

# WHY THE $\mathbb{C}P^{N-1}$ MODEL ?

(1)

- THE  $1/N$  EXPANSION IS (DIFFICULT) TO PERFORM IN QCD BECAUSE THE GAUGE FIELD  $(A_\mu)$ ; IS A MATRIX OF  $SU(N)$ . IT CAN ONLY BE DONE IN  $D=0, 1$  BUT NOT IN HIGHER DIMENSIONS.
- IN A VECTOR MODEL ( $\phi^i$ ) THE LARGE N EXPANSION CAN BE EXPLICITLY PERFORMED.
- CAN WE FIND A VECTOR MODEL AS CLOSE AS POSSIBLE TO QCD? AND THEN STUDY THE PROPERTIES OF THIS MODEL TO GET A HINT ON WHAT HAPPENS IN QCD?
- TWO-DIMENSIONAL 6-MODELS IN TWO SPACE-TIME DIMENSIONS HAVE MANY PROPERTIES IN COMMON WITH QCD + SOLVABLE ~~FOR~~ LARGE N.  
FOR

- IN GENERAL A NON-LINEAR  $\sigma$ -MODEL HAS AN ACTION OF THE TYPE

$$S = \frac{1}{2f} \int d^2x g_{ij}(\phi) \partial_\mu \phi^i \partial^\mu \phi^j$$

$g_{ij}(\phi)$  IS THE METRIC OF A MANIFOLD DESCRIBED BY THE COORDINATES  $\phi^i$ .

- IN THE CASE OF THE  $O(N)$  NON-LINEAR  $\sigma$  MODEL THE MANIFOLD IS THE SPHERE  $S^{N-1}$  DESCRIBED BY  $(N-1)$  REAL COORDINATE GENERALIZATION OF THE SPHERE  $S^2$  THAT LIVES IN OUR THREE-DIMENSIONAL SPACE.

- THE  <sup>$O(N)$  NON-LINEAR</sup> ~~corresponding~~  $\sigma$ -MODEL CAN BE MORE CONVENIENTLY FORMULATED BY INTRODUCING ONE EXTRA COORDINATE

$$\phi^1, \dots, \phi^{N-1}, \phi^N$$

- WITH THESE COORDINATES ACTION IS

$$S = \frac{1}{2f} \int d^2x \partial_\mu \phi^i \partial^\mu \phi^j \delta_{ij} \quad (\text{FLAT METRIC})$$

BUT THERE IS A CONSTRAINT

$$\sum_{i=1}^N \phi^i \phi^i = 1$$

TO DESCRIBE THE SPHERE  $S^{N-1}$

- THE MANIFOLD  $\mathbb{C}P^{N-1}$  IS A COMPLEX PROJECTIVE SPACE IN  $N$  COMPLEX DIMENSIONS (3)

$$z^i \sim \alpha z^i$$

$\alpha$  IS A COMPLEX QUANTITY.

- THE MANIFOLD  $\mathbb{C}P^{N-1}$  IS DESCRIBED BY  $(N-1)$  COMPLEX VARIABLES.
- BUT IT IS MORE CONVENIENT TO START FROM A FORMULATION WITH  $N$  COMPLEX COORDINATES + ADDING A CONSTRAINT :

$$\sum_{i=1}^N |z^i|^2 = 1$$

+ GAUGE INVARIANCE.

- $\mathbb{C}P^1 \sim O(3)$  σ-MODEL

$$\phi^i = \bar{z} \sigma^i z ; \quad \sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} ; \quad \sigma^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} ; \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\phi^1 = \bar{z}_1 z_2 + \bar{z}_2 z_1 ; \quad \phi^2 = -i \bar{z}_1 z_2 + i \bar{z}_2 z_1 ; \quad \phi^3 = \bar{z}_1 z_1 + \bar{z}_2 z_2$$

$$(\phi^1)^2 + (\phi^2)^2 + (\phi^3)^2 = 1$$

$$\text{IF } |z_1|^2 + |z_2|^2 = 1 .$$

$$(E_3) \rightarrow X + (E_3) \rightarrow X + (E_3 + E_3)^{\frac{1}{2}} = \frac{1}{2}Q$$

