# Global well-posedness and decay for the viscous surface wave problem without surface tension

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Introduction

- Formulation of the problem
- History and motivation

### Main results

- Overview
- Discussion of Beale's non-decay theorem



- Difficulties
- Two-tier nonlinear energy method
- Particulars

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### The viscous surface wave problem

We consider:

- A viscous fluid of finite depth in 3D (the ocean)
- Lower boundary is fixed (the solid ocean floor)
- Upper boundary is a free surface where the fluid meets the air (surface waves)
- Air is constant pressure, zero viscous forcing
- Uniform gravitational field
- No surface tension

### Main features

- Fluid evolves according to the incompressible Navier-Stokes equations: nonlinear system of PDEs
- The domain in which the fluid evolves is an unknown in the problem: free boundary problem
- Geometric evolution for the boundary (hyperbolic) is coupled to the nonlinear PDE for fluid (parabolic)
- Potential for nasty singularities in boundary geometry: self-intersections, topology changes, ...

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# Singularities





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Wave breaking Spray Because of these singularities, it is reasonable to only expect global-in-time (strong) solutions to exist for small initial data. Singularity formation verified recently by Castro-Cordoba-Fefferman-Gancedo-Gomez-Serrano and Coutand-Shkoller.

# Cartoons of our configurations (cross-sections)



### Fluid domain and unknowns

The moving domain has a free surface given as the graph of the unknown function  $\eta: \Sigma \times \mathbb{R}^+ \to \mathbb{R}$ , where  $\Sigma = \mathbb{R}^2$  or  $\mathbb{T}^2$ :

• 
$$\Omega(t) = \{ y \in \Sigma \times \mathbb{R} \mid -b(y_1, y_2) < y_3 < \eta(y_1, y_2, t) \}$$

- $b \in (0,\infty)$  is constant in the infinite case ( $\Sigma = \mathbb{R}^2$ )
- $0 < b \in C^\infty(\mathbb{T}^2)$  in the periodic case  $(\Sigma = \mathbb{T}^2)$

For each  $t \ge 0$  the fluid is described by

- velocity  $u(\cdot, t) : \Omega(t) \to \mathbb{R}^3$
- pressure  $p(\cdot, t) : \Omega(t) \to \mathbb{R}$

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Incompressible Navier-Stokes in  $\Omega(t)$ :

$$\begin{cases} \partial_t u + u \cdot \nabla u + \nabla p = \mu \Delta u - g e_3 \\ \text{div } u = 0 \end{cases}$$

• 
$$(\boldsymbol{u}\cdot\nabla\boldsymbol{u})_i=\boldsymbol{u}_j\partial_j\boldsymbol{u}_i$$

- $\mu > 0$  is the viscosity = fluid friction = dissipation mechanism
- g > 0 is the gravitational constant
- div *u* = 0 means that volume is preserved along the flow

Continuity of normal stress on the free surface,  $\{y_3 = \eta(y_1, y_2, t)\}$ :

$$(pl - \mu \mathbb{D}(u))
u = p_{atm}
u$$

- *p<sub>atm</sub>* is the constant atmospheric pressure
- I is the 3 × 3 identity matrix
- $\nu$  is the unit normal to  $\{y_3 = \eta(y_1, y_2, t)\}$
- $(\mathbb{D}u)_{ij} = \partial_i u_j + \partial_j u_i$  is the symmetric gradient
- $S(p, u) = (pI \mu \mathbb{D}(u))$  is the stress tensor

Surface is advected with the fluid on  $\{y_3 = \eta(y_1, y_2, t)\}$ :

$$\partial_t \eta + \mathbf{U}_1 \partial_{\mathbf{y}_1} \eta + \mathbf{U}_2 \partial_{\mathbf{y}_2} \eta = \mathbf{U}_3$$

- Kinematic transport equation: free boundary is defined by where the fluid is
- No dissipation mechanism

No-slip BCs on 
$$\{y_3 = -b(y_1, y_2)\}$$
:

### Required by viscosity

Initial data

$$\begin{cases} u(t=0) = u_0\\ \eta(t=0) = \eta_0, \end{cases}$$

• Enforce compatibility conditions (ignore for now)

### Full problem

Make change of pressure p → p + gy<sub>3</sub> - p<sub>atm</sub> to shift forcing to the boundary

