

No ghosts and critical dimension

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The N -point amplitude in the operator formalism

- ▶ If the intercept of the Regge trajectory is $\alpha_0 = 1$, then the lowest state is a tachyon with mass $m^2 = -\frac{1}{\alpha'}$ and the N -point amplitude for N tachyons is given by:

$$B_N = \int_{-\infty}^{\infty} \frac{\prod_1^N dz_i \theta(z_i - z_{i+1})}{dV_{abc}} \prod_{i < j} (z_i - z_j)^{2\alpha' p_i \cdot p_j} ; \quad p_i^2 = -m^2 = \frac{1}{\alpha'}$$

that can be rewritten in the operator formalism as follows:

$$(2\pi)^d \delta\left(\sum_{i=1}^N p_i\right) B_N = \int_{-\infty}^{\infty} \frac{\prod_1^N dz_i \theta(z_i - z_{i+1})}{dV_{abc}} \langle 0, 0 | \prod_{i=1}^N V(z_i, p_i) | 0, 0 \rangle$$

[Fubini, Gordon and Veneziano, 1969]

- ▶ Here we keep **an arbitrary space-time dimension d** for future use, but in 1969 d was taken to be $d = 4$ as it was natural for hadrons.
- ▶ $V(z_i, p_i)$ is the vertex operator associated to the tachyon state:

$$V(z_i, p_i) =: e^{ip_i \cdot Q(z_i)} := e^{\sqrt{2\alpha'} \sum_{n=1}^{\infty} \frac{p_i \cdot \hat{a}_n^\dagger}{\sqrt{n}} z^n} e^{ip_i \cdot \hat{q}} z^{2\alpha' p_i \cdot \hat{p}} e^{-\sqrt{2\alpha'} \sum_{n=1}^{\infty} \frac{p_i \cdot \hat{a}_n}{\sqrt{n}} z^{-n}}$$

- ▶ $Q_\mu(z)$ is the Fubini-Veneziano-Gervais operator:

$$Q_\mu(z) = \hat{q}_\mu - 2i\alpha' \hat{p}_\mu \log z + i\sqrt{2\alpha'} \sum_{n=1}^{\infty} \left[\frac{a_{n,\mu}}{\sqrt{n}} z^{-n} - \frac{a_{n,\mu}^\dagger}{\sqrt{n}} z^n \right]$$

- ▶ The center of mass variables \hat{p} , \hat{q} and the harmonic oscillators satisfy the following commutation relations:

$$[\hat{q}_\mu, \hat{p}_\nu] = i\eta_{\mu\nu} \quad ; \quad [a_{n,\mu}, a_{m,\nu}^\dagger] = \delta_{nm}\eta_{\mu\nu} \quad ; \quad \eta_{\mu\nu} = (-1, 1, \dots, 1)$$

- ▶ The vacuum $|0, 0\rangle$ satisfies:

$$\hat{p}_\mu |0, 0\rangle = a_{n,\mu} |0, 0\rangle = 0 \quad ; \quad n = 1, 2, \dots$$

Some detail on the previous expressions

- ▶ The N -point amplitude can be obtained from the previous vacuum expectation value by bringing all annihilation operators and the term with \hat{p} to the right of the creation operators and of \hat{q} .
- ▶ This can be done by using the following reordering formula:

$$: e^{ik \cdot Q(z)} :: e^{ip \cdot Q(w)} := (z - w)^{2\alpha' k \cdot p} : e^{ik \cdot Q(z)} e^{ip \cdot Q(w)} :$$

- ▶ It can be obtained using the Baker-Hausdorff relation:

$$e^A e^B = e^B e^A e^{[A, B]}$$

that is valid if the commutator $[A, B]$ is a c-number.

- ▶ Once this is done all annihilation and creation operators give 1 hitting the oscillator vacuum, also the terms with \hat{q} give 1 hitting the vacuum of momentum and one gets the N -point function times the following matrix element:

$$\langle 0 | e^{i\hat{q} \sum_{i=1}^N p_i} | 0 \rangle = (2\pi)^d \delta\left(\sum_{i=1}^N p_i\right)$$

- ▶ Since the integrand in the N -point amplitude is projective invariant we can fix for convenience $z_1 = \infty, z_2 = 1$ and $z_N = 0$:

$$A_N = \int_0^1 \prod_{i=3}^{N-1} dz_i \prod_{i=2}^{N-1} \theta(z_i - z_{i+1}) \langle 0, -p_1 | \prod_{i=2}^{N-1} V(z_i; p_i) | 0, p_N \rangle$$

where $(|0, p\rangle \equiv e^{ip \cdot \hat{q}} |0, 0\rangle)$

$$\lim_{z_N \rightarrow 0} V(z_N; p_N) |0, 0\rangle \equiv |0; p_N\rangle ; \quad \langle 0; 0 | \lim_{z_1 \rightarrow \infty} z_1^2 V(z_1; p_1) = \langle 0, -p_1 |$$

