

# Particules Élémentaires, Gravitation et Cosmologie

## Année 2004-2005

### Interactions fortes et chromodynamique quantique I: Aspects perturbatifs

Cours IV: 22 mars 2005

1. Summary of previous lecture
2. Inclusive cross sections in  $e^+e^- \rightarrow$  hadrons
3. Deep-inelastic lepton-hadron scattering
4. The QCD parton model

# 1. Short summary of lecture no. 3

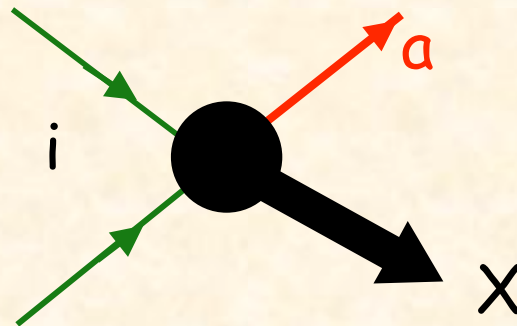
- Renormalization of  $S_{eff}$  via introduction of an arbitrary scale  $\mu$ . UV-finiteness &  $\mu$ -independence of  $S_{eff} \Rightarrow$  CS-RG equations
- Predictive power of AF strongly limited by the possible appearance of IR&CO-singularities  $\Rightarrow$  classification of hard QCD processes: ICS, IS and unsafe
- Examples of ICS quantities in  $e^+e^- \rightarrow$  hadrons:  
 $\sigma_T, \sigma_{2jets}(\varepsilon, \delta), \sigma_{3jets}(\varepsilon, \delta) \sim \sigma_T - \sigma_{2jets}(\varepsilon, \delta)$
- $\sigma_T \sim \sigma_{tree}(e^+e^- \rightarrow qq^*)$  but **not**  $\sim \sigma(e^+e^- \rightarrow qq^*)$

## 2. Inclusive cross sections in $e^+e^- \rightarrow$ hadrons

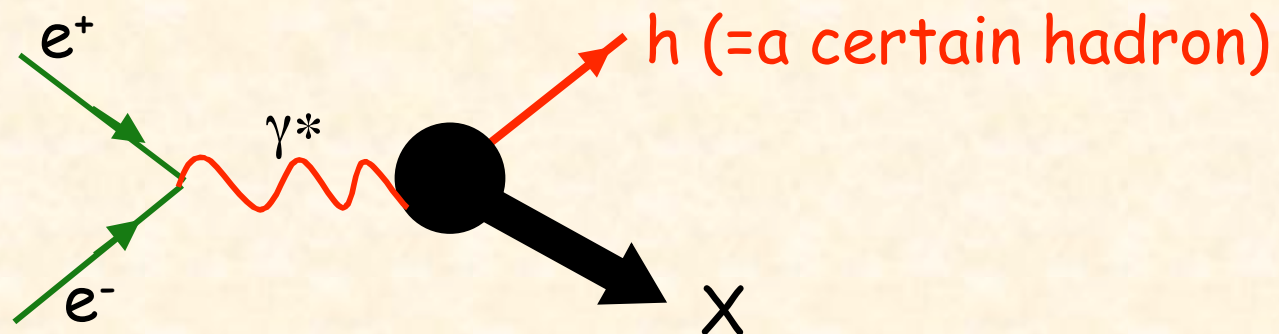
- The reason why  $\sigma(e^+e^- \rightarrow qq^*)$  suffers from both IR and CO divergences is clear: any emission, whether soft or collinear, takes us **out** of the specific channel we are considering! This is instead not the case for  $\sigma_T$  or for  $\sigma_{2\text{jets}}$
- In the early 70s, Feynman introduced in HEP the concept of inclusive cross-sections something lying midway between the total x-section and an «exclusive» one, where a single channel is picked up. The simplest example is the so-called one-particle inclusive cross-section, defined as:

$$\frac{d\sigma(i \rightarrow a)}{d^3 p_a / E_a} = \sum_X \frac{d\sigma(i \rightarrow a(\vec{p}_a) + X)}{d^3 p_a / E_a}$$

In pictures:



Lot of work done in the 70s on inclusive x-sections in soft hadronic physics via Regge-Mueller theory. Here we want to consider the same object but in a hard process e.g.



## Q&A

Q: Can we compute such an object in PQCD?

A: Obviously not! PQCD does not even know what  $h$  is! We cannot even start the calculation...

