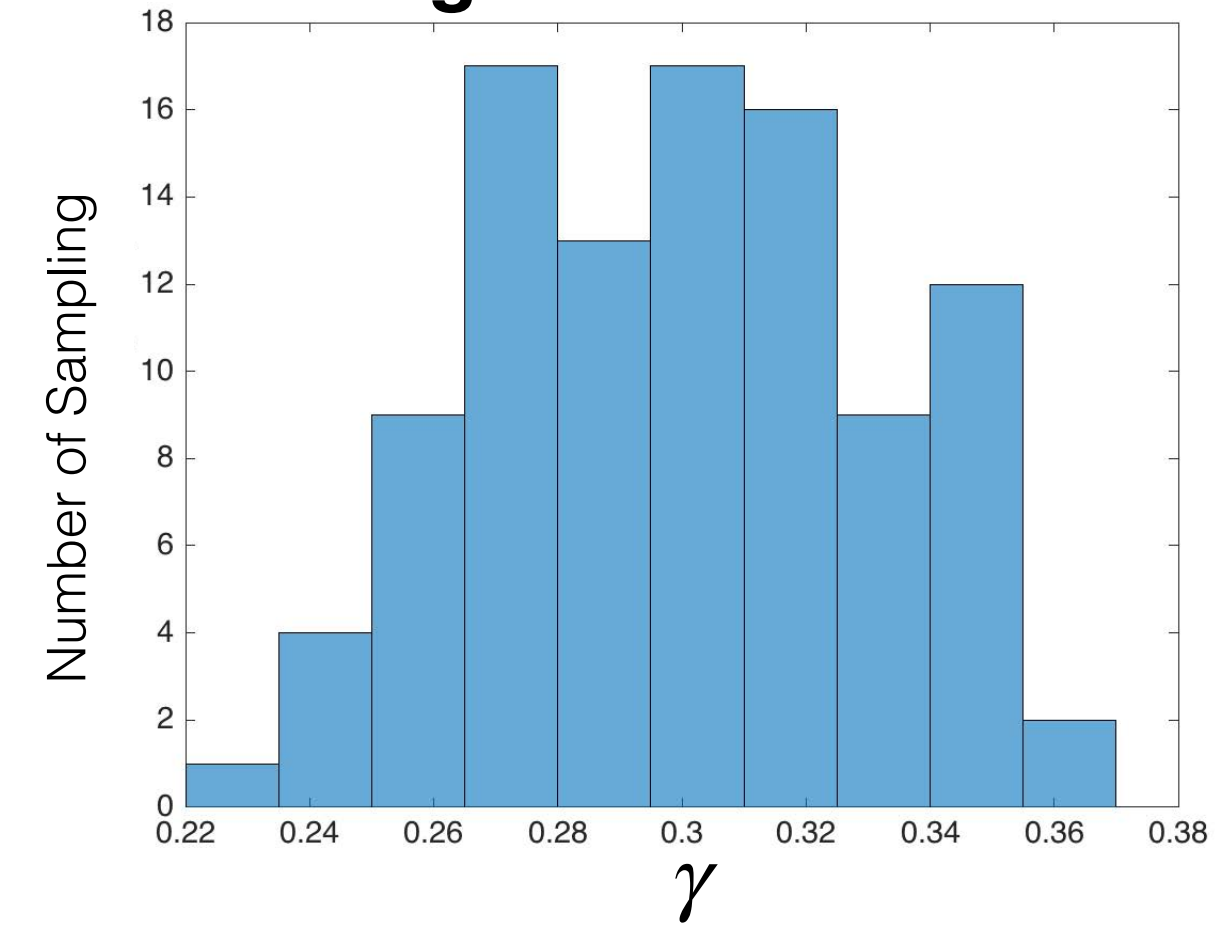
Tonga2: $\gamma = 0.26 \pm 0.02$



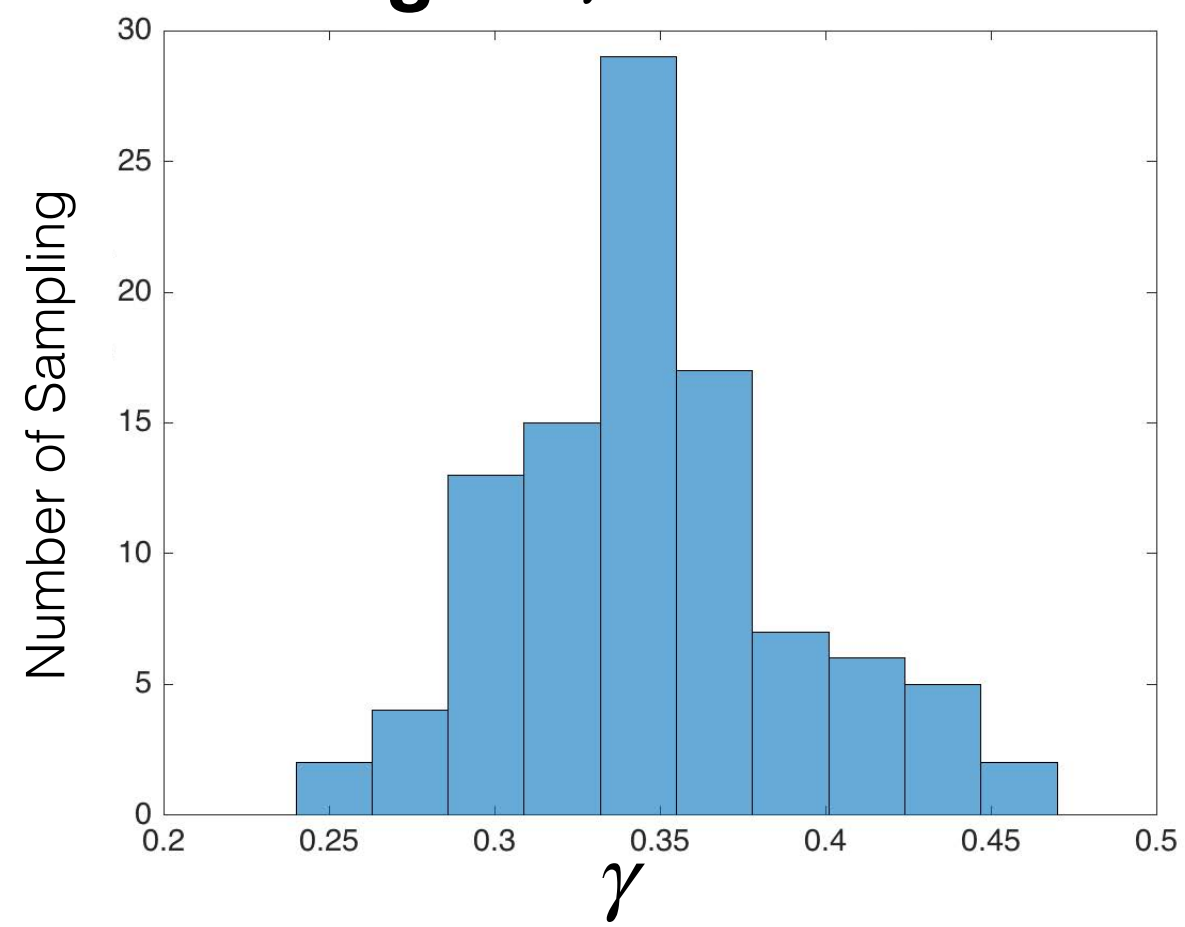
Tonga3: $\gamma = 0.27 \pm 0.03$



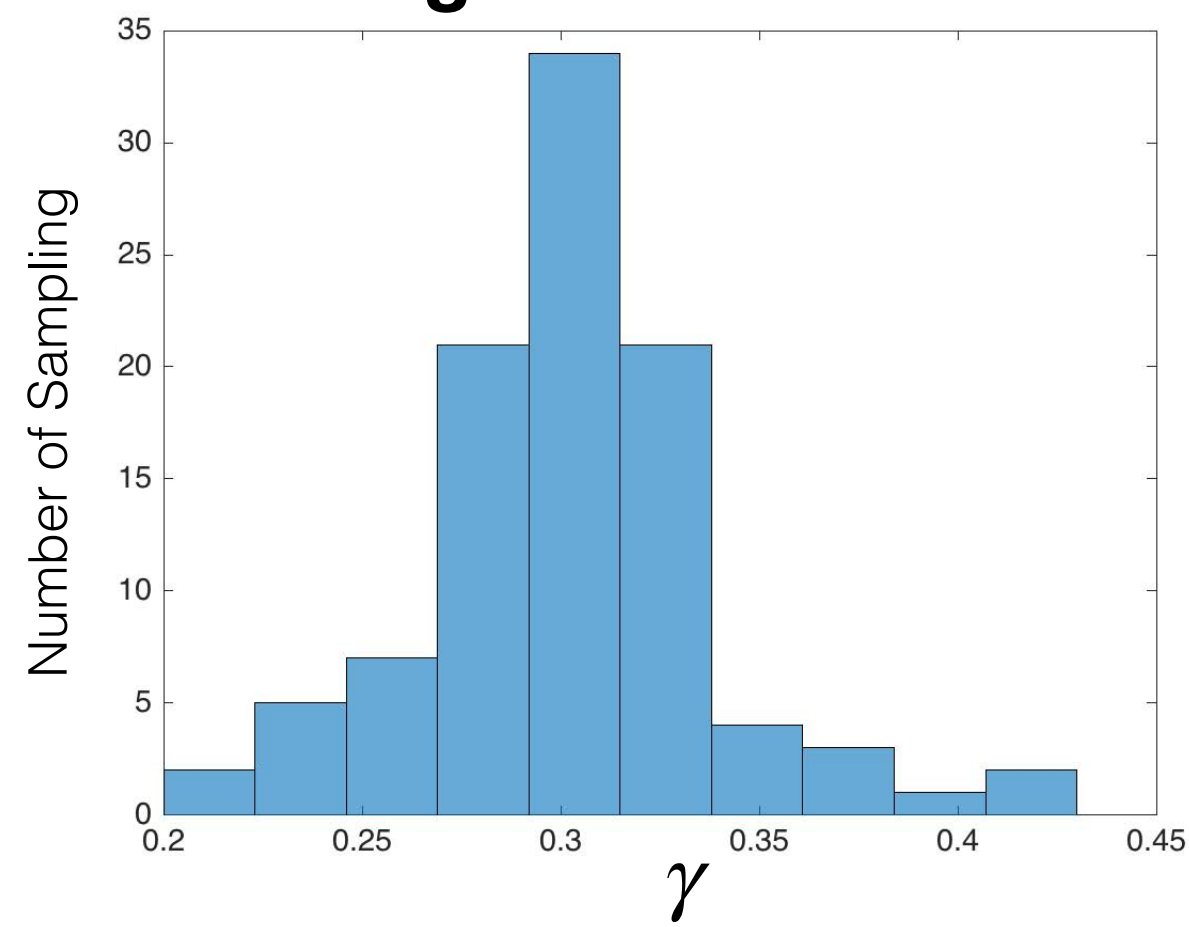
Tonga4: $\gamma = 0.30 \pm 0.03$



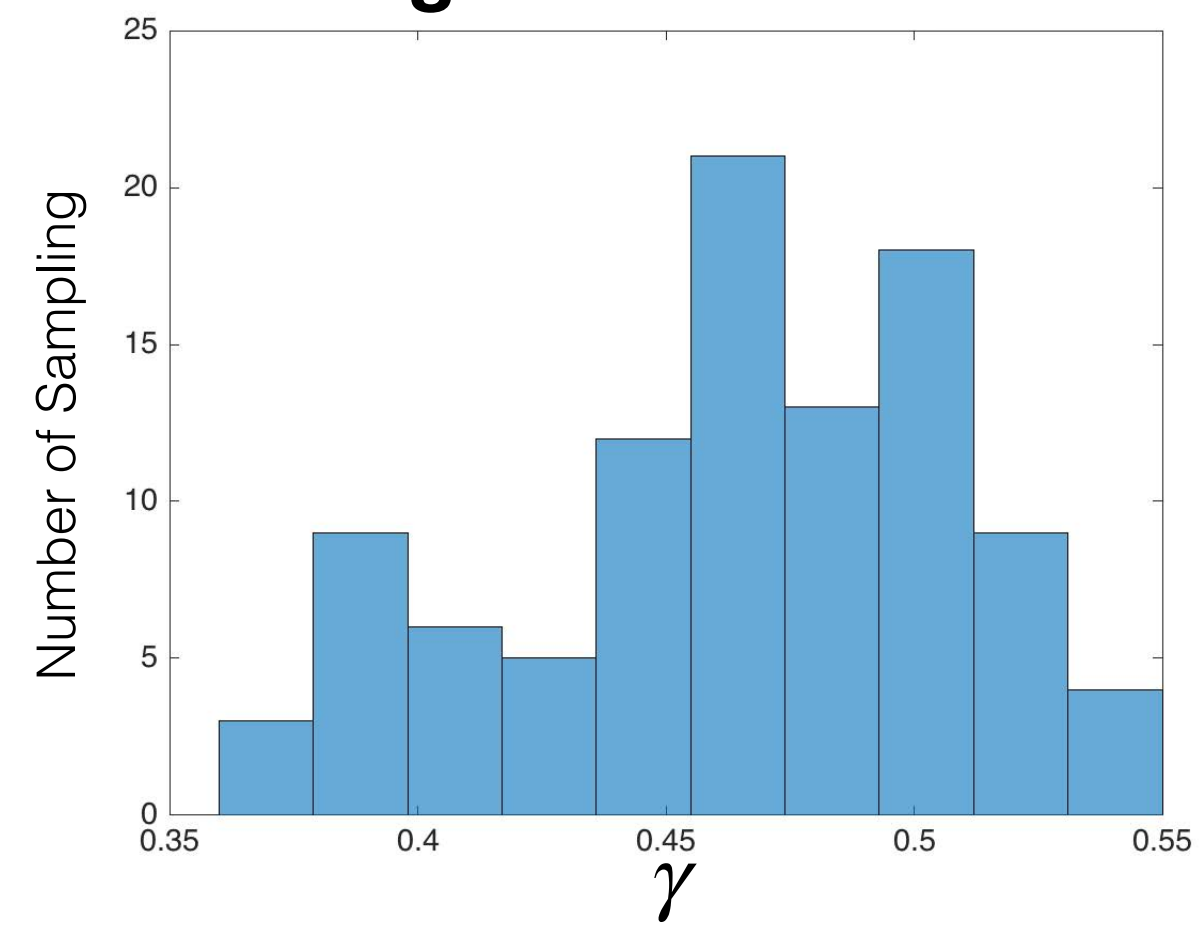
Tonga5: $\gamma = 0.35 \pm 0.04$



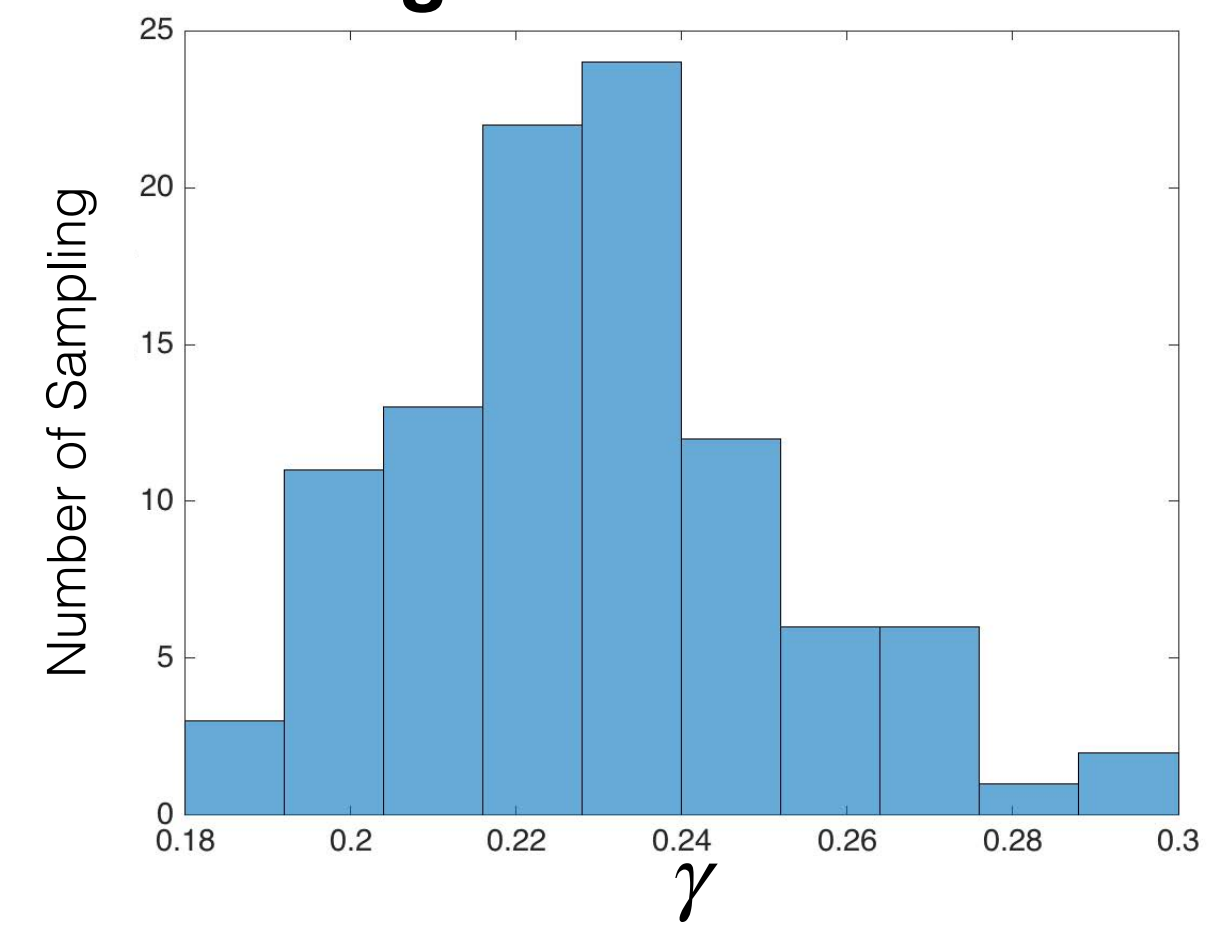
Tonga6: $\gamma = 0.30 \pm 0.04$



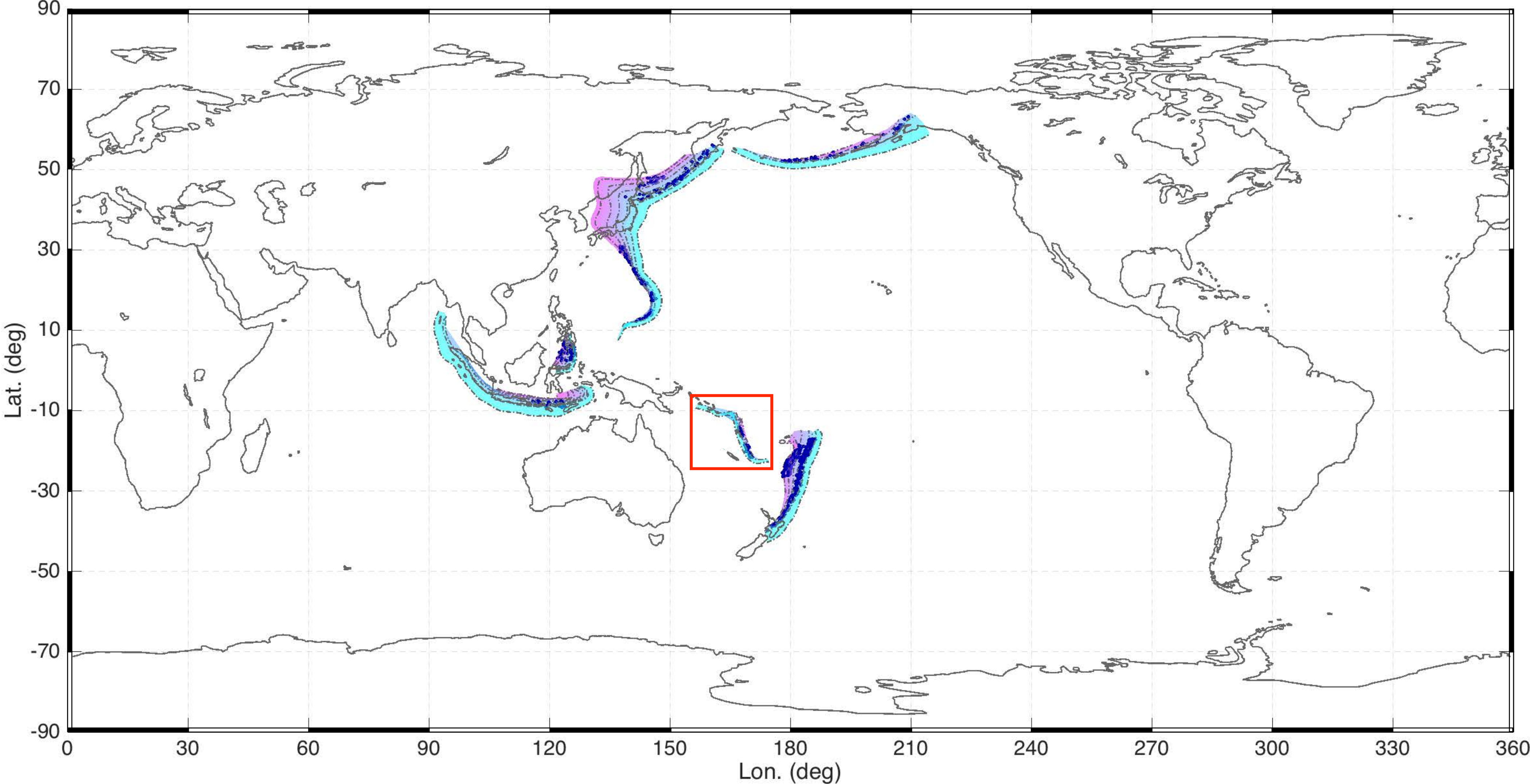
Tonga7: $\gamma = 0.46 \pm 0.04$



Tonga8: $\gamma = 0.23 \pm 0.02$

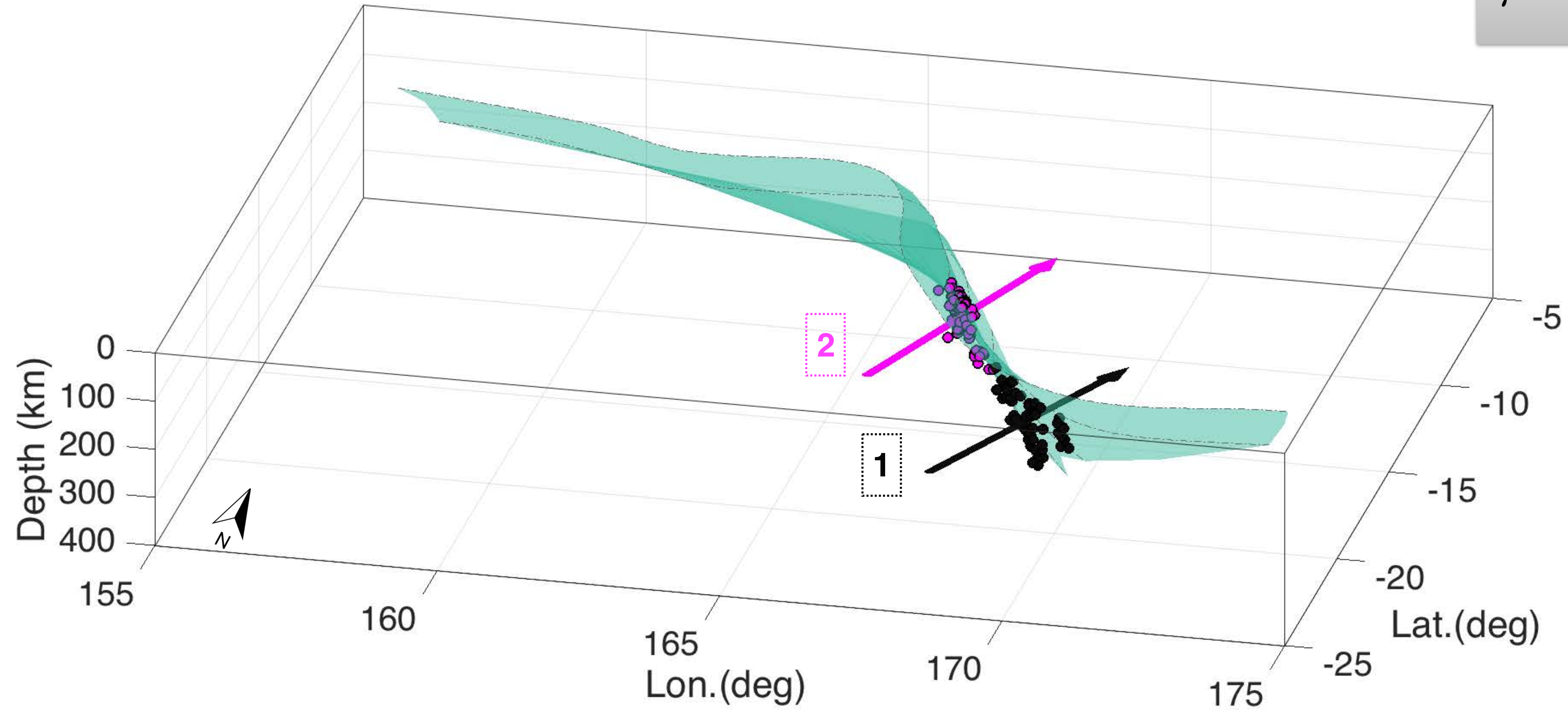


Vanuatu

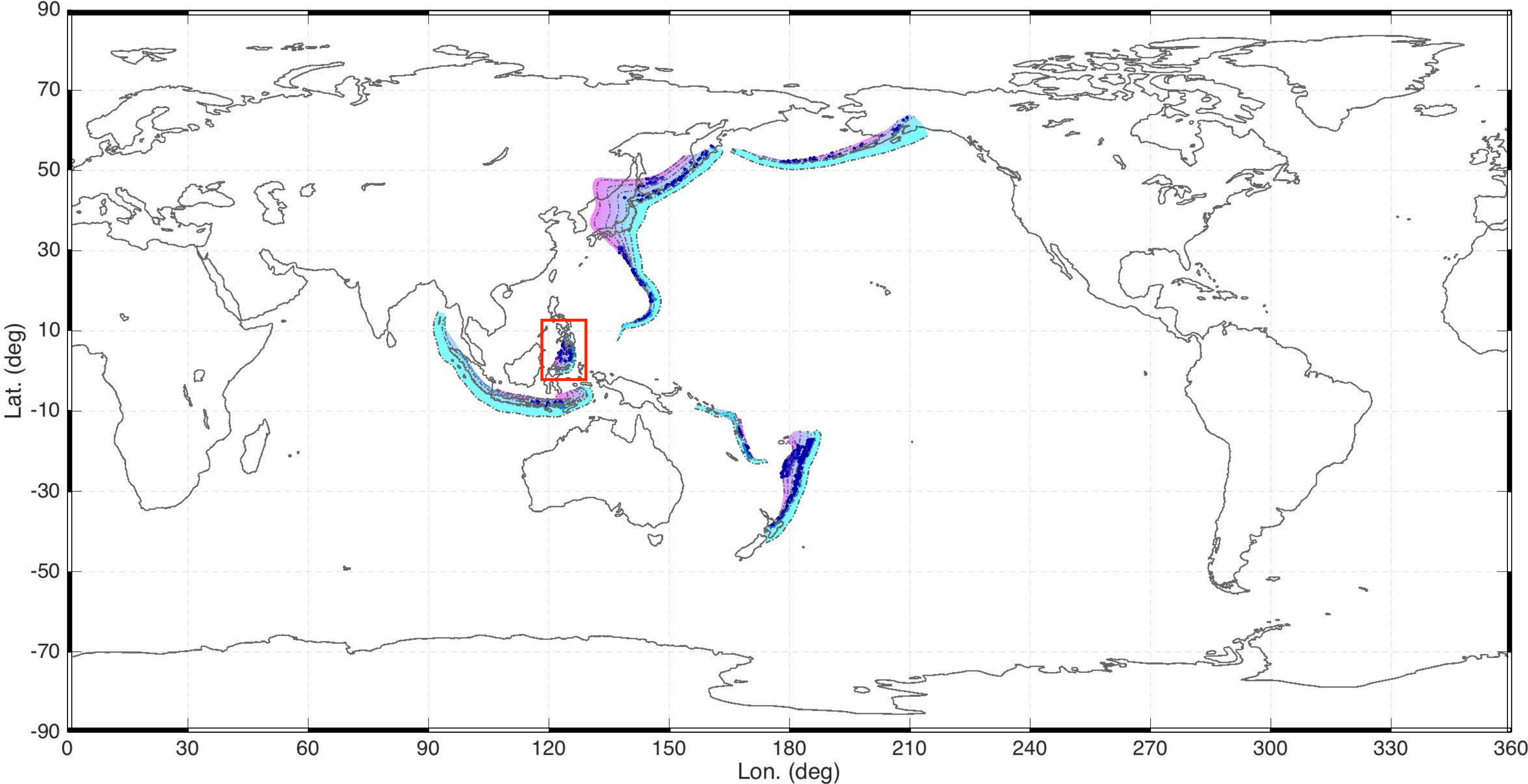


Vanuatu

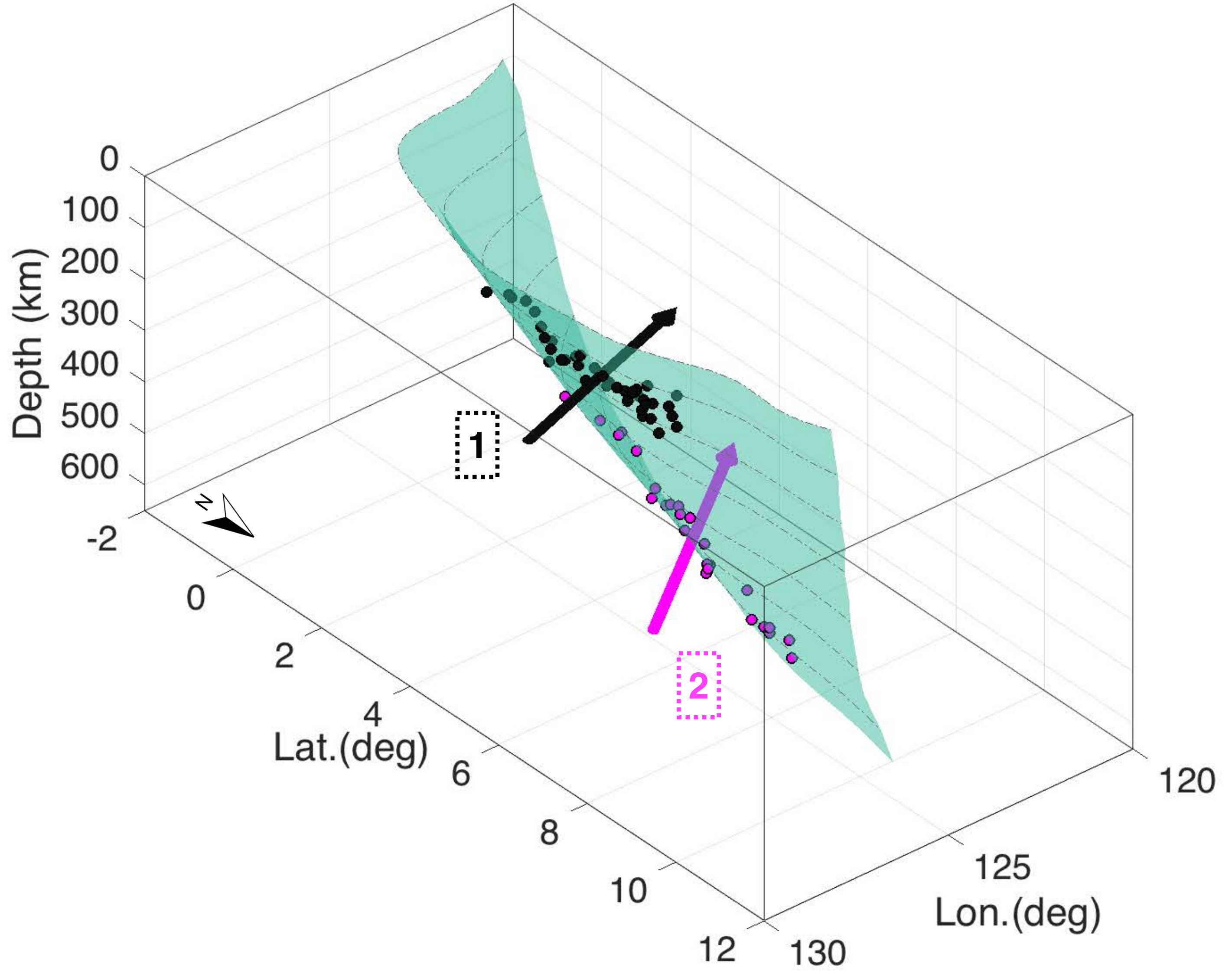
Thomsen Parameter:
 $\gamma^{(1)} = 25 \pm 5\%$
 $\gamma^{(2)} = 14 \pm 3\%$