Then  $(u, p, \eta)$  satisfy:

$$\begin{cases} \partial_t u + u \cdot \nabla u + \nabla p = \mu \Delta u & \text{in } \Omega(t) \\ \text{div } u = 0 & \text{in } \Omega(t) \\ \partial_t \eta = u_3 - u_1 \partial_{y_1} \eta - u_2 \partial_{y_2} \eta & \text{on } \{y_3 = \eta(y_1, y_2, t)\} \\ (pl - \mu \mathbb{D}(u))\nu = g \eta \nu & \text{on } \{y_3 = \eta(y_1, y_2, t)\} \\ u = 0 & \text{on } \{y_3 = -b(y_1, y_2)\} \\ u(t = 0) = u_0, \eta(t = 0) = \eta_0. \end{cases}$$

### Natural energy structure

The problem possesses a natural energy structure:

$$\frac{d}{dt}\left(\underbrace{\frac{1}{2}\int_{\Omega(t)}|u(t)|^{2}+\frac{g}{2}\int_{\Sigma}|\eta(t)|^{2}}_{\text{energy }\mathcal{E}}\right)+\underbrace{\frac{\mu}{2}\int_{\Omega(t)}|\mathbb{D}u(t)|^{2}}_{\text{dissipation }\mathcal{D}}=0.$$

- On one hand, g > 0 gives a priori control of  $\eta$
- On the other hand, it seems to obstruct decay...

### Decay info

In a fixed domain without gravity:

$$\frac{d}{dt}\underbrace{\left(\frac{1}{2}\int_{\Omega}|u(t)|^{2}\right)}_{\mathcal{E}}+\underbrace{\frac{\mu}{2}\int_{\Omega}|\mathbb{D}u(t)|^{2}}_{\mathcal{D}}=0.$$

 $C\mathcal{E} \leq \mathcal{D}$  via Korn's inequality  $\Rightarrow \partial_t \mathcal{E} + C\mathcal{E} \leq 0$  $\Rightarrow \mathcal{E}(t) \leq e^{-Ct} \mathcal{E}(0).$ 

### Decay info

In a moving domain with gravity, we can prove

$$\frac{C}{2}\int_{\Omega(t)}|u(t)|^2\leq \frac{\mu}{2}\int_{\Omega(t)}|\mathbb{D}u(t)|^2$$

if we have some uniform control of the geometry of  $\Omega(t)$ , but at best

$$\mathcal{C} \|\eta\|_{H^{-1/2}(\Sigma)}^2 \leq \mathcal{D},$$

so

$$\mathcal{CE} \nleq \mathcal{D} \Rightarrow \text{ decay is not clear.}$$

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# Beale's non-decay theorem, part 1

Beale ('81) proves three theorems for the infinite problem

Theorem (Local well-posedness) For  $u_0 \in H^{r-1}$  with  $r \in (3, 7/2)$ , there exists a unique solution  $u \in H^0((0, T); H^r) \cap H^{r/2}((0, T); H^0)$ 

with  $T = T(||u_0||_r) > 0$ .

### Theorem (Large-but-finite-time well-posedness)

For all T > 0 there exists  $\delta = \delta(T) > 0$  so that if  $||u_0||_r < \delta$ , then there exists a unique soln on (0, T). Also, solutions are analytic in the data.

### Beale's non-decay theorem, part 2

Given these, one might expect GWP + decay, but...

Theorem (No global well-posedness and decay)

There exists an initial surface  $\zeta$  so that there cannot exist a curve of global-in-time solutions,  $(u(\varepsilon), p(\varepsilon), \eta(\varepsilon))$  for  $\varepsilon$  near 0, so that (among other things)

$$\begin{cases} \eta_0(\varepsilon) = \varepsilon \zeta + O(\varepsilon^2), u_0(\varepsilon) = 0\\ u(\varepsilon) \in L^1((0,\infty); H^r) \text{ for } r \in (3,7/2),\\ \lim_{t \to \infty} \eta(\varepsilon, t) = 0 \text{ in } H^{r-1/2}. \end{cases}$$

- Proof is a reductio ad absurdum that critically uses specially chosen properties of ζ.
- Beale notes that the theorem does not preclude GWP + decay, but rather indicates that such a result must follow from different hypotheses.

