

College de France, June 1st, 2016

# BOSE-EINSTEIN CONDENSATION AND SUPERFLUIDITY

Sandro Stringari



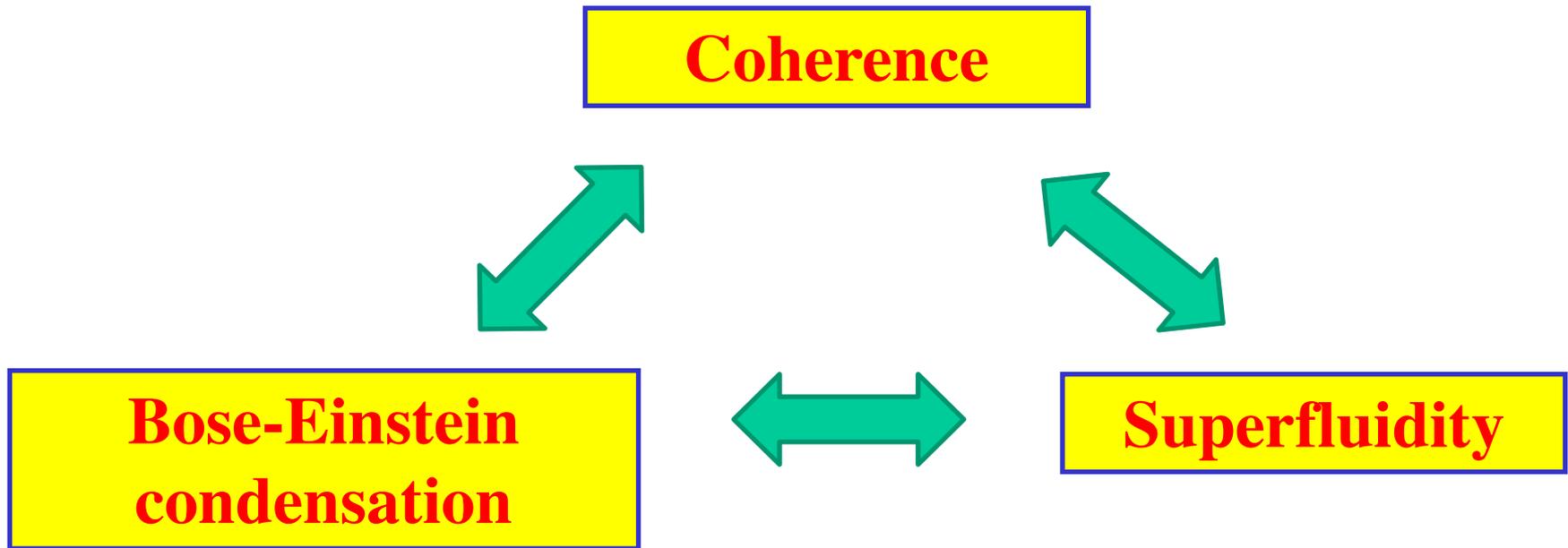
Università di Trento



BEC

CNR-INO

# Quantum gases and fluids: the 'magic' triangle



## This Lecture:

Bose-Einstein Condensation **with** superfluidity  
(liquid He4, 3D Bose and Fermi gases)

Superfluidity **without** Bose-Einstein condensation  
(2D superfluids)

Bose-Einstein Condensation **without** superfluidity ?  
(spin-orbit coupled BECs)

# Definition of Bose-Einstein condensation

**Bose-Einstein condensation** emerges from long range behavior of off-diagonal 1-body density matrix:

$$n^{(1)}(r, r') = \left\langle \hat{\Psi}^+(r) \hat{\Psi}(r') \right\rangle_{r-r' \rightarrow \infty} = |\Phi|^2 \neq 0$$

- It implies macroscopic occupation of single particle state described by complex order parameter  $\Phi = |\Phi| e^{iS}$
- In uniform systems one finds  $n(p) = N_0 \delta(p) + \tilde{n}(p)$  with  $N_0 / N$  condensate fraction. In general momentum distribution exhibits **bimodal structure**
- BEC implies **coherence** phenomena associated with phase of the order parameter (interference of **matter waves**)

**Bose-Einstein condensation can be generalized also to fermionic systems**

Long range behavior of off-diagonal 2-body density matrix defines the pairing field  $F$

$$\lim_{r \rightarrow \infty} \langle \hat{\Psi}_{\uparrow}^+(\vec{r}_2 + \vec{r}) \hat{\Psi}_{\downarrow}^+(\vec{r}_1 + \vec{r}) \hat{\Psi}_{\downarrow}(\vec{r}_1) \hat{\Psi}_{\uparrow}(\vec{r}_2) \rangle = |F(\vec{r}_1, \vec{r}_2)|^2$$

and the condensate fraction of pairs

$$n_{cond} = \frac{1}{n/2} \int d\vec{s} |F(\vec{s})|^2$$

# Definition of superfluid density

**Normal (non superfluid )** density is defined by static response to transverse current operator

$$\frac{\rho_n}{\rho} = Q^{-1} \lim_{q \rightarrow 0} \sum_{m,n} e^{-\beta E_m} \frac{|\langle m | J_x^T(q) | n \rangle|^2}{E_n - E_m} + (q \rightarrow -q)$$

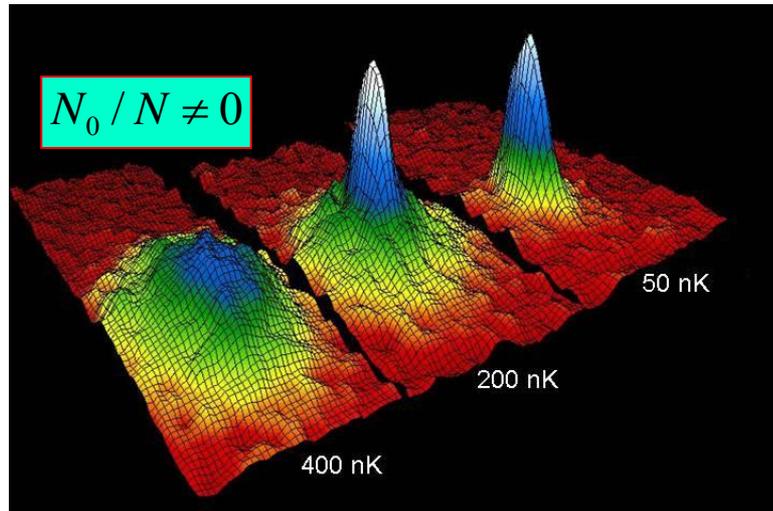
where  $J_x^T(q) = \sum_k p_{k,x} e^{iqy_k}$  is transverse current operator.  
[Equivalent definition for  $\rho_s$  based on phase twist method]

**At T=0** normal density vanishes in Galilean invariant superfluids (liquid Helium, usual BEC and Fermi gases) and **system is fully superfluid.**  
(new surprises in spin-orbit coupled BECs, see later)

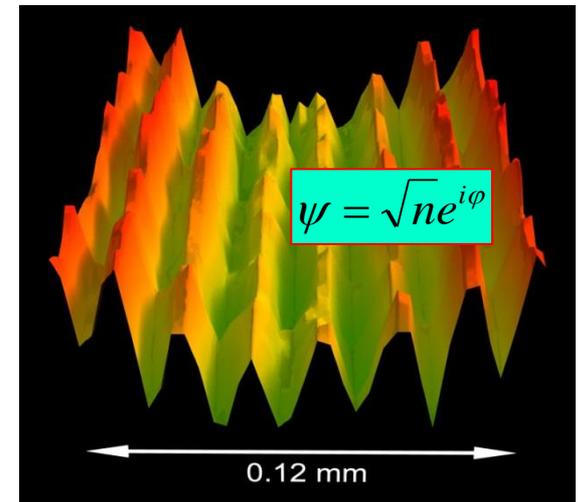
Key difference with respect to **BEC fraction** which is always **different from 1 at T=0** in interacting systems, because of quantum depletion (huge effect in liquid He4)

**Bose-Einstein Condensation **with** superfluidity**  
**(liquid He4, 3D Bose and Fermi gases)**

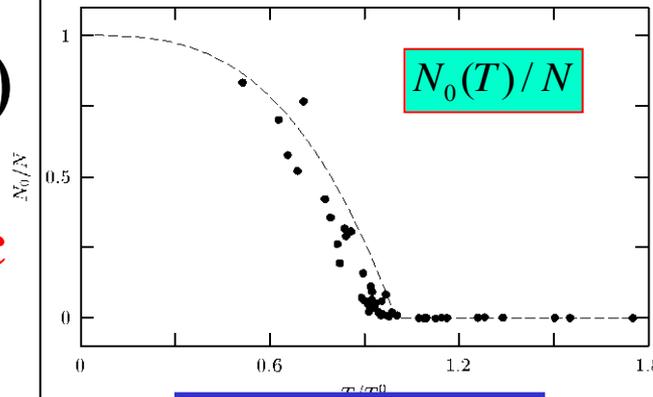
# Measurement of BEC in ultracold atomic gases