$$(E_3) \rightarrow Q = S^2! (E_3) \rightarrow X + (E_3) = S^2$$

\* DIFERENTIATES

$$\begin{aligned} & A_i^{\alpha} = 1 \cdots N^{\alpha} \quad (\text{COLOUR}) \\ & A_i^{\alpha} = 1 \cdots N^{\alpha} \quad (\pm \text{FLAVOUR}) \end{aligned}$$

$$\alpha = 1 \cdots N \quad (\times) \quad Z_{\alpha}$$

$$e = 1 \quad \text{FOR} \quad \in .$$

$$|Z|^2 = \frac{1}{N} \quad \text{WITH} \quad D^{\mu} = e^{\mu} + \frac{1}{N} \epsilon^{\mu}_{\nu} A^{\nu}$$

$$- \frac{g}{2N^F} \left[ (A^i A^j \epsilon_{ij} + A^i \epsilon_{ij} A^j) \right]$$

$$- m_B (A^i - A^j) \epsilon_{ij} + z^i (1 - \frac{1}{z^i}) \left\{ \epsilon_{ij} \right\} \times p_j^i = S$$

\* ACTION

①

$\mathcal{E}_N$  MODEL IN  $D=2$

- CONFORMAL INVARIANCE AT CLASSICAL LEVEL.  
f, g ARE DIMENSIONLESS.

- EXISTENCE OF A THERMAL CHARGE

$$Q = \frac{1}{2\pi N} \int d^3x \epsilon_{\mu\nu\rho} A_\mu$$

THAT IS AN INTEGER.

- EXISTENCE OF INSTANTON CLASSICAL SOLUTIONS:

$$D_\mu z = \pm i \epsilon_{\mu\nu} D_\nu z$$

$$\epsilon_{\mu\nu} = -\epsilon_{\nu\mu} ; \epsilon_{01} = 1$$

- CHIRAL INVARIANCE :  $U(N_f) \times U(N_f)$

WE CAN TRANSFORM INDEPENDENTLY  
 $\psi_L$  AND  $\psi_R$ :  $\psi_R \rightarrow A \psi_R$ ;  $\psi_L \rightarrow B \psi_L$   
 THE ACTION IS INVARIANT.

- $U(1)$  AXIAL ANOMALY :  $A = B^+ = e^{i\theta}$

$$\partial_\mu [\bar{\psi} \gamma_5 \gamma_\mu \psi] \sim 2N_f q$$

$$q(x) = \frac{1}{2\pi N} \epsilon_{\mu\nu} \partial_\mu A_\nu$$

# GENERATING FUNCTIONAL.

$$Z(\bar{J}, \bar{\bar{J}}; \eta, \bar{\eta}) = \int_{\mathcal{D}z \in \mathcal{D}\bar{z} \in \mathcal{D}\psi \in \bar{\psi}} \mathcal{D}A_\mu$$

$$- S + \int d^4x \left\{ \bar{J} \cdot z + \bar{\bar{J}} \cdot \bar{z} + \bar{\eta} \cdot \psi + \bar{\psi} \cdot \eta \right\}$$

$$\cdot \delta(|z|^2 - \frac{N}{2f}) e$$

BY USING

$$\delta(|z|^2 - \frac{N}{2f}) = \int Dz e^{\frac{i\alpha}{\sqrt{N}} (|z|^2 - \frac{N}{2f})}$$

$$e^{\int d^4x \frac{g}{2NF} (\bar{\psi} \lambda^i \psi)^2} = \int D\phi^i e^{\int d^4x \left\{ \bar{\psi} \lambda^i \psi \frac{\phi^{i+1}}{\sqrt{N}} - \frac{1}{2g} (\phi^i)^2 \right\}}$$

WE CAN REWRITE THE ACTION AS:

$$S = \overline{D_\mu z} D_\mu z + \bar{\psi} \left( \not{D} - M_B - \frac{\lambda^i}{\sqrt{N}} (\phi^i + \bar{\phi}^i) \right)$$

$$+ \frac{1}{2g} (\phi_i^2 + \phi_{is}^2) + \frac{i\alpha\sqrt{N}}{2f} - \frac{i\alpha}{\sqrt{N}} |z|^2$$

ACTION IS NOW QUADRATIC IN THE FUNDAMENTAL FIELDS  $z$  AND  $\psi$ .