Molucca

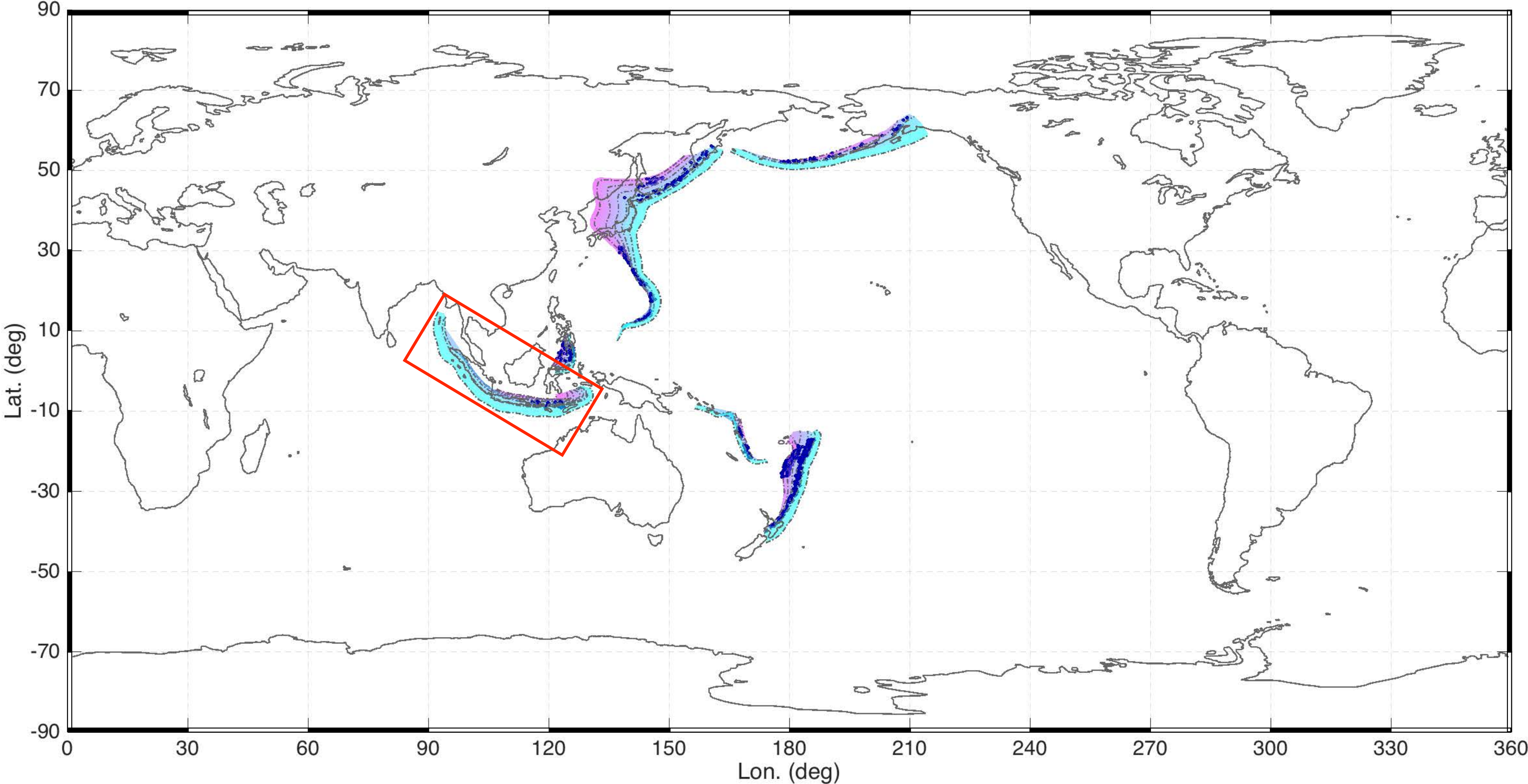


Molucca



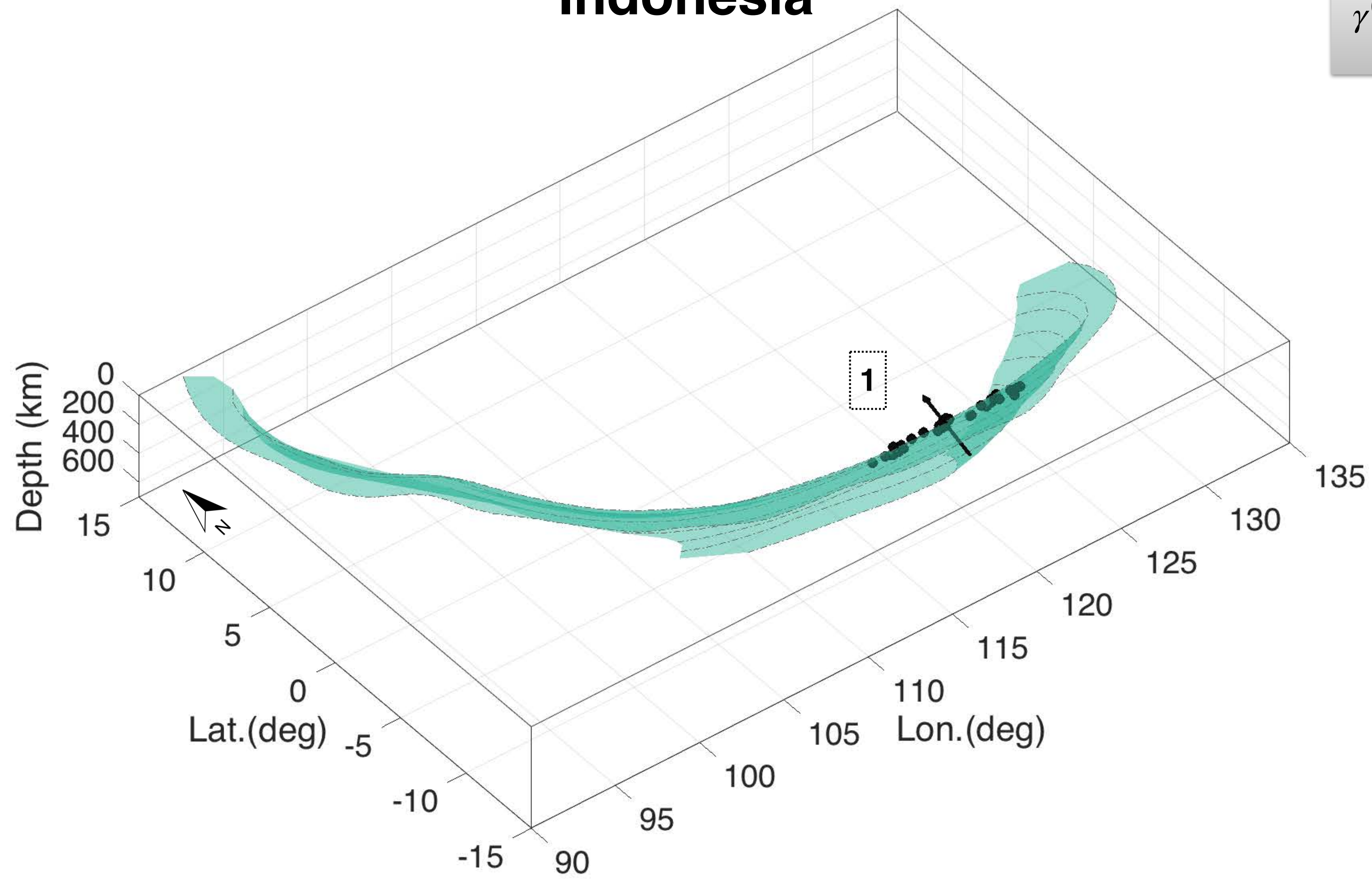
Thomsen Parameter:
 $\gamma^{(1)} = 29 \pm 4\%$
 $\gamma^{(2)} = 30 \pm 4\%$

Indonesia

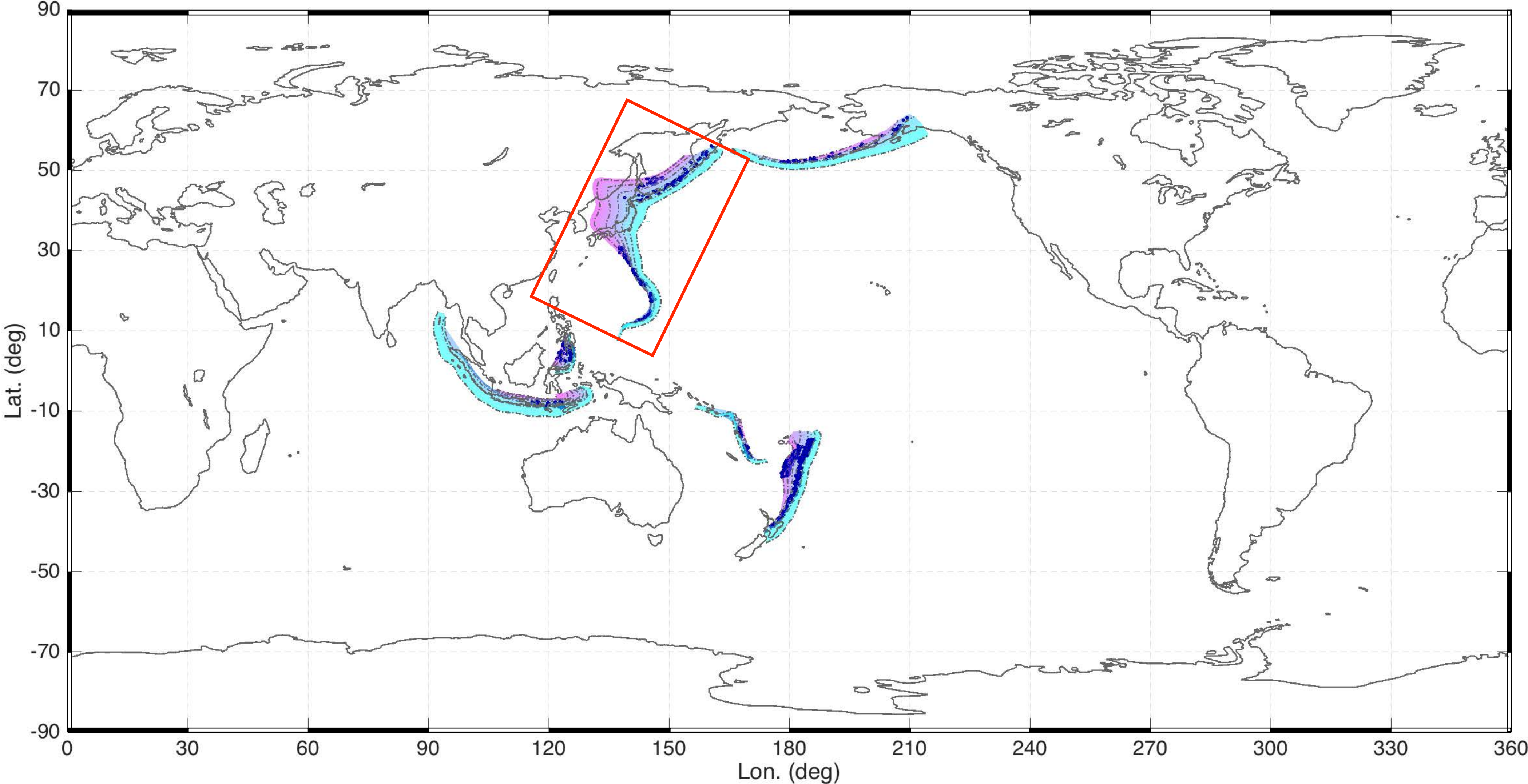


Indonesia

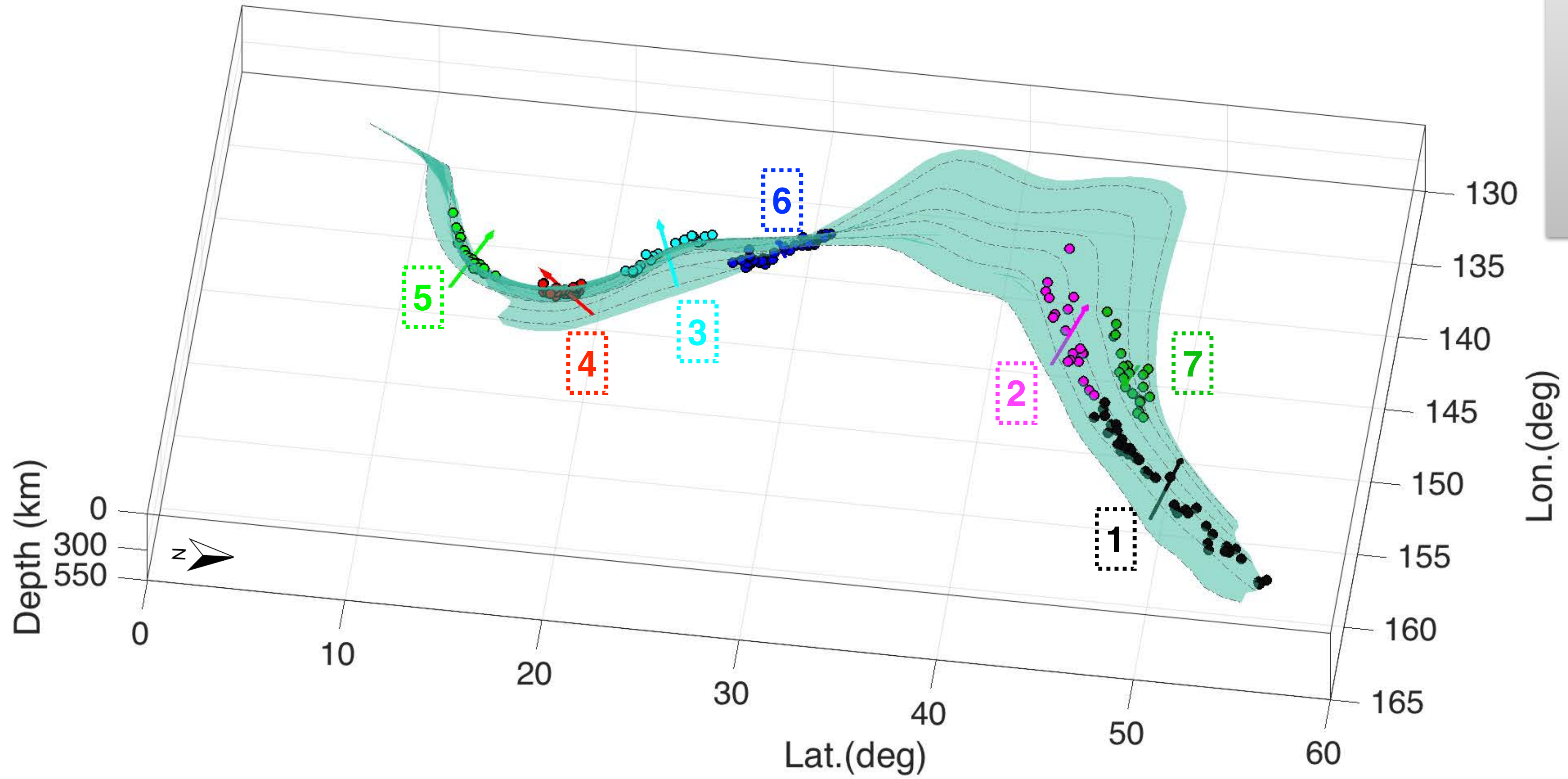
Thomsen Parameter:
 $\gamma^{(1)} = 31 \pm 5\%$



Mariana-Japan-Kuril



Mariana-Japan-Kuril



Thomsen Parameter:

$$\gamma^{(1)} = 33 \pm 5\%$$

$$\gamma^{(2)} = 22 \pm 7\%$$

$$\gamma^{(3)} = 34 \pm 7\%$$

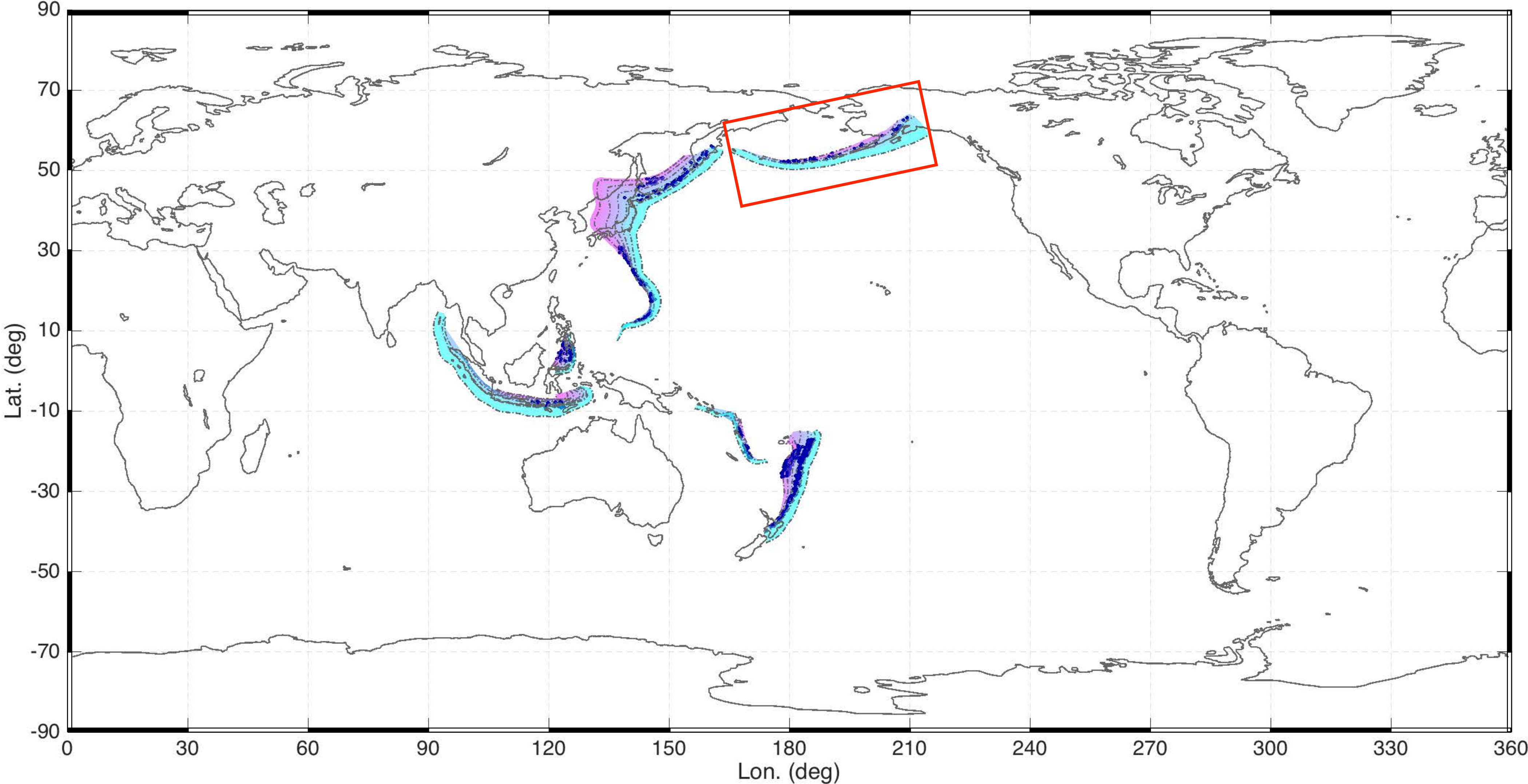
$$\gamma^{(4)} = 6 \pm 5\%$$

$$\gamma^{(5)} = 27 \pm 5\%$$

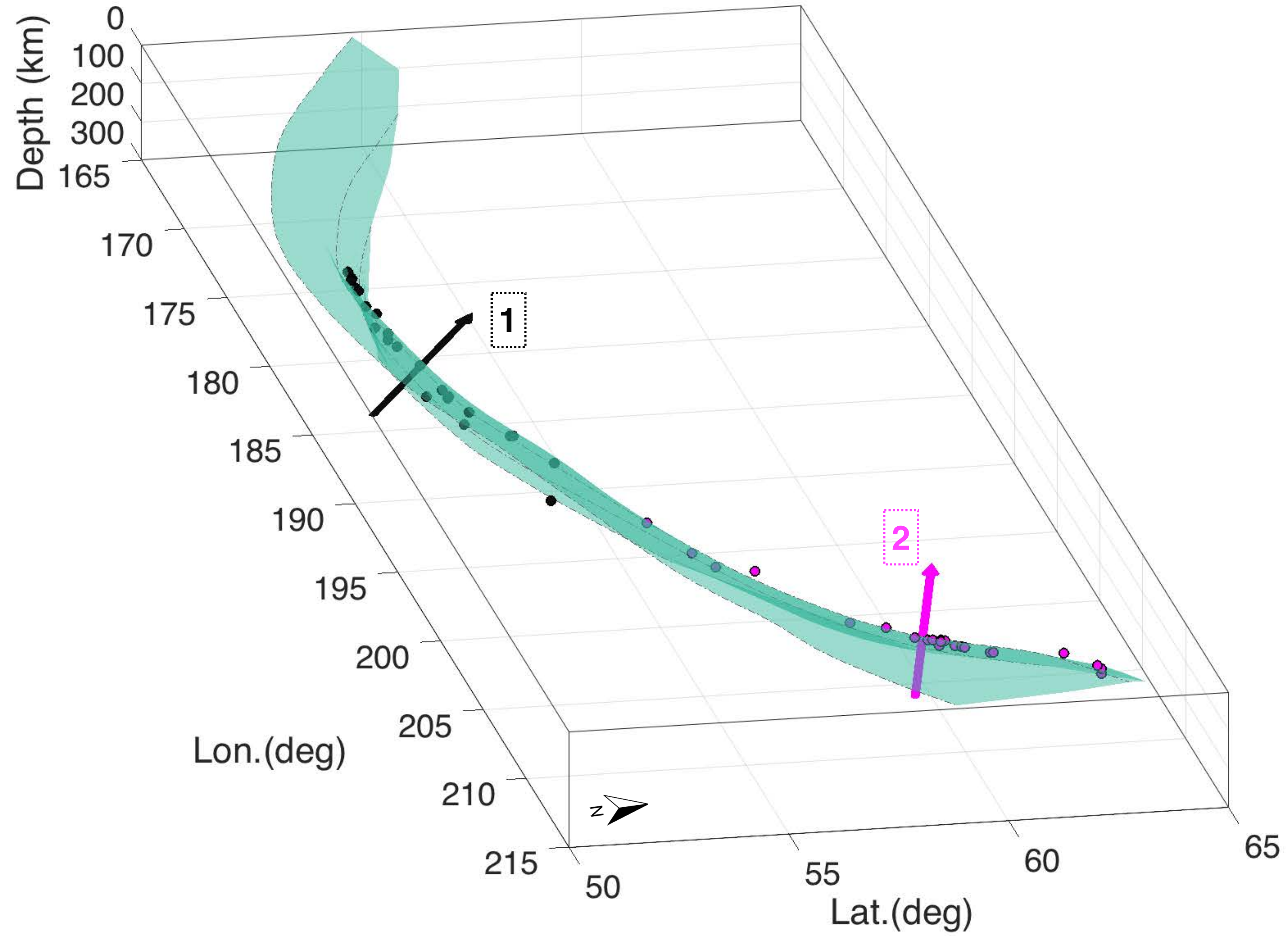
$$\gamma^{(6)} = 15 \pm 2\%$$

$$\gamma^{(7)} = 25 \pm 5\%$$

Aleutian



Aleutian

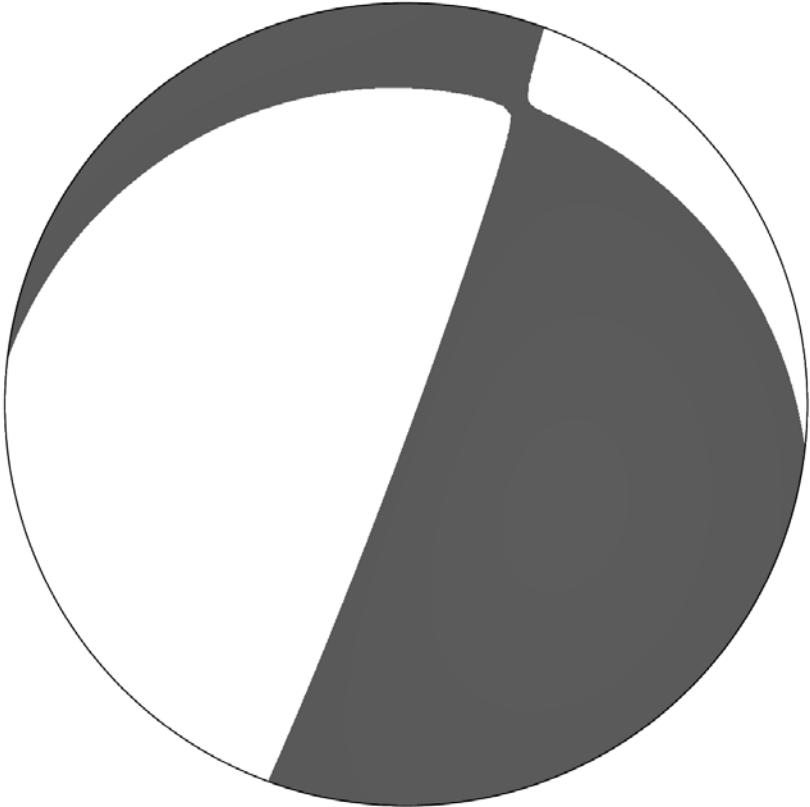


Thomsen Parameter:
 $\gamma^{(1)} = 35 \pm 6\%$
 $\gamma^{(2)} = 36 \pm 5\%$

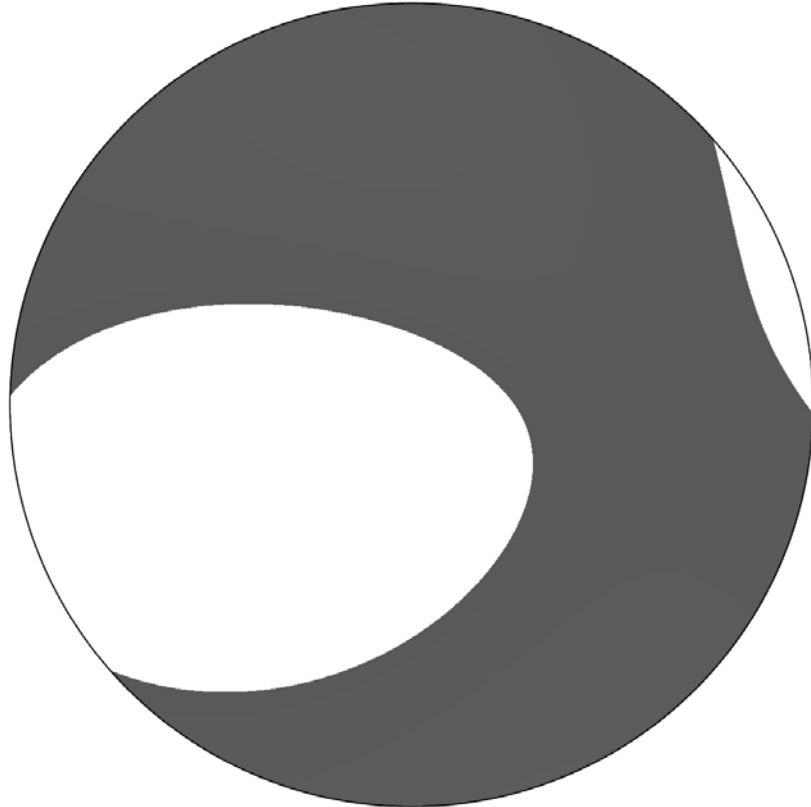
Radiation Pattern Fitting

Radiation Pattern Fitting

Observed



Observed



Radiation Pattern Fitting

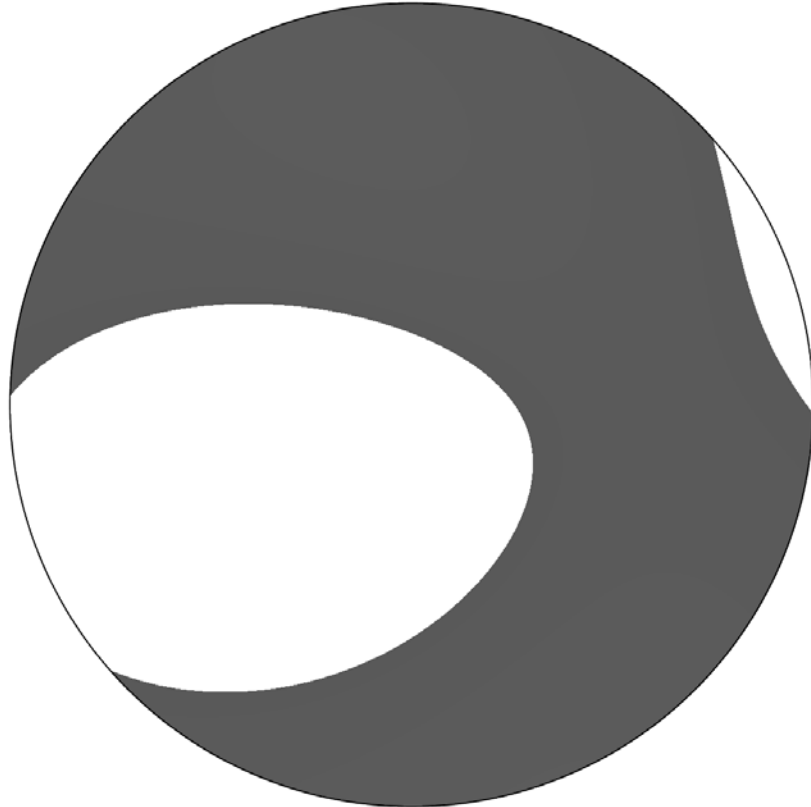
Observed



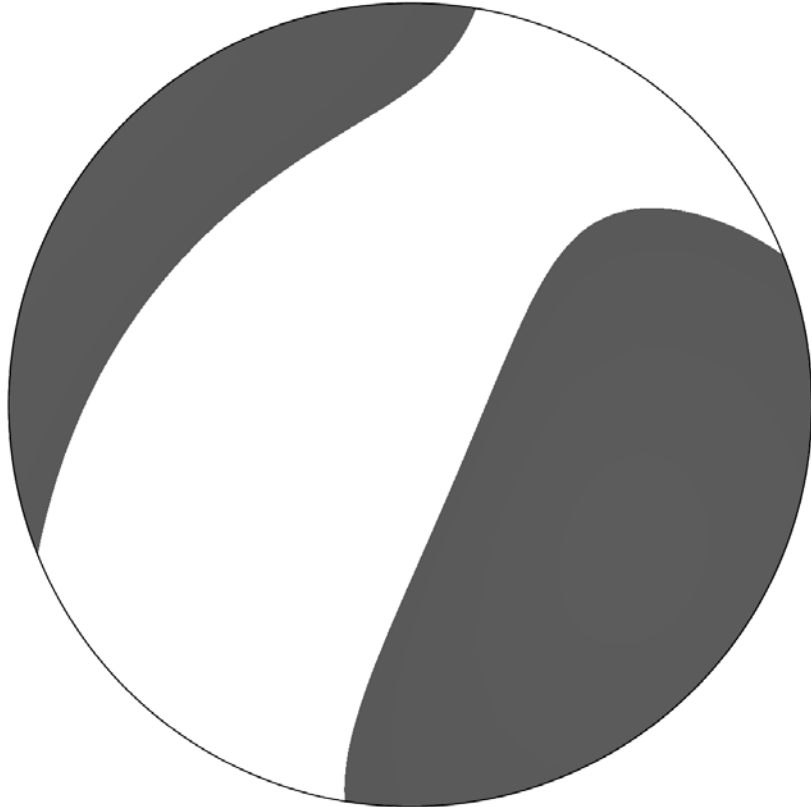
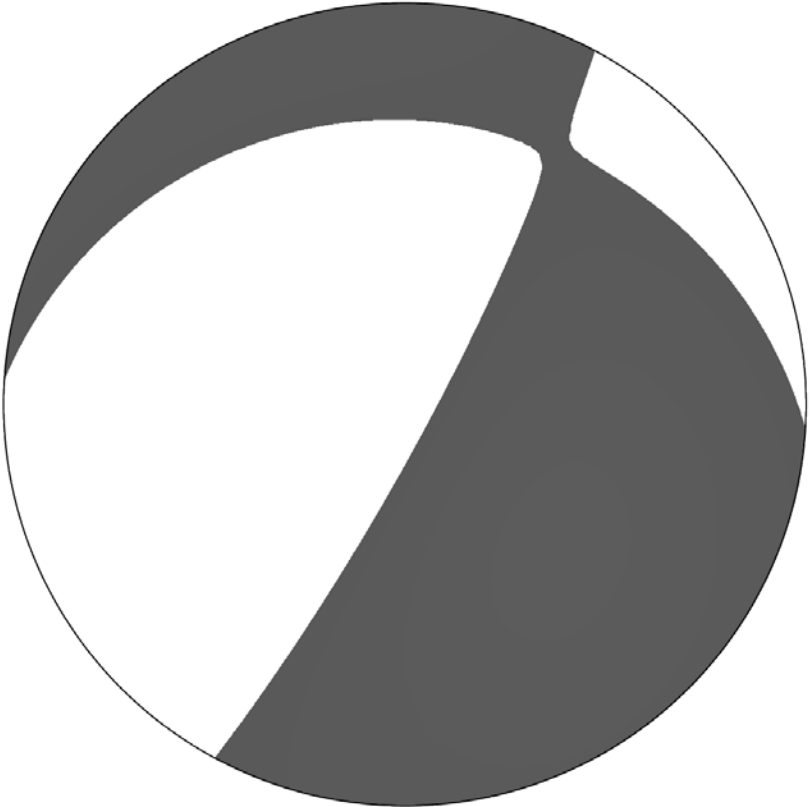
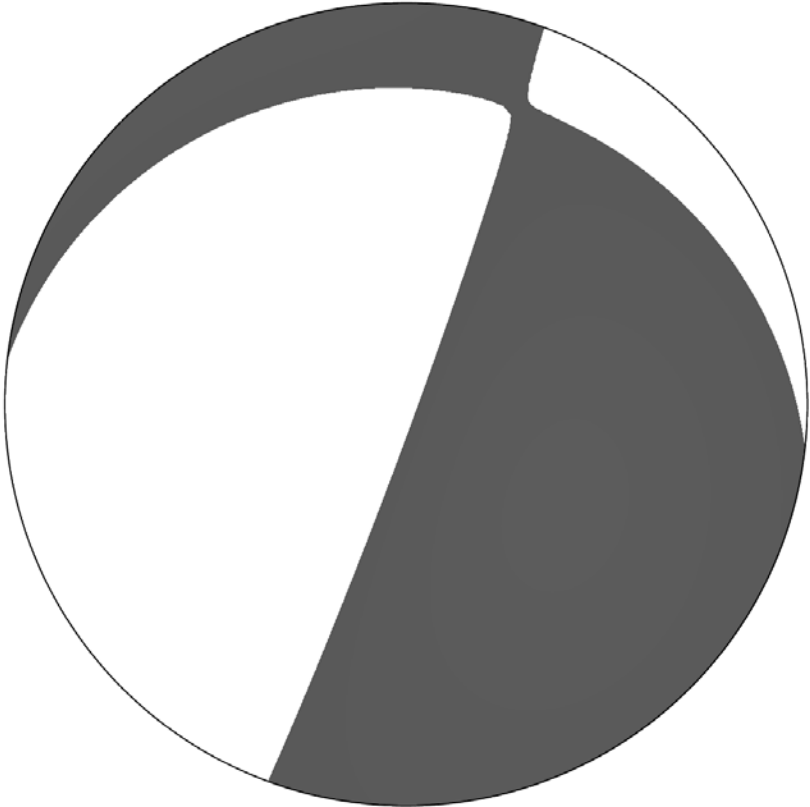
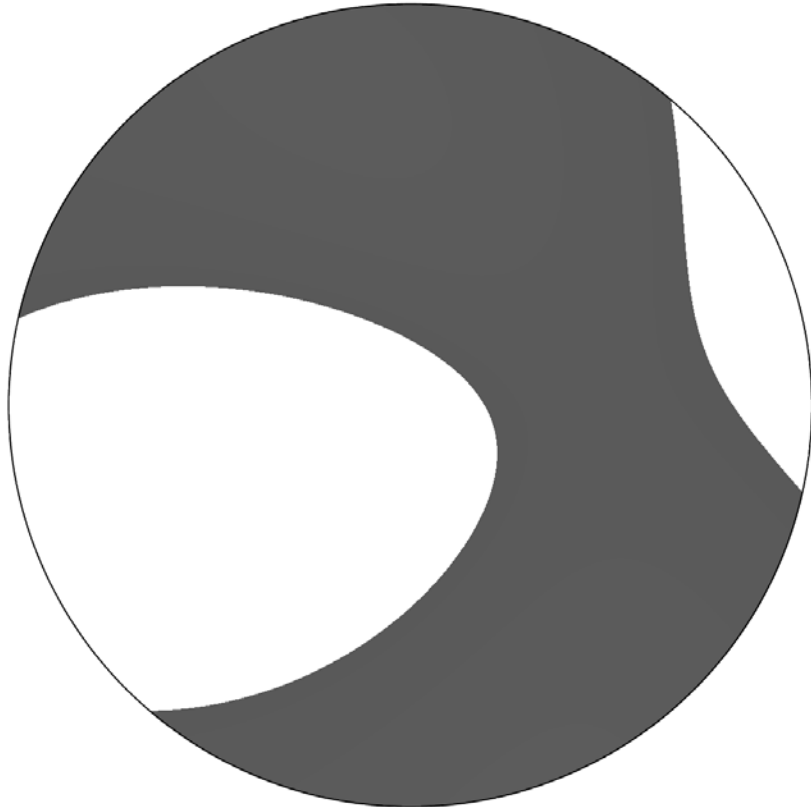
Synthetic

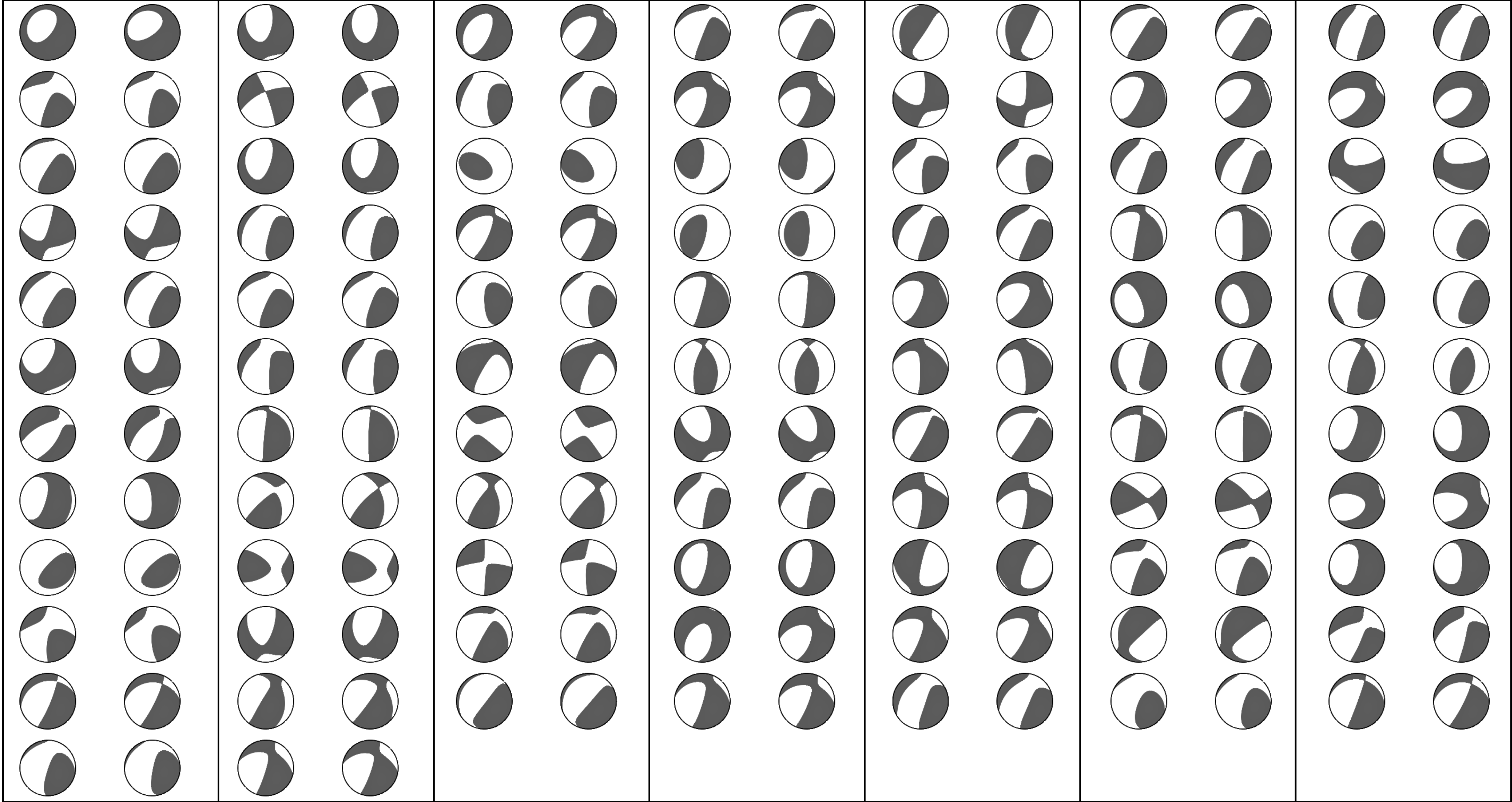


Observed



Synthetic



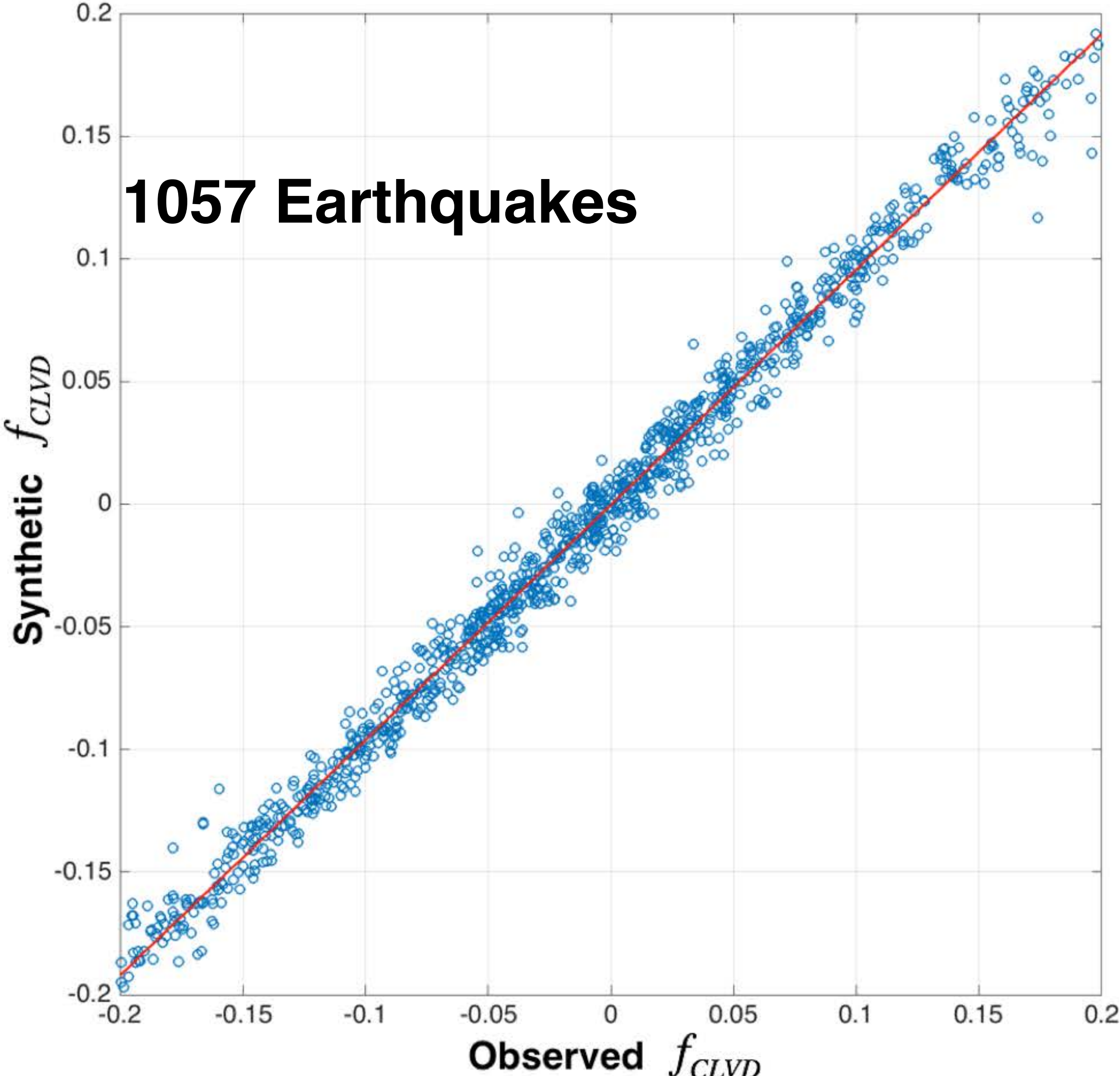


which pair is different?

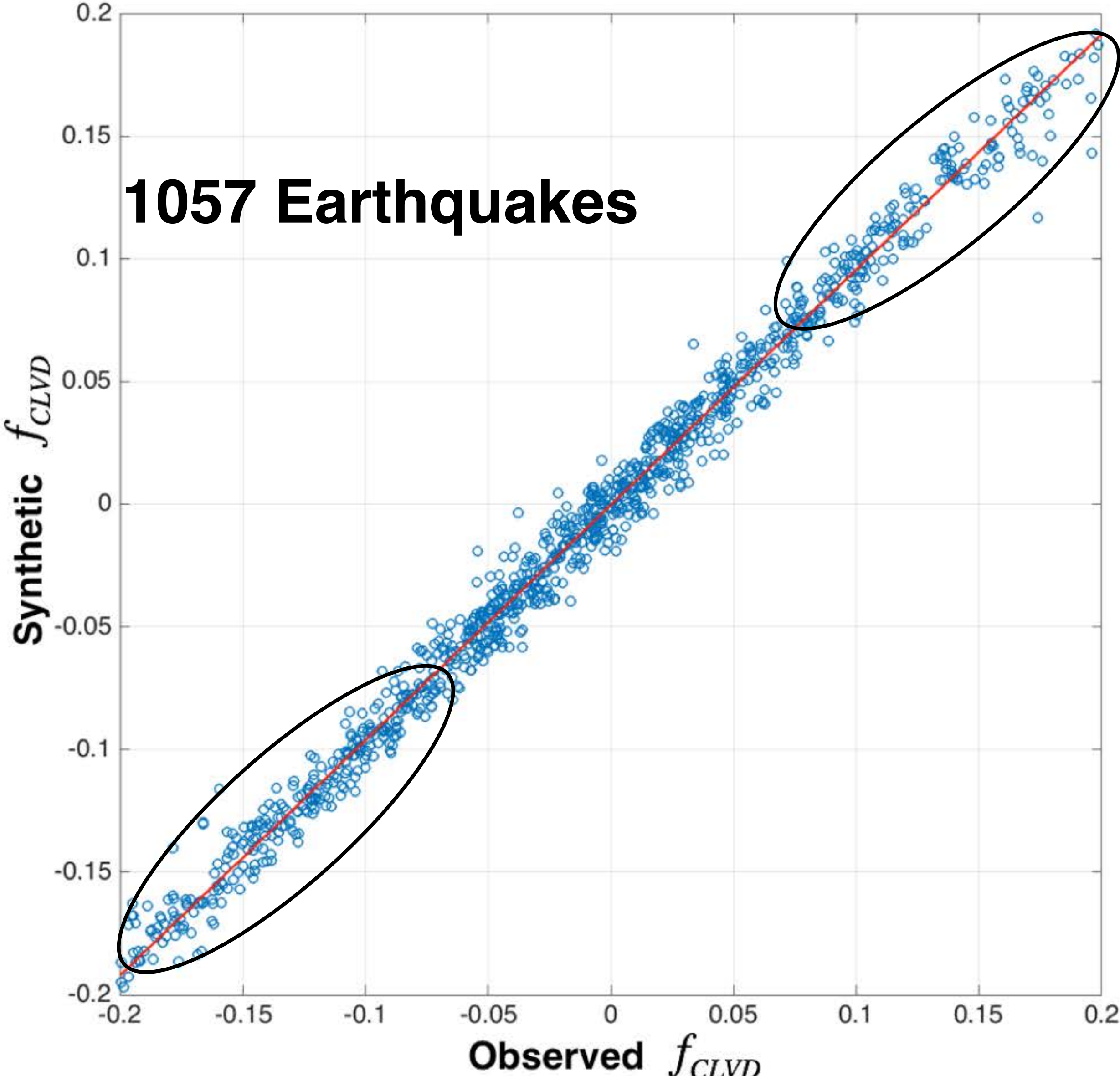
The image displays a 12x2 grid of circular patterns. Each column contains 12 circles. The patterns are variations of a circle divided into two or four quadrants by a diagonal line. The patterns are arranged in pairs across 12 columns. The text "which pair is different?" is written in red across the top of the grid.