- ▶ In the operator formalism, for reasons that will become clear in a moment, an infinite set of operators L_n (n is an integer $-\infty < n < \infty$) was introduced.
- ▶ It was recognized that the L_n operators satisfy algebra of the conformal transformations in two dimensions, called nowadays **Virasoro algebra**:

$$[L_n, L_m] = (n - m)L_{n+m} + \frac{d}{12} n(n^2 - 1) \delta_{n+m; 0}$$

[Fubini and Veneziano, 1969 and Weis, 1969]

- ▶ The Virasoro operators L_n are given by:

$$L_n = \oint_0 dz z^{n+1} \left[-\frac{1}{4\alpha'} : \left(\frac{dQ(z)}{dz} \right)^2 : \right] ; \quad \oint_0 \frac{dz}{z} \equiv 1$$

- ▶ The vertex operator satisfies the following commutation relation with the generators of the Virasoro algebra:

$$[L_n, V(z, p)] = \frac{d}{dz} \left(z^{n+1} V(z, p) \right)$$

[Fubini and Veneziano, 1969]

- ▶ It is therefore a conformal field with dimension $\Delta = 1$.
- ▶ A conformal or primary field $\Phi(z)$ transforms under the conformal transformation generated by the operator L_n as follows:

$$[L_n, \Phi(z)] = z^{n+1} \frac{d\Phi(z)}{dz} + \Delta(n+1)z^n \Phi(z)$$

- ▶ In the following we want to rewrite the N -point amplitude in a form that is more convenient to study its factorization properties.

- ▶ Under a finite dilatation the vertex operator transforms as follows:

$$z^{L_0-1} V(1, p) z^{-L_0} = V(z, p)$$

- ▶ Changing the integration variables as follows:

$$x_i = \frac{z_{i+1}}{z_i} ; \quad i = 2, 3 \dots N-2 ; \quad \det \frac{\partial z_i}{\partial x_j} = z_3 z_4 \dots z_{N-2}$$

$\det \frac{\partial z_i}{\partial x_j}$ is the jacobian of the transformation from z_i to x_i , we get the following expression:

$$A_N \equiv \langle 0, -p_1 | V(1, p_2) D V(1, p_3) \dots D V(1, p_{N-1}) | 0, p_N \rangle$$

- ▶ The propagator D is equal to:

$$D = \int_0^1 dx x^{L_0-2} = \frac{1}{L_0 - 1}$$

Factorization properties of the N -point amplitude

- ▶ The factorization properties of the amplitude can be studied by inserting in the channel $(1, M)$ described by the Mandelstam variable

$$s = -(p_1 + p_2 + \dots + p_M)^2 = -(p_{M+1} + p_{M+2} \dots + p_N)^2 \equiv -P^2$$

the complete and orthonormal set of states

$$A_N = \int \frac{d^d P}{(2\pi)^d} \int \frac{d^d P'}{(2\pi)^d} \sum_{\lambda, \mu} \langle p_{(1,M)} | \lambda, P \rangle \langle \lambda, P | D | \mu, P' \rangle \langle \mu, P' | p_{(M+1,N)} \rangle$$

- ▶ where

$$\langle p_{(1,M)} | = \langle 0, -p_1 | V(1, p_2) D V(1, p_3) \dots V(1, p_M)$$

and

$$| p_{(M+1,N)} \rangle = V(1, p_{M+1}) D \dots V(1, p_{N-1}) | p_N, 0 \rangle$$

- ▶ The operator L_0 is given in terms of the oscillator number operator:

$$L_0 = \alpha' \hat{p}^2 + R \quad ; \quad R = \sum_{n=1}^{\infty} n a_n^\dagger \cdot a_n$$

- ▶ Choosing a complete and orthonormal set of states $|\lambda\rangle$ that are eigenstates of R ,
- ▶ it is possible to rewrite ($\langle P|P'\rangle = (2\pi)^d \delta^{(d)}(P - P')$)

$$\int \frac{d^d P'}{(2\pi)^d} \langle \lambda, P|D|\mu, P'\rangle = \langle \lambda | \frac{1}{\alpha' P^2 + R - 1} |\mu\rangle = \langle \lambda | \frac{1}{R - \alpha(s)} |\lambda\rangle \delta_{\lambda\mu}$$

where $\alpha(s) \equiv 1 + \alpha' s$ and $s \equiv -P^2$.