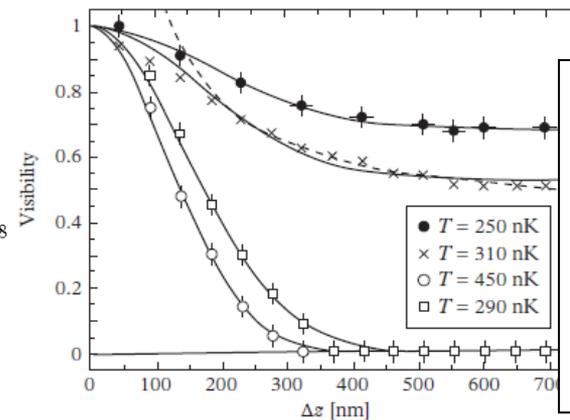
**1996 Mit**  
**coherence +**  
**wave nature**



**1995**  
**(Jila+Mit)**  
**Macroscopic**  
**occupation**  
**of sp state)**



**Phase transition**  
**(Jila 1996)**



**1999**  
**(Bloch et al)**  
**Long range**  
**order**

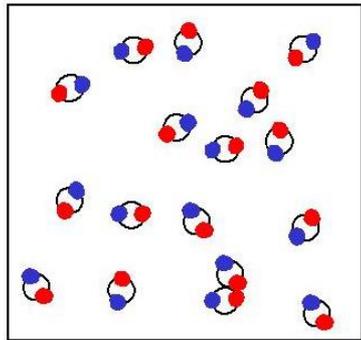
**Bose-Einstein Condensation can be measured also  
in *interacting Fermi gases* with pairing**

# Fermi Superfluidity: the BEC-BCS Crossover

(Eagles, Leggett, Nozieres, Schmitt.Rink, Randeria)

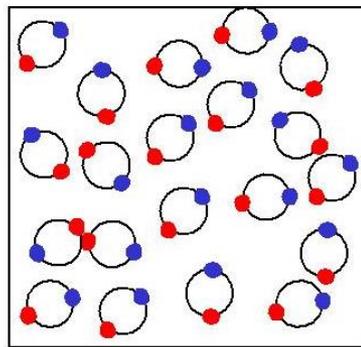
Tuning the scattering length through a **Feshbach resonance**

BEC regime  
(molecules)



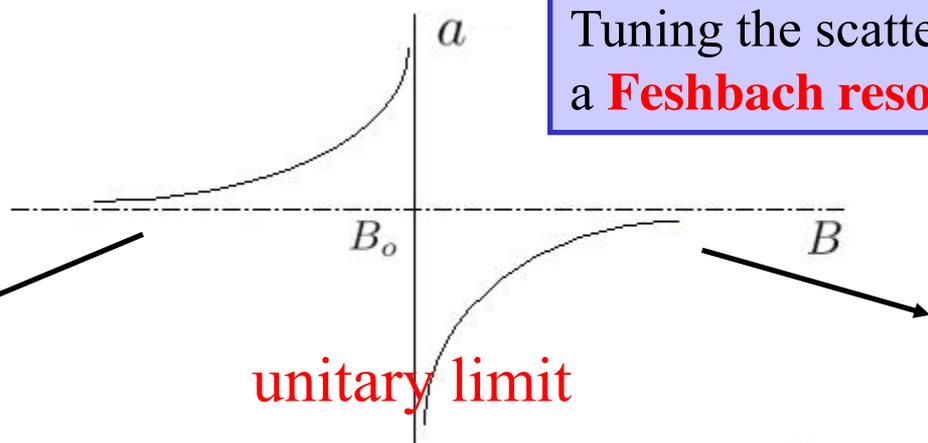
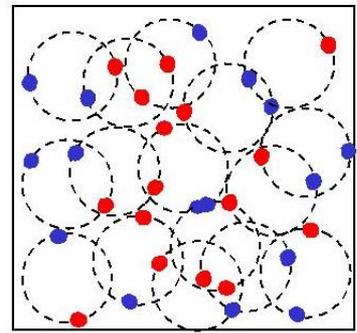
Dilute Bose gas  
(size of molecules much smaller than interparticle distance)

unitary limit

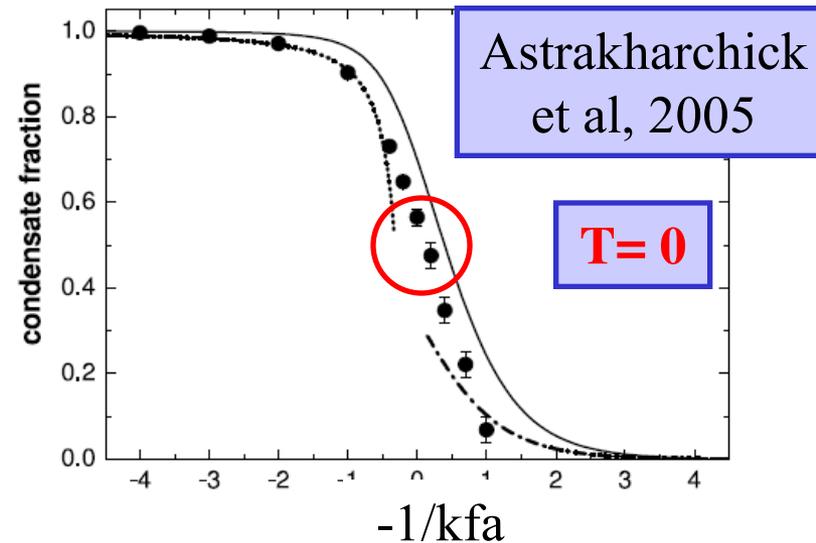
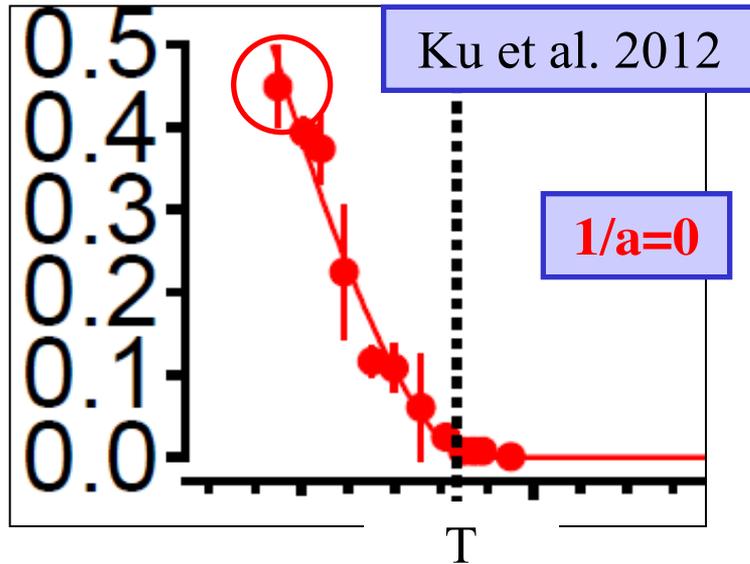


At unitarity scattering length is much larger than interparticle distance: strongly interacting superfluid

BCS regime  
(Cooper pairs)



In a **Fermi gas, Bose-Einstein condensation** of pairs was measured by ramping the scattering length to the BEC side and detecting the resulting bimodal distribution and detecting the resulting bimodal distribution



**Condensation of pairs** measured **at unitarity** ( $1/a=0$ ) as a function of temperature. Only **50%** of pairs are Bose-Einstein condensed at zero temperature (strongly interacting gas). Result agrees with predictions of MC simulations

**What about Bose-Einstein condensation  
in superfluid He 4 ?**

# What about Bose-Einstein condensation in superfluid He 4 ?

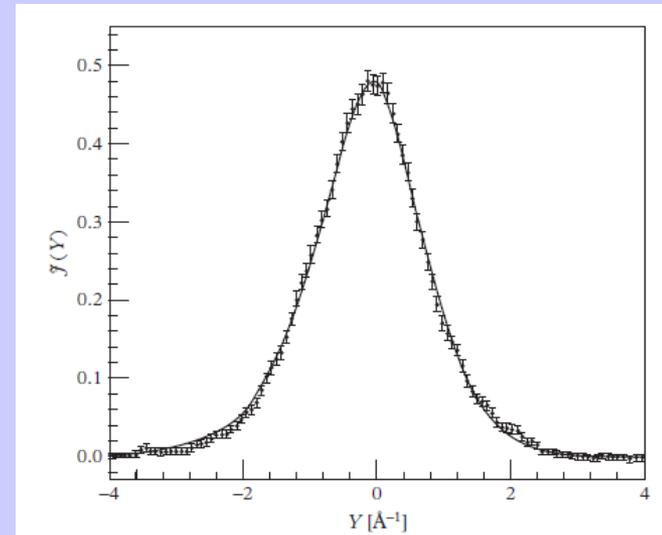
In He4 the condensate fraction is determined from the measurement of momentum distribution via **neutron scattering** at high momentum and energy transfer.