Non-double-couple Component Fitting

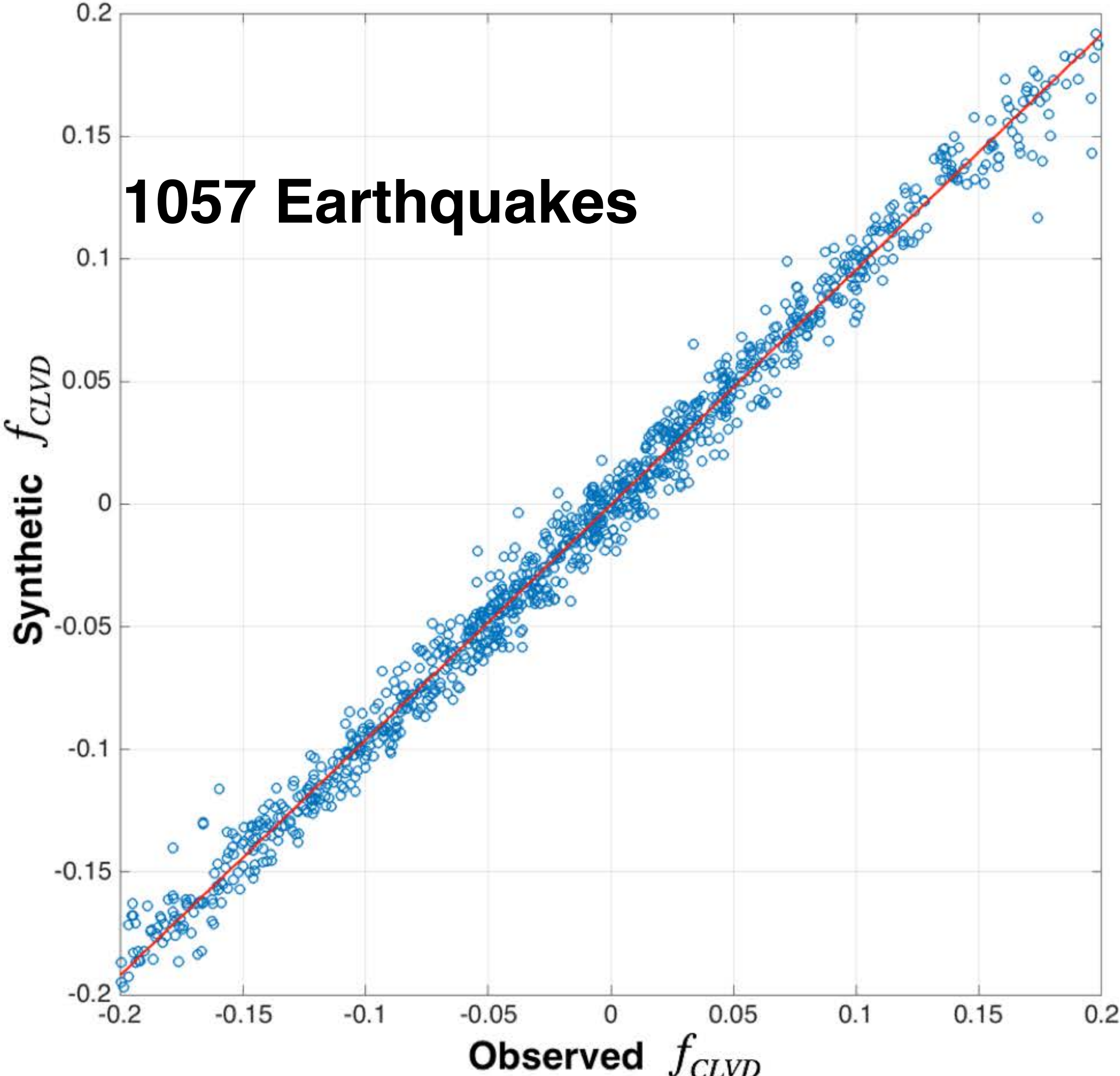
Non-double-couple Component Fitting



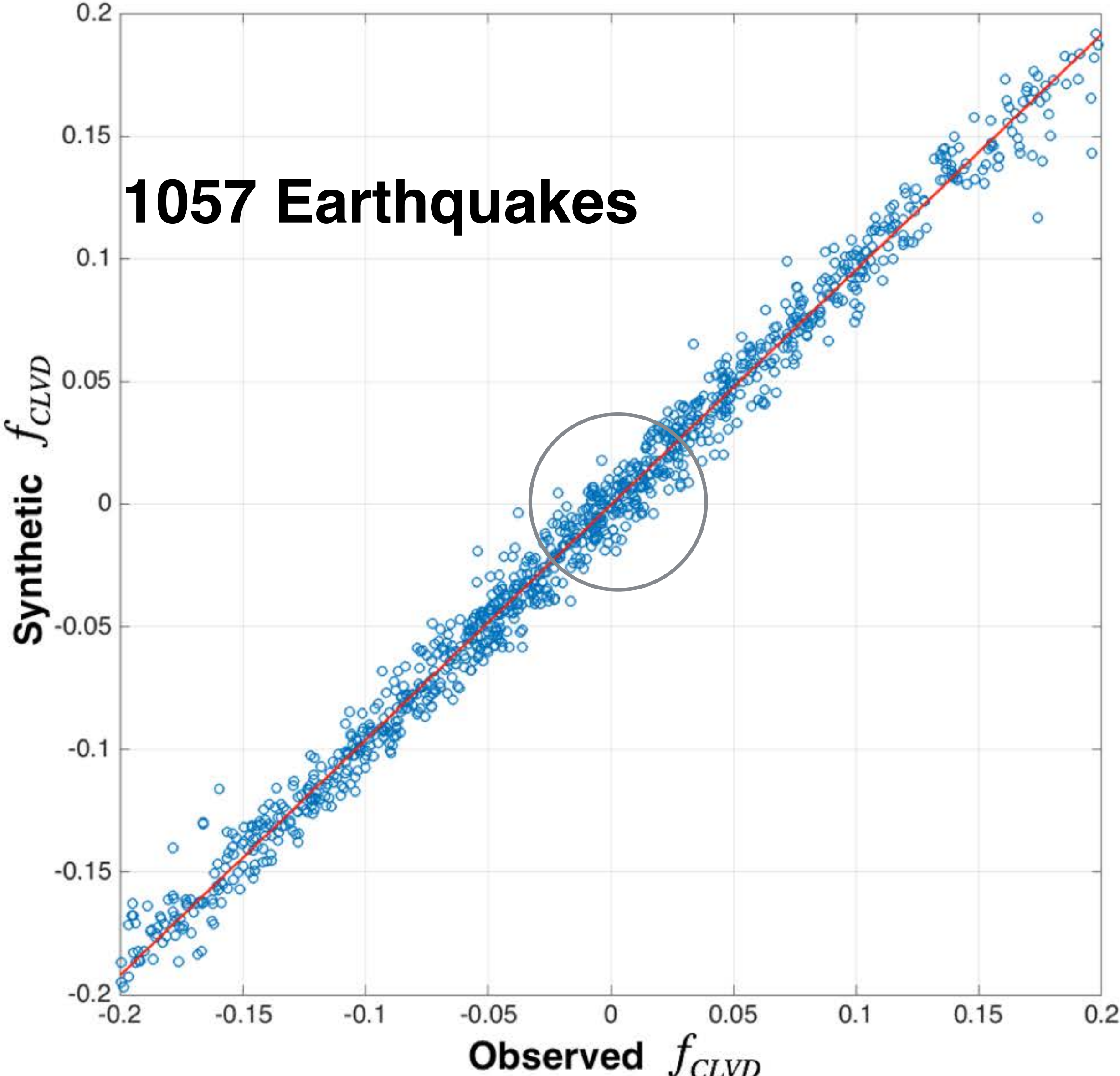
Non-double-couple Component Fitting



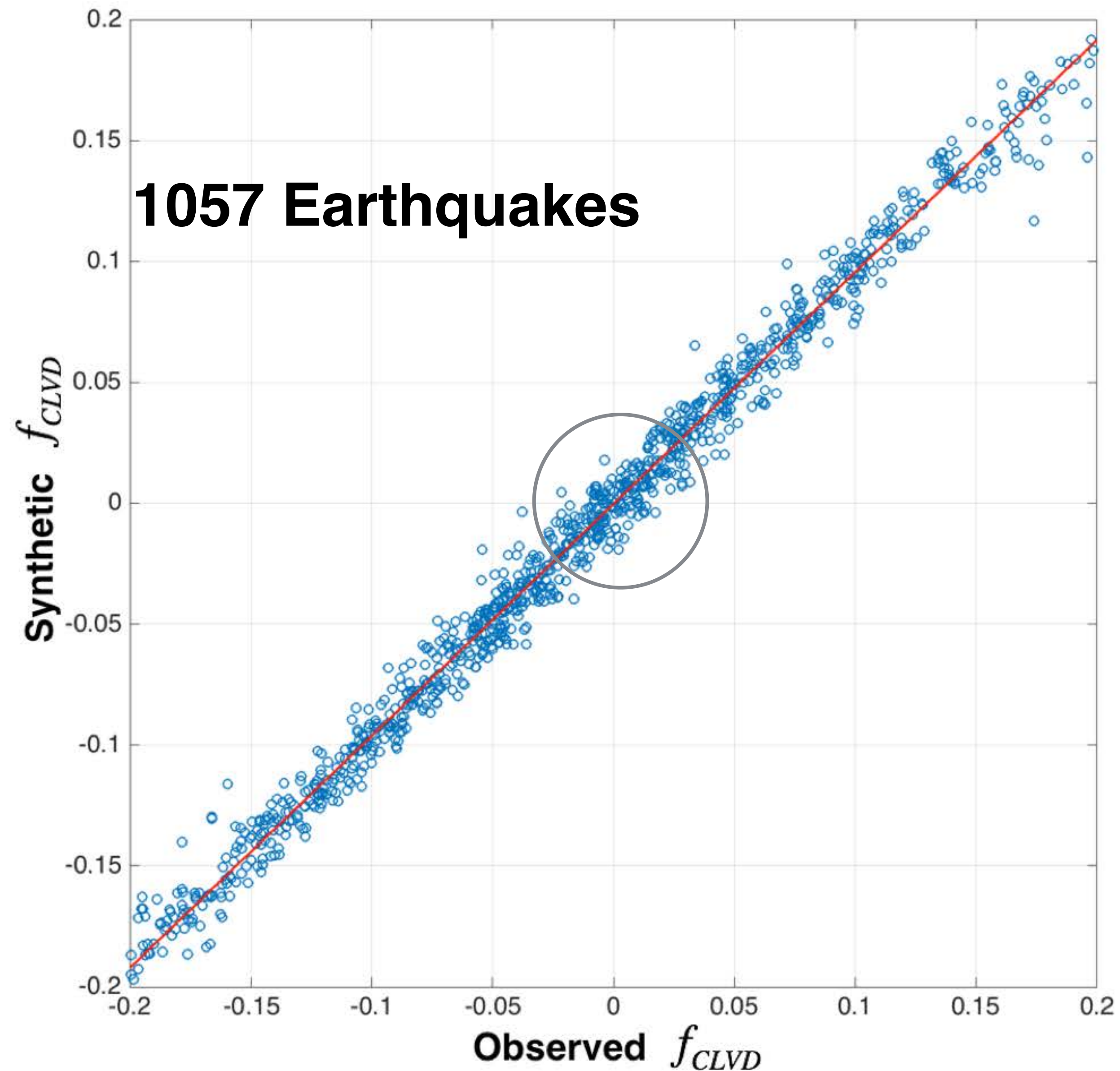
Non-double-couple Component Fitting



Non-double-couple Component Fitting

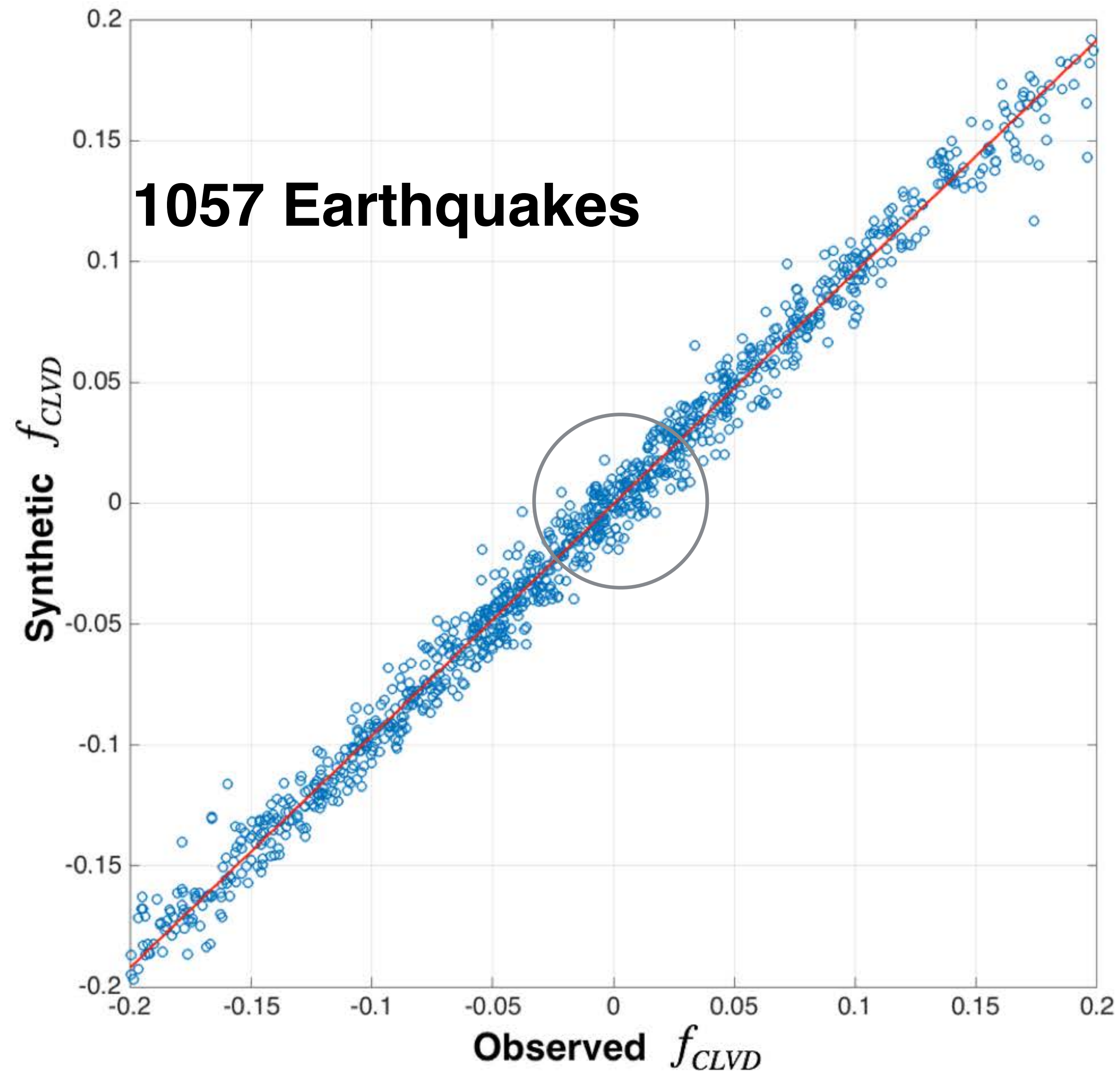


Non-double-couple Component Fitting



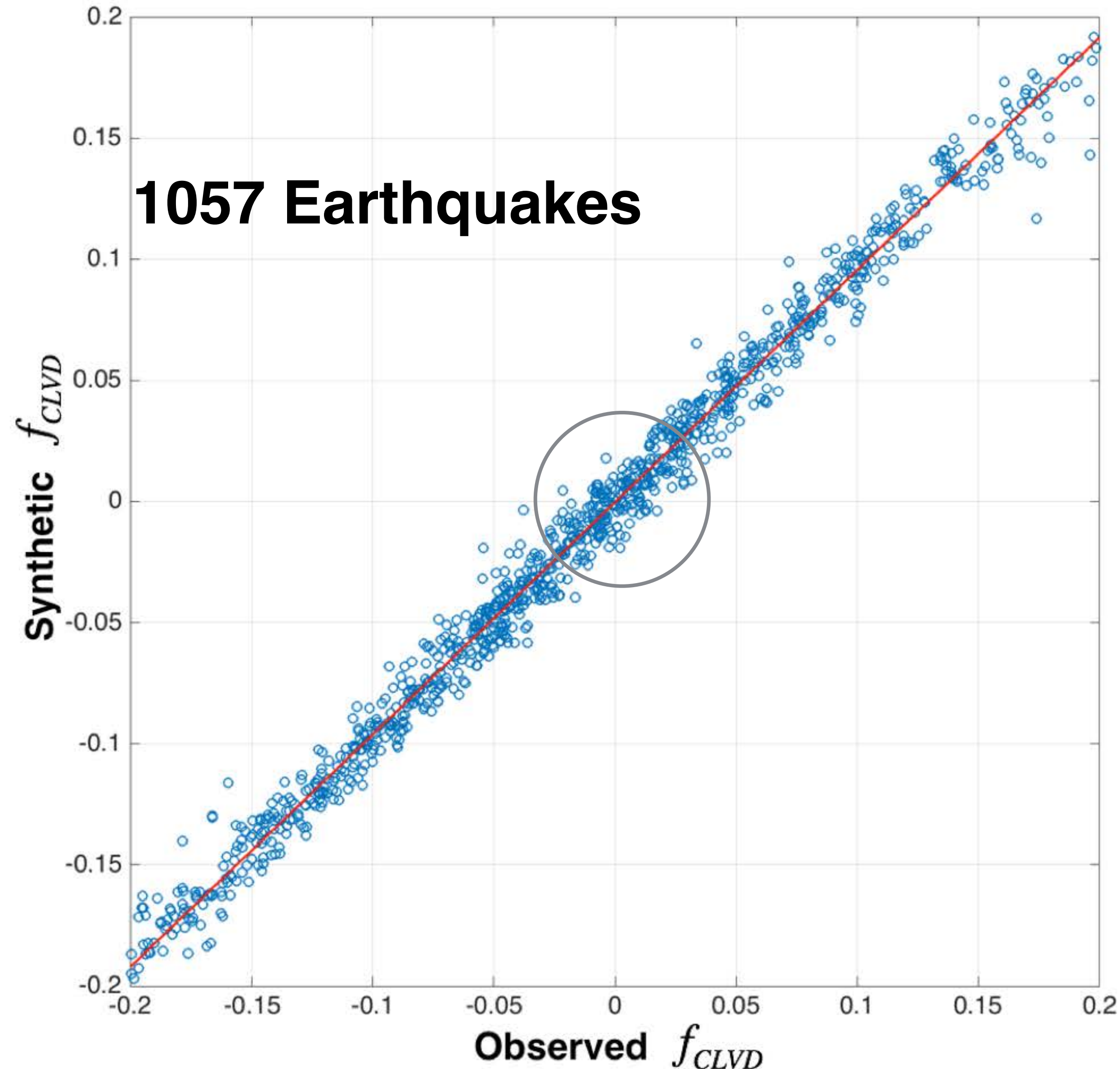
- **We can reproduce the non-DC component using shear dislocation source in tilted laminated anisotropy.**

Non-double-couple Component Fitting



- **We can reproduce the non-DC component using shear dislocation source in tilted laminated anisotropy.**
- **For 1057 deep earthquakes.**

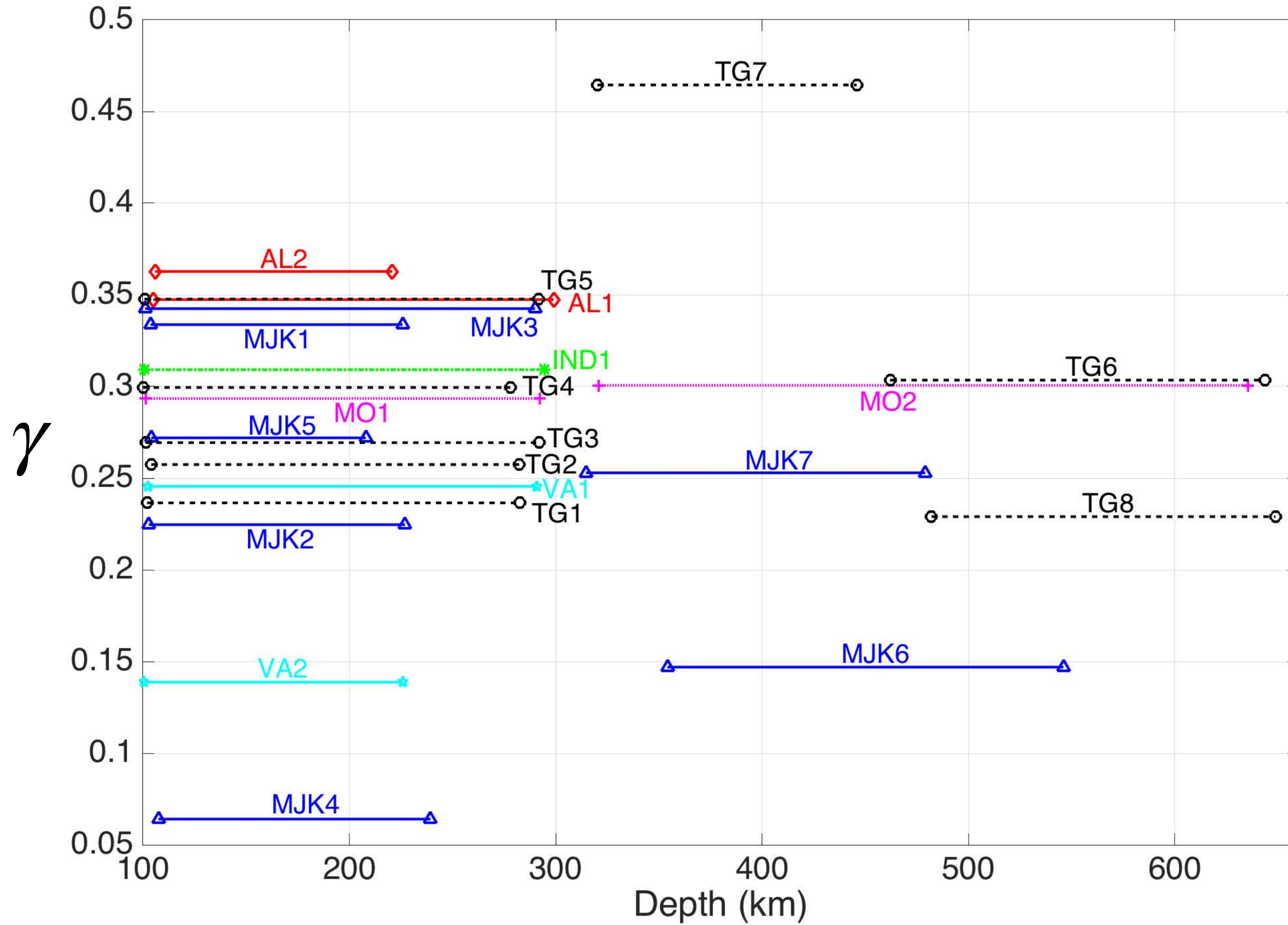
Non-double-couple Component Fitting



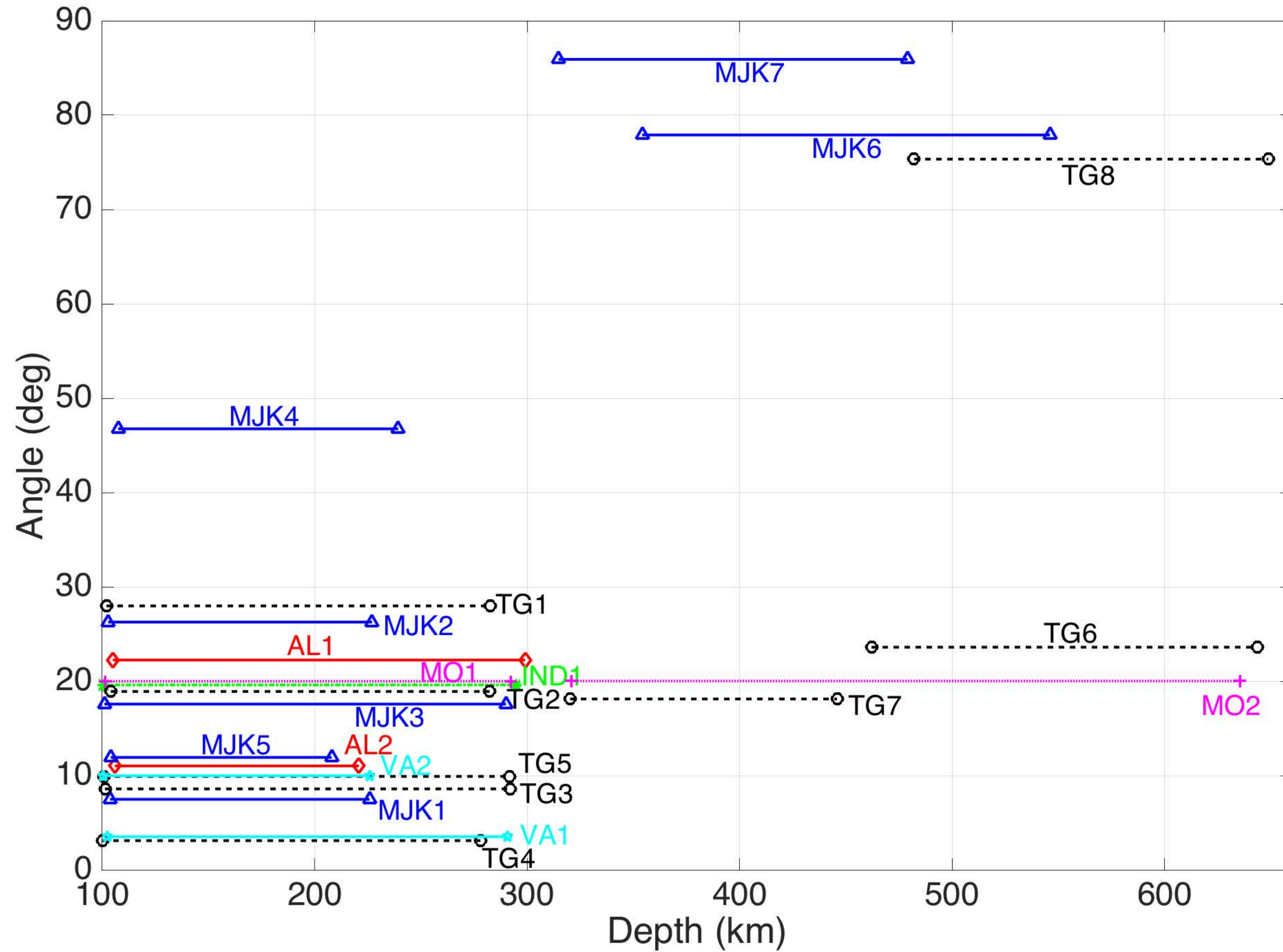
- **We can reproduce the non-DC component using shear dislocation source in tilted laminated anisotropy.**
- **For 1057 deep earthquakes.**
- **We can fit both large non-DC and small non-DC simultaneously.**

Inversion summary

Gamma (S anisotropy)-Depth

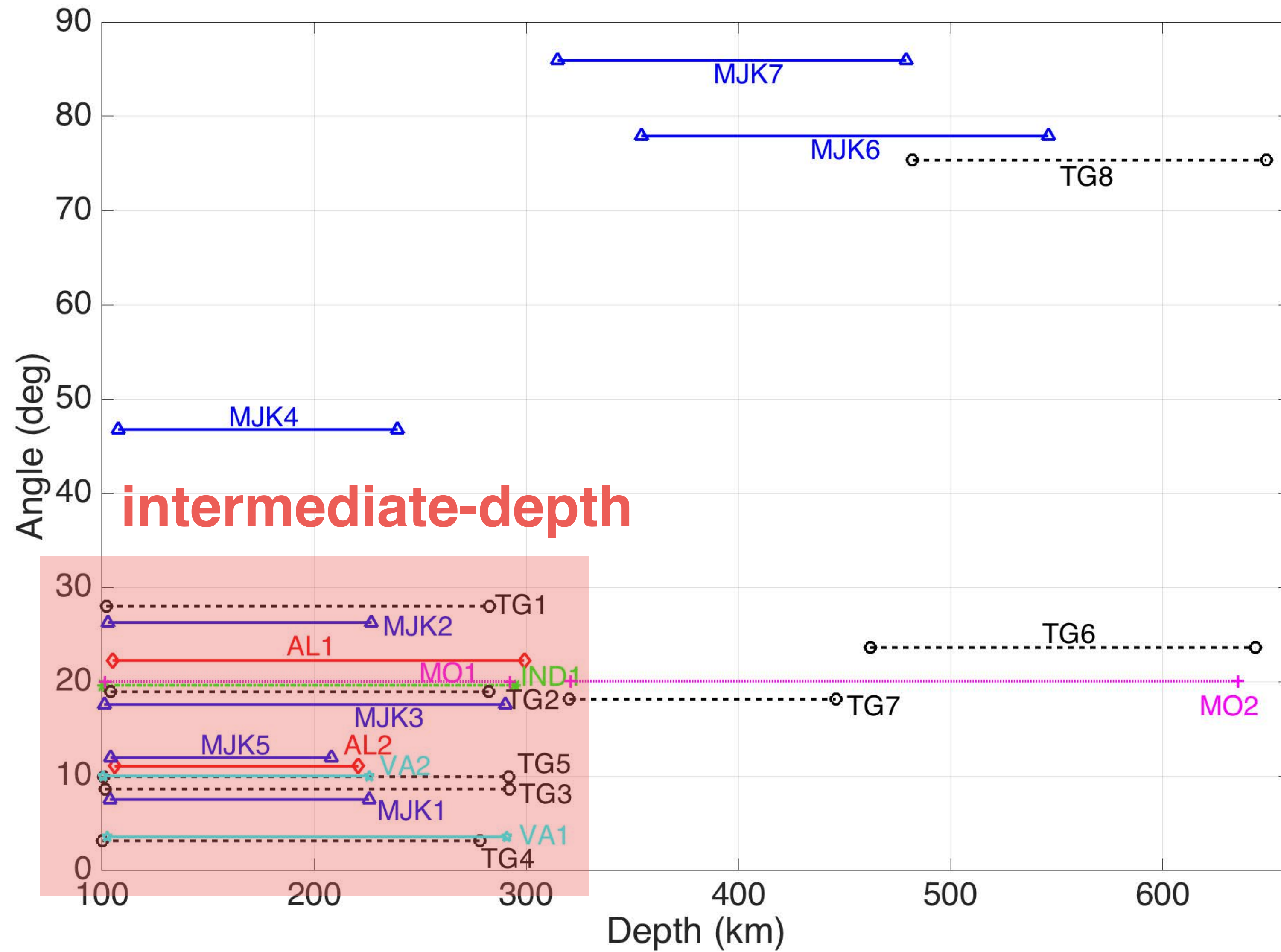


Angle-Depth



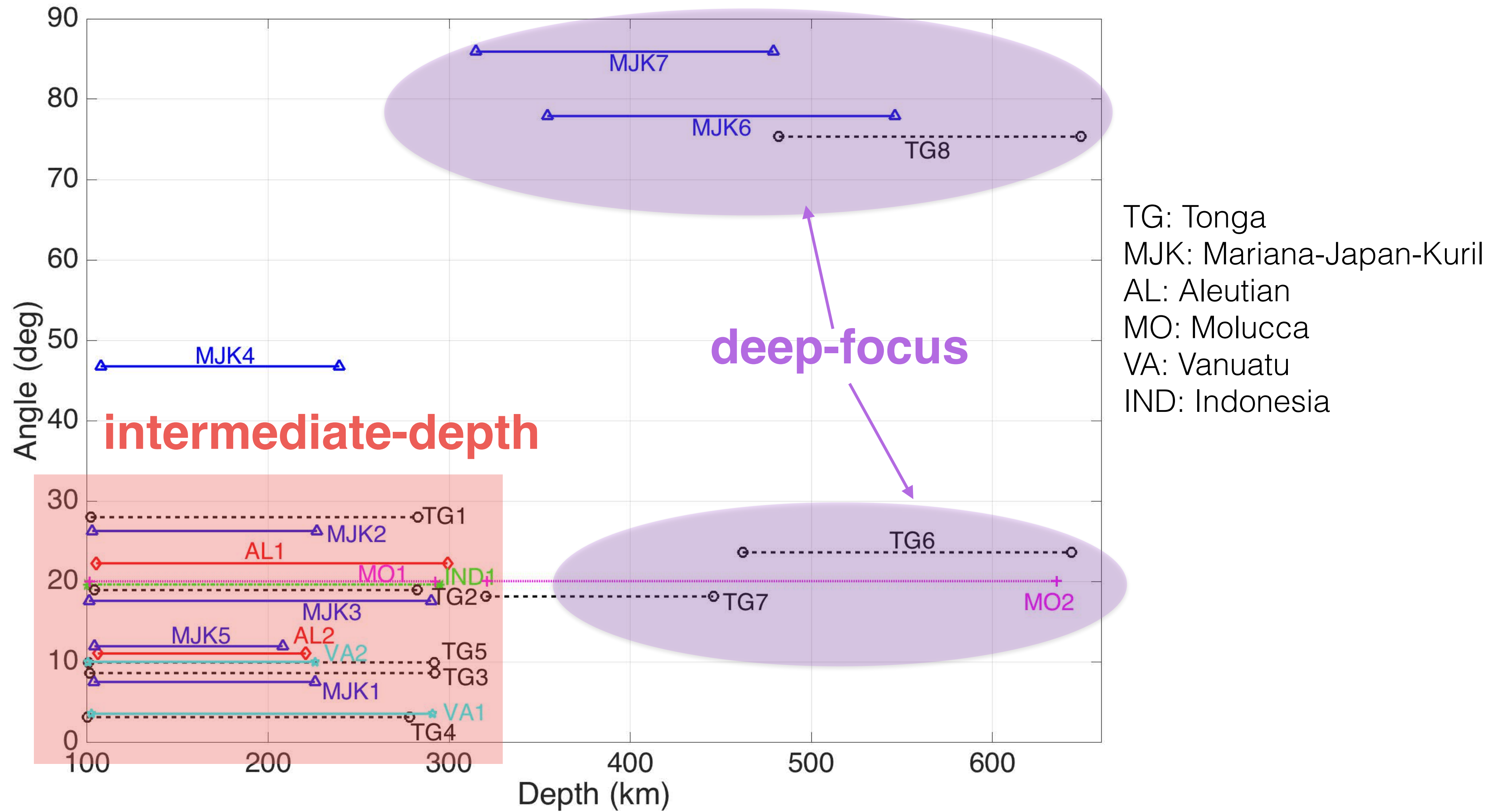
TG: Tonga
 MJK: Mariana-Japan-Kuril
 AL: Aleutian
 MO: Molucca
 VA: Vanuatu
 IND: Indonesia

Angle-Depth

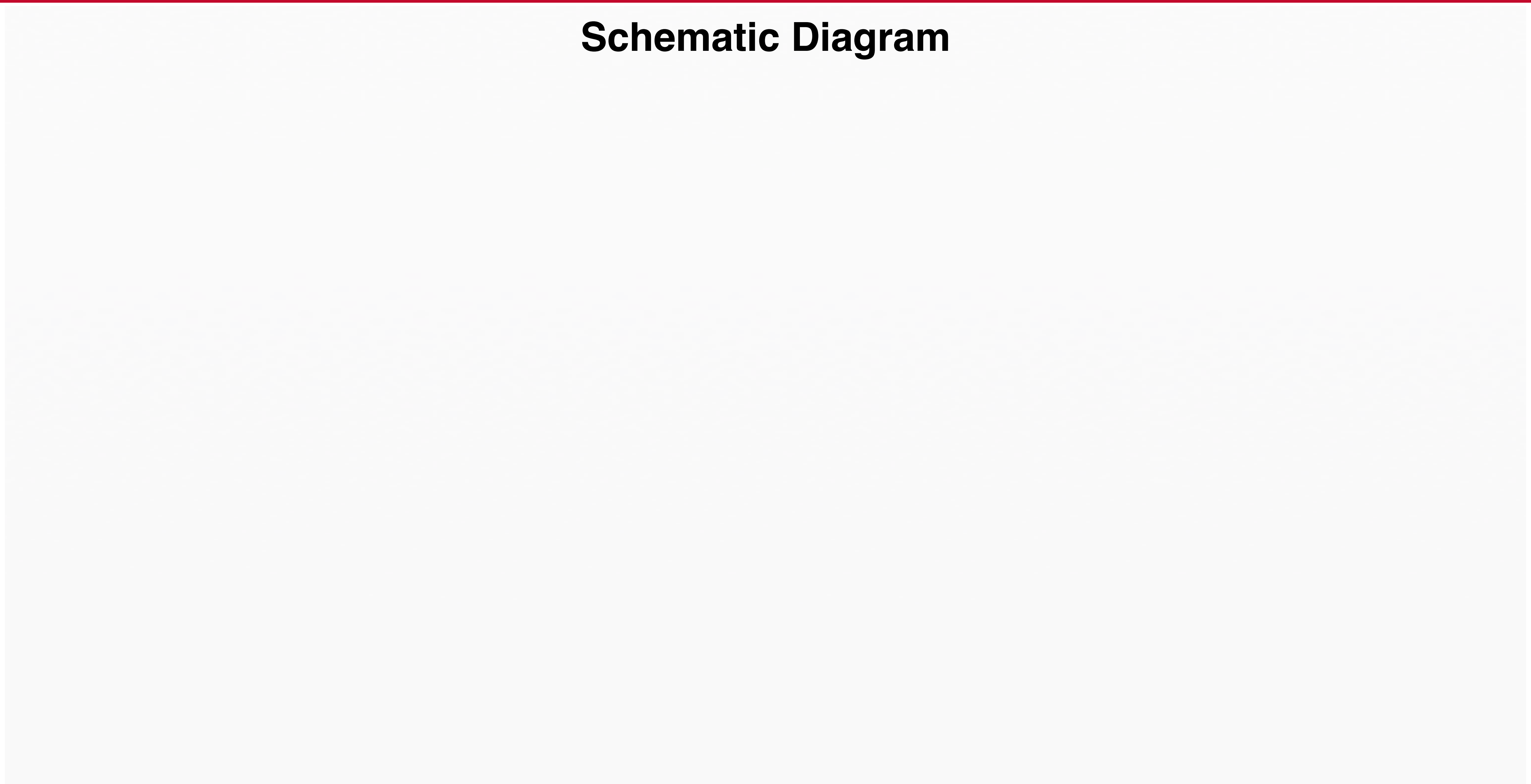


TG: Tonga
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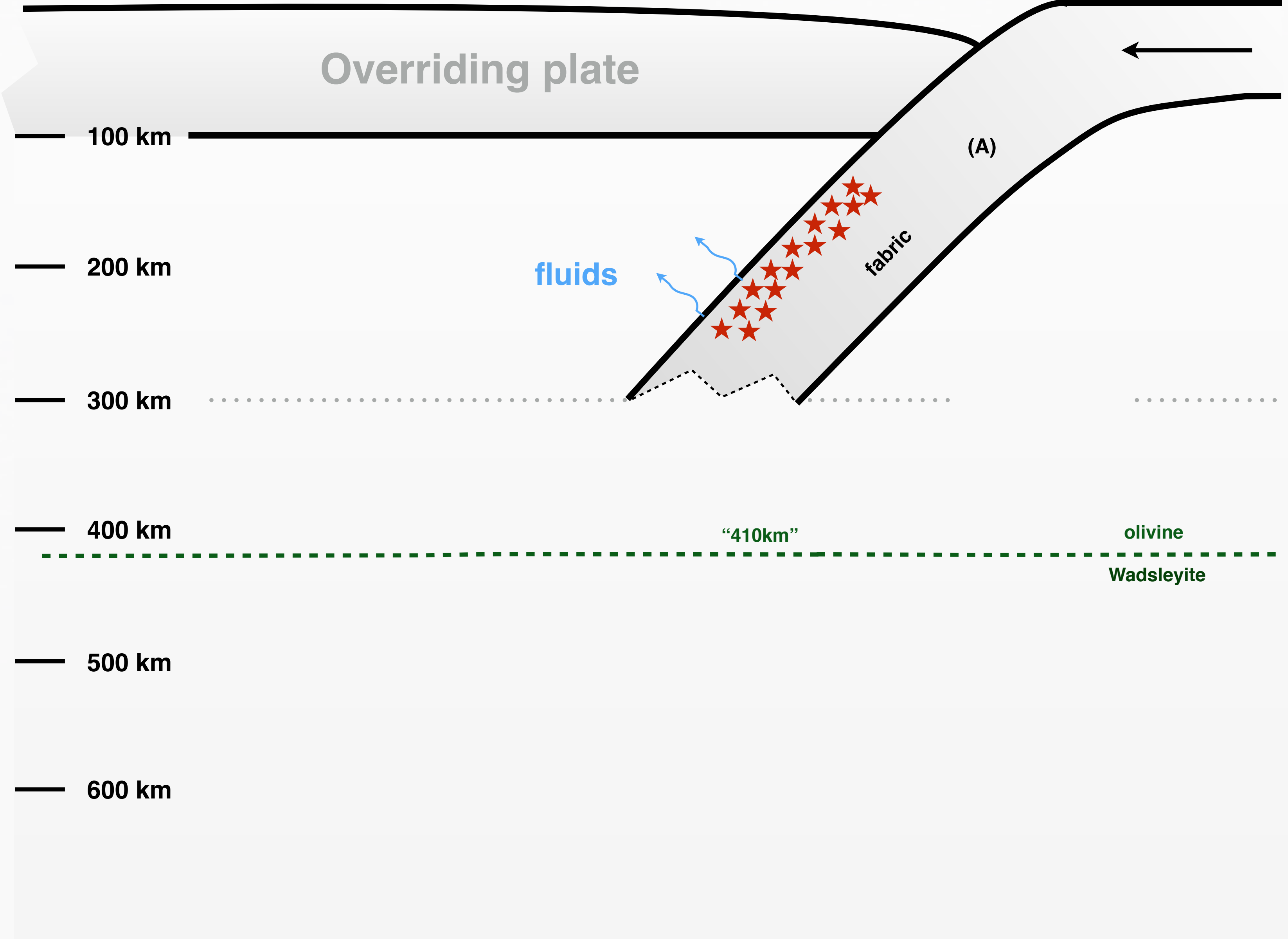
Angle-Depth