Q: Can we compute instead in PQCD an inclusive x-section at the parton level e.g.

$$\frac{d\sigma(e^+e^- \rightarrow q + X)}{d^3k_q/E_q}$$

A: Better not, otherwise we would conclude that quarks can be produced in  $e^+e^-$  collisions! But let's not give up and try after having fixed the fraction ( $x/2$ ) of the initial c.m. energy carried by the outgoing quark (rather than its 3-momentum)

At lowest order the answer is clear since  $\sigma_T = \sigma_{qq^*}$

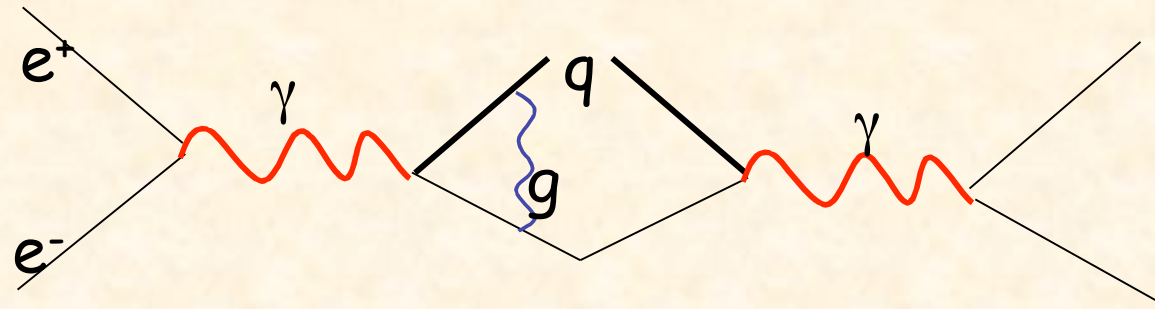
$$\frac{d\sigma}{dx} = \delta(x - 1)\sigma_T$$

(Note: this has to be multiplied by 2 if we add  $q$  and  $q^*$ . In general, integral of inclusive does not give  $\sigma_T$ )

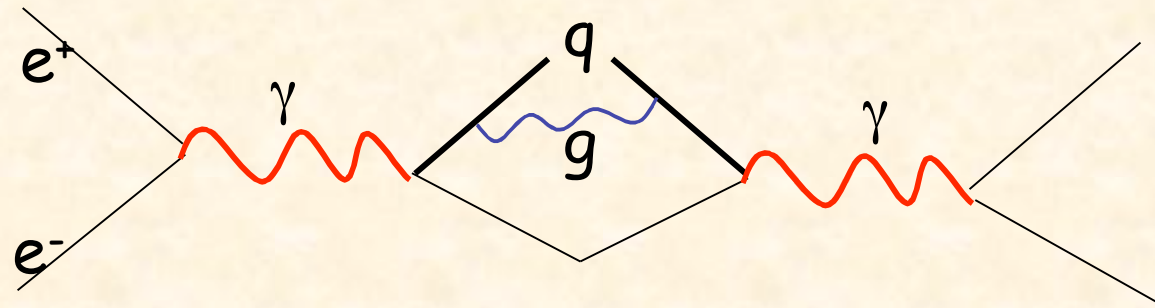
Let us consider now the first non-trivial (one loop) correction.

We get two kinds of contributions (when taking the  $|\dots|^2$ )

1. A virtual one corresponding to interference diagrams:



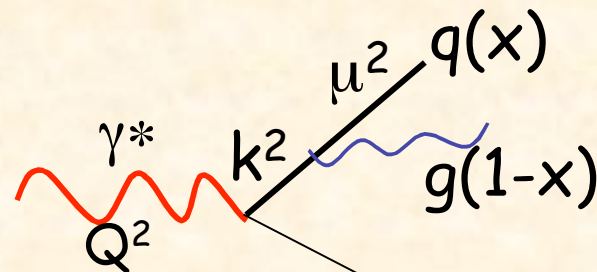
2. A real one corresponding to the emission of a gluon



The first diagram gives again a contribution proportional to  $\delta(x-1)$ , and negative, while the second is positive and gives a less trivial  $x$ -dependence