PERFORMING THE FUNCTIONAL  
INTEGRAL OVER  $z$  AND  $\psi$

$$Z(J, \bar{J}; \gamma, \bar{\gamma}) = \int D\alpha D\phi^i D\phi_s^i DA_\mu$$

$$- S_{\text{eff}} + \int d^2x \int d^2y \left[ \bar{J}(x) \Delta_B^{-1}(x; y) J(y) + \right.$$

$$\left. e \bar{\gamma}(x) \Delta_F^{-1}(x; y) \gamma(y) \right]$$

WHERE

$$\Delta_B = -D_\mu D_\mu + m^2 - \frac{i}{\sqrt{N}} \alpha$$

$$\Delta_F = D - M_B - \frac{\lambda^i}{\sqrt{N_F}} [\phi^i + \gamma_5 \phi_s^i]$$

$$S_{\text{eff}} = N \text{Tr} \log \Delta_B - N_F \text{Tr} \log \Delta_F$$

$$+ \int d^2x \left[ i \frac{\sqrt{N}}{2f} \alpha + \frac{1}{2g} (\phi^i \phi^i + \phi_s^i \phi_s^i) \right]$$

• LARGE  $N$  AND  $N_F$  EXPANSION

① BOSONIC  $O(\sqrt{N})$

$$N \text{Tr}^{\log} \left( -\partial^2 + m^2 - \frac{2i\alpha}{\sqrt{N}} A_\mu \partial^\mu + \frac{1}{N^2} A^2 - \frac{i\alpha}{\sqrt{N}} \right)$$

$$+ \int d^2x \frac{i\sqrt{N}}{2f} \alpha = N \text{Tr} \log(-\partial^2 + m^2) +$$

$$+ N \text{Tr} \left( 1 - (-\partial^2 + m^2)^{-1} \frac{i\alpha}{\sqrt{N}} + \dots \right) + \int d^2x \frac{i\sqrt{N}}{2f} \alpha$$

$$= i\sqrt{N} \int d^2x \alpha(x) \left\{ \frac{1}{2f} - \int \frac{d^2p}{(2\pi)^2} \frac{1}{p^2 + m^2} \right\} +$$

$$+ N \text{Tr} \log(-\partial^2 + m^2) + O(1)$$

② FERMIONIC  $O(\sqrt{N_F})$  :  $\langle \phi^c \rangle = M_c \sqrt{N_F} N_F$

$$-N_F \text{Tr}(\not{D} - M) = N_F \text{Tr} \left( 1 - \frac{(\not{D} - M)}{\sqrt{N_F}} \frac{\phi_c}{\sqrt{N_F}} + \dots \right)$$

$$+ \frac{M_s}{g} \sqrt{N_F N_F} \int d^2x \phi_c + \dots =$$

$$= -N_F \text{Tr}(\not{D} - M) + 2\sqrt{N_F N_F} \int d^2x \phi_c \left\{ \frac{M_s}{2g} + \dots \right\}$$

$$\dots + \frac{1}{2} (\not{D} - M)^2 (x, x') + \dots = -N_F \text{Tr}(\not{D} - M) +$$

$$+ 2\sqrt{N_F N_f} \int d^3x \phi_0(x) \frac{M}{2g} = M \left( \frac{d^3p}{(2\pi)^3} \frac{1}{p^2 + M^2} \right) + \dots$$
⑤

IN CONCLUSION

$$S^{(0)} = N \text{Tr}(-\partial^2 + m^2) - N_F \text{Tr}(\phi - M)$$

$$S^{(1)} = i\sqrt{N} \int d^3x \alpha(x) \left[ \frac{1}{2g} - \int \frac{d^2p}{(2\pi)^2} \frac{1}{p^2 + m^2} \right]$$

$$+ 2\sqrt{N_F N_f} \int d^3x \phi_0(x) \left[ \frac{M_S}{2g} - M \int \frac{d^2p}{(2\pi)^2} \frac{1}{p^2 + M^2} \right]$$