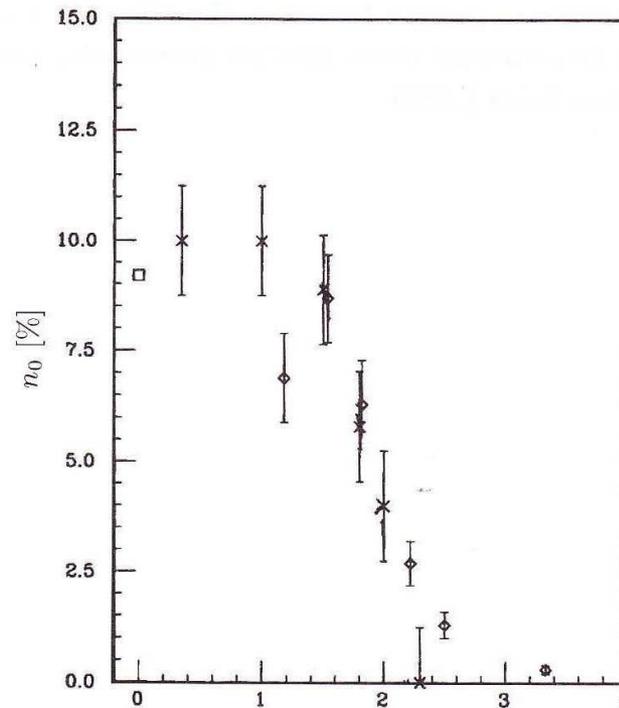
Impulse approximation permits to write the dynamic structure factor in terms of the so called **scaling function J(Y)**

$$S_{IA}(q, \omega) = \int d\vec{p} n(\vec{p}) \delta\left(\omega - \frac{(\vec{p} + \vec{q})^2}{2m} + \frac{\vec{p}^2}{2m}\right) = \frac{m}{q} J(Y) \quad \text{with} \quad Y = \frac{m}{q} \left(\omega - \frac{q^2}{2m}\right)$$

In the presence of BEC the scaling function should exhibit a **delta peak** at  $Y=0$ .

In practice final state interactions and finite resolution effects smooth the behavior of  $J(Y)$ . Sokol et al. were able to extract the condensate fraction from such measurements.





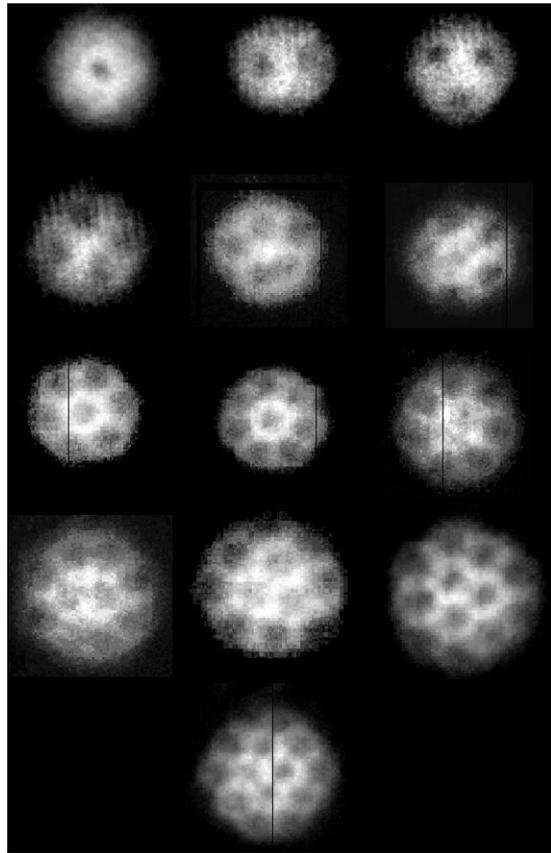
Condensate fraction in superfluid He4.  
**Only 10%** of atoms are condensed **at T=0**

# Measurement of superfluidity

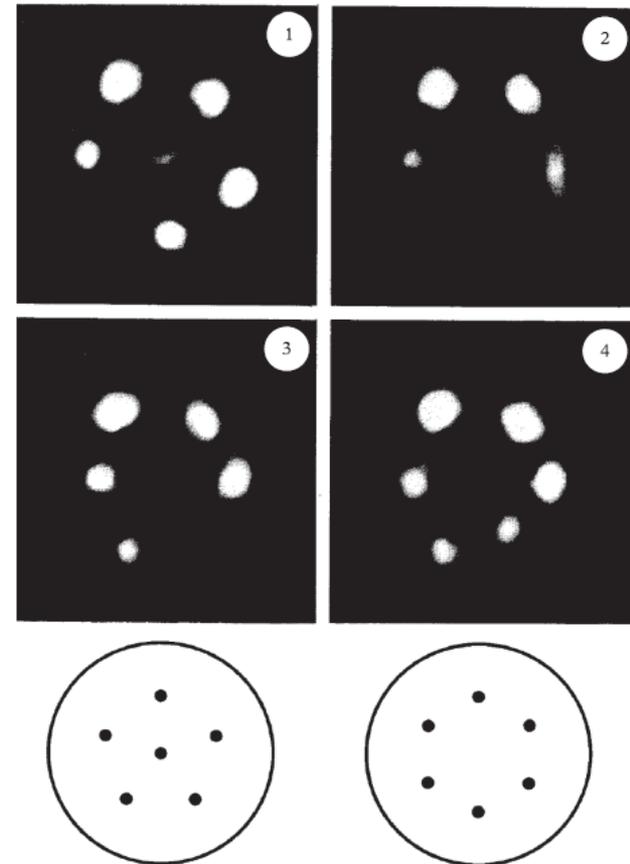
(selection of superfluid phenomena)

- **Quantized vortices and solitons**
- Quenching of **moment of inertia**
- Absence of **viscosity**  
(Landau critical velocity and supercurrents)
- **Lambda transition in specific heat**  
(He4 and Fermi superfluids)
- Hydrodynamic behavior (irrotationality of superfluid flow, collective oscillations, first and **second sound**)