- ▶ Using this equation we get

$$A_N = \sum_{\lambda} \int \frac{d^d P}{(2\pi)^d} \langle p_{(1,M)} | \lambda, P \rangle \langle \lambda | \frac{1}{R - \alpha(s)} | \lambda \rangle \langle \lambda, P | p_{(M+1,N)} \rangle$$

- ▶ A_N has a pole in the channel $(1, M)$ when

$$\alpha(-P^2) \equiv 1 - \alpha' P^2 = N \quad ; \quad N = 0, 1, 2, \dots$$

- ▶ The states $|\lambda\rangle$ contributing to its residue are those satisfying the relation:

$$R|\lambda\rangle \equiv \sum_{n=1}^{\infty} n a_n^\dagger \cdot a_n |\lambda\rangle = N|\lambda\rangle$$

- ▶ The number of independent states $|\lambda\rangle$ contributing to the residue gives the **degeneracy of states at the level N** .
- ▶ A state $|\lambda, P\rangle$ is called **an on shell state at the level N** if

$$1 - \alpha' P^2 = N \quad \text{and} \quad R|\lambda, P\rangle = N|\lambda, P\rangle$$

Virasoro decoupling conditions

- ▶ Because of **manifest relativistic invariance**, the space spanned by the complete set of states contains states **with negative norm**.
- ▶ They correspond to those states having an odd number of oscillators with timelike directions.
- ▶ But in a quantum theory, because of the probabilistic interpretation of the norm of a state, **the states of a system must span a positive definite Hilbert space**.
- ▶ At this point it seems that there is **a contradiction between special relativity and quantum mechanics**.
- ▶ But there is no contradiction if one finds a mechanism to decouple the non-positive norm states.
- ▶ In other words, there must exist a number of relations satisfied by the states $|\rho_{(1,M)}\rangle$ that decouple a number of states leaving a positive definite Hilbert space.
- ▶ It turns out that not all states $|\lambda\rangle$ contribute to the residue of the pole because the state $|\rho_{(1,M)}\rangle$ satisfies the equation:

$$W_n |\rho_{(1,M)}\rangle = 0 ; \quad n = 1 \dots \infty ; \quad W_n = L_n - L_0 - (n-1)$$

- ▶ These are the Virasoro conditions [Virasoro, 1969].
- ▶ There is one condition for each negative norm oscillator and, therefore, there is the possibility that the physical subspace is positive definite.
- ▶ Let us prove the previous relations.
- ▶ The commutation relation of the L_n -operators with the vertex operator implies:

$$W_n V(1, p) = V(1, p)(W_n + n)$$

- ▶ that together with the following equation:

$$L_n x^{L_0} = x^{L_0+n} L_n$$

implies:

$$(W_n + n)D = [L_0 + n - 1]^{-1} W_n$$

- ▶ From the previous equations one gets:

$$W_n V(1, \rho) D = V(1, \rho) [L_0 + n - 1]^{-1} W_n$$

- ▶ Therefore one gets:

$$\begin{aligned} & W_n |p_{(1,M)}\rangle \\ &= W_n V(1, p_1) D V(1, p_2) D \dots V(1, p_{M-2}) D V(1, p_{M-1}) |0, p_M\rangle \\ &= V(1, p_1) [L_0 + n - 1]^{-1} \dots V(1, p_{M-2}) [L_0 + n - 1]^{-1} \\ &\quad \times W_n V(1, p_{M-1}) |0, p_M\rangle \\ &= V(1, p_1) [L_0 + n - 1]^{-1} \dots V(1, p_{M-2}) [L_0 + n - 1]^{-1} V(1, p_{M-1}) \\ &\quad \times (L_n - L_0 + 1) |0, p_M\rangle = 0 \end{aligned}$$

because

$$L_n |0, p_M\rangle = (L_0 - 1) |0, p_M\rangle = 0$$

Characterization of the physical states

- ▶ The subspace of the states, contributing to the residue of the pole $\alpha(-P^2) = N$, is spanned by the orthonormal set of states:

$$|\lambda, P\rangle = \prod_n \prod_{\mu_n} \frac{(a_{n,\mu_n}^\dagger)^{m_{n,\mu_n}}}{\sqrt{m_{n,\mu_n}!}} |0, P\rangle \quad ; \quad 1 - \alpha' P^2 = N$$

- ▶ satisfying the condition:

$$(L_0 - 1)|\lambda, P\rangle = 0 \iff R|\lambda, P\rangle \equiv \sum_{n=1}^{\infty} n a_n^\dagger \cdot a_n |\lambda, P\rangle = N|\lambda, P\rangle$$