PAULI-VILLARS REGULARIZATION

$$\int \frac{d^2p}{(2\pi)^2} \frac{1}{p^2 + m^2} \rightarrow \int \frac{d^2p}{(2\pi)^2} \left( \frac{1}{p^2 + m^2} - \frac{1}{p^2 + \Lambda^2} \right)$$

$$= \frac{1}{4\pi} \log \frac{\Lambda^2}{m^2}$$

SADDLE POINT EQS.

$$\frac{1}{2\pi\Lambda} - \frac{1}{4\pi} \log \frac{\Lambda^2}{m^2} = \frac{M_S}{2\pi\Lambda} - \frac{M}{4\pi} \log \frac{\Lambda^2}{M^2} = 0$$

FUNDAMENTAL EQ. 18 SATISFIED IF

(6)

$$M_B(\lambda) = \varepsilon \cdot \frac{M_s}{\log \frac{\lambda^2}{M^2}} \cdot \frac{2\pi}{\lambda} ; M = M_0 + M_s$$

IN THIS CASE 2ND EQ. BECOMES:

$$\circ = \frac{2\pi}{g(\lambda)} - \frac{M}{M_s} \log \frac{\lambda^2}{M^2} = \frac{2\pi}{g(\lambda)} - \log \frac{\lambda^2}{M^2}$$

$$- \frac{M_B}{M_s} \log \frac{\lambda^2}{M^2} = \boxed{\frac{2\pi}{g(\lambda)} - \log \frac{\lambda^2}{M^2} - 2\pi\varepsilon = 0}$$

ASYMPTOTIC FREEDOM FOR BOTH  
 $f(\lambda)$  AND  $g(\lambda)$  !!

DIMENSIONAL TRANSMUTATION

$S^{(2)}$  is  $O(1)$

$$S^{(2)} = \frac{1}{2} \int d^2x \int d^2y \left\{ \alpha(x) \Gamma^\alpha(x-y) \alpha(y) + \right. \\ + A_\mu^{(x)} \Gamma_{\mu\nu}^A(x-y) A_\nu(y) + \phi_5^i(x) \Gamma_{ij}^{\phi}(x-y) \phi_5^j(y) \\ \left. + \phi_5^i(x) \Gamma_{ij}^{\phi 5}(x-y) \phi_5^j(y) + 2 A_\mu(x) \Gamma_\mu^{\phi} \phi_5^0 \right\}$$

WHERE

$$\bullet \quad \tilde{\Gamma}^\alpha(p) = A(p; m^2) = \frac{1}{2\pi \sqrt{p^2(p^2+4m^2)}} \log \frac{\sqrt{p^2} + \sqrt{p^2+4m^2}}{\sqrt{p^2+4m^2} - \sqrt{p^2}}$$

$$\xrightarrow[p \rightarrow 0]{} \frac{1}{4\pi m^2} \left[ 1 - \frac{2}{3} \frac{p^2}{4m^2} + \dots \right]$$

$$\bullet \quad \tilde{\Gamma}_{\mu\nu}^A = \left( \delta_{\mu\nu} - \frac{p_\mu p_\nu}{p^2} \right) \left\{ (p^2 + 4m^2) A(p; m^2) - \frac{1}{\pi} \right. \\ \left. - \frac{N_F N_F}{N} e^2 [4M^2 A(p; M^2) - \frac{1}{\pi}] \right\}$$

$$\bullet \quad \tilde{F}_{ij}^\phi = \delta_{ij} \left[ \epsilon + (p^2 + 4M^2) A(p; M^2) \right]$$

$$\bullet \quad \tilde{\Gamma}_{ij}^{\phi 5} = \delta_{ij} \left[ \epsilon + p^2 A(p; M^2) \right]; \quad \tilde{\Gamma}_\mu^{A\phi}(p) = - \epsilon_{\mu\nu} p_\nu \alpha e \sqrt{N_F} \cdot \\ \cdot M \sqrt{\frac{N_F}{\pi}} A(p; M^2)$$