# Surface tension results

A way to add stability is to consider the effect of surface tension:

$$(pI - \mu \mathbb{D}u)\nu = g\eta\nu - \sigma H\nu$$

where  $H = \operatorname{div}(\nabla \eta / \sqrt{1 + |\nabla \eta|^2})$  is the mean curvature on the free surface and  $\sigma > 0$  is the surface tension.

- Geometric forcing: like mean curvature flow for the surface, leads to a smoothing of the surface (RHS is now an elliptic operator)
- Beale ('83): small data global well-posedness
- Beale-Nishida ('84): algebraic decay, which is sharp

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# Inviscid, irrotational problem

- If viscosity is neglected (μ = 0) and the fluid is initially irrotational, curl u<sub>0</sub> = 0, then curl u(t) = 0 for t > 0. Hence u = ∇φ for φ harmonic.
- "Surface reformulation" reduces problem to PDE on horizontal cross section (ℝ<sup>2</sup>) only.
- GWP: Wu ('09), Germain-Masmoudi-Shatah ('09)
- With viscosity, irrotationality is impossible: vorticity is generated at the free surface

# Intriguing questions

- Is viscosity alone capable of producing global well-posedness? (Physics: is surface tension required for global stability, or is viscosity alone enough?)
- Oo the solutions decay in time, and if so, in which spaces and at what rate? Which of the assumptions of Beale's non-decay theorem must be violated?

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#### Overview

### Answers

In joint work with Y. Guo, we answer both questions in the affirmative in a trio of papers.

- High regularity local well-posedness, using linear problems in moving domains
- Two-tier energy method: a priori estimates in the infinite case with flat bottom
- Two-tier energy method: a priori estimates in the periodic case with smooth bottom

Consequence: GWP + decay in both cases

# Infinite case - rough statement of theorem, part 1

### Theorem

Let  $\lambda \in (0, 1)$ . Suppose the data  $(u_0, \eta_0)$  satisfy certain compatibility conditions. There exists a  $\kappa > 0$  so that if

$$\|u_0\|_{H^{20}}^2 + \|\eta_0\|_{H^{20+1/2}}^2 + \|\mathcal{I}_{\lambda}u_0\|_{H^0}^2 + \|\mathcal{I}_{\lambda}\eta_0\|_{H^0}^2 < \kappa,$$

then there exists a unique solution  $(u, p, \eta)$  on the interval  $[0, \infty)$  that achieves the initial data. The solution obeys various estimates...

Note:  $\mathcal{I}_{\lambda}$  = horizontal Riesz potential = negative  $\lambda$  horizontal derivatives (more later...)

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# Infinite case - rough statement of theorem, part 2

### Theorem

In particular, we have the decay estimates

$$\begin{split} \sup_{t\geq 0} \left[ (1+t)^{2+\lambda} \left\| u(t) \right\|_{C^2}^2 + (1+t)^{1+\lambda} \left\| u(t) \right\|_{H^2}^2 \right] &\leq C\kappa, \\ \sup_{t\geq 0} \left[ (1+t)^{1+\lambda} \left\| \eta(t) \right\|_{L^{\infty}}^2 + \sum_{j=0}^1 (1+t)^{j+\lambda} \left\| D^j \eta(t) \right\|_{H^0}^2 \right] &\leq C\kappa \end{split}$$

for a universal constant C > 0.

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# Periodic case - rough statement of theorem, part 1

### Theorem

Let  $N \ge 3$  be an integer. Suppose the data  $(u_0, \eta_0)$  satisfy certain compatibility conditions and that  $\eta_0$  satisfies a "zero average condition." There exists a  $0 < \kappa = \kappa(N)$  so that if

$$\|u_0\|_{H^{4N}}^2 + \|\eta_0\|_{H^{4N+1/2}}^2 < \kappa,$$

then there exists a unique solution  $(u, p, \eta)$  on the interval  $[0, \infty)$  that achieves the initial data. The solution obeys various estimates. In particular, we have the decay estimates

$$\sup_{t\geq 0} (1+t)^{4N-8} \left[ \left\| u(t) \right\|_{H^{2N+4}}^2 + \left\| \eta(t) \right\|_{H^{2N+4}}^2 \right] \leq C\kappa$$

for a universal constant C > 0.