- ▶ We call them on shell states at the level N .
- ▶ Consider the (off shell by n units) states $|\psi, P\rangle$ at the level $N - n$ satisfying the equation:

$$(L_0 + n - 1)|\psi, P\rangle = 0 \quad ; \quad 1 - \alpha' P^2 = N$$

$$R|\psi, P\rangle = \sum_{n=1}^{\infty} n a_n^\dagger \cdot a_n |\psi, P\rangle = (N - n)|\psi, P\rangle$$

- ▶ and from them, acting with L_{-n} , construct the states on shell at the level N :

$$L_{-n}|\psi, P\rangle \quad ; \quad (L_0 - 1)L_{-n}|\psi, P\rangle = 0 \quad ; \quad [L_0, L_{-n}] = nL_{-n}$$

- ▶ we immediately see that they are decoupled from the physical states $|\rho_{(1,W)}\rangle$

$$\langle \psi, P | W_n | \rho_{(1,W)} \rangle = \langle \psi, P | (L_n - L_0 - n + 1) | \rho_{(1,W)} \rangle = 0$$

- ▶ The on shell physical states are defined as those orthogonal to the previous states:

$$\langle \psi, P | L_n | Phys., P \rangle = 0 \implies L_n | Phys., P \rangle = (L_0 - 1) | Phys., P \rangle = 0$$

[Del Giudice and Di Vecchia, 1970]

- ▶ These equations do not completely define the physical subspace because there could be states that are physical (satisfying the previous equations), but that are decoupled from the states $|\rho_{(1,M)}\rangle$.

- ▶ A set of them at the level N can be generated as follows.
- ▶ Let us consider a physical state $|\psi_1, P\rangle$ at the level $N - 1$ that is off shell by one unit:

$$L_0|\psi_1, P\rangle = L_n|\psi_1, P\rangle = 0 \quad ; \quad n = 1, 2 \dots \quad ; \quad 1 - \alpha' P^2 = N$$

- ▶ Starting from any of the previous states we can construct an on shell physical state at the level N as follows:

$$L_{-1}|\psi_1, P\rangle \implies L_n(L_{-1}|\psi_1, P\rangle) = (L_0 - 1)(L_{-1}|\psi_1, P\rangle) = 0 \quad (1)$$

- ▶ that is decoupled from the states $|p_{(1,M)}\rangle$:

$$\langle \psi_1, P | L_1 | p_{(1,M)} \rangle = 0 \quad (2)$$

- ▶ All those states have zero norm:

$$\langle \psi_1, P | L_1 L_{-1} | \psi_1, P \rangle = \langle \psi_1, P | (2L_0 + L_{-1} L_1) | \psi_1, P \rangle = 0$$

- ▶ It can be shown that Eqs. (1) and (2) can be satisfied only by zero norm states.

- ▶ In conclusion, the on shell physical subspace consists of the states satisfying the equations:

$$L_n |Phys., P\rangle = (L_0 - 1) |Phys., P\rangle = 0$$

- ▶ and that are not decoupled from all states $|\rho_{(1,M)}\rangle$:

$$\langle Phys., P | \rho_{(1,M)} \rangle \neq 0$$

Analysis of the first few levels

- ▶ The ground state is a tachyon $|0, P\rangle$ that satisfies the physical conditions if $1 - \alpha' P^2 = 0$.
- ▶ The first excited level ($N = 1$) corresponds to a massless gauge field.
- ▶ The most general state at this level has the form

$$\epsilon^\mu a_{1\mu}^\dagger |0, P\rangle \quad ; \quad P^2 = 0$$

- ▶ In this case the only condition that we must impose is:

$$L_1 \epsilon^\mu a_{1\mu}^\dagger |0, P\rangle = 0 \implies P \cdot \epsilon = 0$$

- ▶ In the frame of reference where the momentum of the photon is given by $P^\mu \equiv (P, 0 \dots 0, P)$, the most general state satisfying the physical conditions is:

$$\epsilon^i a_{1i}^\dagger |0, P\rangle + \epsilon (a_{1;0}^\dagger - a_{1;d-1}^\dagger) |0, P\rangle \quad ; \quad i = 1 \dots d - 2$$

- ▶ But the state

$$(a_{1;0}^\dagger - a_{1;d-1}^\dagger)|0, P\rangle \sim P \cdot a_1^\dagger|0, P\rangle \sim L_{-1}|0, P\rangle$$

has zero norm ($P^2 = 0$) and is decoupled from the state $|\rho_{(1,M)}\rangle$:

$$\langle 0, P | P \cdot a_1 | \rho_{(1,M)} \rangle = 0$$

- ▶ This condition implies that the amplitude M_μ involving M tachyon and one massless state is gauge invariant

$$P^\mu M_\mu = 0$$

- ▶ Gauge invariance prevents the presence of non-positive norm states in electrodynamics.
- ▶ In conclusion, at this level the only physical components are the $d - 2$ transverse components corresponding to the physical degrees of freedom of a massless spin 1 state in d space-time dimensions.