# Quantized Vortices

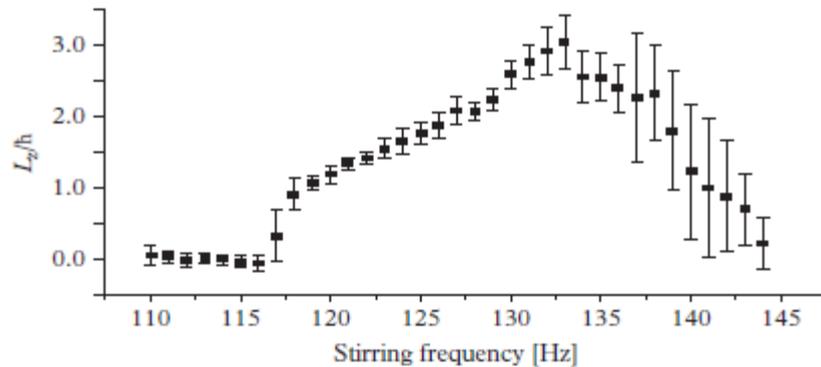


**BEC gas**  
(Chevy et al. 2000)

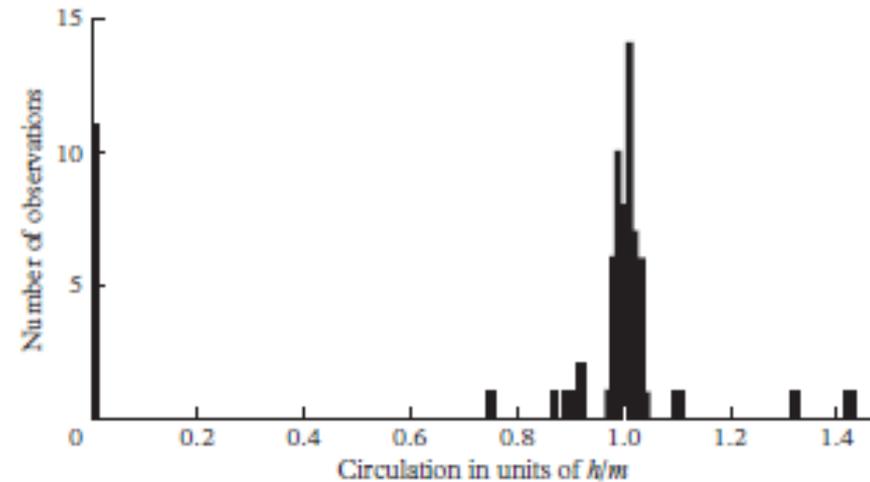


**Liquid He4**  
(Yarmchuck and Packard, 1982)

# Quantization of angular momentum and circulation (quantized vortices)

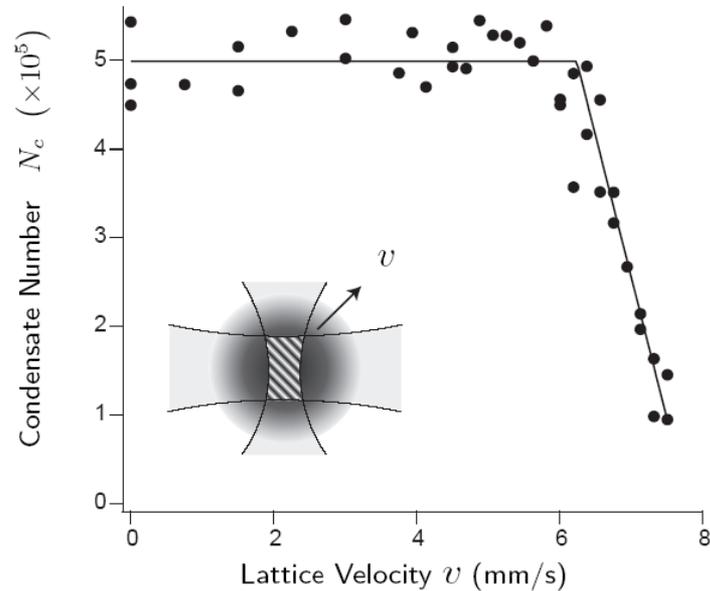


**BEC gas**  
(Chevy et al. 2000)



**Liquid He4**  
(Vinen, 1967)

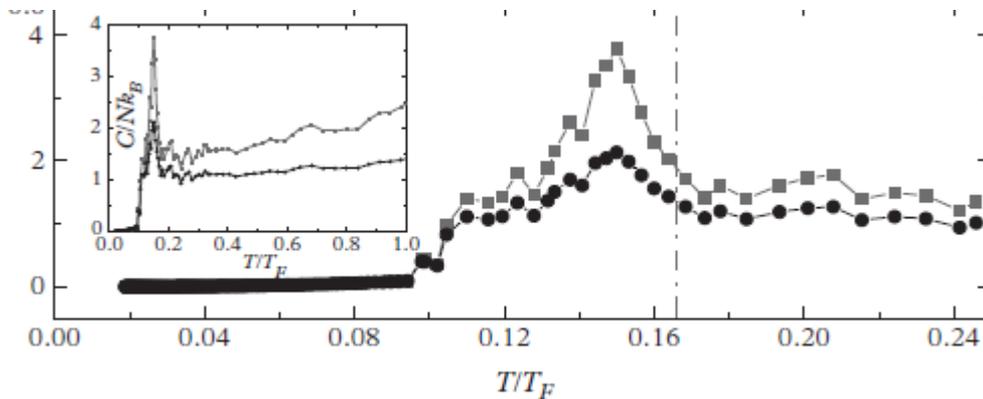
## Absence of viscosity



**Landau's critical velocity  
in Fermi gas at unitarity**  
(MIT, 2007)

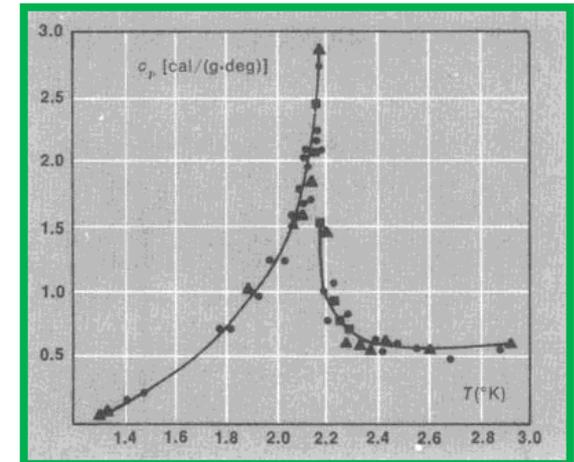
**Fountain effect in Liquid  
He4**  
Kapitza, Allen, Miesener 1937

# Specific heat and Lambda transition: identification of $T_C$



$$T_C = 0.167 T_F$$

**Fermi gas at unitarity  
(High  $T_C$  superfluid)  
(MIT, 2007)**



$$T_C = 2.17 \text{ } ^0K$$

**Superfluid transition in  
liquid He4**

# Can one measure the superfluid density and its temperature dependence ?

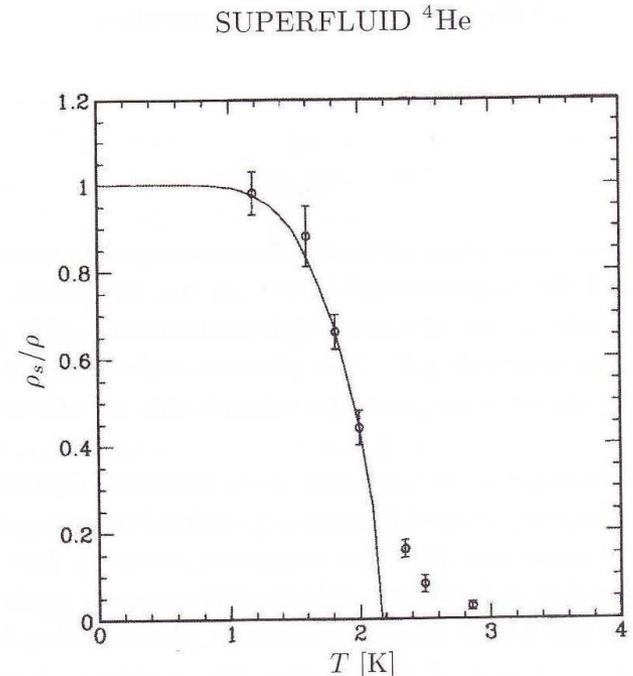
In liquid helium superfluid density is determined through the measurement of the **moment of inertia** (bucket experiment)

$$\frac{\rho_n}{\rho} = \frac{\theta}{\theta_{rig}}$$

and the velocity of **second sound**

$$c_2^2 = \frac{1}{m} \frac{\rho_s T s^2}{\rho_n C_P}$$

Theoretical predictions, based on PIMC calculations provide excellent agreement



Exp: from Dash and Taylor, 1957  
Theory: from Ceperley, 1995

## What about ultra cold atomic gases ?

In dilute **3D Bose gases superfluid density** coincides in practice with **condensate fraction**

Situation is **more interesting in 2D** Bose gases where BEC is absent (see later). T-dependence of superfluid density not yet measured.