#### Overview

### Remarks

- Infinite case: the sharp decay rates with surface tension (Beale-Nishida) correspond to  $\lambda = 1$ , so by taking  $\lambda \approx 1$ , we recover almost the same decay.
- Periodic: by making N larger, we recover arbitrarily fast algebraic decay. This is almost exponential decay. This is in contrast with a result of Nishida-Teramoto-Yoshihara ('04) with surface tension, which proves exponential decay with flat lower bottom.
- Moral: viscosity is the basic decay mechanism, surface tension iust enhances the decay rate, and the rate of decay with ST can "almost" be achieved without it.

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# Avoiding the non-decay theorem

We avoid the hypotheses of Beale's non-decay theorem in three important ways:

- We work in a very different functional framework with higher regularity and more compatibility conditions for the data.
- In the infinite case, our framework does not require that *u* ∈ *L*<sup>1</sup>((0,∞); *H*<sup>2</sup>). Moreover, our decay estimates do not imply this since the best we can do has the *L*<sup>1</sup>((0, *T*); *H*<sup>2</sup>) norm diverging like log *T*.
- In the periodic case, Beale's choice of data,  $\eta_0 = \varepsilon \zeta + O(\varepsilon^2)$ , violates the natural "zero-average condition" for the data.

### Zero average condition, periodic case

• In the periodic case we have

$$rac{d}{dt}\int_{\mathbb{T}^2}\eta=0\Rightarrow\int_{\mathbb{T}^2}\eta(t)=\int_{\mathbb{T}^2}\eta_0$$

Then a necessary condition for the decay  $\eta(t) \to 0$  in  $L^2$  and  $L^{\infty}$  as  $t \to \infty$  is that  $\eta_0$  satisfies the "zero average condition":

$$\int_{\mathbb{T}^2}\eta_0=0$$

It turns out that the properties of ζ require that η<sub>0</sub> = εζ + O(ε<sup>2</sup>) violate this.

 Note: a large class of data can be shifted to force this to be true while maintaining the condition b > 0 (essentially a constraint on the fluid mass to prevent pooling).

### Zero average condition, infinite case

- The condition  $\int_{\mathbb{R}^2} \eta_0 = 0$  need not make sense if  $\eta_0 \in H^k$ .
- Equivalent to  $\hat{\eta}_0(0) = 0$  for  $\hat{\cdot}$  the Fourier transform.
- We enforce a "weak form" of 
   <sup>ˆ</sup>
   <sub>0</sub>(0) = 0 by requiring the Riesz potential 
   <sub>λ</sub>η<sub>0</sub> ∈ L<sup>2</sup> for some λ ∈ (0, 1), where

$$\mathcal{I}_{\lambda}f(x) = \int_{\mathbb{R}^2} \hat{f}(\xi) \left|\xi\right|^{-\lambda} e^{2\pi i x \cdot \xi} d\xi.$$

 Analytic utility = controls low frequency Fourier modes = something like a Poincaré inequality that we get in the periodic case from the zero-average condition. Essential use in interpolation estimates in a priori estimates.

#### Difficulties

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# Sketch of the a priori estimates

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#### Difficulties

### Sketch of principal difficulties, pt. 1

Usual nonlinear energy method runs into some problems:

- Domain moves, so applying derivatives breaks the boundary conditions. Solution: introduce a flattened "geometric" coordinate system that fixes the domain to  $\Omega = \{x \in \mathbb{R}^3 \mid -b < x_3 < 0\}$  (not Lagrangian coordinates).
- The dissipation always fails to control the energy by a 1/2 derivative gap for  $\eta$ . This prevents us from deducing exponential decay from the energy evolution equation. Solution: introduce two tiers of energies / dissipations, one with high regularity and one with low regularity. Use an interpolation argument to compensate for the 1/2 derivative gap in the low energy. This leads to algebraic decay of the low-regularity energy.

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# Sketch of principal difficulties, pt. 2

- The nonlinearity that appears in the high-regularity energy estimates involves more derivatives of the free surface,  $\eta$ , than can be controlled by the high-level energy and dissipation, which breaks the usual energy method. Solution: estimate the highest derivatives of  $\eta$  using the kinematic transport equation.
- Highest derivatives of  $\eta$  grow in time, so it's impossible to close the usual energy method estimates. Solution: use the decay of the low-regularity energy to balance this growth.

Note: in this scheme the existence of global-in-time solutions is predicated on their decay.

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### Two tiers

We define two tiers of energies and dissipations using the natural energy / dissipation structure described earlier. Let  $N \ge 3$  be an integer.