- ▶ The most general state at the level $N = 2$ is given by:

$$[\alpha^{\mu\nu} a_{1,\mu}^\dagger a_{1,\nu}^\dagger + \beta^\mu a_{2,\mu}^\dagger] |0, P\rangle$$

- ▶ In the center of mass frame where $P^\mu = (M, \vec{0})$ we get the following most general physical state ($1 - \alpha' P^2 = 2$):

$$|Phys\rangle = \alpha^{ij} [a_{1,i}^\dagger a_{1,j}^\dagger - \frac{1}{(d-1)} \delta_{ij} \sum_{k=1}^{d-1} a_{1,k}^\dagger a_{1,k}^\dagger] |0, P\rangle +$$

$$+ \beta^j [a_{2,i}^\dagger - a_{1,0}^\dagger a_{1,i}^\dagger] |0, P\rangle +$$

$$+ \alpha \left[\sum_{i=1}^{d-1} a_{1,i}^\dagger a_{1,i}^\dagger + \frac{d-1}{5} (a_{1,0}^{\dagger 2} - 2a_{2,0}^\dagger) \right] |0, P\rangle$$

where the indices i, j run over the $d - 1$ space components.

- ▶ The first term corresponds to a spin 2 in d dimensional space-time and has a positive norm being made with space indices.

- ▶ The second term has zero norm, is orthogonal to the other physical states and it is decoupled from the states $|\rho_{(1,M)}\rangle$ since it can be written as

$$L_{-1} a_{1,i}^+ |0, P\rangle$$

- ▶ The last state is spinless and has a norm given by:

$$2(d-1)(26-d)$$

- ▶ If $d < 26$ it corresponds to a physical spin zero particle with positive norm.
- ▶ If $d > 26$ it is a ghost.
- ▶ If $d = 26$ it has a zero norm, is also orthogonal to the other physical states and it is decoupled from the states $|\rho_{(1,M)}\rangle$ since it can be written as:

$$(2L_2^\dagger + 3L_1^{\dagger 2})|0, P\rangle ; \quad 1 - \alpha' P^2 = 2$$

- ▶ Can we generalize the previous analysis to an arbitrary level?

Some detail of the calculations at the level $N = 2$

- ▶ Before we go, let us give here some detail of the calculations at the level $N = 2$.
- ▶ At this level where $\sqrt{\alpha'} M = 1$, we need only the following expressions for L_1 and L_2 :

$$L_1 = \sqrt{2} \left(-a_{1,0} + a_2 \cdot a_1^\dagger \right) ; \quad L_2 = -2a_{2,0} + \frac{1}{2} a_1 \cdot a_1$$

- ▶ At this level we have the following physical states:

$$|A\rangle \equiv \left(a_{2,i}^\dagger - a_{1,0}^\dagger a_{1,i}^\dagger \right) |0\rangle$$

$$|A_{ij}\rangle \equiv \left(a_{1,i}^\dagger a_{1,j}^\dagger - \frac{\delta_{ij}}{d-1} \sum_{k=1}^{d-1} a_{1,k}^\dagger a_{1,k}^\dagger \right) |0\rangle$$

$$|B\rangle \equiv \left[\sum_{k=1}^{d-1} a_{1,k}^\dagger a_{1,k}^\dagger + \frac{d-1}{5} \left((a_{1,0}^\dagger)^2 - 2a_{2,0}^\dagger \right) \right]$$

- ▶ Using the algebra of the harmonic oscillators it is easy to show that :

$$L_1|A\rangle = L_2|A\rangle = 0$$

$$L_1|A_{ij}\rangle = L_2|A_{ij}\rangle = 0$$

$$L_1|B\rangle = L_2|B\rangle = 0$$

- ▶ It is not necessary to impose the vanishing of the L_n with $n > 2$ because they are automatically satisfied as a consequence of the Virasoro algebra.