Separately, both diagrams are IR-divergent. However, if we measure  $x$ , such a quantity (unlike  $p$ -itself) is **not affected**, at high  $E$ , by the emission of a soft gluon (one with momentum  $\ll E$ ). Hence we expect our cross section to be free of IR-problems. On the other hand, the emission of a collinear hard gluon (i.e. carrying itself a fraction  $z$  of  $E$ ) **does affect**  $x$ . Thus, our  $x$ -section should belong to the 2nd class in our classification: IR- but not  $CO$ -safe. The explicit calculation confirms this by giving, for tree + one-loop,

$$\frac{1}{\sigma_T} \frac{d\sigma}{dx} = \delta(x - 1) + \int_{\mu^2}^{Q^2} \frac{dk^2}{k^2} \frac{\alpha(k^2)}{2\pi} \int_0^1 dz P(z) \delta(x - z)$$



$P(x)$

where the « parton splitting » function  $P(z)$  is:

$$P(z) = P_r(z) + P_v(z) \text{ with}$$

$$P_r(z) = C_F \frac{1+z^2}{1-z}, \quad C_F = \frac{N^2 - 1}{2N} \rightarrow \frac{4}{3}$$

and

$$P_v(z) = -\delta(z-1) \int_0^1 dz' P_r(z') \Rightarrow \int P(z) f(z) = \int P_r(z) [f(z) - f(1)]$$

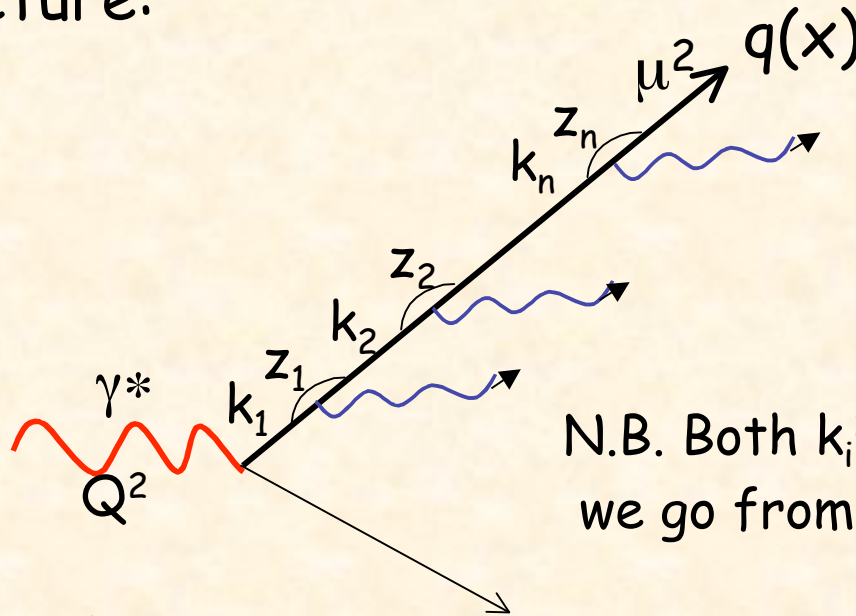
Note that:

$$\int P(z) z^n \leq 0 \quad (n \geq 0)$$

Note: to describe a more general situation we shall need a matrix  $P_{ij}^q(z)$  ( $i, j = q, g$ ) of splitting functions (see below). Here  $i=j=q$  in order to make things as simple as possible...



The above structure generalizes to higher orders following the picture:



N.B. Both  $k_i^2$  and  $x_i$  decrease as we go from  $\gamma^*$  to final quark

and the equation:

$$\frac{1}{\sigma_T} \frac{d\sigma}{dx} = \delta(x-1) + \sum_{n=1}^{\infty} \int_{\mu^2}^{Q^2} \frac{dk_1^2}{k_1^2} \frac{\alpha(k_1^2)}{2\pi} \int_0^1 dz_1 P(z_1) \int_{\mu^2}^{k_1^2} \frac{dk_2^2}{k_2^2} \frac{\alpha(k_2^2)}{2\pi} \int_0^1 dz_2 P(z_2) \dots$$

$$\dots \int_{\mu^2}^{k_{n-1}^2} \frac{dk_n^2}{k_n^2} \frac{\alpha(k_n^2)}{2\pi} \int_0^1 dz_n P(z_n) \delta(x - \prod_{i=1}^n z_i)$$

A few comments:

1. We cannot let  $\mu^2 \rightarrow 0$  without generating infinities (this means that the quark x-section per se is not calculable). We have to keep the quark « off-shell »
2. We can differentiate the single quark distribution  $\rho_q(x, Q^2, \mu^2) = \sigma_T^{-1} d\sigma/dx$  w.r.t.  $\log Q^2$  and obtain the evolution equation:

$$Q^2 \frac{\partial}{\partial Q^2} \rho(x, Q^2, \mu^2) = \frac{\alpha(Q^2)}{2\pi} \int_x^1 dz \frac{P(z)}{z} \rho(x/z, Q^2, \mu^2)$$