- $\mathcal{E}_H$  and  $\mathcal{D}_H$  high derivatives: 2*N* temporal, 4*N* spatial
- $\mathcal{E}_L$  and  $\mathcal{D}_L$  low derivatives: N + 2 temporal, 2N + 4 spatial
- Parabolic scaling dictates the relation between temporal and spatial derivative counts.
- "Low" is roughly half of "high" with extra +2 to help in Sobolev embeddings.

We get

$$egin{aligned} \mathcal{E}_{H}(t) + \int_{0}^{t} \mathcal{D}_{H}(s) ds \lesssim \mathcal{E}_{H}(0) + \int_{0}^{t} \mathcal{N}_{H}(s) ds \ \partial_{t} \mathcal{E}_{L}(t) + \mathcal{D}_{L}(t) \lesssim \mathcal{N}_{L}(t) \end{aligned}$$

for some nonlinearities  $\mathcal{N}_L$  and  $\mathcal{N}_H$ .

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### Absorbing

Suppose we can estimate the nonlinearities in terms of the dissipations (and data):

$$egin{aligned} &\int_0^t \mathcal{N}_H(olds) dolds \lesssim arepsilon \int_0^t \mathcal{D}_H(olds) dolds + \mathcal{F}_H(0) \ &\mathcal{N}_L(t) \lesssim arepsilon \mathcal{D}_L(t) \end{aligned}$$

for  $\varepsilon > 0$  small and  $\mathcal{F}_H(0)$  some norms of the data at t = 0. Then we can absorb the nonlinear terms into the LHS:

$$egin{aligned} \mathcal{E}_{H}(t) + \int_{0}^{t} \mathcal{D}_{H}(s) ds \lesssim \mathcal{E}_{H}(0) + \mathcal{F}_{H}(0) &:= \mathcal{C}_{0} \ \partial_{t} \mathcal{E}_{L}(t) + \mathcal{C} \mathcal{D}_{L}(t) \leq 0. \end{aligned}$$

### High-level bounds imply low-level decay

It is not true that  $\mathcal{E}_L \leq \mathcal{D}_L$  (1/2 derivative gap persists). However, we can now interpolate and use the high-level bound:

$$\mathcal{E}_L \lesssim \mathcal{E}_H^{\theta} \mathcal{D}_L^{1-\theta} \lesssim \mathcal{C}_0^{\theta} \mathcal{D}_L^{1-\theta}$$

for  $\theta \in (0, 1)$  small (determined by *N* and  $\lambda$ ). Then for  $1/(1 - \theta) = 1 + 1/r$  we have

$$\partial_t \mathcal{E}_L(t) + C \mathcal{D}_L(t) \le 0 \Rightarrow \partial_t \mathcal{E}_L(t) + C(\mathcal{C}_0)(\mathcal{E}_L(t))^{1+1/r} \le 0$$
  
 $\Rightarrow \mathcal{E}_L(t) \lesssim \mathcal{C}_0/(1+t)^r,$ 

and so we get algebraic decay. Note that the decay rate *r* is determined by  $1 - \theta$ , which is ultimately determined by *N* and  $\lambda$ . Only by taking  $\lambda \in (0, 1)$  can we get  $r = 2 + \delta$  for some  $\delta > 0$ .

### Estimates of the nonlinearities

Now we need to justify the estimates of the nonlinearities  $\mathcal{N}_H$  and  $\mathcal{N}_L$ .

Problem 1: *N<sub>L</sub>* involves more derivatives of *η* than can be controlled by *E<sub>L</sub>* or *D<sub>L</sub>*. Solution: interpolate with *E<sub>H</sub>*. We get

 $\mathcal{N}_L \lesssim \mathcal{E}_H^q \mathcal{D}_L$  for some q > 0 $\Rightarrow \mathcal{N}_L \lesssim \varepsilon \mathcal{D}_L$  if  $\mathcal{E}_H$  is small enough.