- ▶ We have already seen that, starting from any physical state $|\psi_1, P\rangle$ off shell by one unit ($1 - \alpha' P^2 = N$):

$$L_0|\psi_1, P\rangle = L_n|\psi_1, P\rangle = 0 \quad ; \quad n = 1, 2, \dots$$

$$R|\psi_1, P\rangle \equiv \sum_{n=1}^{\infty} n a_n^\dagger \cdot a_n |\psi_1, P\rangle = N - 1$$

we can always construct the following on shell zero norm physical state:

$$|\psi, P\rangle = L_{-1}|\psi_1, P\rangle \implies (L_0 - 1)|\psi, P\rangle = L_n|\psi, P\rangle = 0 \quad ; \quad n = 1, 2, \dots$$

- ▶ It is zero norm and decoupled from $|\rho_{(1,M)}\rangle$:

$$\langle \psi_1, P | L_1 L_{-1} | \psi_1, P \rangle = \langle \psi_1, P | (2L_0 + L_{-1} L_1) | \psi_1, P \rangle = 0$$

$$\langle \psi_1, P | L_1 | \rho_{(1,M)} \rangle = 0$$

- ▶ We can see the appearance of the critical dimension $d = 26$ as the dimension for which we can construct an additional set of zero norm states.
- ▶ In fact, starting from the physical state $|\psi_2, P\rangle$ (but off shell by two units) satisfying the equations:

$$(L_0 + 1)|\psi_2, P\rangle = L_n|\psi_2, P\rangle = 0 ; \quad n = 1, 2 \dots$$

$$R|\psi_2, P\rangle = \sum_{n=1}^{\infty} n a_n^\dagger \cdot a_n |\psi_2, P\rangle = N - 2 ; \quad 1 - \alpha' P^2 = N$$

- ▶ we can construct the state:

$$|\psi, P\rangle \equiv (2L_{-2} + 3L_{-1}^2)|\psi_2, P\rangle$$

- ▶ that is a **zero norm on shell physical state**:

$$(L_0 - 1)|\psi, P\rangle = L_n|\psi, P\rangle = 0 ; \quad n = 1, 2 \dots$$

$$\langle \psi_2, P | (2L_2 + 3L_1^2) | p_{(1,M)} \rangle$$

$$= \langle \psi_2, P | (2(L_0 + 1) + 3L_0(L_0 + 1)) | p_{(1,M)} \rangle = 0$$

- ▶ But then what are the real physical states with positive norm?

Vertex operators for excited states

- ▶ The previous analysis was done starting from the N -tachyon amplitude.
- ▶ It could have been done starting from an amplitude involving any physical state.
- ▶ We can associate to any physical state $|\alpha, P\rangle$ its corresponding vertex operator $V_\alpha(z, P)$ that is a conformal field with dimension $\Delta = 1$:

$$[L_n, V_\alpha(z, P)] = \frac{d}{dz} \left(z^{n+1} V_\alpha(z, P) \right)$$

- ▶ It reproduces the physical state in the limits:

$$\lim_{z \rightarrow 0} V_\alpha(z, P) |0, 0\rangle \equiv |\alpha; P\rangle ; \quad \langle 0; 0 | \lim_{z \rightarrow \infty} z^2 V_\alpha(z, P) = \langle \alpha, -P |$$
$$L_n |\alpha, P\rangle = (L_0 - 1) |\alpha, P\rangle = 0 ; \quad n = 1, 2, \dots$$

- ▶ It satisfies the hermiticity relation:

$$V_{\alpha}^{\dagger}(z, P) = V_{\alpha}\left(\frac{1}{z}, -P\right)(-1)^m ; \quad 1 - \alpha' P^2 = m$$

[Campagna, Fubini, Napolitano and Sciuto, 1970]

[Clavelli and Ramond, 1970]

- ▶ In terms of these vertices one can write the most general amplitude involving physical states:

$$\begin{aligned} & (2\pi)^d \delta\left(\sum_{i=1}^N p_i\right) B_N(\alpha_1, p_1; \dots \alpha_N, p_N) \\ &= \int_{-\infty}^{\infty} \frac{\prod_1^N dz_i \theta(z_i - z_{i+1})}{dV_{abc}} \langle 0, 0 | \prod_{i=1}^N V_{\alpha_i}(z_i, p_i) | 0, 0 \rangle \end{aligned}$$

- ▶ It has precisely the same form as the N -tachyon amplitude except that the vertex operators depend on the physical states involved.
- ▶ **There is a complete democracy among the physical states, as advocated by the followers of S-matrix theory.**

- ▶ The vertex operator associated to the massless vector state is, however, somewhat special and will play an important role in the proof of the no-ghost theorem.
- ▶ It is given by:

$$V_\epsilon(z, k) \equiv \epsilon \cdot \frac{dQ(z)}{dz} e^{ik \cdot Q(z)} ; \quad k \cdot \epsilon = k^2 = 0$$