In **3D** interacting **Fermi gases (at unitarity)** temperature dependence of superfluid density has been recently measured through the velocity of **second sound** (Innsbruck-Trento collaboration)

# First and second sound in the unitary Fermi gas

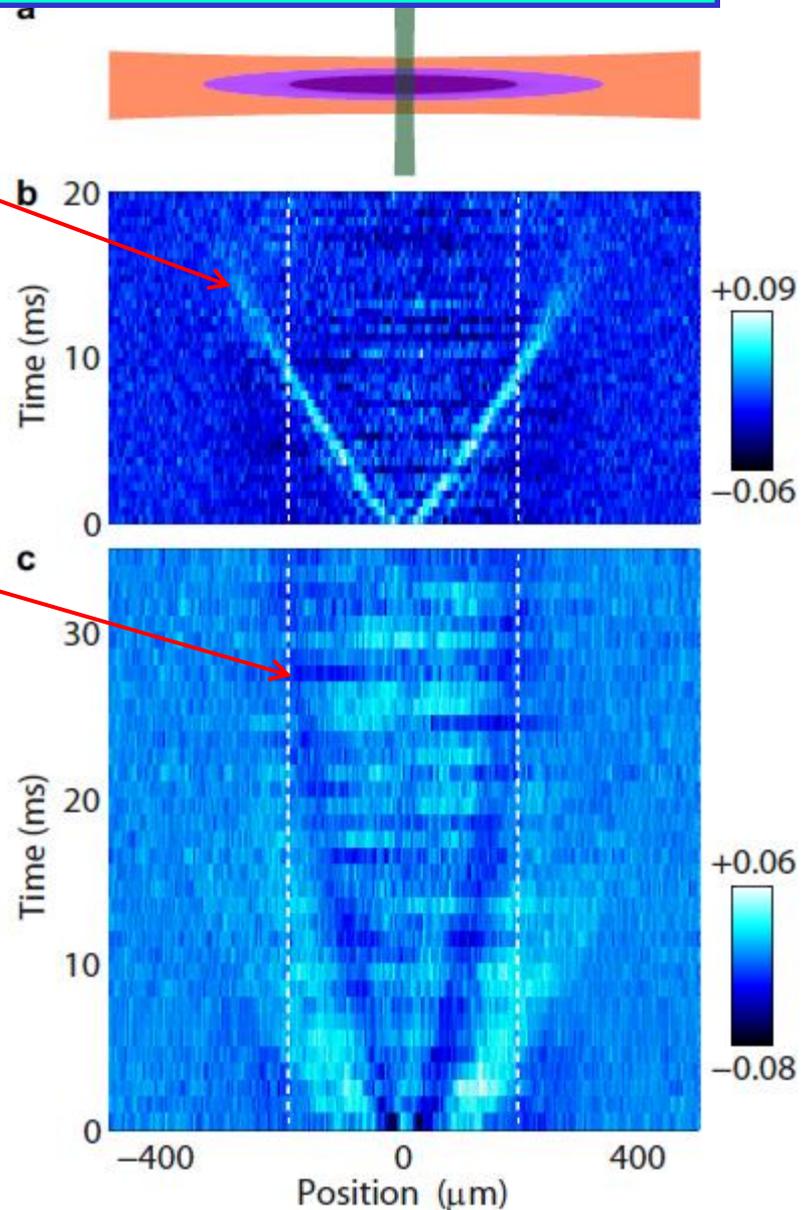
## First sound

propagates also beyond the boundary between the superfluid and the normal parts

## Second sound

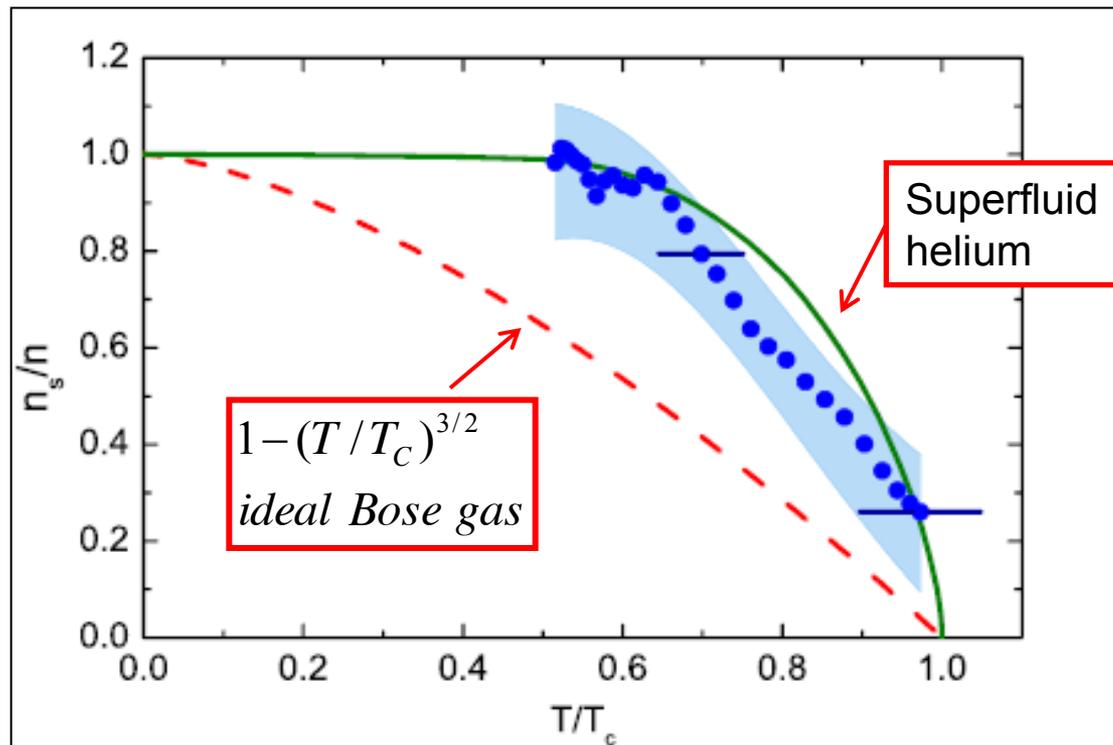
propagates only within the region of co-existence of the super and normal fluids.

Second sound is basically an isobaric wave, but signal is visible because of small, but **finite thermal expansion**.



From measurement of second sound velocity one extracts **temperature dependence of superfluid density** (first measurement in a Fermi superfluid)

Sidorenkov et al., Nature 2013



**Superfluidity *without* Bose-Einstein condensation  
(2D superfluids)**

- In two dimensions **Hohenberg-Mermin-Wagner** theorem rules out long range order (and hence Bose-Einstein condensation) because of thermal fluctuations of the order parameter
- System exhibits algebraic long range order below the critical temperature (**Berezinskii-Kosterlitz-Thouless phase transition**)
- Algebraic long range order is enough to ensure **coherence** and **superfluid** phenomena

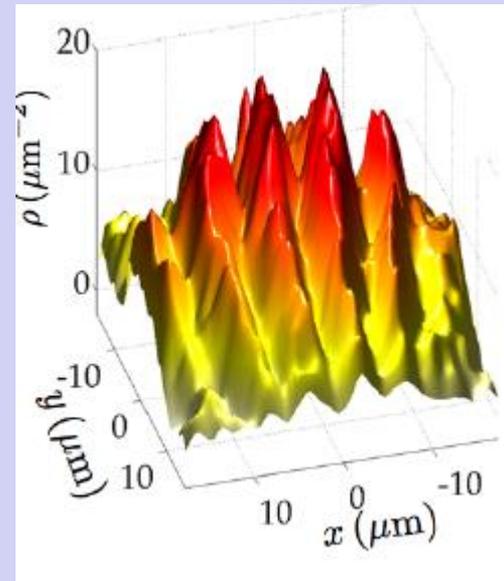
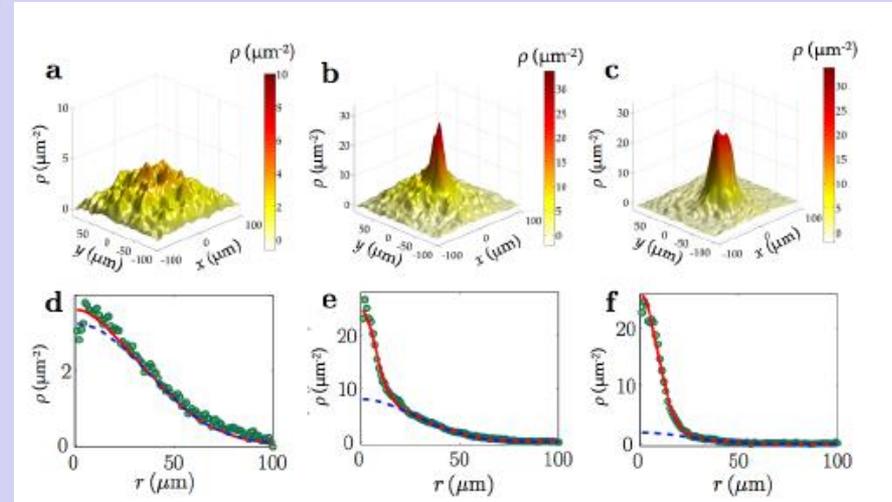
Important relationship between BKT temperature and superfluid density at the transition (Nelson and Kosterlitz, 1977)

$$T_{BKT} = \frac{\pi}{2k_B} \rho_{2S} \frac{\hbar^2}{m^2}$$

- Bimodal velocity distribution and coherence phenomena in uniform 2D Bose gases recently measured at College de France (Chomaz et al. Nature Comm. 2015)

Bose-Einstein condensation is absent, but velocity distribution still exhibits **bimodal distribution**