• Problem 2:  $\mathcal{N}_H$  involves more derivatives of  $\eta$  (4N + 1/2) than can be controlled by  $\mathcal{E}_H$  (4N) or  $\mathcal{D}_H$  (4N - 1/2). We can't interpolate now. Solution: use the kinematic transport equation

$$\partial_t \eta + u_1 \partial_1 \eta + u_2 \partial_2 \eta = u_3$$
  
$$\Rightarrow \partial_t \eta \approx u_3|_{\Sigma} \in H^{4N+1/2} \text{ since } \|u_3|_{\Sigma}\|^2_{4N+1/2} \lesssim \|u\|^2_{4N+1} \lesssim \mathcal{D}_H.$$

### Transport estimate

Define  $\mathcal{F}_H = \|\eta\|_{4N+1/2}^2$ . Then we use a transport estimate for  $\eta$  (Danchin, '05):

$$\sup_{0\leq s\leq t}\mathcal{F}_{H}(s)\leq C\exp\left(C\int_{0}^{t}\sqrt{\mathcal{E}_{L}(s)}ds\right)\left[\mathcal{F}_{H}(0)+t\int_{0}^{t}\mathcal{D}_{H}(s)ds\right].$$

• The RHS can grow exponentially in time unless  $\mathcal{E}_L$  decays like  $1/(1+t)^{2+\delta}$ . Even if  $\mathcal{E}_L$  decays this fast, the RHS still grows linearly in time.

### Estimate of $\mathcal{N}_H$

In order to balance the growth of  $\mathcal{F}_H$ , we have to identify a special structure in the estimate of  $\mathcal{N}_H$ : it always appears in a product  $\mathcal{F}_h \mathcal{E}_L$ , so we can use the decay of  $\mathcal{E}_L$  to balance the growth of  $\mathcal{F}_h$ . Fortunately, this structure is there:

$$\int_0^t \mathcal{N}_{H}(s) ds \lesssim \int_0^t \mathcal{E}_{H}(s)^q \mathcal{D}_{H}(s) ds + \int_0^t \sqrt{\mathcal{D}_{H}(s) \mathcal{E}_{L}(s) \mathcal{F}_{H}(s)} ds$$

for some q > 0.

### Decay at the low level implies bounds at the high level

Since  $\mathcal{E}_L(t)$  decays like  $1/(1+t)^{2+\delta}$  we can get

$$\int_0^t \mathcal{N}_H(s) ds \lesssim \mathcal{F}_H(0) + arepsilon \int_0^t \mathcal{D}_H(s) ds.$$

We then deduce that

$$\mathcal{E}_{H}(t) + \int_{0}^{t} \mathcal{D}_{H}(s) ds \lesssim \mathcal{E}_{H}(0) + \mathcal{F}_{H}(0) = \mathcal{C}_{0}$$

and

$$rac{\mathcal{F}_{\mathcal{H}}(t)}{1+t}\lesssim \mathcal{C}_{0}.$$

### Summary of a priori estimates

- We will build a "total energy" that couples the bounds at the high order to the decay at the low order and the growth of *F<sub>H</sub>*.
- Low order decay estimate  $\Rightarrow$  high order bounds in terms of data.
- High order bounds  $\Rightarrow$  low order decay estimate in terms of data.
- Decay and high bounds  $\Rightarrow$  linear growth estimate for  $\mathcal{F}_H$  in terms of data.

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# Two tiers of energies (rough definition)

We define energies and dissipations for n = 2N and n = N + 2:

$$\begin{split} \mathcal{E}_{n} &= \left\| \mathcal{I}_{\lambda} u \right\|_{H^{0}(\Omega)}^{2} + \sum_{j=0}^{n} \left\| \partial_{t}^{j} u \right\|_{H^{2n-2j}(\Omega)}^{2} + \sum_{j=0}^{n-1} \left\| \partial_{t}^{j} \rho \right\|_{H^{2n-2j-1}(\Omega)}^{2} \\ &+ \left\| \mathcal{I}_{\lambda} \eta \right\|_{H^{0}(\Sigma)}^{2} + \sum_{j=0}^{n} \left\| \partial_{t}^{j} \eta \right\|_{H^{2n-2j}(\Sigma)}^{2} \end{split}$$

$$\begin{aligned} \mathcal{D}_{n} &= \left\| \mathcal{I}_{\lambda} u \right\|_{H^{1}(\Omega)}^{2} + \sum_{j=0}^{n} \left\| \partial_{t}^{j} u \right\|_{H^{2n-2j+1}(\Omega)}^{2} + \left\| \nabla \rho \right\|_{H^{2n-1}(\Omega)}^{2} + \sum_{j=1}^{n-1} \left\| \partial_{t}^{j} \rho \right\|_{H^{2n-2j}(\Omega)}^{2} \\ &+ \left\| D \eta \right\|_{H^{2n-3/2}(\Sigma)}^{2} + \left\| \partial_{t} \eta \right\|_{H^{2n-1/2}(\Sigma)}^{2} + \sum_{j=2}^{n+1} \left\| \partial_{t}^{j} \eta \right\|_{H^{2n-2j+5/2}(\Sigma)}^{2} \end{aligned}$$