3. This equation becomes an ODE for the «moments» of  $\rho_q$

$$\rho_n(Q^2, \mu^2) = \int_0^1 dx x^{n-1} \rho(x, Q^2, \mu^2)$$

$$Q^2 \frac{\partial}{\partial Q^2} \rho_n(Q^2, \mu^2) = \frac{\alpha(Q^2)}{2\pi} P_n \rho_n(Q^2, \mu^2) \quad \text{where}$$

$$P_n = \int_0^1 dz z^{n-1} P(z)$$

The solution is simply :

$$\rho_n(Q^2, \mu^2) = \exp \left( \int_{\mu^2}^{Q^2} \frac{dk^2}{k^2} \gamma_n(k^2) \right) \quad \gamma_n(k^2) = \frac{\alpha(k^2)}{2\pi} P_n$$

Note that, in general, violations of scaling are logarithmic since the above integrals grow as  $(P_n/2\pi\beta_0) \log(\log(Q^2))$ ... Since  $P_1 = 0$  we find immediately that  $\rho_1 = 1$  corresponding to conservation of the « parent quark ». On the other hand, we saw that  $P_n < 0$  for  $n > 1$ , corresponding to softening of spectrum for increasing  $Q^2$ .

- Finally, we have to discuss how to go from inclusive (off-shell) quark distributions to (on-shell) hadron distributions.
- For this we need a «mild» assumption, i.e. that a quark w/ some  $x = x_q$  and off-shellness  $\mu^2$  produces a hadron  $h$  with its  $x = x_h$  with some (uncalculable since non-perturbative)

probability:

$$D_q^h(x_q, x_h; \mu^2) = D_q^h(x_h/x_q; \mu^2)$$

This gives:

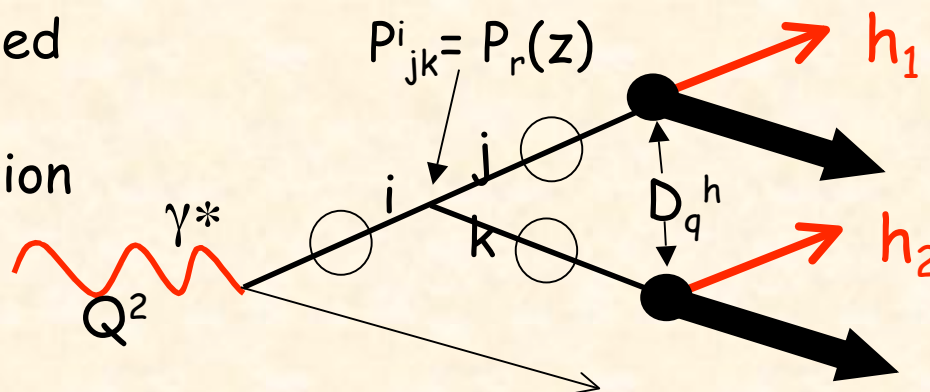
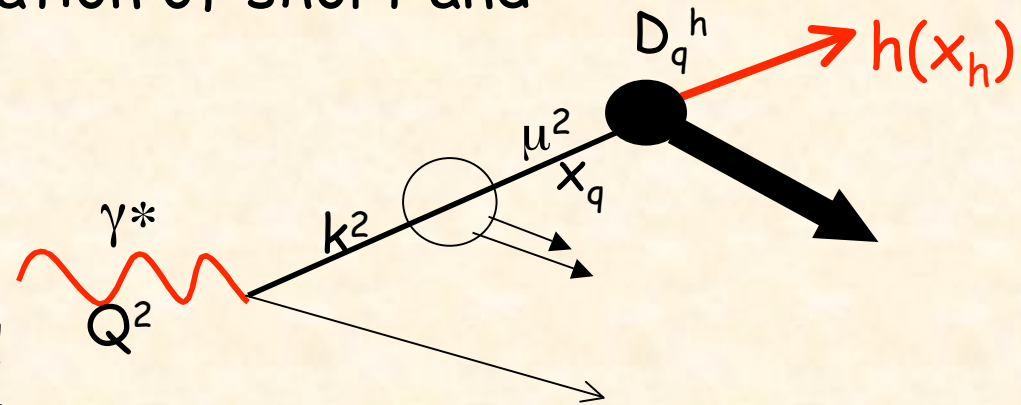
$$\rho_h(x_h, Q^2) \equiv \frac{1}{\sigma_T} \frac{d\sigma}{dx_h}(x_h, Q^2) = \int_{x_h}^1 dx_q \rho_q(x_q, Q^2, \mu^2) D_q^h(x_h/x_q; \mu^2)$$