DDF operators

- ▶ We want to construct an infinite set of physical states starting from the vertex operator for the massless spin 1 state.
- ▶ The starting point is the DDF operator defined in terms of the vertex operator corresponding to the massless gauge field:

$$A_{i,n} = \frac{i}{\sqrt{2\alpha'}} \oint_0 \frac{dz}{2\pi i} \epsilon_i^\mu P_\mu(z) e^{ik \cdot Q(z)}$$

where

$$P(z) \equiv \frac{dQ(z)}{dz} = -i\sqrt{2\alpha'} \left[\sqrt{2\alpha'} \frac{\hat{p}_0}{z} + \sum_{n=1}^{\infty} \sqrt{n} \left(a_n z^{n-1} + a_n^\dagger z^{-n-1} \right) \right]$$

- ▶ The index i runs over the $d - 2$ transverse directions that are orthogonal to the momentum k .
- ▶ DDF stands for [\[Del Giudice, Di Vecchia and Fubini, 1971\]](#) who constructed this operator.

- ▶ The zero mode part of $Q(z) = \dots - 2\alpha' i \hat{p} \log z \dots$ has a logarithmic singularity at $z = 0$.
- ▶ The contour integral is well defined only if we constrain the momentum of the state, on which $A_{i,n}$ acts, to satisfy the relation:

$$2\alpha' p \cdot k = n$$

where n is a non-vanishing integer.

- ▶ The DDF operators commutes with the gauge operators L_m :

$$[L_m, A_{n,i}] = 0$$

because the vertex operator transforms as a total derivative under the action of L_n .

- ▶ They satisfy the algebra of the harmonic oscillator as we are now going to show.

- ▶ We get

$$[A_{n,i}, A_{m,j}] = -\frac{1}{2\alpha'} \oint_0 \frac{d\zeta}{2\pi i} \oint_{\zeta} \frac{dz}{2\pi i} \epsilon_j \cdot P(z) e^{ik \cdot Q(\zeta)} \epsilon_j \cdot P(\zeta) e^{ik' \cdot Q(\zeta)}$$

where

$$2\alpha' p \cdot k = n \quad ; \quad 2\alpha' p \cdot k' = m$$

- ▶ k and k' are supposed to be in the same direction, namely

$$k_{\mu} = n \hat{k}_{\mu} \quad ; \quad k'_{\mu} = m \hat{k}_{\mu}$$

with

$$2\alpha' p \cdot \hat{k} = 1$$

- ▶ Finally the polarizations are normalized as:

$$\epsilon_j \cdot \epsilon_j = \delta_{ij}$$

- ▶ Since $\hat{k} \cdot \epsilon_i = \hat{k} \cdot \epsilon_j = \hat{k}^2 = 0$ a singularity for $z = \zeta$ can appear only from the contraction of the two terms $P(\zeta)$ and $P(z)$ that is given by:

$$\langle 0, 0 | \epsilon_i \cdot P(z) \epsilon_j \cdot P(\zeta) | 0, 0 \rangle = -\frac{2\alpha' \delta_{ij}}{(z - \zeta)^2}$$

- ▶ From it we get:

$$\begin{aligned} [A_{n,i}, A_{m,j}] &= \delta_{ij} i n \oint_0 d\zeta \hat{k} \cdot P(\zeta) e^{i(n+m)\hat{k} \cdot Q(\zeta)} = \\ &= i n \delta_{ij} \delta_{n+m;0} \oint_0 \frac{d\zeta}{2\pi i} \hat{k} \cdot P(\zeta) ; \quad P(\zeta) = -2i\alpha' \frac{\hat{p}}{\zeta} + \dots \end{aligned}$$

- ▶ We have used the fact that the integrand is a total derivative and therefore one gets a vanishing contribution unless $n + m = 0$.
- ▶ We get:

$$[A_{n,i}, A_{m,j}] = n \delta_{ij} \delta_{n+m;0} ; \quad i, j = 1 \dots d - 2$$

- ▶ In terms of this infinite set of transverse oscillators we can construct an orthonormal set of states:

$$|i_1, N_1; i_2, N_2; \dots i_m, N_m\rangle = \prod_h \frac{1}{\sqrt{\lambda_h!}} \prod_{k=1}^m \frac{A_{i_k, -N_k}}{\sqrt{N_k}} |0, p\rangle$$

where λ_h is the multiplicity of the operator $A_{i_h, -N_h}$ in the product.