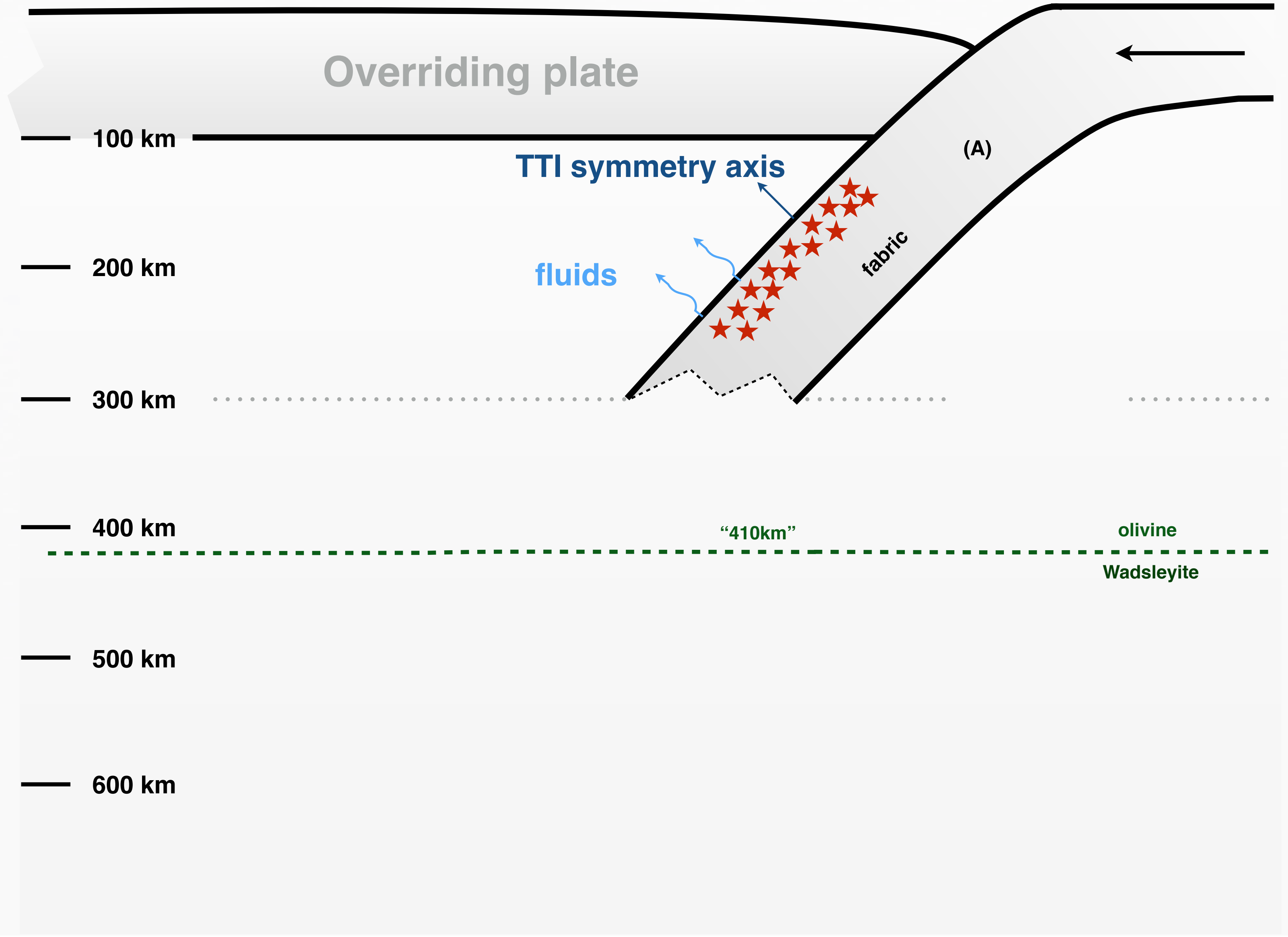
Schematic Diagram



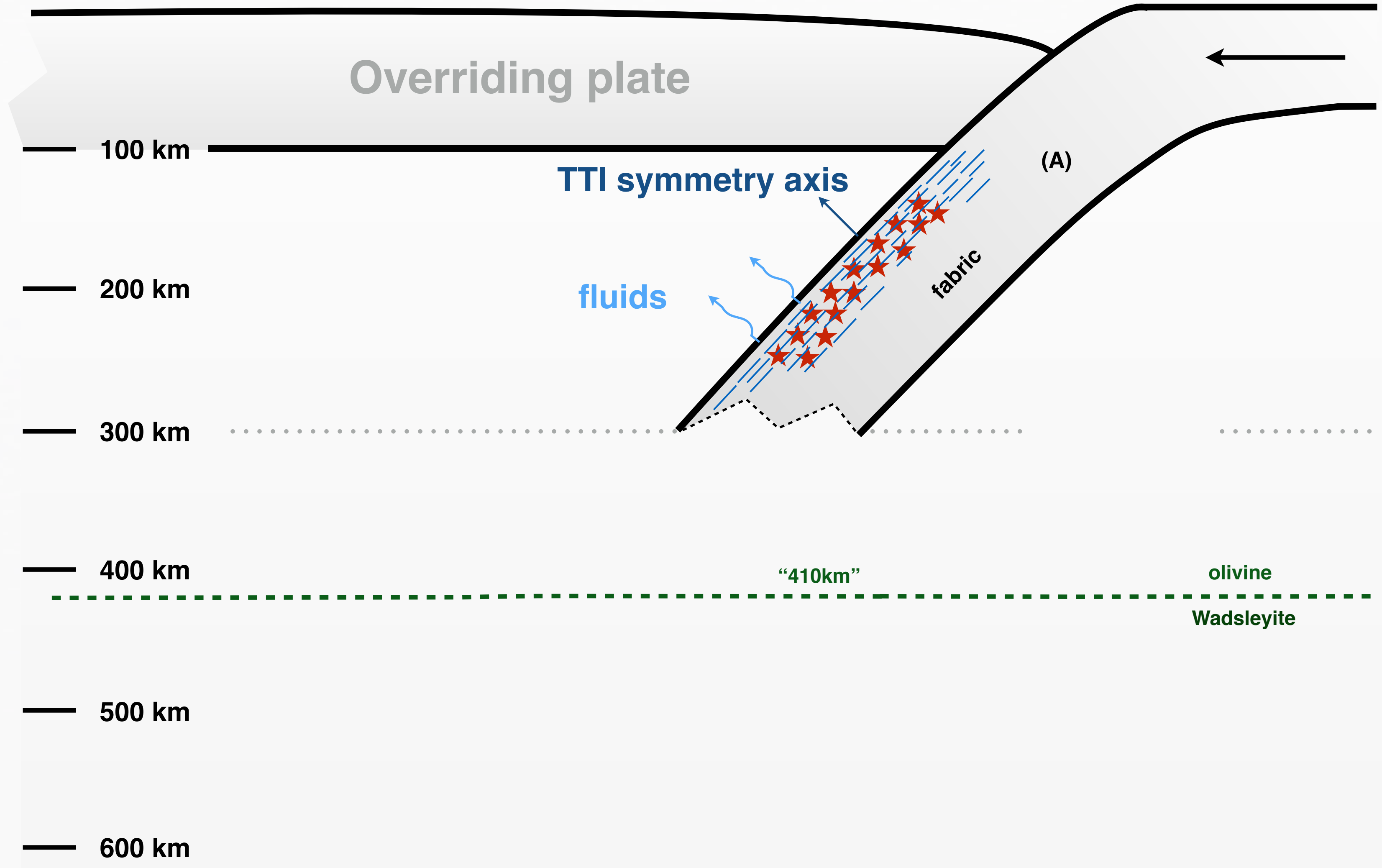
Schematic Diagram



Schematic Diagram

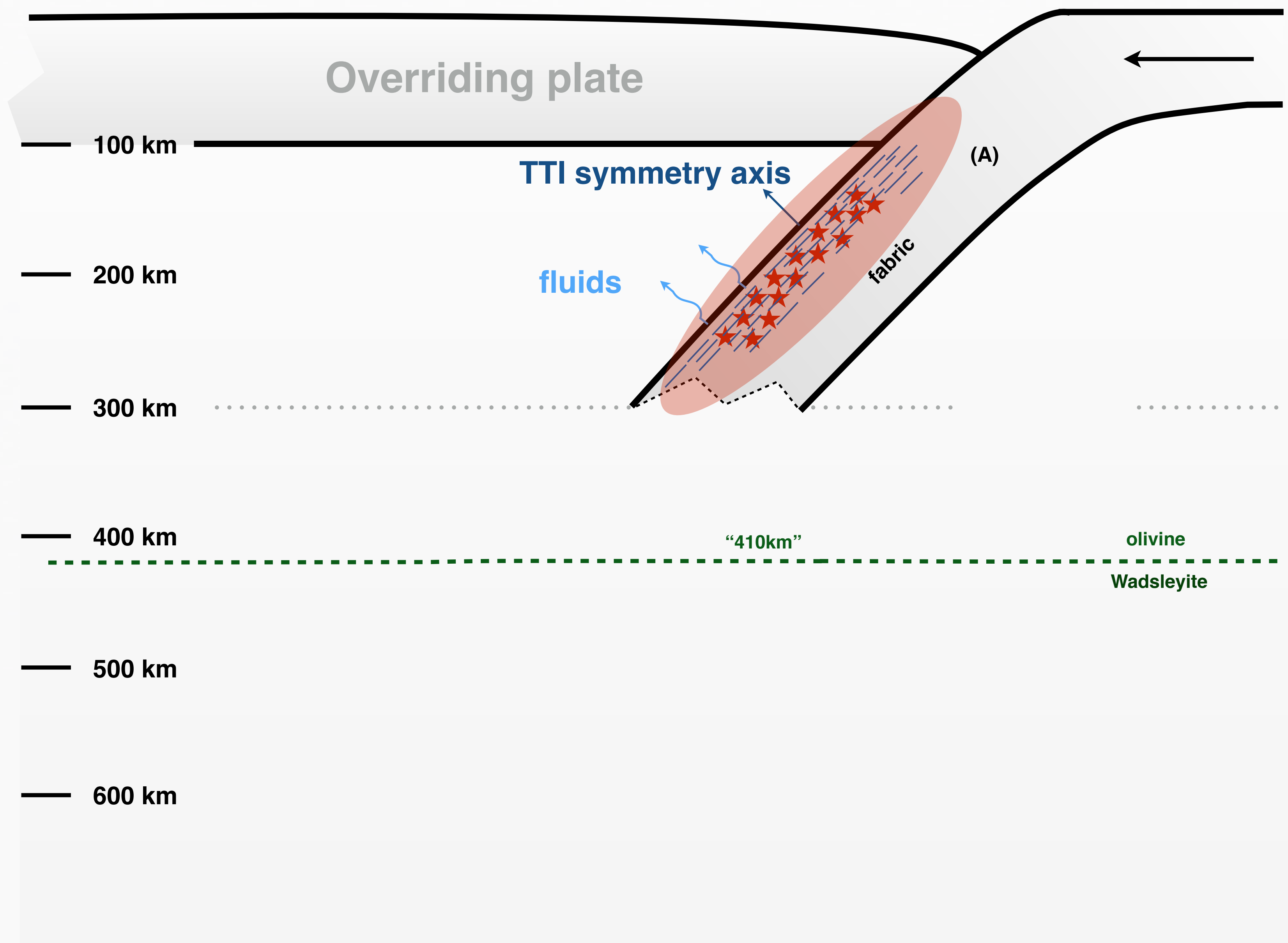


Schematic Diagram



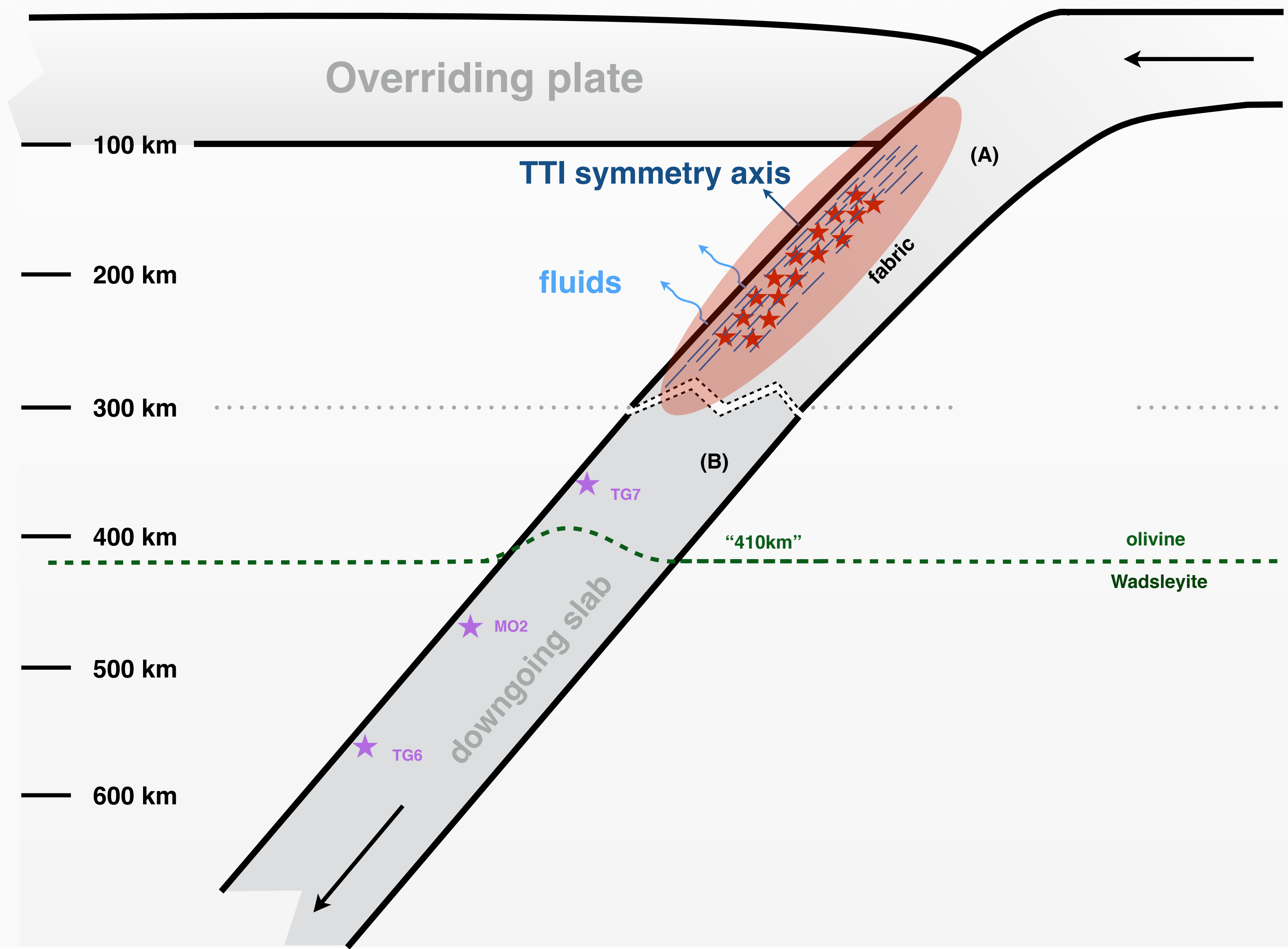
(Hacker et al., 2003
Mainprice et al., 2009;
Brownlee et al., 2013;
Yang et al., 2014;)

Schematic Diagram



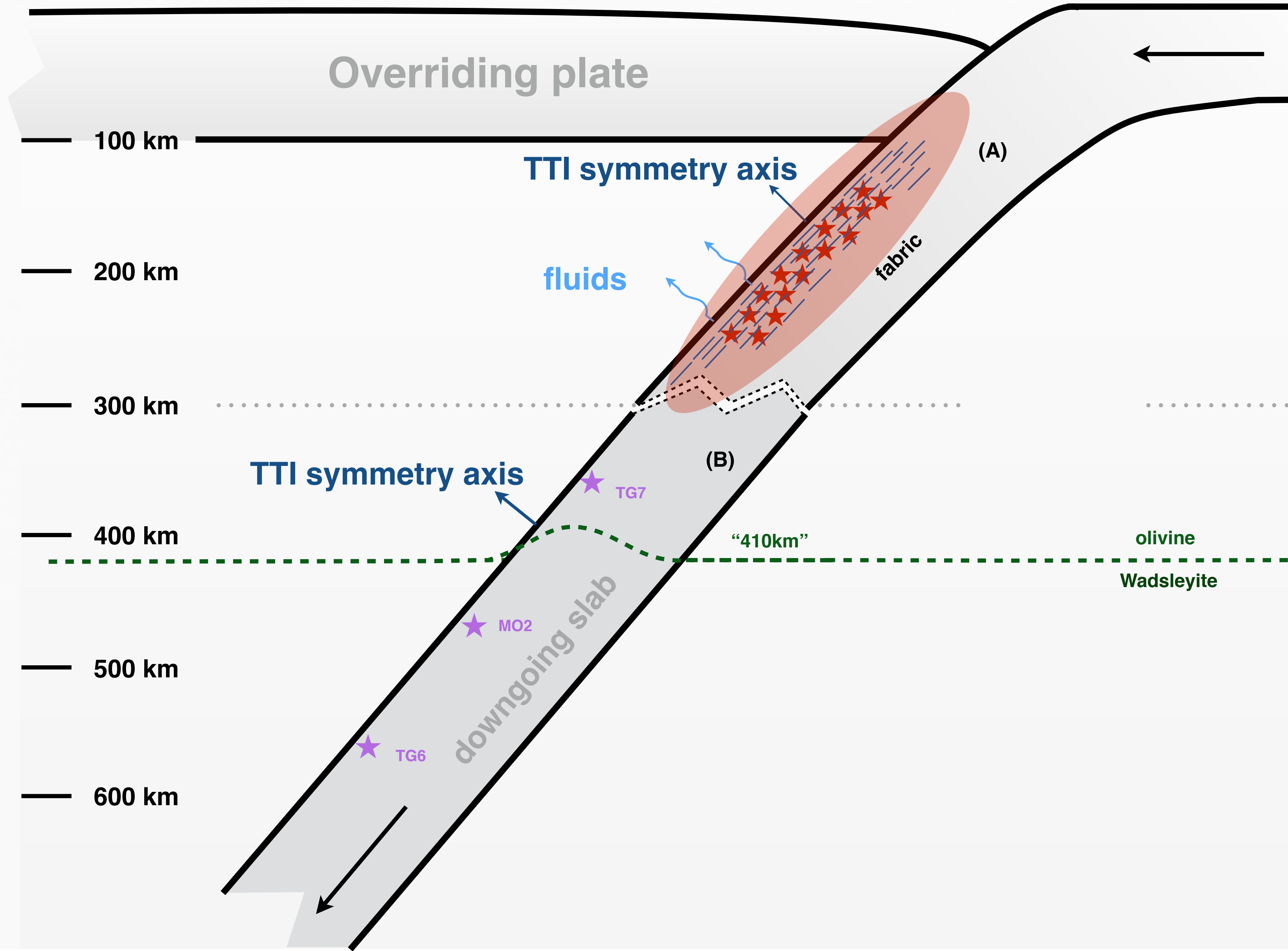
(Hacker et al., 2003
Mainprice et al., 2009;
Brownlee et al., 2013;
Yang et al., 2014;)

Schematic Diagram



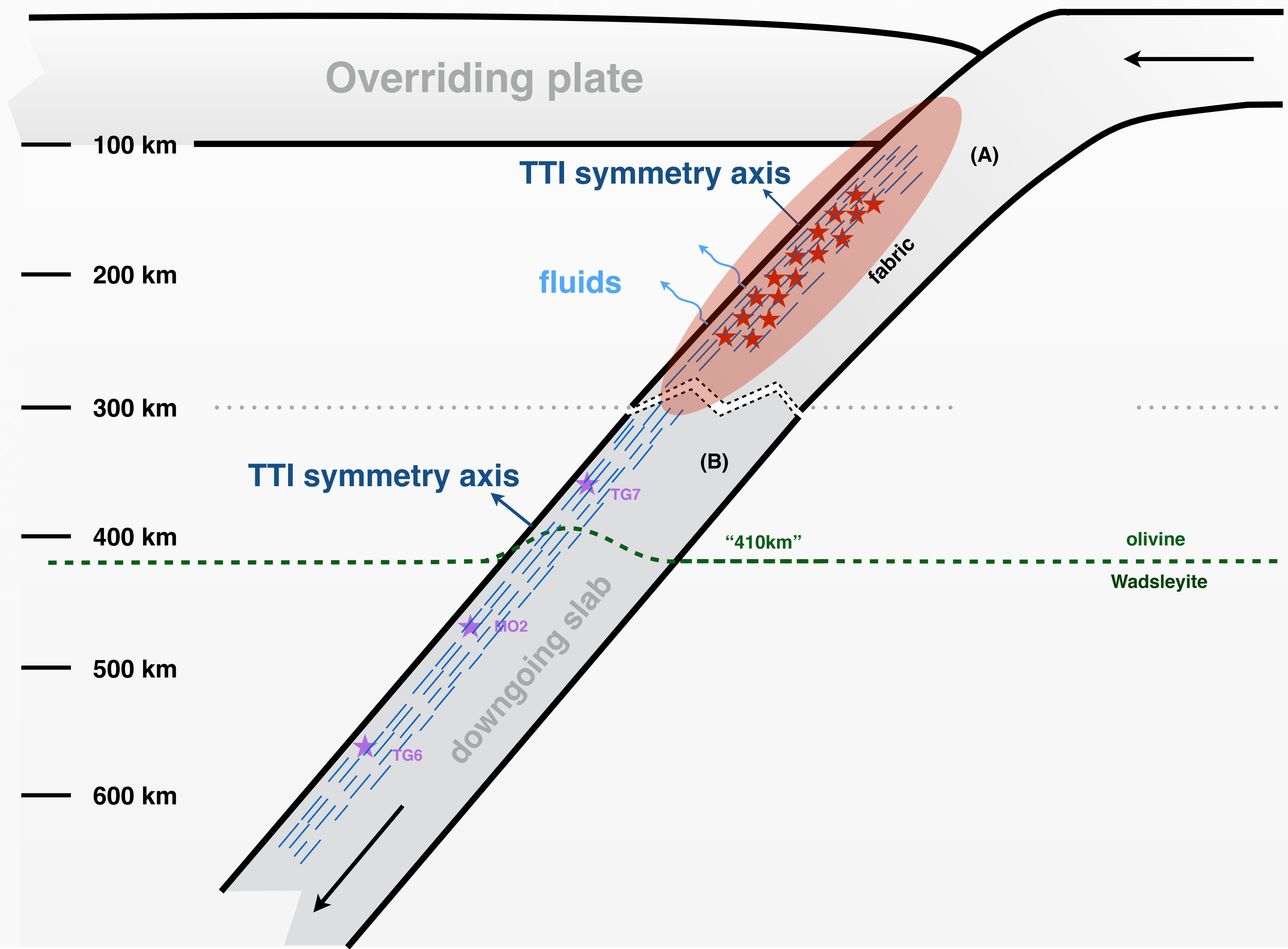
(Hacker et al., 2003
Mainprice et al., 2009;
Brownlee et al., 2013;
Yang et al., 2014;)

Schematic Diagram



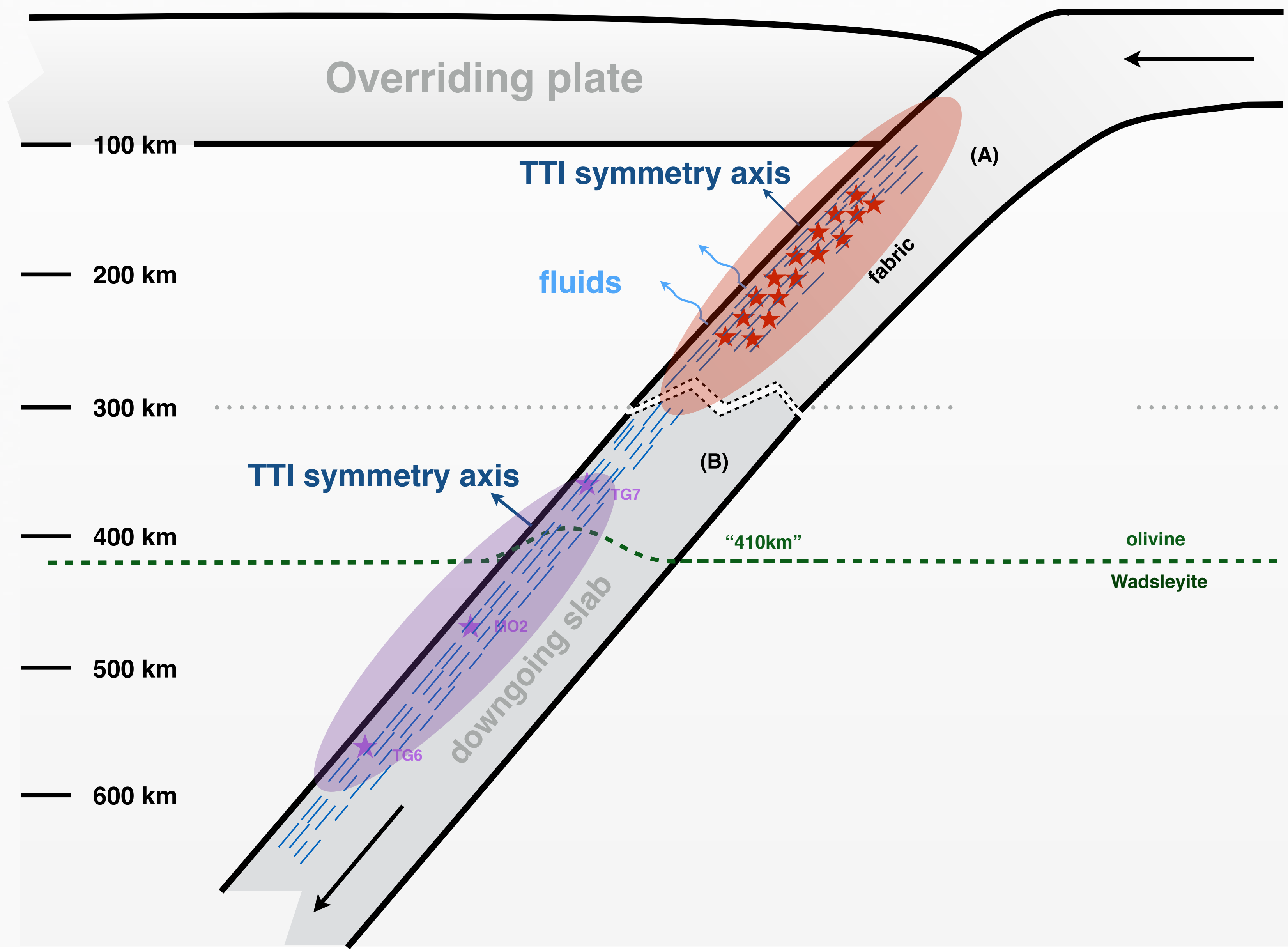
(Hacker et al., 2003
Mainprice et al., 2009;
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Schematic Diagram



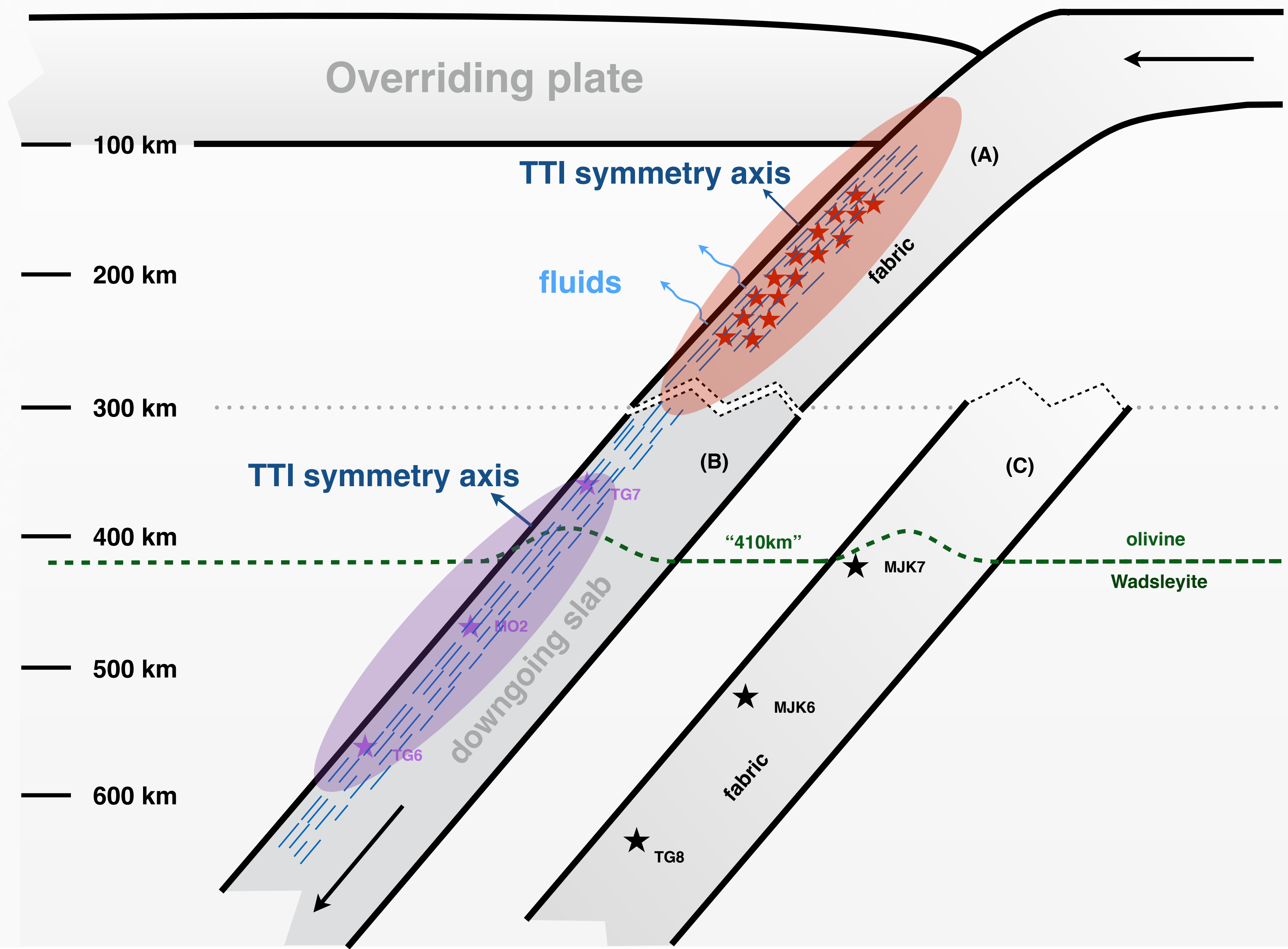
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Schematic Diagram