where we have « factorized » the experimental x-section into a **calculable Q-dependent** part and a **non-perturbative but Q-independent** one. The  $\mu^2$ -dependence cancels between them! It follows from here that  $\rho_h(x, Q^2)$  obeys exactly the same evolution equation as  $\rho_q(x, Q^2, \mu^2)$  (same for their moments)

$$Q^2 \frac{\partial}{\partial Q^2} \rho_h(x, Q^2) = \frac{\alpha(Q^2)}{2\pi} \int_x^1 dz \frac{P(z)}{z} \rho_h(x/z, Q^2)$$

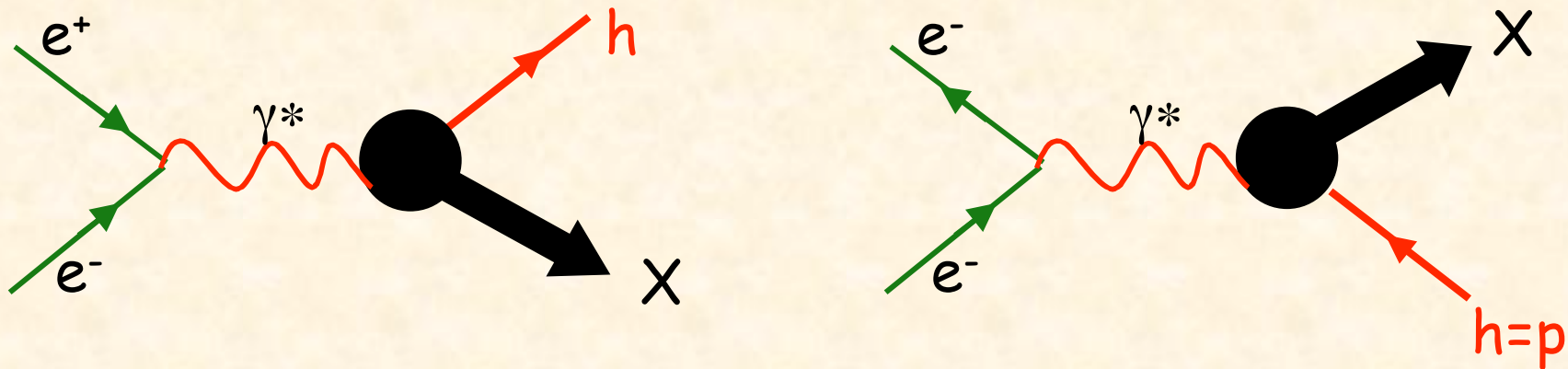
This is the so-called DGLAP equation (for fragmentation f.n.s) whose basis is the factorization of short and long-distance physics

- Above procedure can be generalized to multiparticle distributions via the so-called jet calculus. These rules, once improved to take coherence effects into account, form the starting point of QCD-based MC simulations of jet branching and hadronization