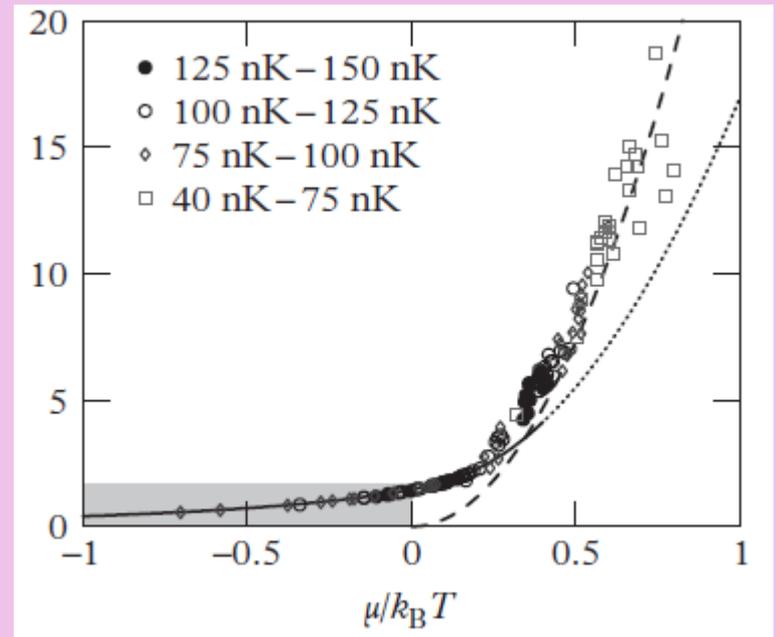
Coherence of two overlapping coplanar 2D Bose gas shows up in **interference fringes**



- Thermodynamic functions of 2D Bose gas were measured at ENS (Yefsah et al., 2011). Excellent agreement with theory (Prokfeev and Svistunov, 2002)

- Reduced pressure  $\lambda_T^2 P_2 / T$

vs dimensionless parameter  $\mu / k_B T$



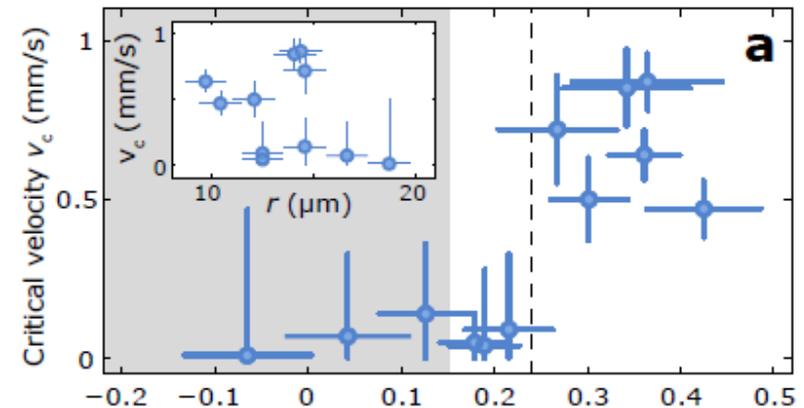
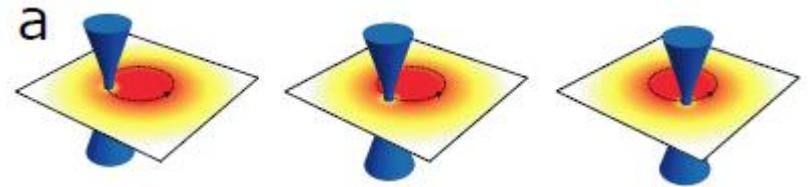
- In 2D thermodynamic functions (including specific heat) do not reveal any specific feature at the BKT temperature
- **Transport properties** are requested in order to measure the **superfluid density**

# Critical velocity across the BKT transition

Desbuquois et al.

Nature Physics 8, 645 (2012)

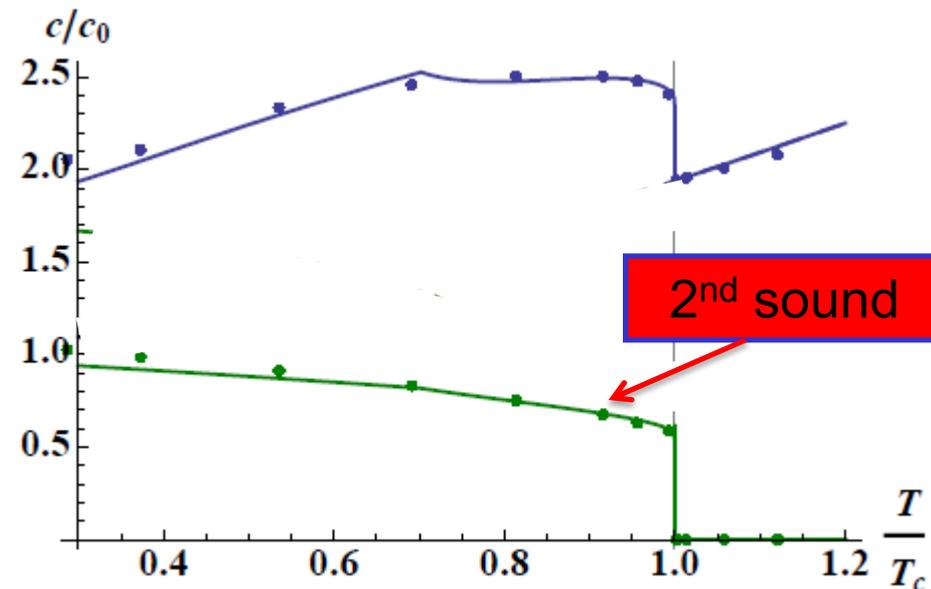
While in the normal phase the Landau's critical velocity is practically zero, below a critical temperature it exhibits a sudden jump to a finite value revealing the occurrence of a phase transition associated with a **jump of the superfluid density**



Measurement of **temperature dependence of superfluid density**, including jump at the BKT transition, could be provided by measurement of second sound velocity

### Key features in 2D

Superfluid density and second sound velocity have discontinuity at the **BKT** transition

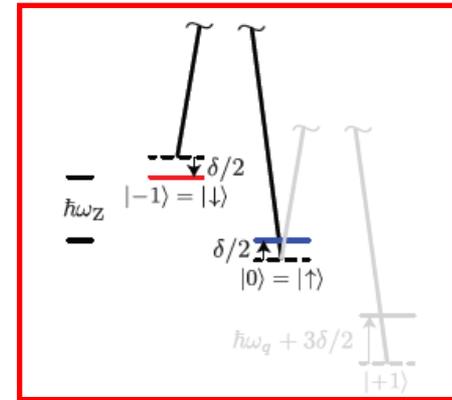


Ozawa and S.S. PRL 2014

**Bose-Einstein Condensation *without* superfluidity ?**

**The case of spin-orbit coupled BEC's**

Simplest realization of (1D) spin-orbit coupling in  $s=1/2$  Bose-Einstein condensates (Spielman, Nist, 2009)



Two detuned and **polarized** laser beams + non linear Zeeman field provide Raman transitions between two spin states, giving rise to new s.p. Hamiltonian

$$h_0 = \frac{1}{2} [(p_x - k_0 \sigma_z)^2 + p_\perp^2] + \frac{1}{2} \Omega \sigma_x$$

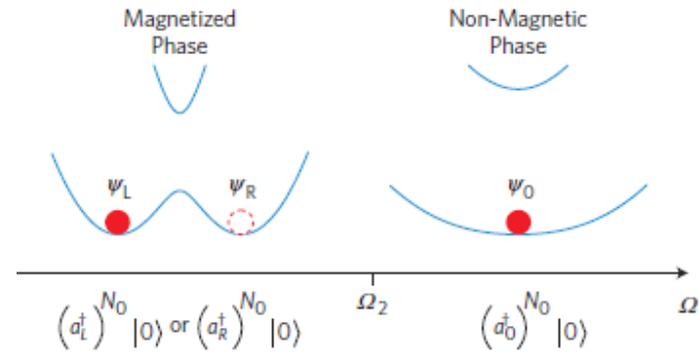
$p_x = -i\hbar \partial_x$  is canonical momentum  
 $k_0$  is laser wave vector difference  
 $\Omega$  is strength of Raman coupling

**Spin orbit Hamiltonian is translationally invariant.**

However it breaks **Galilean** invariance (physical momentum  $P_x = mv_x = (p_x - k_0 \sigma_z)$  does not commute with  $h_0$ )

For small values of  $\Omega$  two sp states can host BEC with canonical momentum

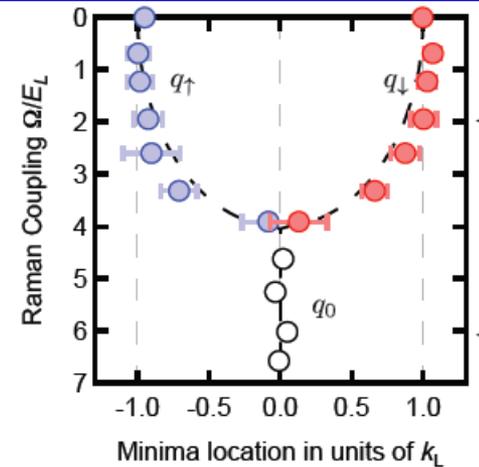
$$k_1 = \pm k_0 \sqrt{1 - \Omega^2 / 4k_0^4}$$



Transition between two phases (plane wave and zero-th momentum phase) is **second order**.