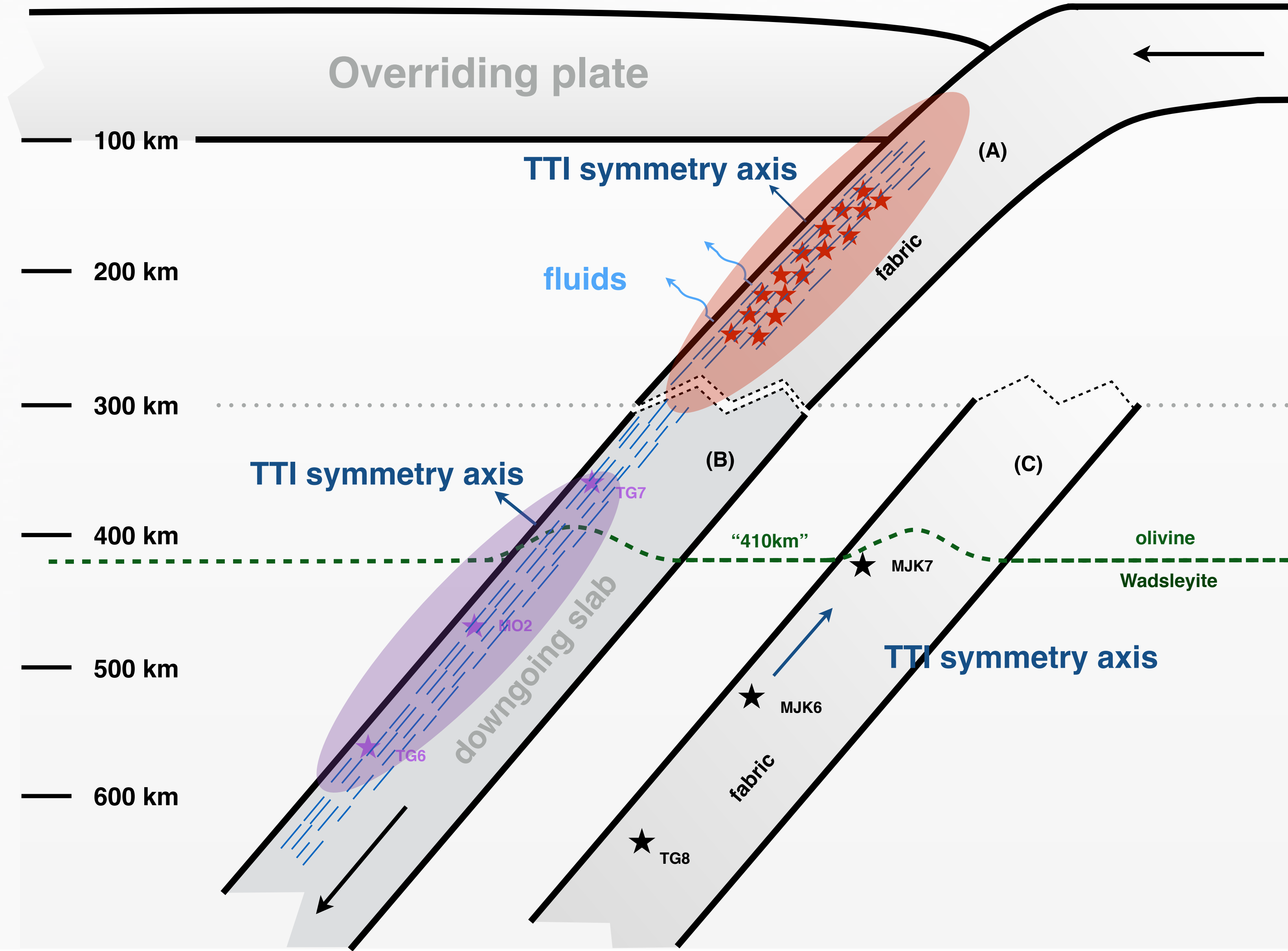
(Hacker et al., 2003
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Schematic Diagram



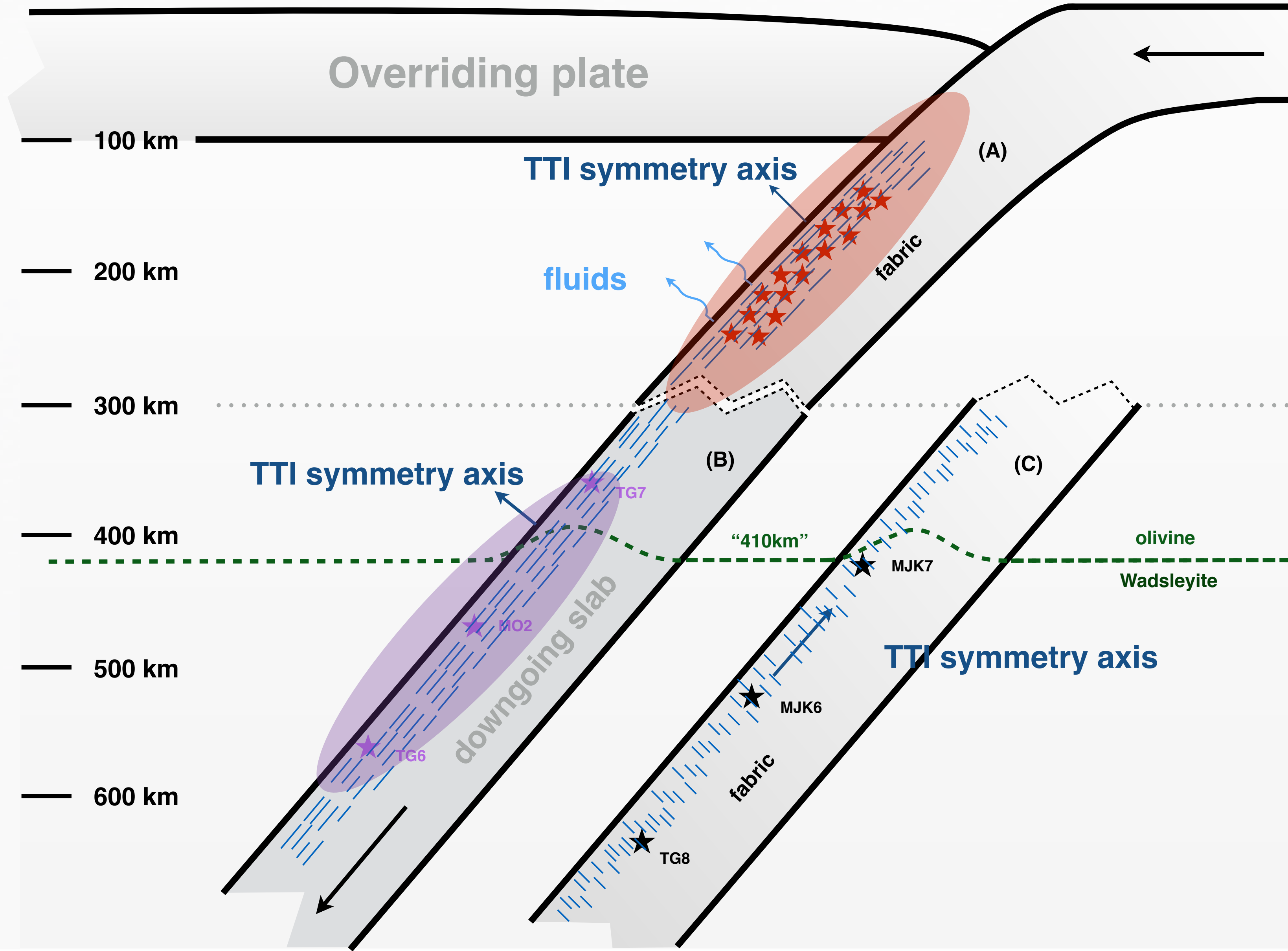
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Schematic Diagram



(Hacker et al., 2003
Mainprice et al., 2009;
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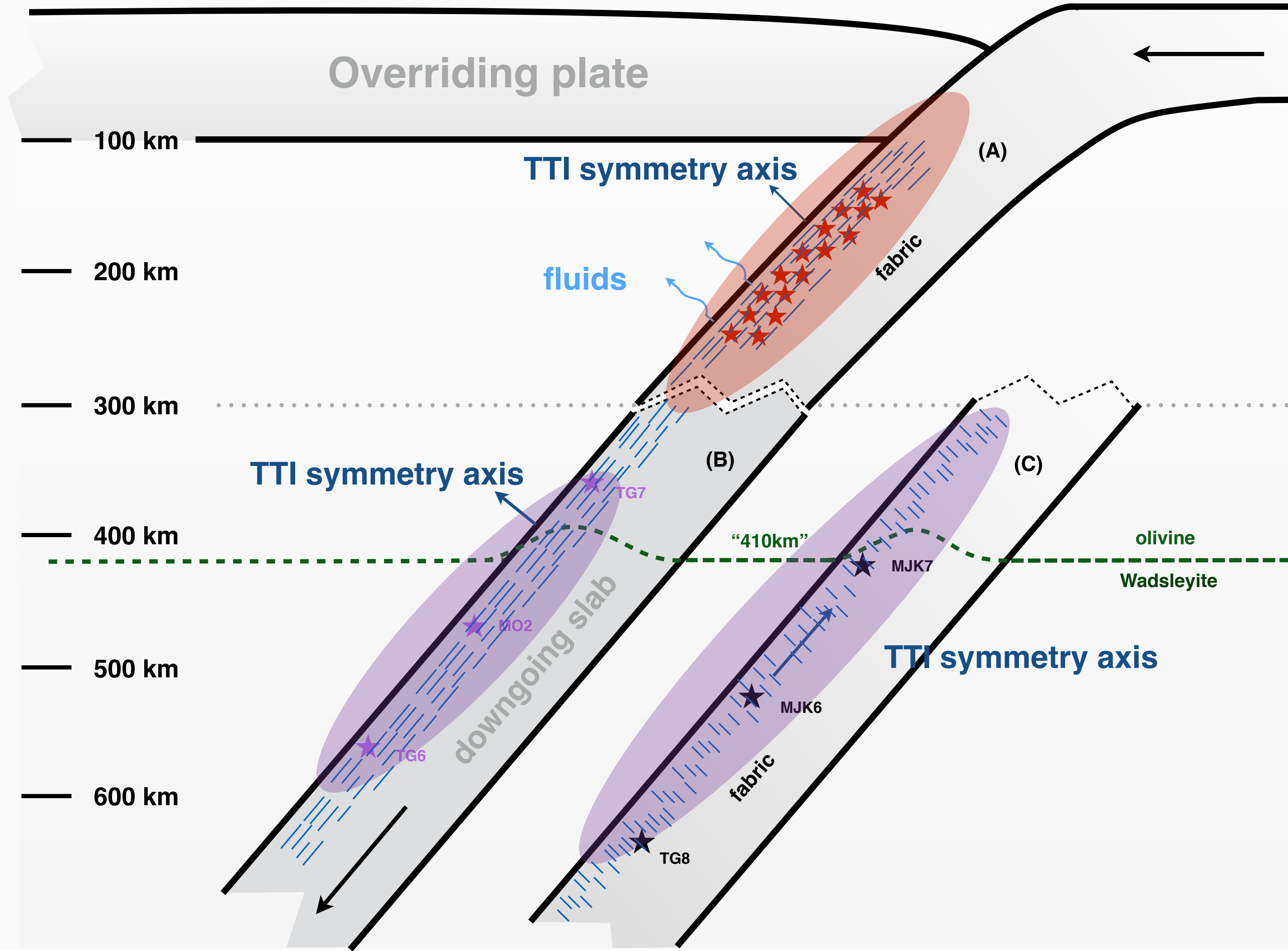
Schematic Diagram



(Hacker et al., 2003
Mainprice et al., 2009;
Brownlee et al., 2013;
Yang et al., 2014;)

Magnetite
(Holyoke et al., 2014;
Yang et al., 2014;)

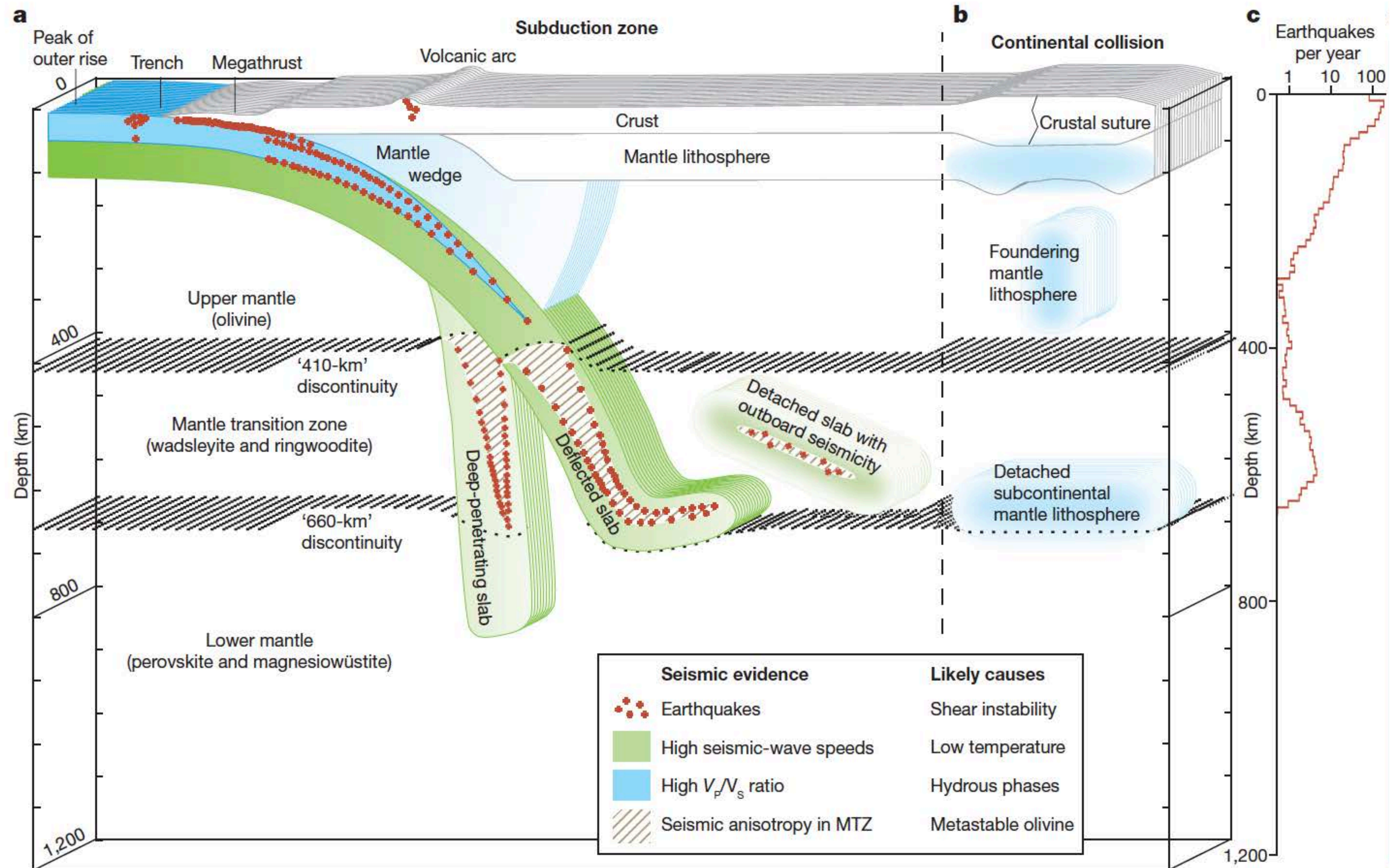
Schematic Diagram



(Hacker et al., 2003
Mainprice et al., 2009;
Brownlee et al., 2013;
Yang et al., 2014;)

Magnetite
(Holyoke et al., 2014;
Yang et al., 2014;)

deep-focus earthquakes: phase change?



(Zheng et al., 2007 Science)

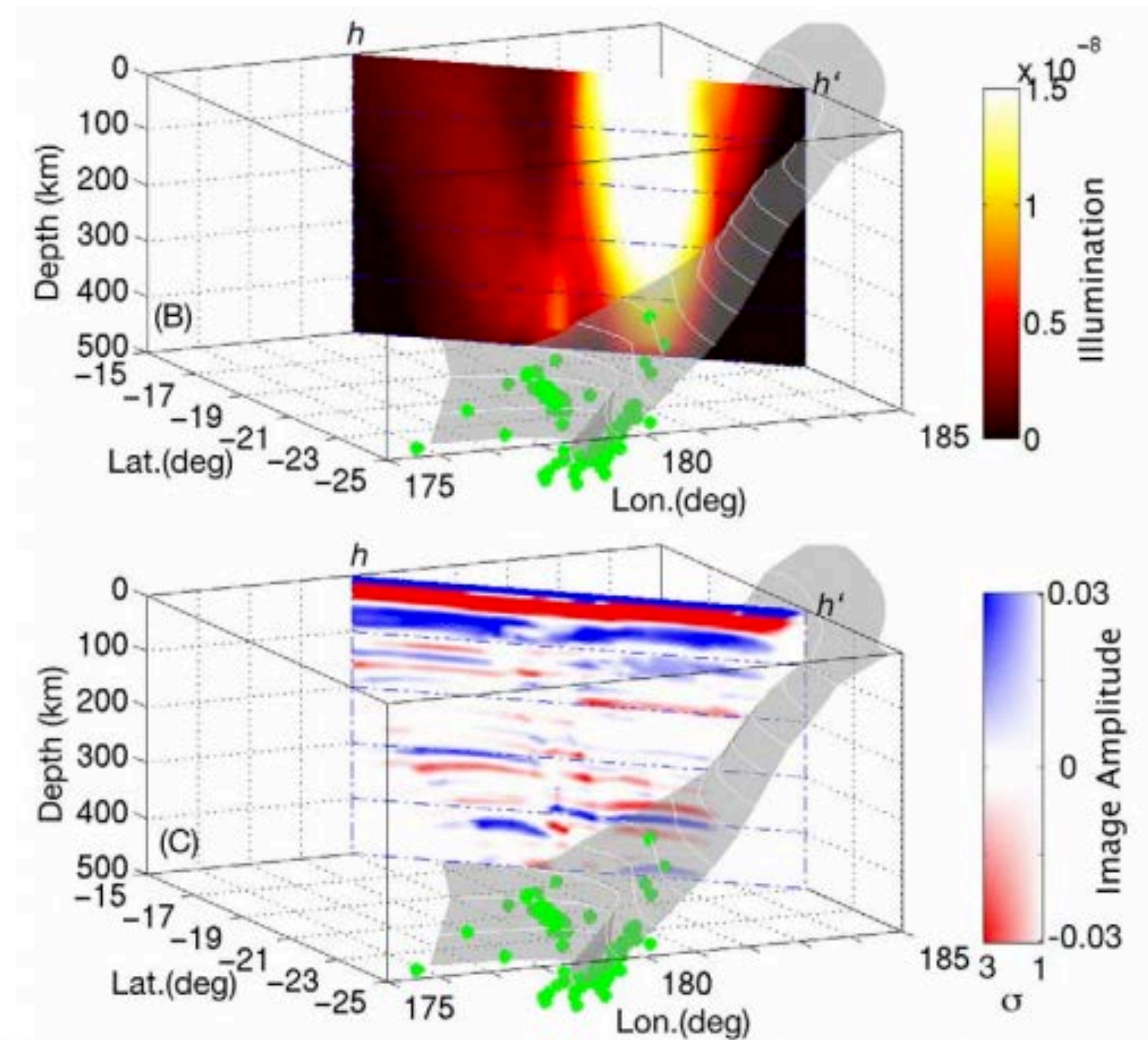


Fig.S5. Vertical slices along profile $h-h'$ (fig. S1) through the $s_x SH$ image volume (A and C) and the corresponding illumination volume (B). Image (A) is shown with no scaling of the color hues relative to resolution, whereas image (C) is weighted according to the standard deviations above the mean (σ) of the signal at each pixel obtained by the bootstrap method, as done in all other figures. The illumination depends on earthquake double-couple radiation pattern and the geometrical spreading factor, with higher values indicating well sampled and illuminated regions in this cross section. The strong illumination region mainly reflect the dense path distribution to North America.

the “410-km” discontinuity can be imaged clearly, no meta-stable olivine ?!

Discussion:
possible role of carbonates in generating deep-focus EQs?

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- **meta stable ol phase change anisotropy is far too weak**

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Discussion:

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- **meta stable ol phase change anisotropy is far too weak**
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- **show ductile-to-brittle transition behavior (similar to serpentines), e.g., Holyoke et al., 2014 JGR**

Discussion:

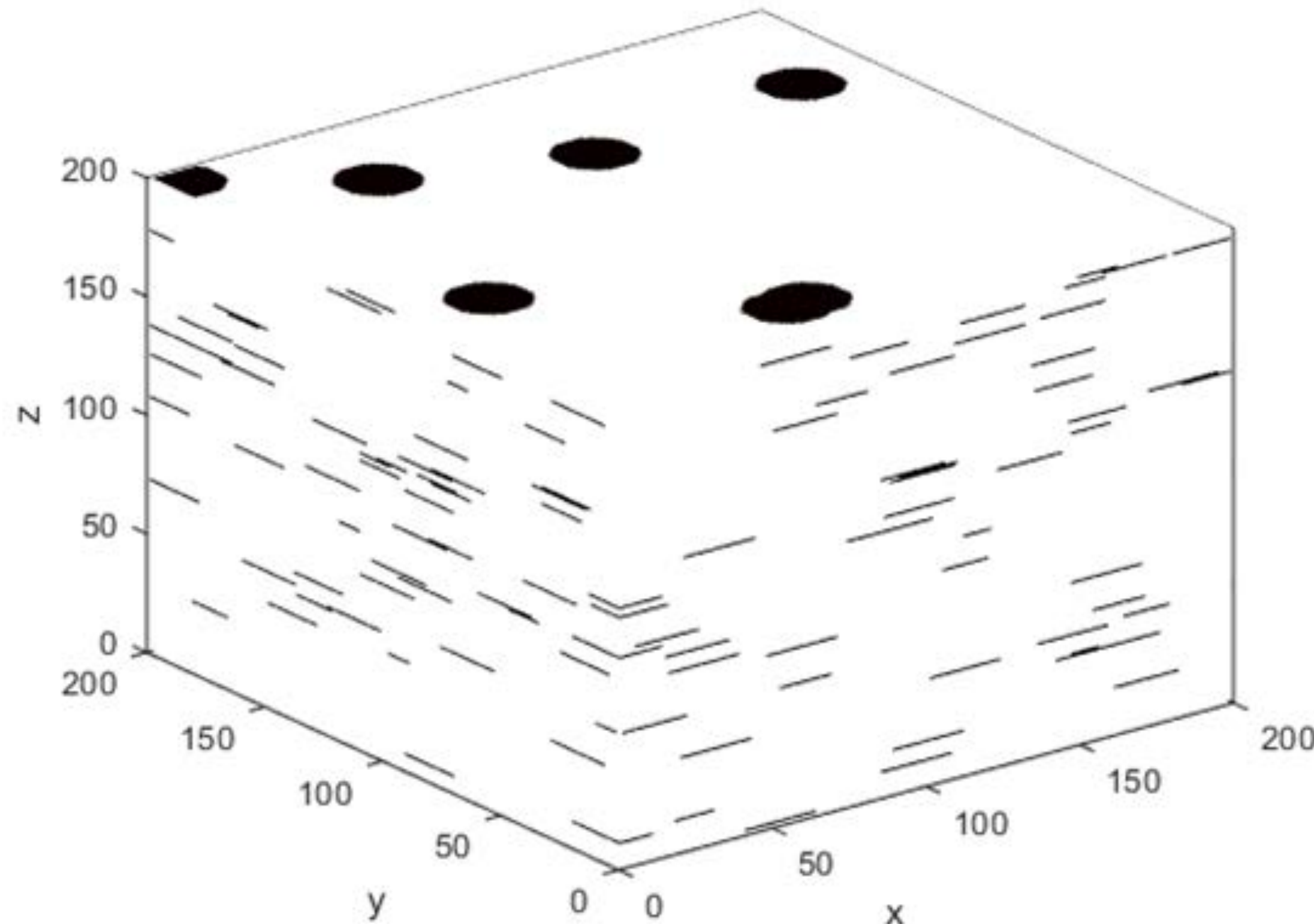
possible role of carbonates in generating deep-focus EQs?

- **meta stable ol phase change anisotropy is far too weak**
- **mechanically weak, orders of magnitude weaker compared to olivine in mantle conditions, e.g., Holyoke et al., 2014 JGR**
- **show ductile-to-brittle transition behavior (similar to serpentines), e.g., Holyoke et al., 2014 JGR**
- **single-grain anisotropy is high, > 40% (Yang et al., 2014 EPSL)**

Origin of anisotropy

inclusion model **Hard matrix + weak inclusions**

Finite element stress-strain modeling



(Garboczi, 1998, NIST)

matrix $V_p=8\text{km/s}$, $V_s=4\text{km/s}$; density 3400kg/m^3

inclusion $V_p=8\text{km/s}$, $V_s=??$; density 3400kg/m^3

How much inclusion do we need to produce such a high anisotropy?

very weak inclusions (melt/fluid)

$$\gamma \sim \frac{8}{7} \eta_c$$

$$\eta_c = \frac{3}{4\pi} \frac{\phi}{e}$$

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volume fraction

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volume fraction

aspect ratio

How much inclusion do we need to produce such a high anisotropy?