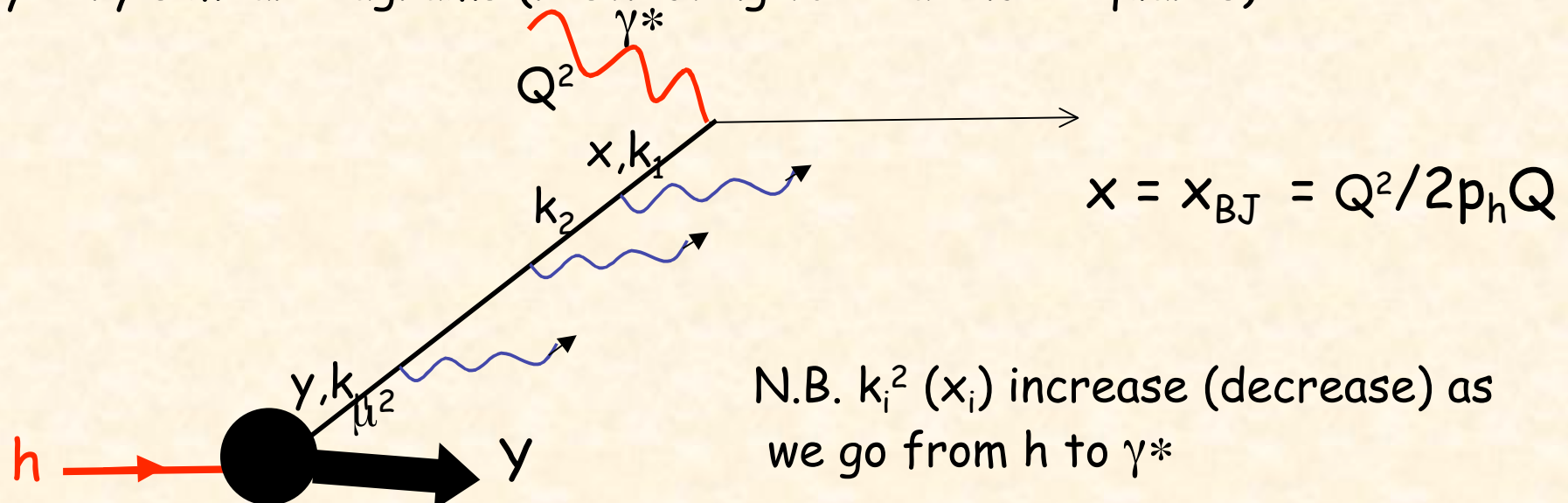
### 3. Deep-inelastic lepton-hadron scattering

- It is relatively easy, at this point, to turn a few lines around and consider, instead of  $e^+ e^- \rightarrow \text{hadrons}$ , deep-inelastic lepton-hadron scattering e.g.  $e^- p \rightarrow e^- + X$  (going against historical order...)

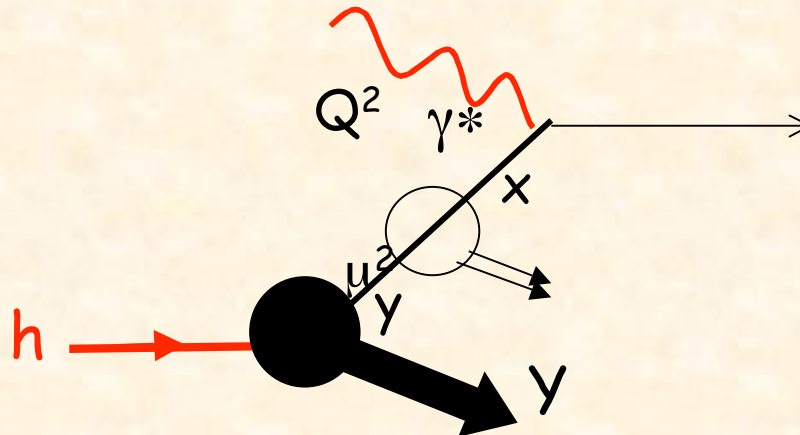


Unfortunately we do not have the DIS analog of  $\sigma_T(e^+ e^- \rightarrow \text{hadrons})$ : we would have to sum over the targets!

This process too has only coll. singularities and is given by very similar diagrams (restricting to « valence » quarks)



$$\sigma(ep \rightarrow e + X)(x, Q^2) = \int_x^1 dy F_h^q(y, \mu^2) \tilde{\rho}_q(x/y, Q^2, \mu^2) \sigma_p \equiv F_h^q(x, Q^2) \sigma_p$$



The evolution equation for F looks very similar to that of the fragmentation function D

$$Q^2 \frac{\partial}{\partial Q^2} F(x, Q^2) = \frac{\alpha(Q^2)}{2\pi} \int_x^1 dz \frac{P(z)}{z} F(x/z, Q^2)$$

i.e. once more the DGLAP equation

We may again consider moments and obtain:

$$F_n(Q^2) = \int_0^1 x^{n-1} F(x, Q^2)$$

$$Q^2 \frac{\partial}{\partial Q^2} F_n(Q^2) = \frac{\alpha(Q^2)}{2\pi} P_n F_n(Q^2) \equiv \gamma_n(Q^2) F_n(Q^2)$$

$$F_n(Q^2) = \exp \left( \int_{\mu^2}^{Q^2} \frac{dk^2}{k^2} \gamma_n(k^2) \right) F_n(\mu^2)$$