It has been observed at the predicted value of Raman coupling

$$\Omega = \Omega_c = 2k_0^2$$

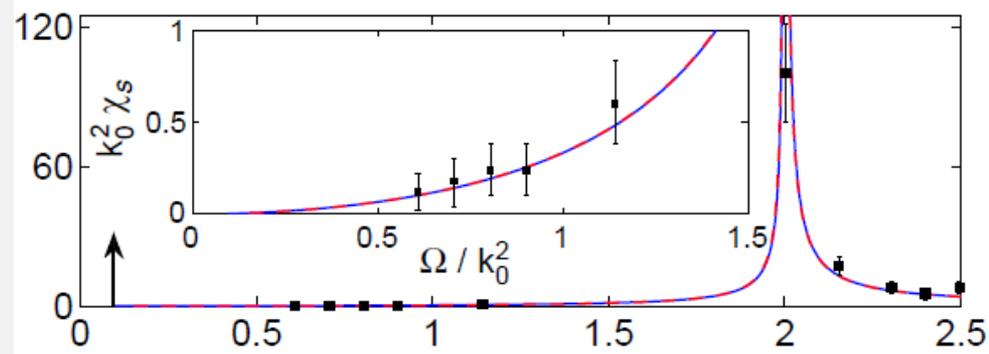


**Spin polarizability diverges**

at the transition

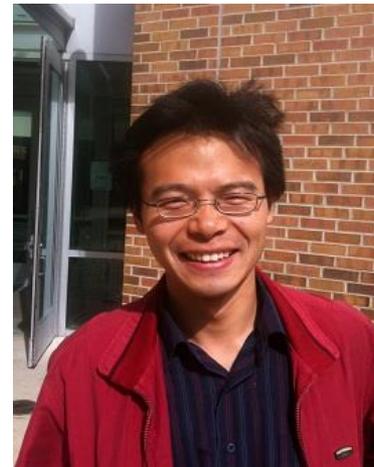
(theory: Martone et al.. EPL 2012)

Exp: Zhang et al. PRL 2012)



# Suppression of superfluidity in SOC Bose-Einstein condensed gases

Collaboration with Lev Pitaevskii (Trento)  
and Shizhong Zhang (Hong Kong)  
Yi-Cai Zhang et al. arXiv: 1605.02136



## To calculate normal density at T=0

$$\frac{\rho_n}{\rho} = \frac{1}{N} \lim_{q \rightarrow 0} \sum_n \frac{|\langle 0 | J_x^T(q) | n \rangle|^2}{E_n - E_0} + (q \rightarrow -q)$$

one needs to know spectrum of elementary excitations

## To calculate normal density at T=0

$$\frac{\rho_n}{\rho} = \frac{1}{N} \lim_{q \rightarrow 0} \sum_n \frac{|\langle 0 | J_x^T(q) | n \rangle|^2}{E_n - E_0} + (q \rightarrow -q)$$

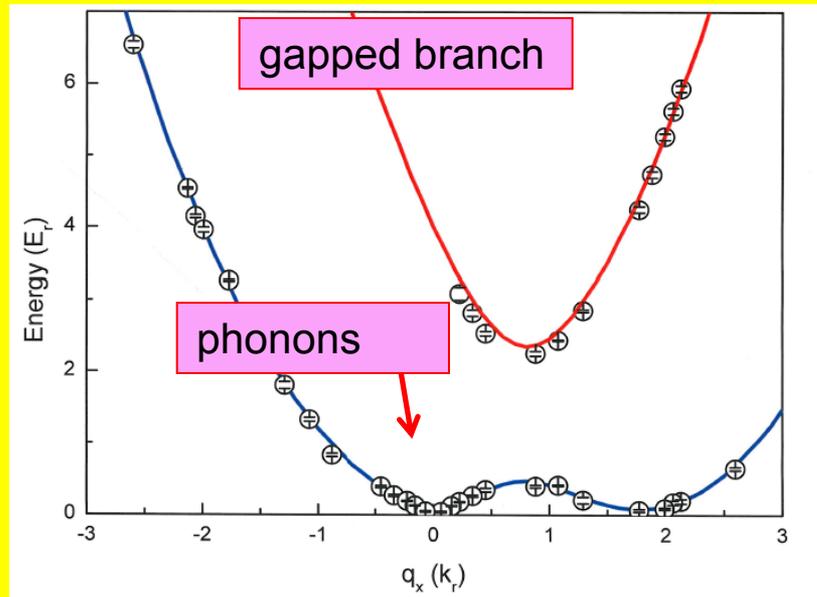
$$J_x^T(q) = \sum_k (p_{k,x} - k_0 \sigma_{k,z}) e^{iqy_k}$$

one needs to know spectrum of elementary excitations

Spinor BEC's exhibit two branches  
in the excitation spectrum

Due to Raman coupling  
**only one branch is gapless**  
and exhibits phonon  
behavior at small q

Exp: Si-Cong Ji et al., PRL 2015;  
Khomehchi et al, PRA 2014  
Theory: Martone et al., PRA 2012



Phonon branch has longitudinal nature  
and cannot contribute to  $\rho_n$

Contribution from gapped branch can be evaluated  
in terms of energy weighted sum rule

$$\begin{aligned}\frac{\rho_n}{\rho} &= \frac{1}{N\Delta^2} \lim_{q \rightarrow 0} \sum_n |\langle 0 | J_x^T(q) | n \rangle|^2 (E_n - E_0) + (q \rightarrow -q) \\ &= \frac{1}{N\Delta^2} \langle 0 | [J_x^T(q=0), [H, J_x^T(q=0)]] | 0 \rangle = -\frac{2k_0^2 \Omega}{\Delta^2} \langle \sigma_x \rangle\end{aligned}$$

$\Delta$  is  $q = 0$  value of energy gap

- Only spin component of current  $J_x^T(q=0) = \sum_k (p_{k,x} - k_0 \sigma_{k,z})$  contributes to energy weighted sum rule (canonical component commutes with H)
- Values of  $\Delta$  and  $\langle \sigma_x \rangle$  are available in both plane wave and zero-momentum phase (Martone et al, PRA2012)

# Results for normal density in plane wave and zero-th momentum phase

Plane wave phase

$$\Omega \leq \Omega_c$$

$$\frac{\rho_n}{\rho} = \frac{k_0^2 \Omega^2}{4(k_0^2 - 2G_2)^3 + 2G_2 \Omega^2}$$

Zero-momentum phase

$$\Omega \geq \Omega_c$$

$$\frac{\rho_n}{\rho} = \frac{2k_0^2}{\Omega + 4G_2}$$

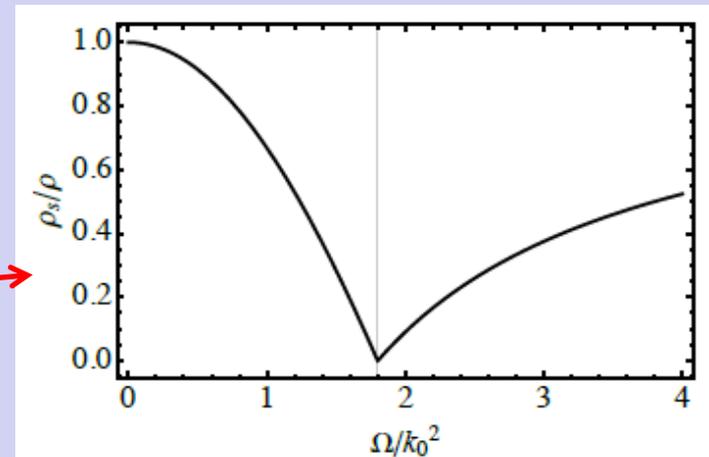
$$\Omega_c = 2(k_0^2 - 2G_2) \quad ; \quad G_2 = n(g - g_{\uparrow\downarrow})/4$$