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# Total energy norm

- In our interpolation estimates we need N ≥ 5, and for the infinite problem nothing improves for larger N, so we choose N = 5: (2N = 10 temporal, 4N = 20 spatial), (N + 2 = 7 temporal, 2N + 4 = 14 spatial).
- Let's now call  $\mathcal{E}_H = \mathcal{E}_{2N} = \mathcal{E}_{10}$ ,  $\mathcal{E}_L = \mathcal{E}_{N+2} = \mathcal{E}_7$ ,  $\mathcal{F}_H = \mathcal{F}_{10}$ , etc.
- We combine the high and low terms into the total energy we use for our GWP result:

$$\begin{split} \mathcal{G}_{10}(t) &:= \sup_{0 \le s \le t} \mathcal{E}_{10}(s) + \int_0^t \mathcal{D}_{10}(s) dr \\ &+ \sup_{0 \le s \le t} (1+s)^{2+\lambda} \mathcal{E}_7(s) + \sup_{0 \le s \le t} \frac{\mathcal{F}_{10}(s)}{(1+s)}. \end{split}$$

Bounds on G<sub>10</sub>(t) couple the boundedness of high-order norms to the decay of low-order norms.

# Interpolation remark

To close the estimates we need the interpolation estimate:

 $\mathcal{E}_7 \leq \mathcal{E}_{10}^{\theta} \mathcal{D}_7^{1-\theta}$ .

An example estimate:

$$\left\| D^2 \eta \right\|_0^2 \lesssim \left( \left\| \mathcal{I}_{\lambda} \eta \right\|_0^2 \right)^{\theta} \left( \left\| D^3 \eta \right\|_0^2 \right)^{1-\theta}$$
  
with  $\theta = 1/(3+\lambda) \Rightarrow 1/(1-\theta) = 1 + 1/(2+\lambda) \Rightarrow r = 2+\lambda$ .

- Power improves with use of  $\mathcal{I}_{\lambda}$ :  $\lambda > 0$  is necessary for r > 2.
- Proof of full estimate is fairly involved: multi-step bootstrap interpolation (using proper definitions of N + 2 energies, which involve "minimal derivative counts")

# Theorem 1 - A priori estimates

The two-tier nonlinear energy method then works as described before, and we get:

### Theorem

Let  $(u, p, \eta)$  be a solution on (0, T). Then there exists a  $\delta > 0$  so that if  $\mathcal{G}_{10}(T) \leq \delta$ , then

$$G_{10}(T) \leq C \left( \mathcal{E}_{10}(0) + \mathcal{F}_{10}(0) \right)$$

for a constant  $C = C(\lambda, \mu, g, b)$ .

### Theorem 2 – GWP+decay (using LWP)

### Theorem

Fix  $\lambda \in (0, 1)$ . Then there exists a  $\kappa > 0$  so that if  $\mathcal{E}_{10}(0) + \mathcal{F}_{10}(0) \le \kappa$ , then there exists a unique global-in-time solution satisfying

 $\mathcal{G}_{10}(\infty) \leq C\left(\mathcal{E}_{10}(0) + \mathcal{F}_{10}(0)
ight) \leq C\kappa$ 

for a constant  $C = C(\lambda, \mu, g, b)$ . Moreover,

$$\sup_{0 \le t} \left[ (1+t)^{2+\lambda} \|u(t)\|_{C^{2}(\Omega)}^{2} + (1+t)^{1+\lambda} \|u(t)\|_{H^{2}(\Omega)}^{2} \right] \le C\kappa,$$
  
$$\sup_{0 \le t} \left[ (1+t)^{1+\lambda} \|\eta(t)\|_{L^{\infty}(\Sigma)}^{2} + \sum_{j=0}^{1} (1+t)^{j+\lambda} \left\| D^{j}\eta(t) \right\|_{H^{0}(\Sigma)}^{2} \right] \le C\kappa.$$

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# Thank you for your attention!

I. Tice (LAMA)

Decay of viscous surface waves

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