## 4. The QCD parton model

### 4.1 Generalization to parton-splitting matrix

$$P_{q \rightarrow q}(z) = \frac{4}{3} \left( \frac{1+z^2}{1-z} \right)_+ \quad P_{q \rightarrow g}(z) = \frac{4}{3} \frac{1+(1-z)^2}{z}$$

$$P_{g \rightarrow q}(z) = \frac{N_f}{2} [z^2 + (1-z)^2] \quad \text{N.B. Singularities at } z=0 \text{ are not regularized, but...}$$

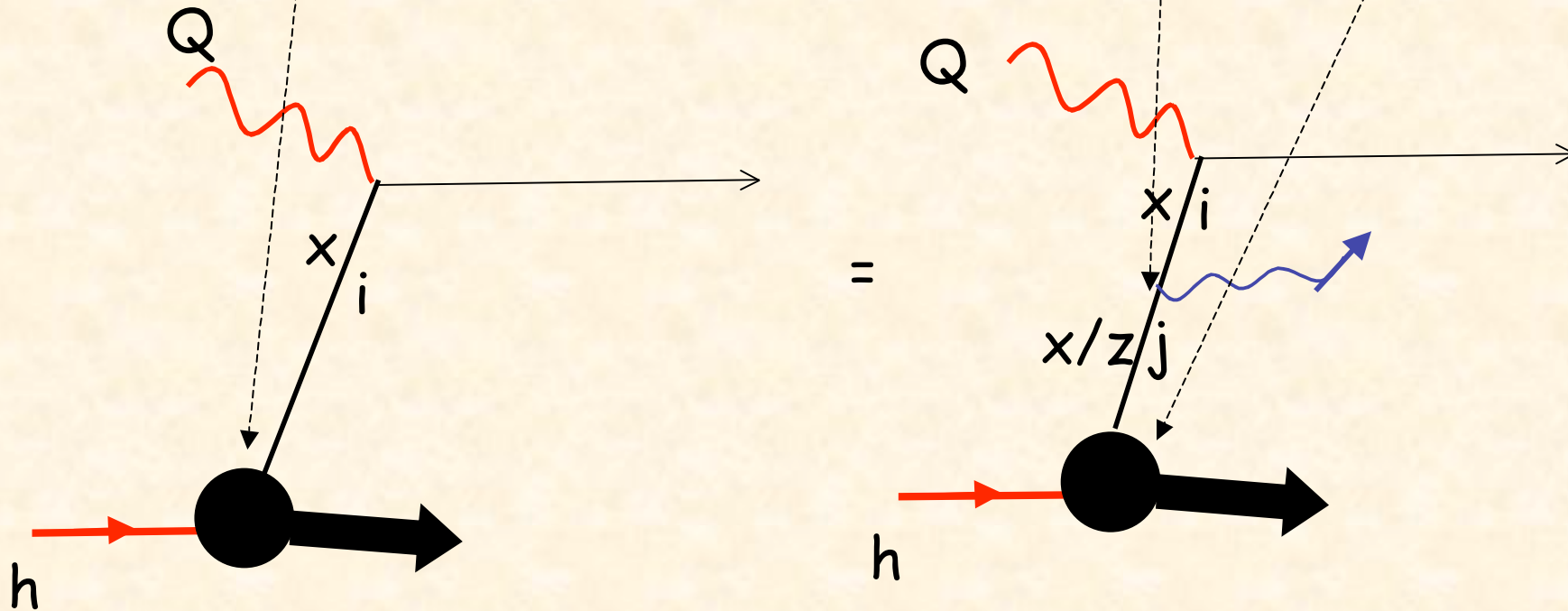
$$P_{g \rightarrow g}(z) = 6 \left[ \frac{1-z}{z} + \left( \frac{z}{1-z} \right)_+ + z(1-z) \right] + 2\pi\beta_0\delta(1-z)$$

where the index + indicates subtraction of a virtual term prop. to  $\delta(z-1)$ . The evolution equation now takes the matrix form:

$$Q^2 \frac{\partial}{\partial Q^2} F_h^i(x, Q^2) = \frac{\alpha(Q^2)}{2\pi} \sum_j \int_x^1 dz \frac{P_{j \rightarrow i}(z)}{z} F_h^j(x/z, Q^2)$$

$$Q^2 \frac{\partial}{\partial Q^2} F_h^i(x, Q^2) = \frac{\alpha(Q^2)}{2\pi} \sum_j \int_x^1 dz \frac{P_{j \rightarrow i}(z)}{z} F_h^j(x/z, Q^2)$$