# LOW-ENERGY EFFECTIVE ACTION

(8)

$$\begin{aligned}
 \mathcal{L}_{\text{eff}} = & \frac{1}{2} \left[ (\partial_\mu \pi^i)^2 + m_\pi^2 (\pi^i)^2 \right] + \\
 & + \frac{1}{2} \left[ (\partial_\mu \sigma^i)^2 + (m_\pi^2 + 4M^2) (\sigma^i)^2 \right] + \frac{\alpha^2}{8\pi m^2} + \\
 & + \frac{F^2}{24\pi m^2} + i\sqrt{\frac{2N_f}{N}} F_\pi F S
 \end{aligned}$$

WHERE

$$F = \epsilon_{\mu\nu} \partial_\mu A_\nu ; \quad \pi^i = \frac{\phi^i}{2\sqrt{\pi} M} ;$$

$$\sigma^i = \frac{\phi^i}{2\sqrt{\pi} M} ; \quad \pi^0 = S ; \quad F_\pi = \frac{1}{\sqrt{2\pi}}$$

$$m_\pi^2 = 4\pi \epsilon M^2$$

# CONFINEMENT

(9)

- AT THE CLASSICAL LEVEL THERE IS NO KINETIC TERM FOR THE GAUGE FIELD  $A_\mu \implies$  GENERATED IN THE QUANTUM THEORY  $\implies$  CONFINEMENT
- ONE GETS

$$\langle A_\mu(p) A_\nu(-p) \rangle = \left( \delta_{\mu\nu} - \frac{p_\mu p_\nu}{p^2} \right) \frac{12\pi m^2}{p^2}$$

- THIS MEANS THAT THERE IS A LINEAR POTENTIAL GENERATED IN THE QUANTUM THEORY :

$$V(R) = \frac{12\pi m^2}{N} R \quad ; \quad \sigma = \frac{12\pi m^2}{N}$$

- REMEMBER THAT

$$\int_{-\infty}^{\infty} \frac{dk}{k^2} e^{ikR} \sim R$$

- BUT CONFINEMENT IN TWO DIMENSION DOES NOT TEACH MUCH ABOUT CONFINEMENT IN FOUR DIMENSIONS : NO USE FOR QCD.

# U(1) ANOMALY AND U(1) PROBLEM

(10)

- THE U(1) ANOMALY IMPLIES A MASS (NON VANISHING IN THE CHIRAL LIMIT:  $m_\pi \rightarrow 0$ ) FOR THE PSEUDO SCALAR SINGLET (THIS SOLVES THE U(1) PROBLEM).
- FOR THIS THE MECHANISM IS THE SAME IN  $\mathbb{C}P^{N-1}$  MODEL AND IN QCD.
- REWRITE

$$\begin{aligned} \mathcal{L}_{\text{eff}} = & \frac{1}{2} \sum_{i \neq 0} \left[ (\partial_\mu \pi^i)^2 + m_\pi^2 (\pi^i)^2 \right] + \\ & + \frac{1}{2} \sum_i \left[ (\partial_\mu \sigma^i)^2 + (m_\pi^2 + 4M^2) (\sigma^i)^2 \right] + \frac{\alpha^2}{8\pi m_\pi^2} \\ & + \boxed{\frac{1}{2} \left[ (\partial_\mu S)^2 + m_\pi^2 S^2 \right] + \frac{1}{2\chi} q^2 + i \frac{\sqrt{2N_f}}{F_\pi} q S} \end{aligned}$$

WHERE

$$\chi = \frac{3m_\pi^2}{\pi N} ; F_\pi = \frac{1}{\sqrt{2\pi}}$$

$$\hookrightarrow \frac{1}{2} \left[ (\partial_\mu S)^2 + M_S^2 S^2 \right] + \frac{1}{2\chi} \left( q + \frac{i\chi\sqrt{2N_f}}{F_\pi} S \right)^2$$

$$M_S^2 = m_\pi^2 + \frac{2\chi N_f}{F_\pi^2}$$

•  $\theta$ -VACUA (NO FERMIONS)

$$\mathcal{L}_{\text{eff}} = \frac{\pi N}{6m^2} q^2 + i\partial q + q J$$

$$q(x) = \frac{F(x)}{2\pi\sqrt{N}} = \text{TOPOLOGICAL CHARGE DENSITY}$$

$J$  IS AN EXTERNAL SOURCE.