At the transition

one finds  $\rho_n = \rho$

and superfluid density

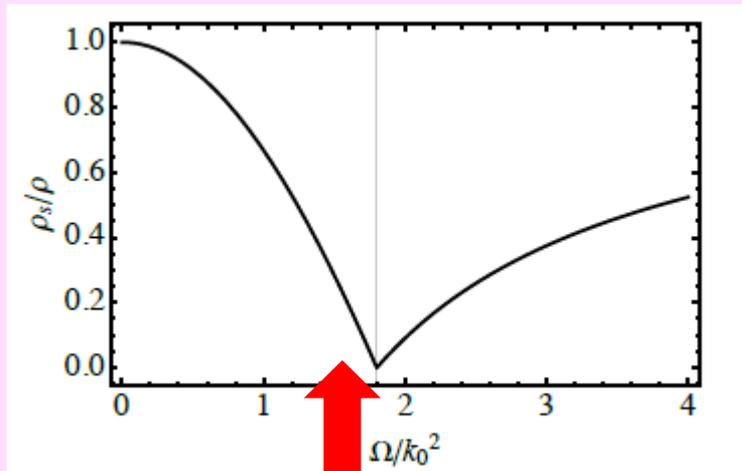
$\rho_s = \rho - \rho_n$  identically vanishes !!



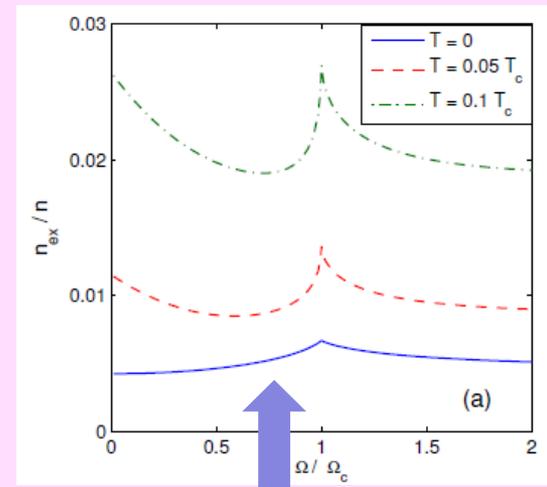
parameters of Rb87

**Superfluid density** is strongly **suppressed** near the phase transition between the plane wave and zero-momentum phase

BEC fraction is instead practically unperturbed (**quantum depletion** always remains **very small in 3D gas**, less than 1%)



Superfluid density  
(present work)



Quantum depletion  
(W. Zheng et al. JPhysB 2013)

At the transition:

**Bose-Einstein condensation without superfluidity !**

Another example where suppression of superfluidity is more important than quenching of BEC.

This is the case of a weakly interacting BEC in the presence of weak disorder. Bogoliubov theory predicts (Huang and Meng, PRL 1992; Giorgini et al. PRB 1994)

$$\frac{\rho_n}{\rho} = \frac{m^2}{6\pi^{3/2}} R_0 (na)^{-1/2} \quad \text{with} \quad R_0 = m^2 \langle |U_k|^2 \rangle / V$$

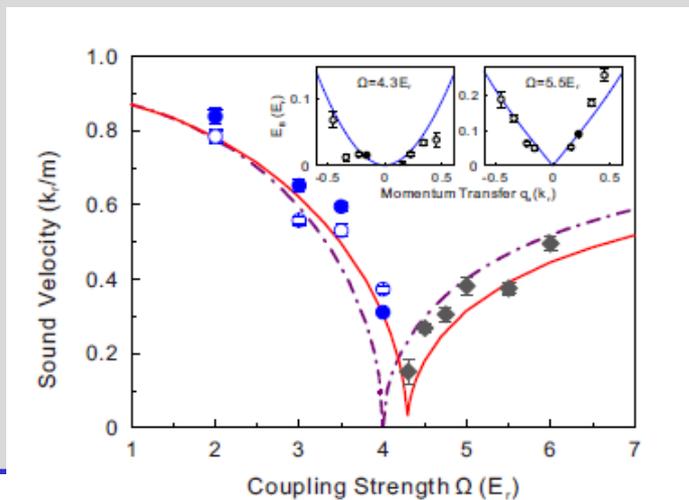
and

$$\frac{\Delta n_0}{n} = \frac{8}{3\pi^{1/2}} (na^3)^{1/2} + \frac{3}{4} \frac{\rho_n}{\rho}$$

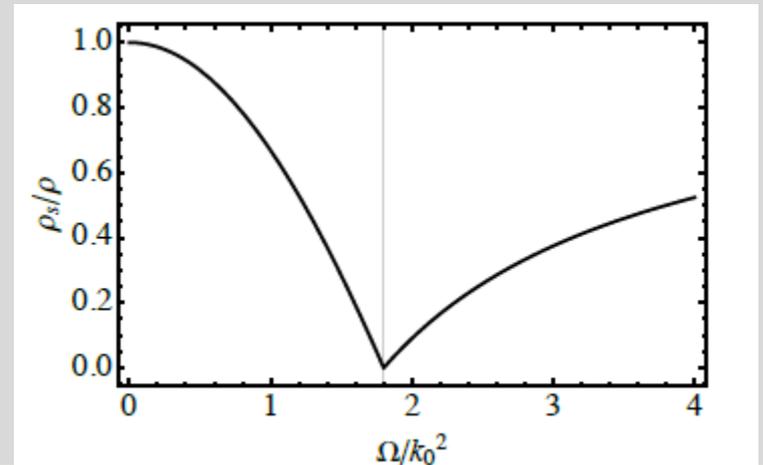
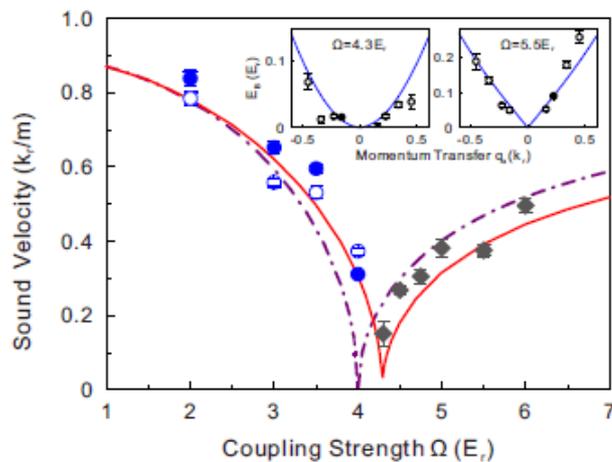
Because of factor  $3/4$   $\frac{\rho - \rho_s}{\rho} > \frac{\Delta n_0}{n}$  if  $a$  is sufficiently small.

CAN WE MEASURE  $\rho_s$  ?

- **f-sum rule analysis.** Differently from Galilean invariant systems, f-sum rule is not exhausted by phonon branch.
- Contribution from **upper** branch is given by  $Nq^2 \rho_n / \rho$   
Contribution from **phonon** branch is then  $Nq^2 \rho_s / \rho$
- One then finds expression  $\rho_s = \rho c^2 \kappa$  for superfluidity density
- In a **Galilean invariant** system  $c^2 = \kappa^{-1}$  and hence  $\rho_s = \rho$
- Compressibility  $\kappa$  is not modified by SO coupling.  
**Sound velocity** instead exhibits strong **suppression** near  $\Omega_c$



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**Sound velocity** instead exhibits strong **suppression** near  $\Omega_c$



Vanishing of superfluidity at the transition is consistent with **vanishing of Landau's critical velocity** (caused by vanishing of sound velocity)

$$v_c = \min_p \frac{\varepsilon(p)}{p}$$

Questions for further investigation concern the **moment of inertia** of a spin-orbit coupled BEC

- Can a **BEC rotate** like a **rigid body** ?
- Can the **velocity field** of a spinor BEC **violate** the **irrotationality** constraint fixed by the phase of the order parameter ?

## MAIN CONCLUSIONS

BEC and Superfluidity in ultracold atomic gases are a rich subject of theoretical and experimental research.

They involve novel features in the coherent (interference), topological (vortices, solitons) and dynamic behavior at  $T=0$  as well as at finite temperature (second sound)

BEC and superfluidity concern both Bose and Fermi statistics,

Important features of coherence and superfluidity characterize both 3D and low dimensional systems.

Important consequences on superfluidity caused by the breaking of Galilean invariance in spin-orbit coupled BECs

# The Trento BEC team



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