very weak inclusions (melt/fluid)

$$\phi = 0.02, e = 0.01 \longrightarrow \boxed{\gamma = 0.5}$$

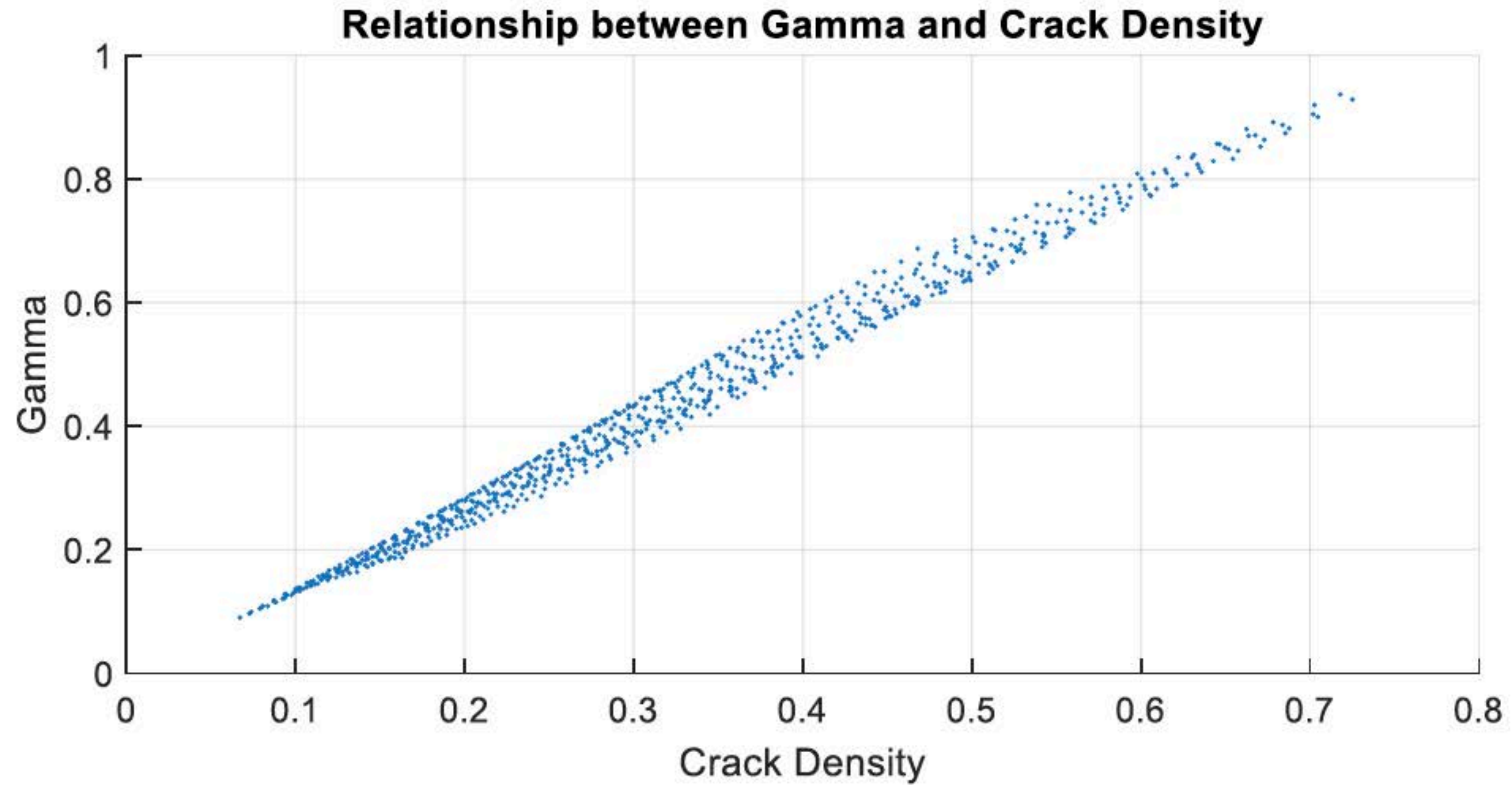
$$\gamma \sim \frac{8}{7} \eta_c$$

$$\eta_c = \frac{3 \phi}{4 \pi e}$$

volume fraction

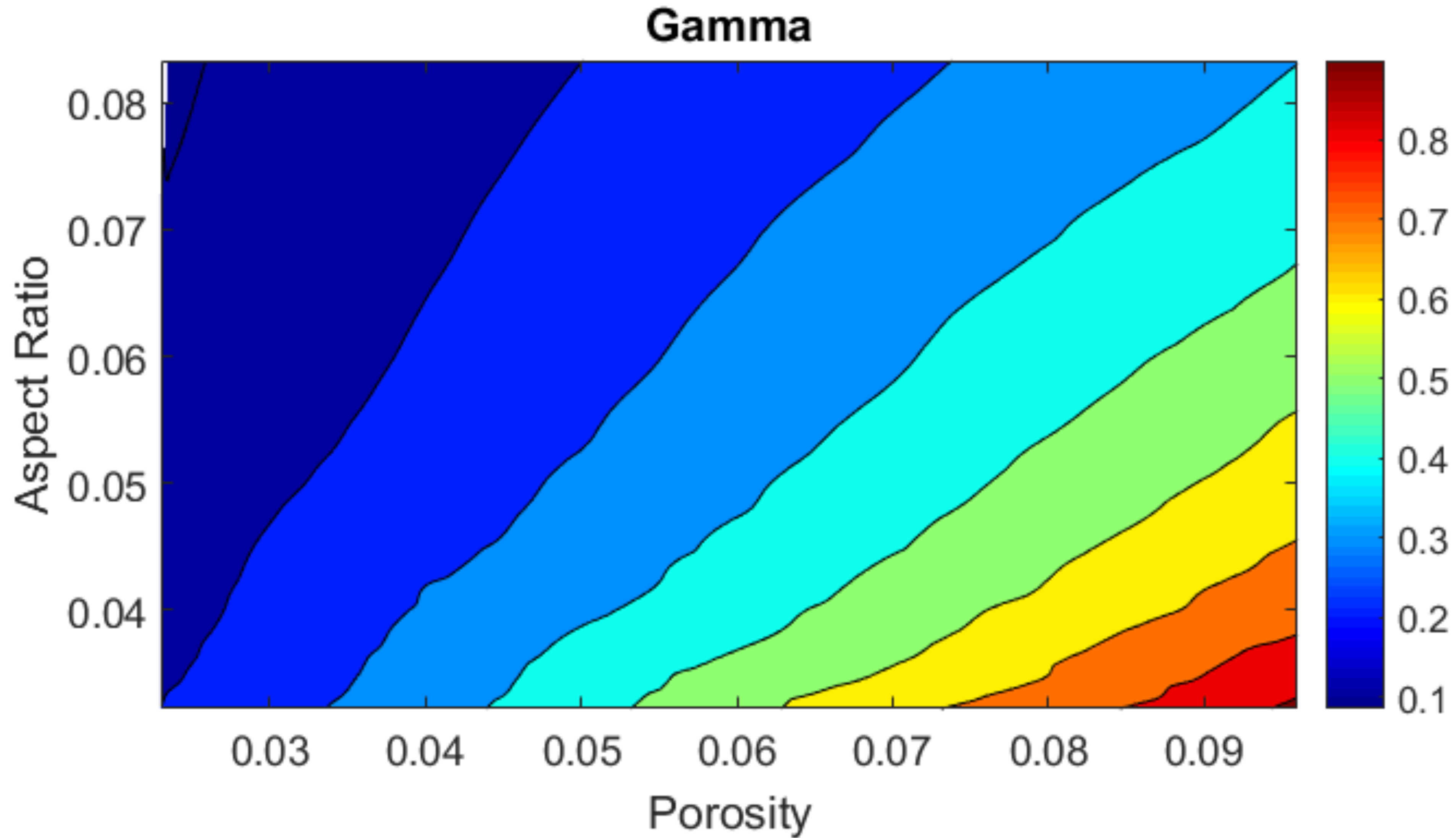
aspect ratio

weak inclusion inclusion $V_s = 10\%$ matrix V_s



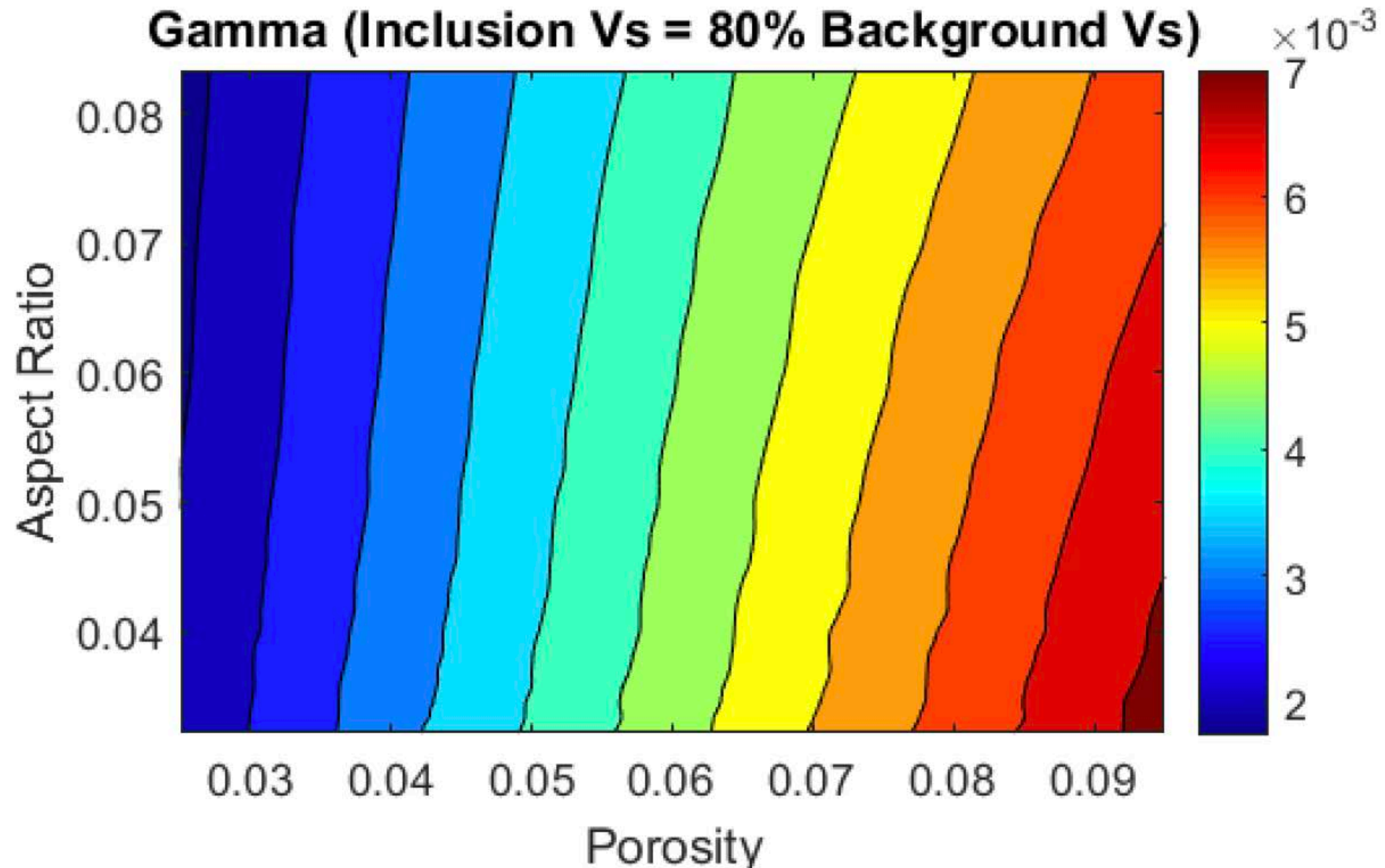
weak inclusion

inclusion $V_s = 10\%$ matrix V_s

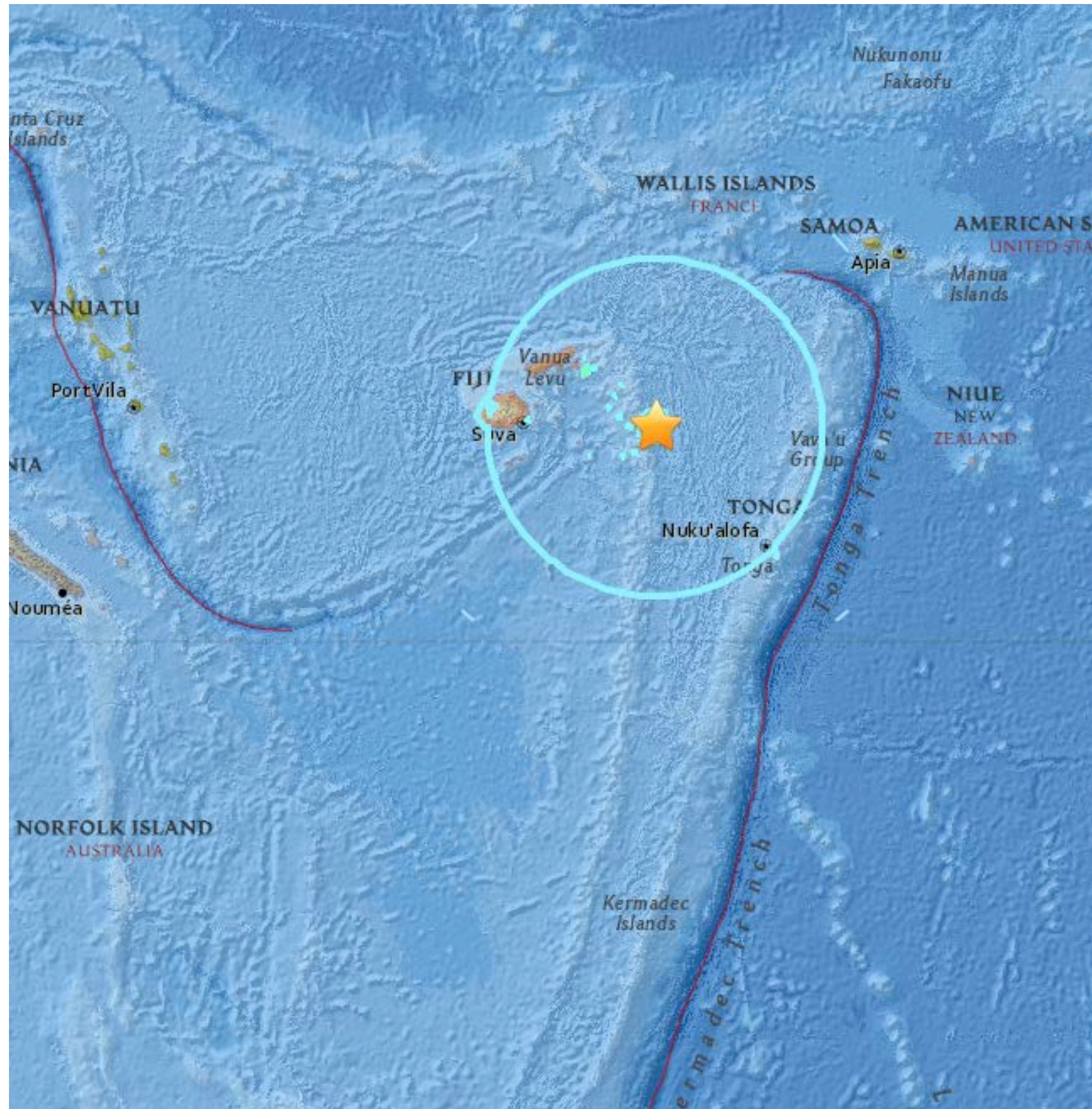


Strong inclusion: CANNOT get large anisotropy!

meta-stable ol inclusion?



Prediction



FIJI Event 2018-08-19 00:19:37 UTC M8.2

M 8.2 - 280km NNE of Ndoi Island, Fiji

2018-08-19 00:19:37 UTC | 18.178°S 178.111°W | 563.4 km depth

Moment Tensor

[Back to Technical](#)

Contributed by [US³](#) last updated 2018-08-19 01:15:12 (UTC)

- ✓ The data below are the most preferred data available
- ✓ The data below have been reviewed by a scientist

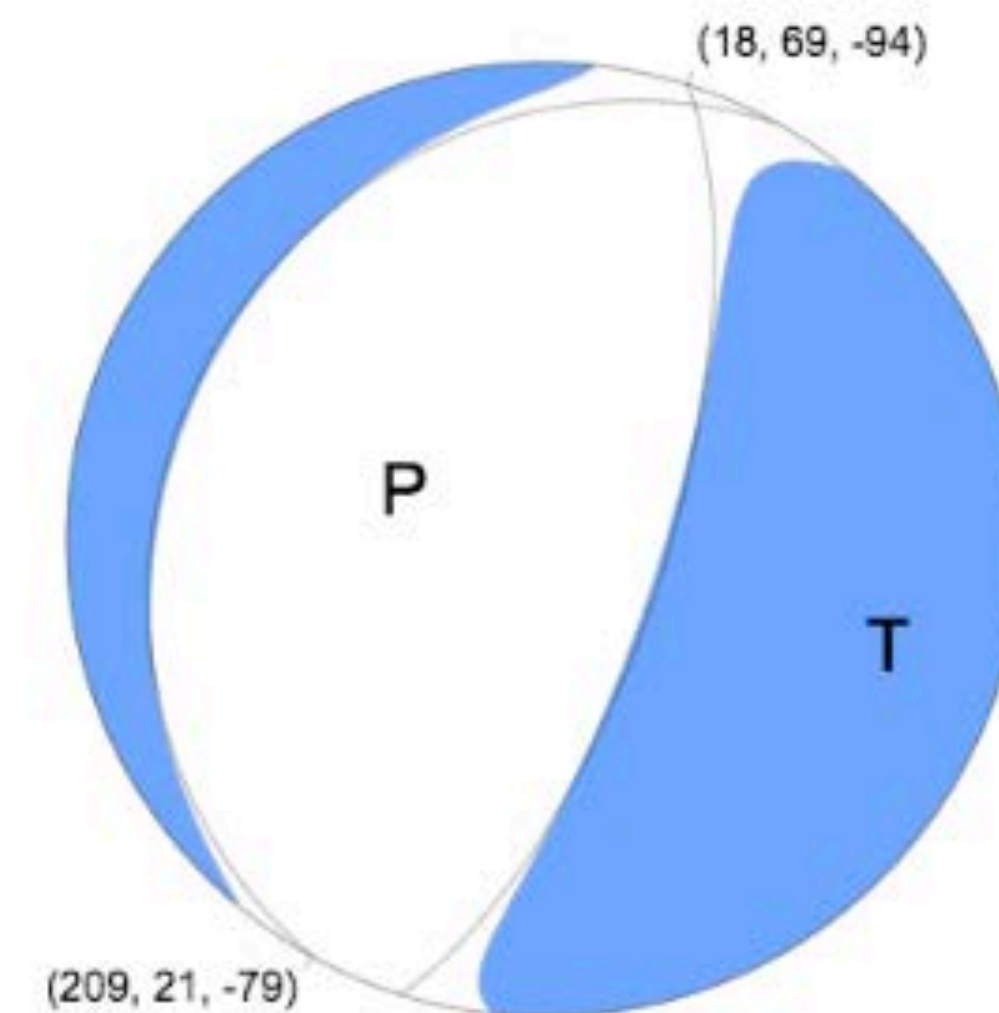
W-phase Moment Tensor (Mww)

Moment	2.553e+21 N-m
Magnitude	8.2 Mww
Depth	580.5 km
Percent DC	89 %
Half Duration	32.4 s
Catalog	US
Data Source	US³
Contributor	US³

Nodal Planes

Plane	Strike	Dip	Rake
NP1	209°	21°	-79°
NP2	18°	69°	-94°

2018
M8.2



M 7.6 - Fiji region

1994-03-09 23:28:06 UTC | 18.039°S 178.413°W | 562.5 km depth

Moment Tensor

[Back to Technical](#)

Contributed by [US⁴DUPUTEL¹](#) last updated 2015-02-12 16:21:17 (UTC)

- ✓ The data below are the most preferred data available
- ✓ The data below have been reviewed by a scientist

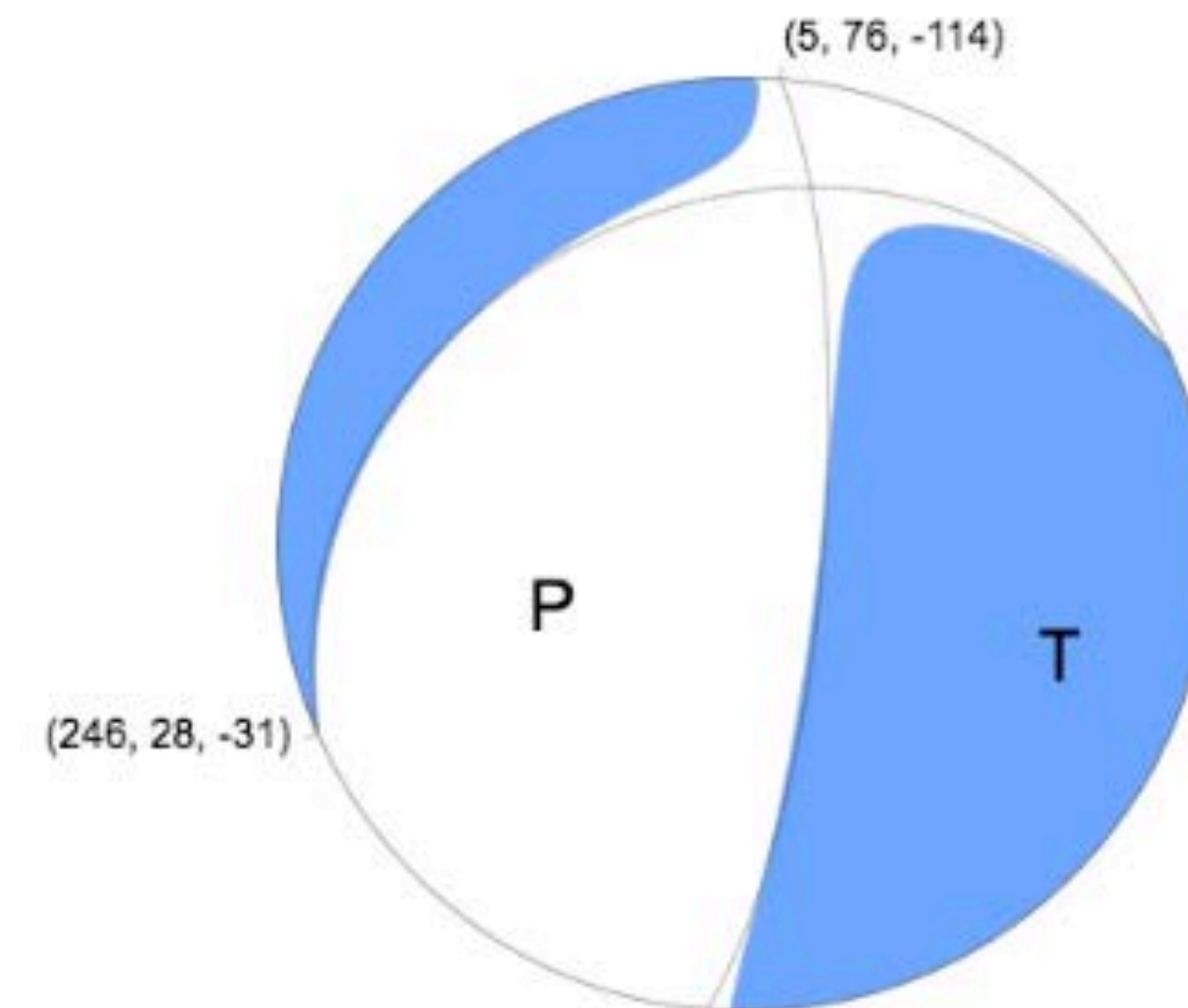
W-phase Moment Tensor (M_{ww})

Moment	2.928e+20 N-m
Magnitude	7.6 M _{ww}
Depth	560.5 km
Percent DC	89 %
Half Duration	10 s
Catalog	DUPUTEL
Data Source	DUPUTEL ¹
Contributor	US⁴

Nodal Planes

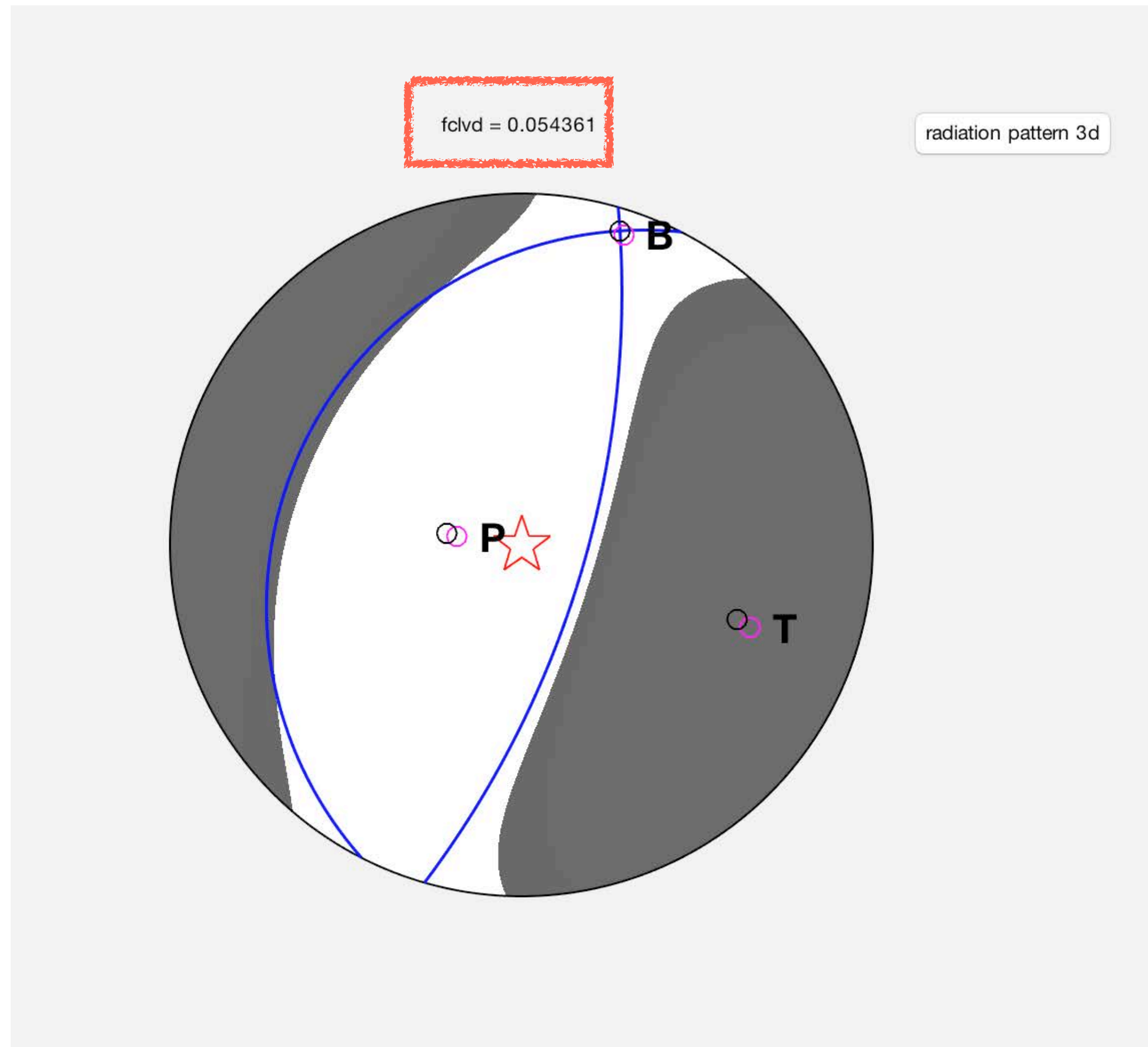
Plane	Strike	Dip	Rake
NP1	246°	28°	-31°
NP2	5°	76°	-114°

1994
M7.6



**Historical earthquake
(similar location; focal mechanism, & non-DC)**

- Using the anisotropy information (Thomsen $\gamma=0.2$ for shear anisotropy), we can fit the “beach ball” well and the P,B, T axes.
- Shear rupture in the anisotropy can produce 11% non-DC component shown in the W-phase moment tensor inversion.



Conclusions

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1. Most **Deep** earthquake faulting mechanisms are mostly shear dislocation.