EQ. OF MOTION FOR  $q(x)$ :

$$q = -\frac{3m^2}{\pi N} (J + i\theta)$$

INSERTING IT INTO THE LAGRANGIAN:

$$\mathcal{L}_{\text{eff}} = -\frac{3m^2}{2\pi N} (J + i\theta)^2$$

GENERATING FUNCTIONAL

$$\frac{3m^2}{2\pi N} \int d^2x (J + i\theta)^2 - W(J, \theta)$$

$$Z(J, \theta) = e^{-W(J, \theta)} = e$$

- VACUUM ENERGY

$$E(\theta) = + \frac{W(J=0; \theta)}{\sqrt{2}} = \frac{3m^2}{2\pi N} \theta^2 =$$

$$= N \left( \frac{3m^2}{2\pi} \right) \left( \frac{\theta}{N} \right)^2 ; E(\theta) = N C F\left(\frac{\theta}{N}\right)$$

$$F(x) = x^2$$

- ONE-POINT FUNCTION

$$\langle q(x) \rangle_\theta = \left. \frac{\delta Z}{\delta J} \right|_{J=0} = i \frac{3m^2}{\pi N} \theta$$

- TWO-POINT FUNCTION

$$\langle q(x) q(y) \rangle = \frac{3m^2}{\pi N} \delta^{(2)}(x-y)$$

- TOPOLOGICAL SUSCEPTIBILITY

$$\langle q(x) \int d^2y q(y) \rangle = \frac{3m^2}{\pi N} = \chi$$

# WITTEN-VENEZIANO FORMULA

↓ LIKE

$$\left. \frac{d^2 E(\theta)}{d\theta^2} \right|_{\theta=0} = \frac{3m^2}{N\pi} = \chi = \frac{F_\pi^2}{2N_f} M_S^2$$

THIS IMPLIES

$$M_S^2 = \frac{2N_f}{F_\pi^2} \chi = \frac{2N_f}{F_\pi^2} \left. \frac{d^2 E(\theta)}{d\theta^2} \right|_{\theta=0}$$

NOTICE THAT IF WE HAD CONSIDERED THE THEORY WITH FERMIONS WE WOULD HAVE OBTAINED AT LOW ENERGY

$$\langle S (q + iM_S\sqrt{\chi} S) \rangle = 0$$

↓

$$\langle S q \rangle = -i \frac{\sqrt{\chi}}{M_S}$$

AND

$$\begin{aligned} & \langle (q + iM_S\sqrt{\chi} S) (q + iM_S\sqrt{\chi} S) \rangle = \chi \\ & = \langle q q \rangle + 2iM_S\sqrt{\chi} \langle q S \rangle - M_S^2 \chi \langle S S \rangle = \\ & = \langle q q \rangle + 2\chi - \chi = \chi \end{aligned}$$

↓  $\langle q q \rangle = 0$  (AT LOW)

# WHY IS THE AXIAL U(1) ANOMALY IMPORTANT? (14)

- THE U(1) AXIAL ANOMALY HAS BEEN DERIVED IN THE FUNDAMENTAL LAGRANGIAN CONTAINING THE FUNDAMENTAL FIELDS ( $\bar{q}, q$ )  $\Leftrightarrow$  (GLUON + QUARKS IN QCD)
- IN THE QUANTUM THEORY "COLOUR" IS CONFINED AND THOSE FUNDAMENTAL FIELDS DO NOT APPEAR IN THE SPECTRUM.
- THE SPECTRUM CONSISTS OF COMPOSITE COLOURLESS STATES.
- WE HAVE DERIVED AN EFFECTIVE LAGRANGIAN FOR THEM.
- THE EFFECTIVE LAGRANGIAN MUST HAVE THE SAME SYMMETRIES AND ANOMALIES OF THE BASIC FUNDAMENTAL LAGRANGIAN.
- HOW DO WE SEE THE ANOMALY IN THE EFFECTIVE LAGRANGIAN ?
- THE EFFECTIVE LAGRANGIAN MUST NOT BE INVARIANT UNDER THE ANOMALOUS TRANSFORMATIONS , BUT IT MUST TRANSFORM ACCORDING TO THE ANOMALY:

$$\delta S_{\text{eff}} = \int d^2x \delta S_{\text{eff}} \sim 2N_f \int q(x) d^2x$$