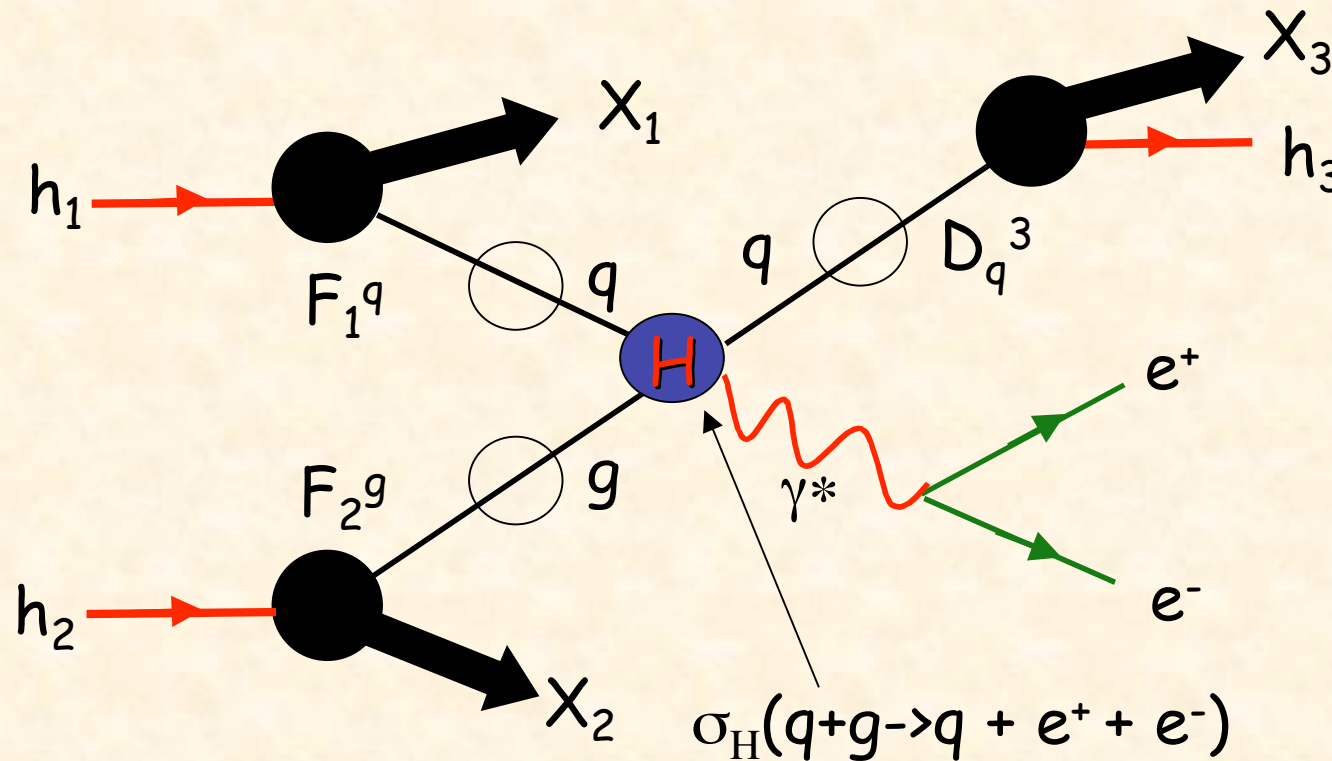
has a simple graphical interpretation:



Using the explicit expressions for the  $P_{i \rightarrow j}$ , one can prove that the  $n=2$  moment of  $\sum_i F_h^i$  is  $Q$ -independent  $\Leftrightarrow$  momentum conservation

## 4.2 Generalization to other processes

At this point it is quite easy to guess the general description of a hard IR-safe process. A « typical » example:



$$\sigma(h_1 + h_2 \rightarrow h_3 + e^+ + e^- + X) = \int \int \int F_1^q F_2^g \sigma_H D_q^3$$

## Summarizing about the QCD parton model

As a result of **factorization** a very wide class of hard processes can be fully described in terms of **two** kinds of ingredients:

1. Structure functions ( $F_h^i$  also called PDF's) and fragmentation functions ( $D_i^h$ ) which are:
  - **uncalculable** in PQCD (but whose scale-dependence is calculable: DGLAP equations)
  - **process independent**
2. Hard parton-level cross-sections which are
  - **process-dependent**
  - **calculable** (as a series expansion in  $\alpha(Q^2)$ )

In Gavin Salam's seminar we shall see how much about PDFs can be extracted from real data using the above properties