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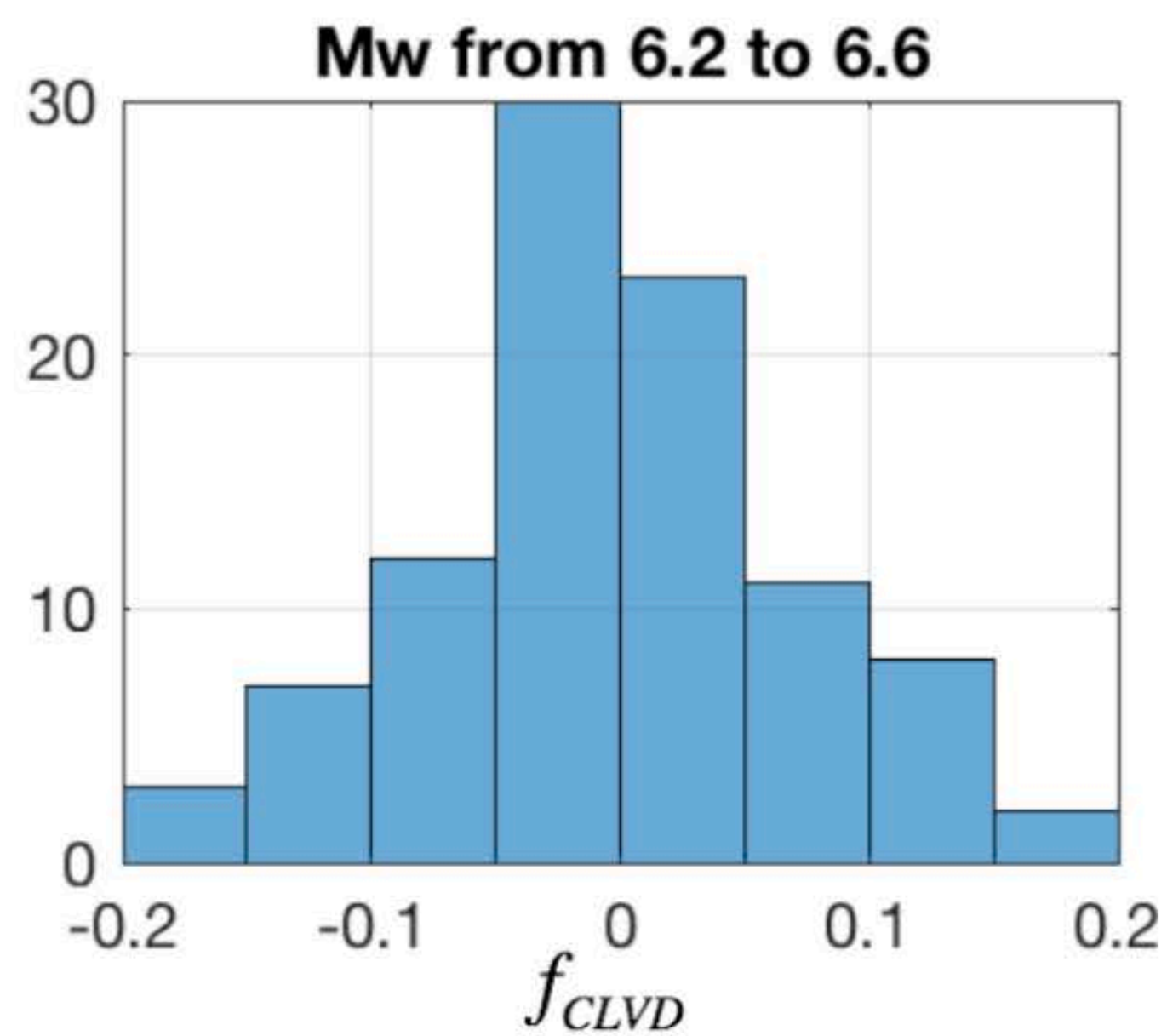
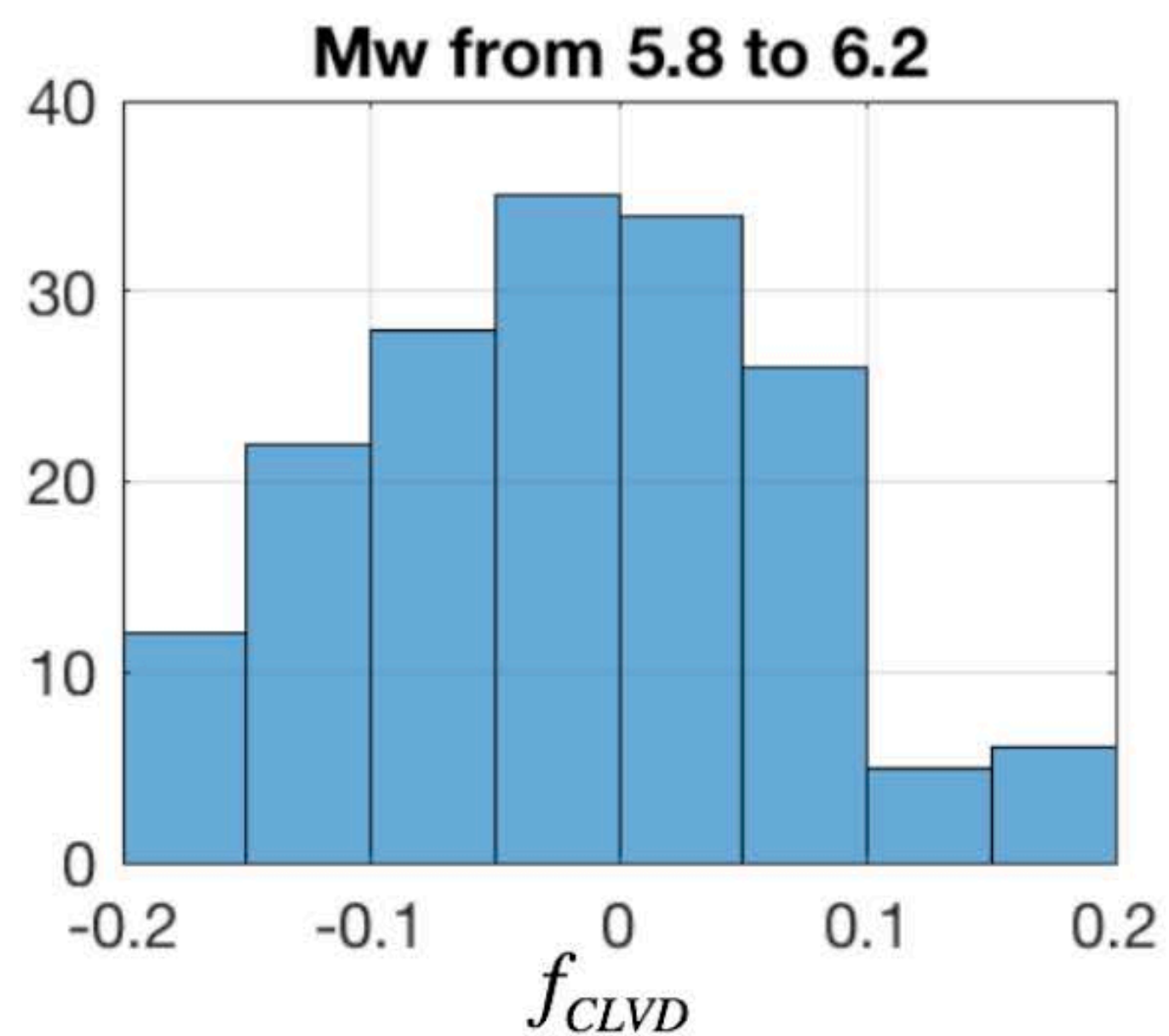
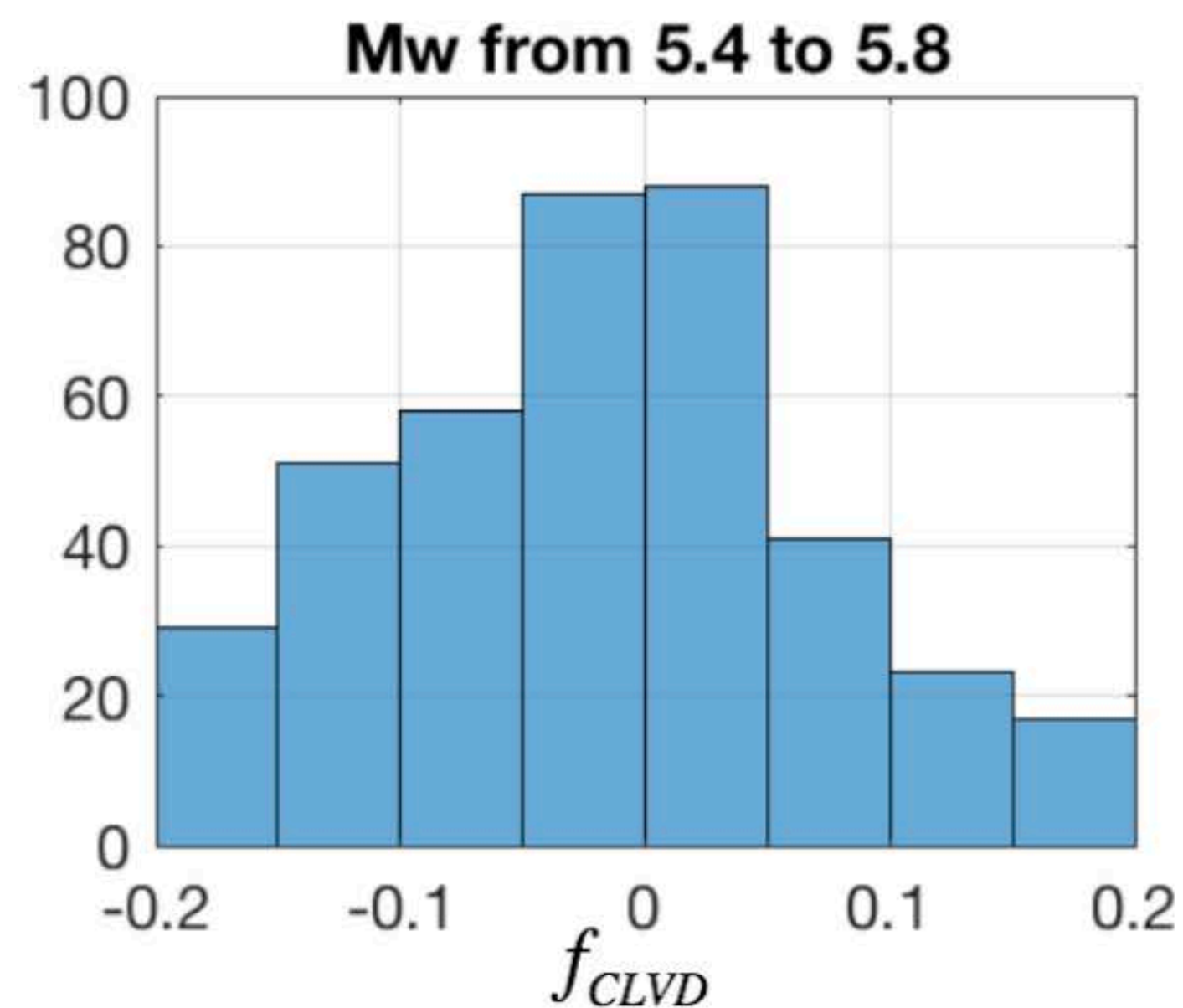
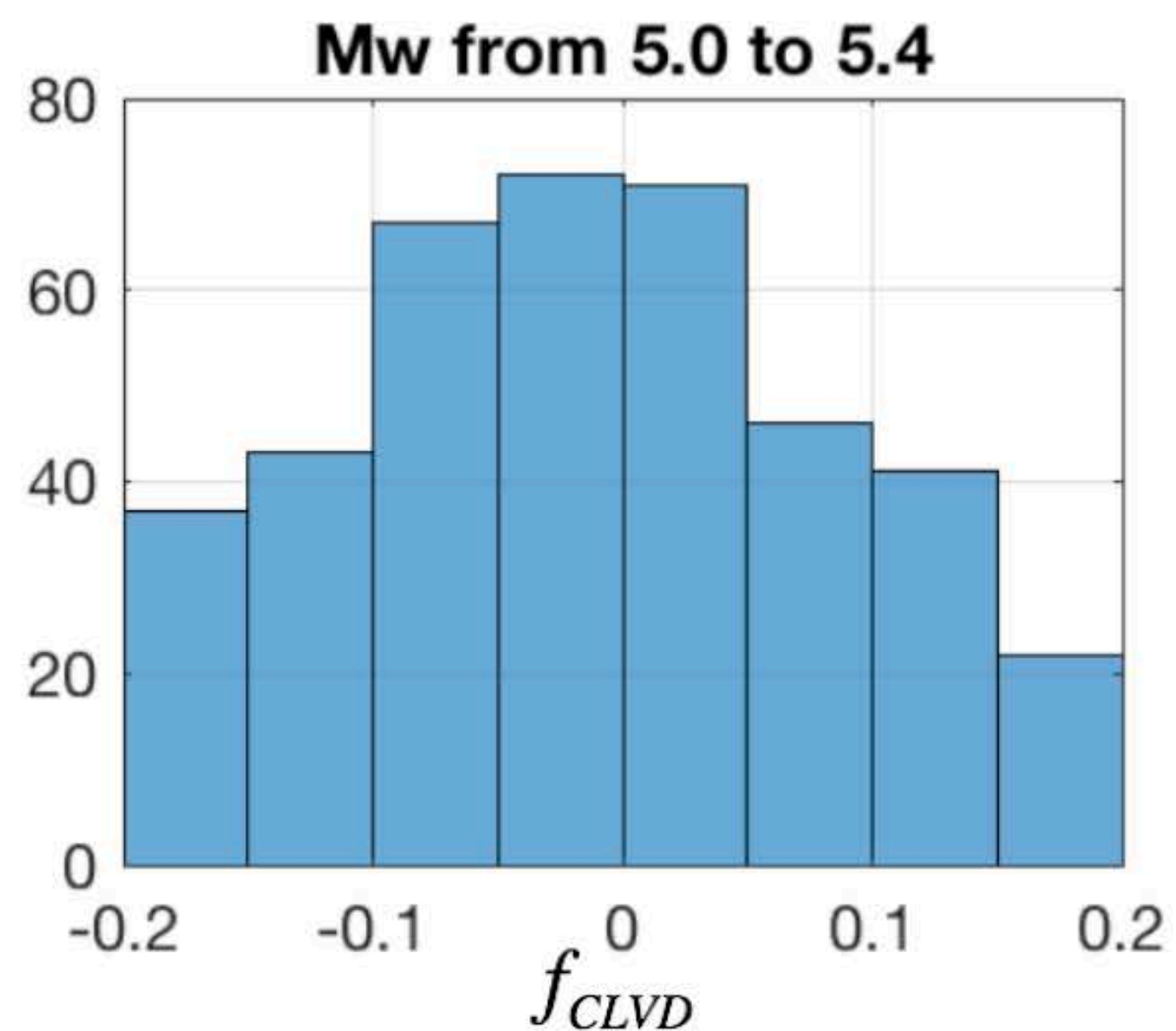
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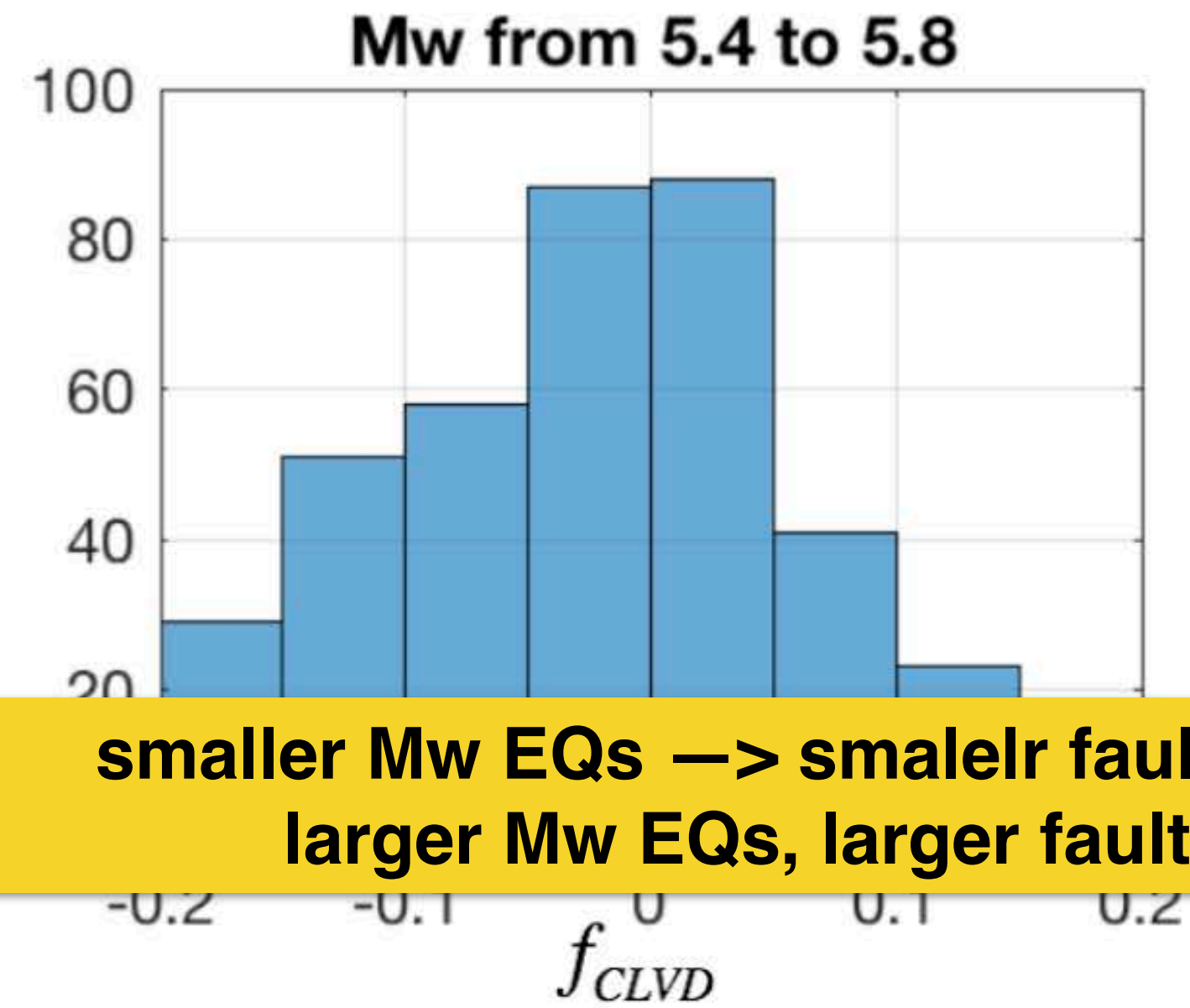
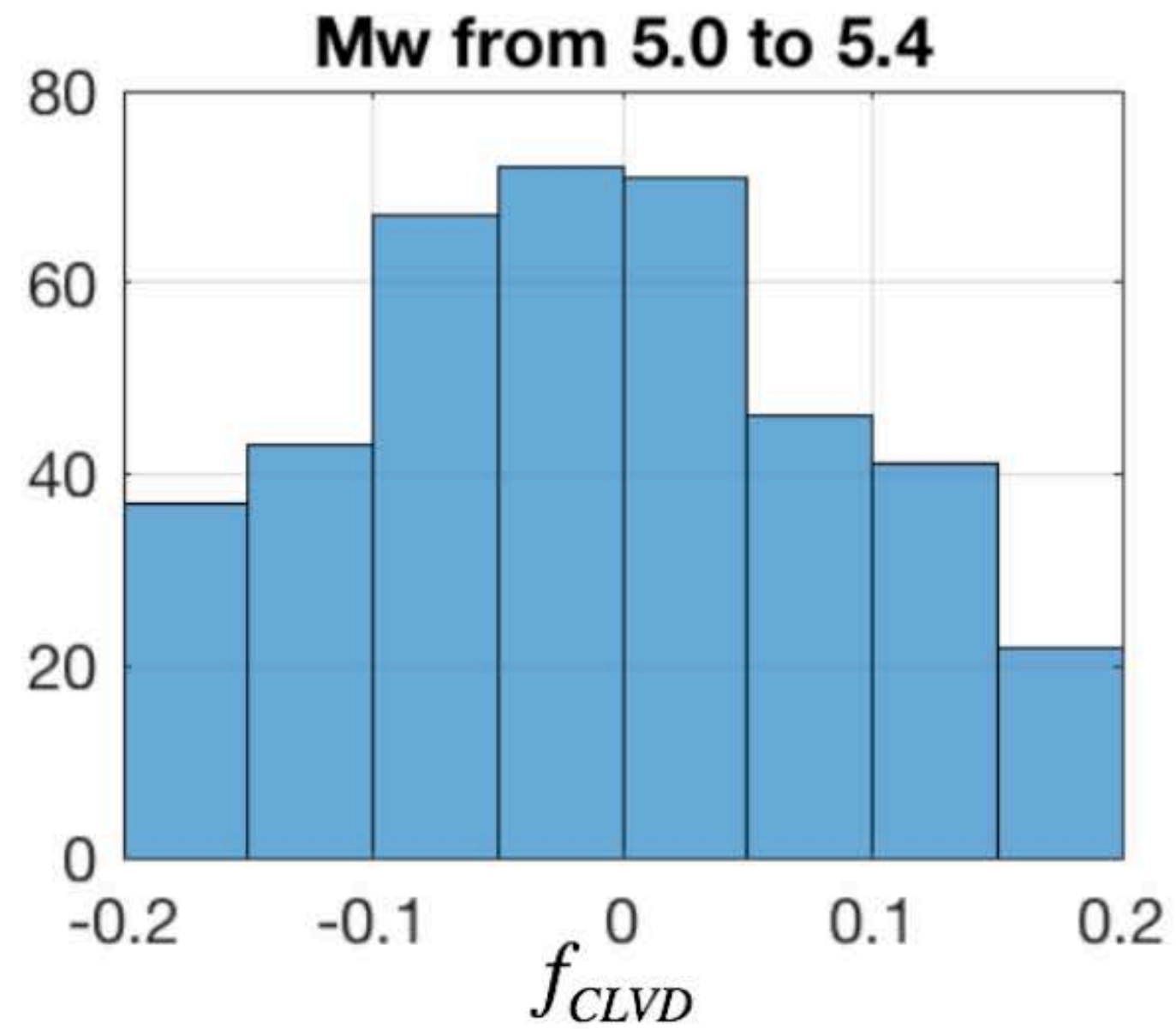
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7. Magnesite may also produce ductile-to-brittle failure to produce earthquakes

backup slides

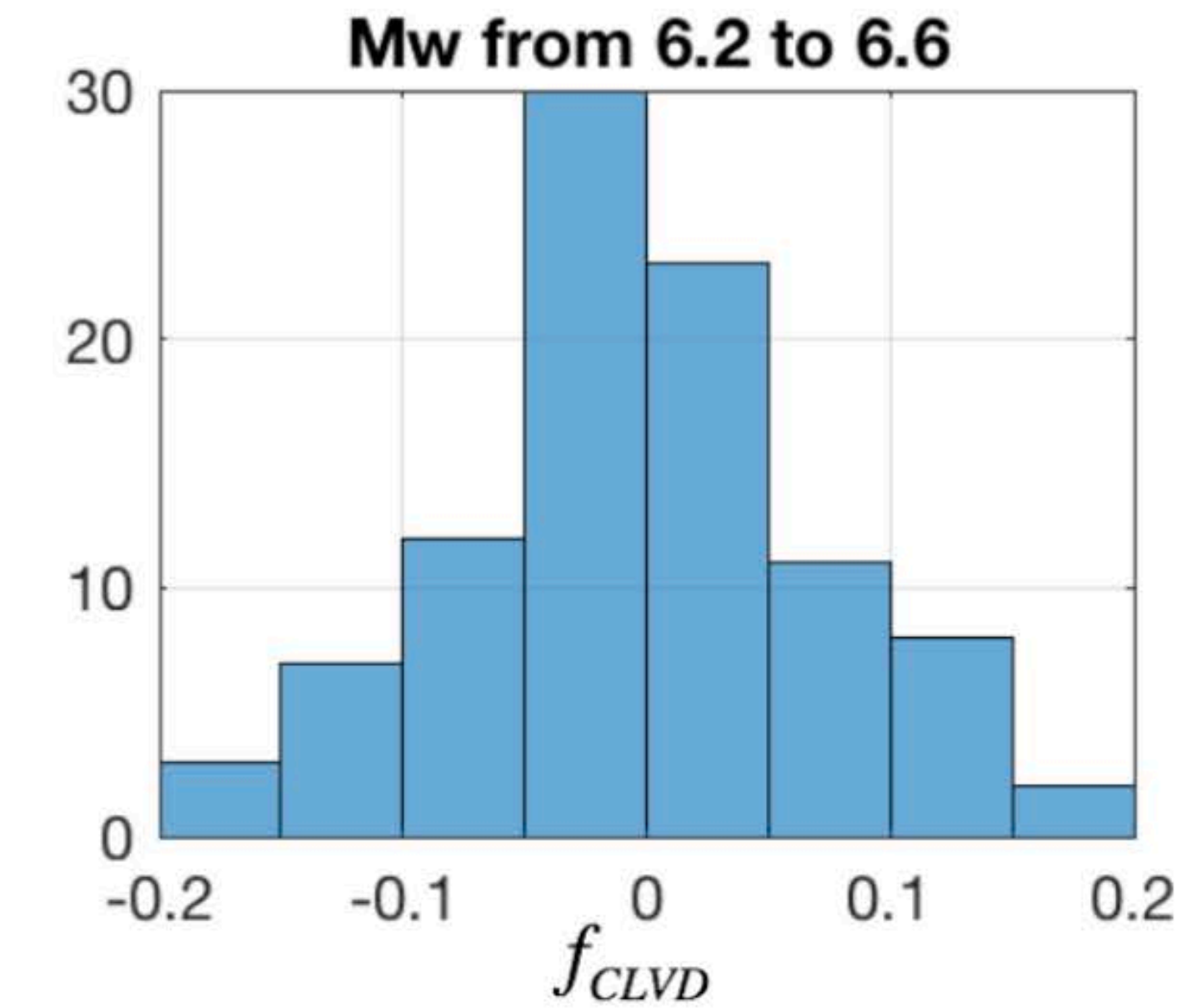
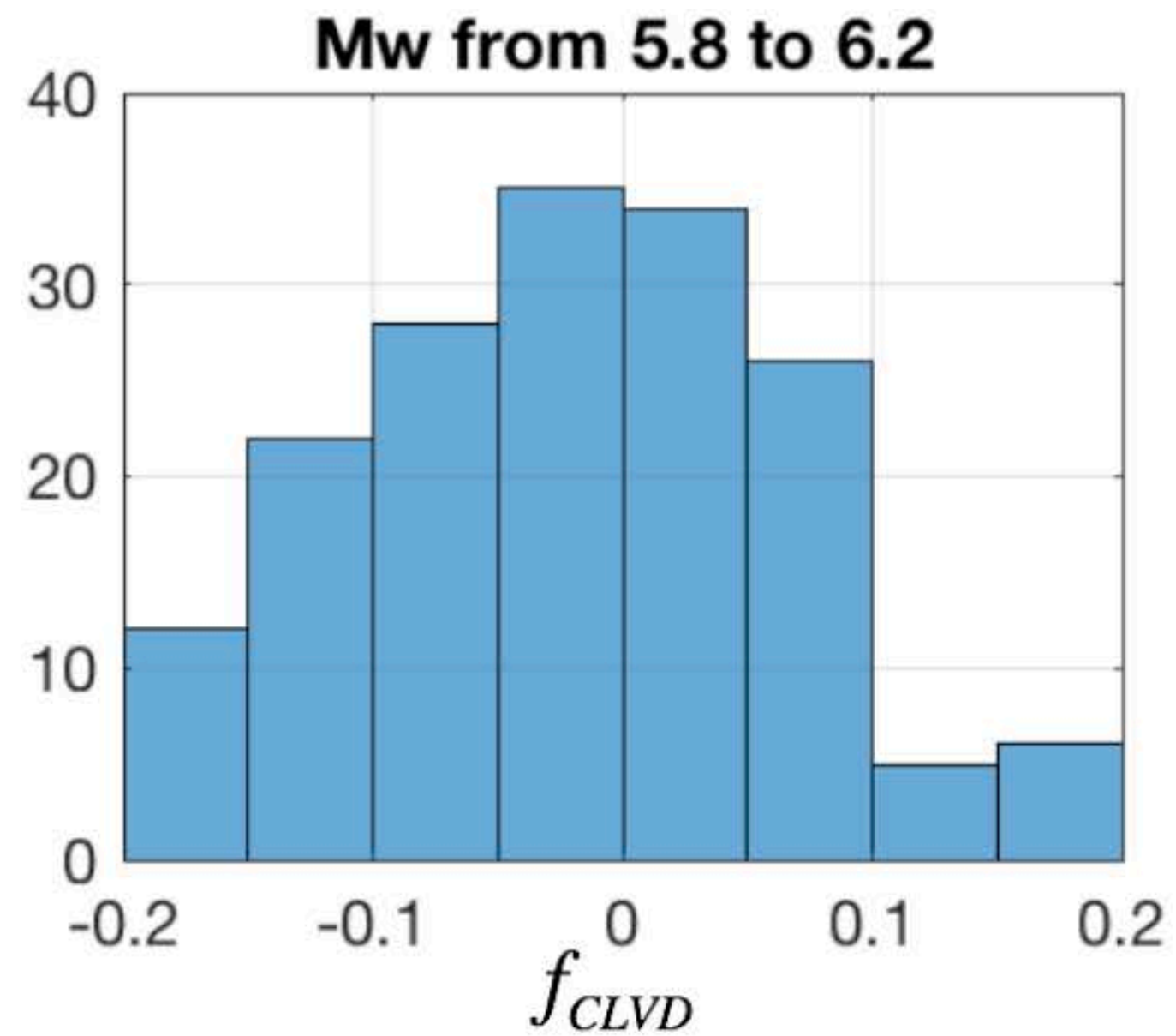
histogram of f_{CLVD} as a function of M_w



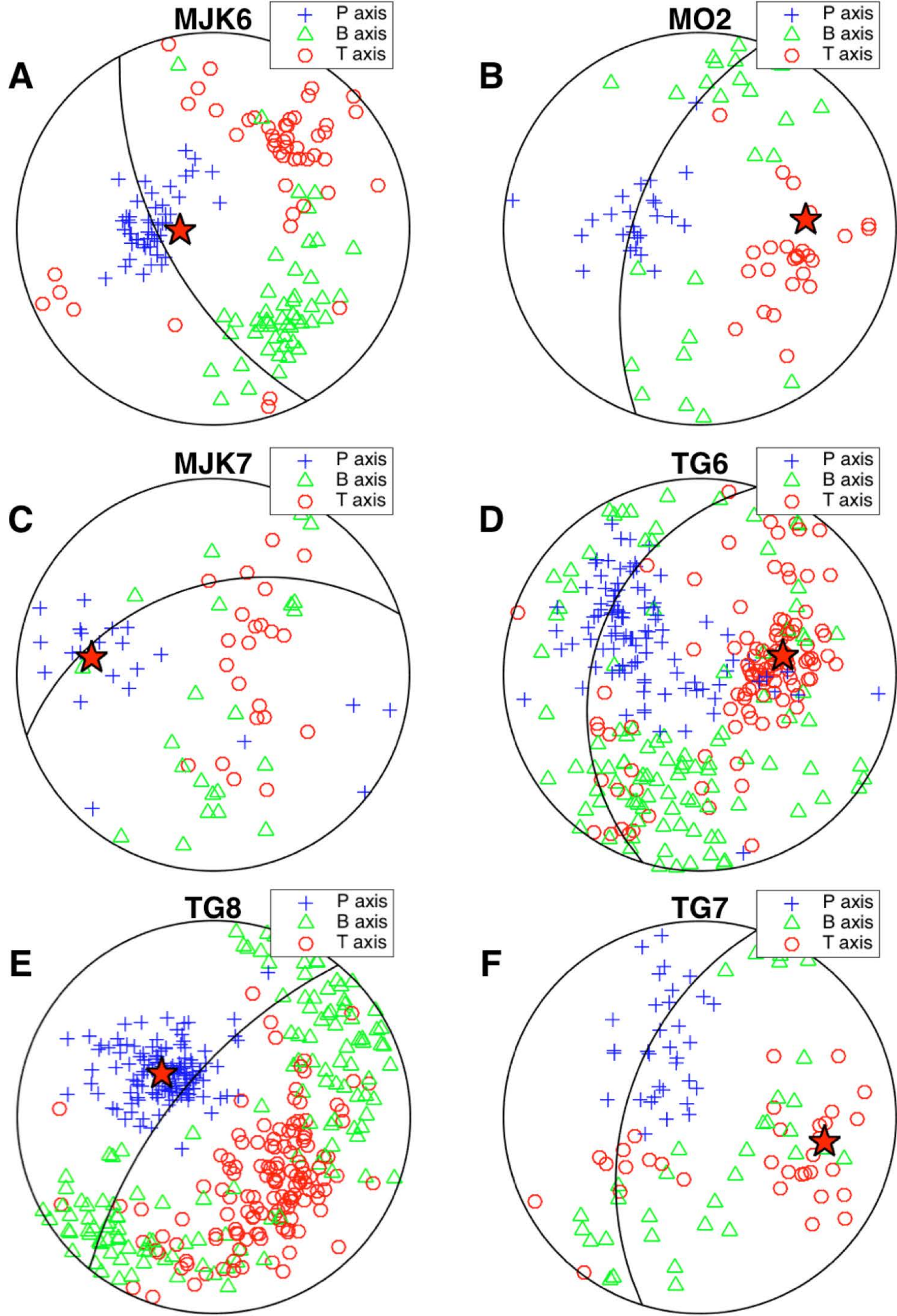
histogram of f_{CLVD} as a function of M_w



**smaller M_w EQs \rightarrow smaller faults: more non-DC?
larger M_w EQs, larger faults: more DC?**

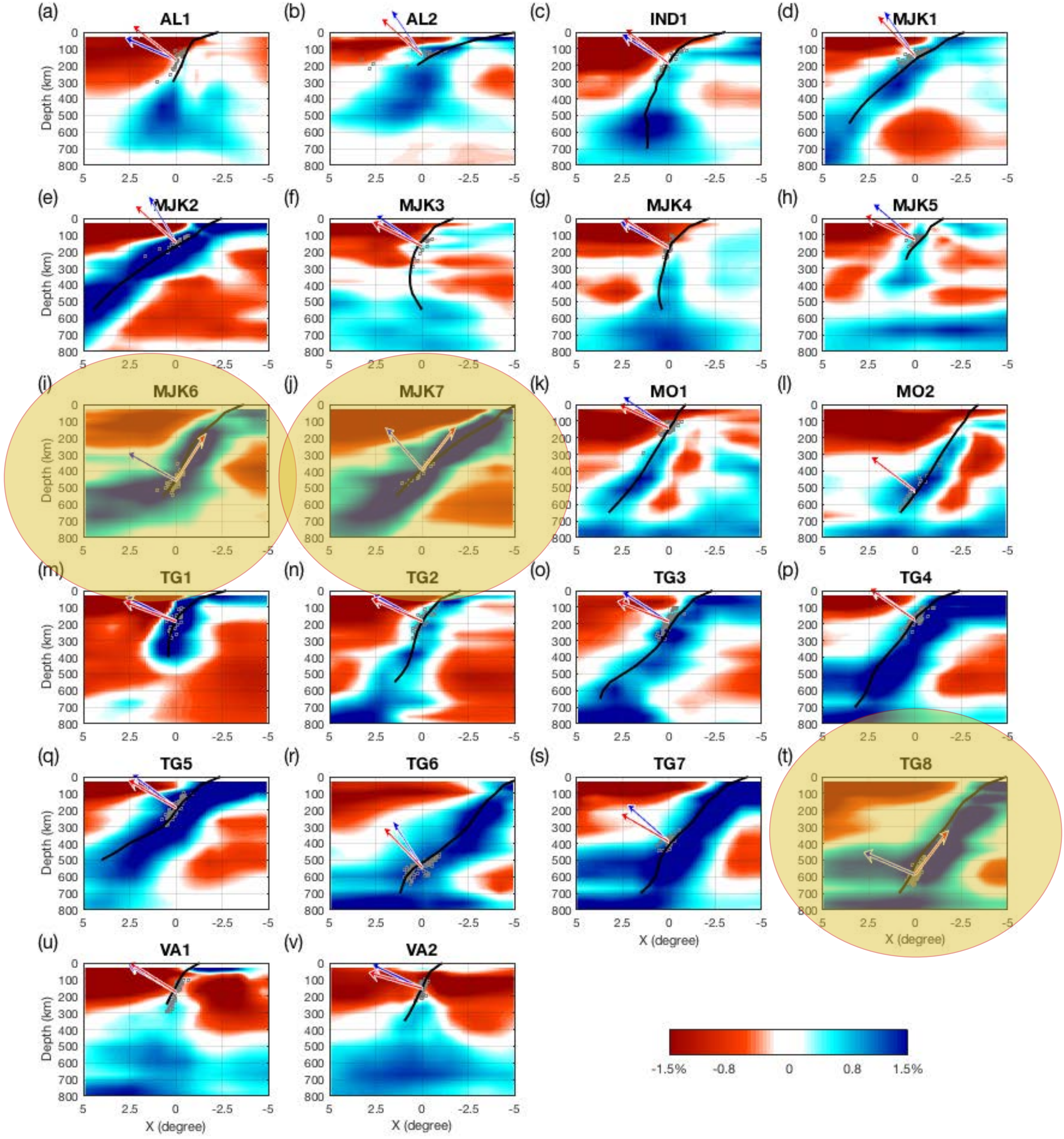


Optimized TTI Symmetry Axis and P Axes



Cross-Section

GAP_P4 Model
Fukao and Obayashi (2013)



Li et al. (2018 natgeo)