- ▶ They all have positive definite norm and satisfy the on shell physical conditions:

$$(L_0 - 1)|i_1, N_1; i_2, N_2; \dots i_m, N_m\rangle = L_n|i_1, N_1; i_2, N_2; \dots i_m, N_m\rangle = 0$$

for $n = 1, 2, \dots$, because the DDF oscillators commute with any Virasoro operator and the tachyon state $|0, p\rangle$ satisfies the previous conditions .

- ▶ The momentum of the state and its mass are given by

$$P = p - \sum_{i=1}^m \hat{k} N_i ; \quad 1 - \alpha' P^2 = \sum_k N_k = N$$

The no-ghost theorem

- ▶ Going back to level $N = 2$ we have the following DDF states contributing at this level:

$$A_{-1,i}A_{-1,j}|0, p\rangle \quad ; \quad A_{-2,i}|0, p\rangle \quad ; \quad i, j = 1 \dots d - 2$$

- ▶ Therefore the number of states contributing is equal to

$$\frac{(d-2)(d-1)}{2} + d - 2 = \frac{(d-2)(d+1)}{2} \quad (3)$$

- ▶ that is equal to the number of components of the state:

$$[a_{1,I}^\dagger a_{1,J}^\dagger - \frac{1}{(d-1)} \delta_{IJ} \sum_{K=1}^{d-1} a_{1,K}^\dagger a_{1,K}^\dagger] |0, P\rangle \quad ; \quad I, J = 1 \dots d - 1$$

given by:

$$\frac{(d-1)d}{2} - 1 = \frac{(d-2)(d+1)}{2}$$

describing a spin 2 in $d - 1$ space dimensions.

- ▶ This state is the only physical state at the level $N = 2$ if $d = 26$.
- ▶ For $d = 26$ the DDF states are a complete set of states at the level $N = 2$.
- ▶ It turns out, after a detailed analysis, that, if $d = 26$, they are indeed a complete set of states at an arbitrary level N .
[Goddard and Thorn, 1972 and Brower, 1972]
- ▶ Since they span a positive definite Hilbert space, this means that the dual resonance model is ghost-free if $d = 26$.
- ▶ It can be shown that this is also true for any $d < 26$.
- ▶ However, in this case there are additional operators to be included besides the DDF ones.
- ▶ The states produced by these additional operators are called Brower states [Brower, 1972].
- ▶ They are needed already at the level $N = 2$ to take care of the additional scalar state not taken into account by the DDF states.

$d = 26$ from the non-planar loop

- ▶ Historically, the critical dimension was not found as described before.
- ▶ It was first found in the study of **one-loop amplitudes**.
- ▶ The Veneziano model and its extension, the N -point function, satisfies all the axioms of S matrix theory **except unitarity**.
- ▶ In fact, unitarity in a model with only resonances imposes that the total width of a resonance Γ must be the sum of the partial widths over all the possible decay channels:

$$\Gamma = \sum_n \Gamma_n$$

- ▶ If the model is ghost-free, all partial widths are positive definite and a sum of positive numbers cannot give zero unless $\Gamma_n = 0$ for any n .
- ▶ In the Veneziano model, the total width $\Gamma = 0$, but the partial widths are non zero \implies **unitarity is violated !**

- ▶ Immediately after the discovery of the Veneziano model, it was proposed to make it unitary by adding to it the contribution of loop diagrams.
- ▶ Unitarity is, in fact, implemented in this way in perturbative field theory.
- ▶ The tree diagrams are not unitary and unitarity is implemented order by order in perturbation theory by adding loop diagrams.
- ▶ By doing so, one **generates the branch points required by unitarity** and corresponding, for instance, to the two- three- etc. particle thresholds.
- ▶ At one-loop level in the DRM, two kinds of loop diagrams appear: **the planar and the non-planar**.
- ▶ They correctly generate the branch cuts required by unitarity, but the non-planar one showed additional branch cuts violating unitarity.

- ▶ In 1970, Lovelace noticed that these branch cuts become poles if the dimension of the space-time $d = 26$.
- ▶ And poles create no problem with unitarity.
- ▶ They are just additional states appearing at one-loop level.
- ▶ Today we know that, while the original poles correspond to the excitation of **an open string**, the new poles correspond instead to the excitation of **a closed string**.
- ▶ They both lie on linear Regge trajectories given respectively, by:

$$\alpha_{open}(s) = 1 + \alpha' s \quad ; \quad \alpha_{closed}(s) = 2 + \frac{\alpha'}{2} s$$

- ▶ At that time, practically nobody took Lovelace's observation seriously.
- ▶ But this has been the first evidence of the existence of a critical dimension.