



QIPC

COMMUNICATIONS ET CRYPTOGRAPHIE AVEC DES VARIABLES QUANTIQUES CONTINUES

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- * **Les variables quantiques continues.**
des mesures Quantique Non Destructives
à la cryptographie quantique...
- * **Cryptographie quantique avec des états cohérents (Nature 2003).**
du théorème de Shannon
aux preuves de sécurité inconditionnelle
- * **Manipulation d'états non-gaussiens de la lumière (PRL 2004).**
de l'observation expérimentale d'états non-gaussiens
à la distillation d'intrication et aux inégalités de Bell

* What are quantum optical continuous variables ?

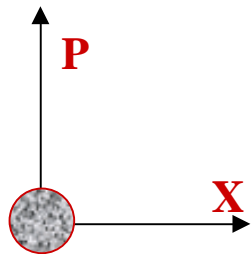
* Quantization of the Electromagnetic field

→ Modes are quantum harmonic oscillators

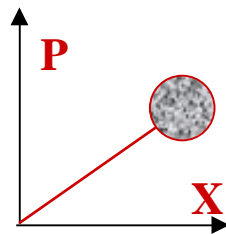
* Discrete degrees of freedom (photon number)

* Continuous degrees of freedom (quadratures = X and P)

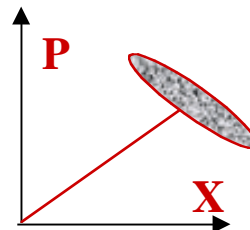
* Convenient representation : phase space



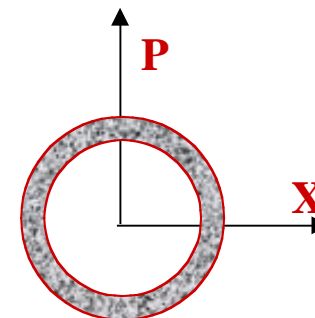
Vacuum state



Coherent state



Squeezed state



Number state

Wigner function : Gaussian

Non-Gaussian !

Homodyne detection

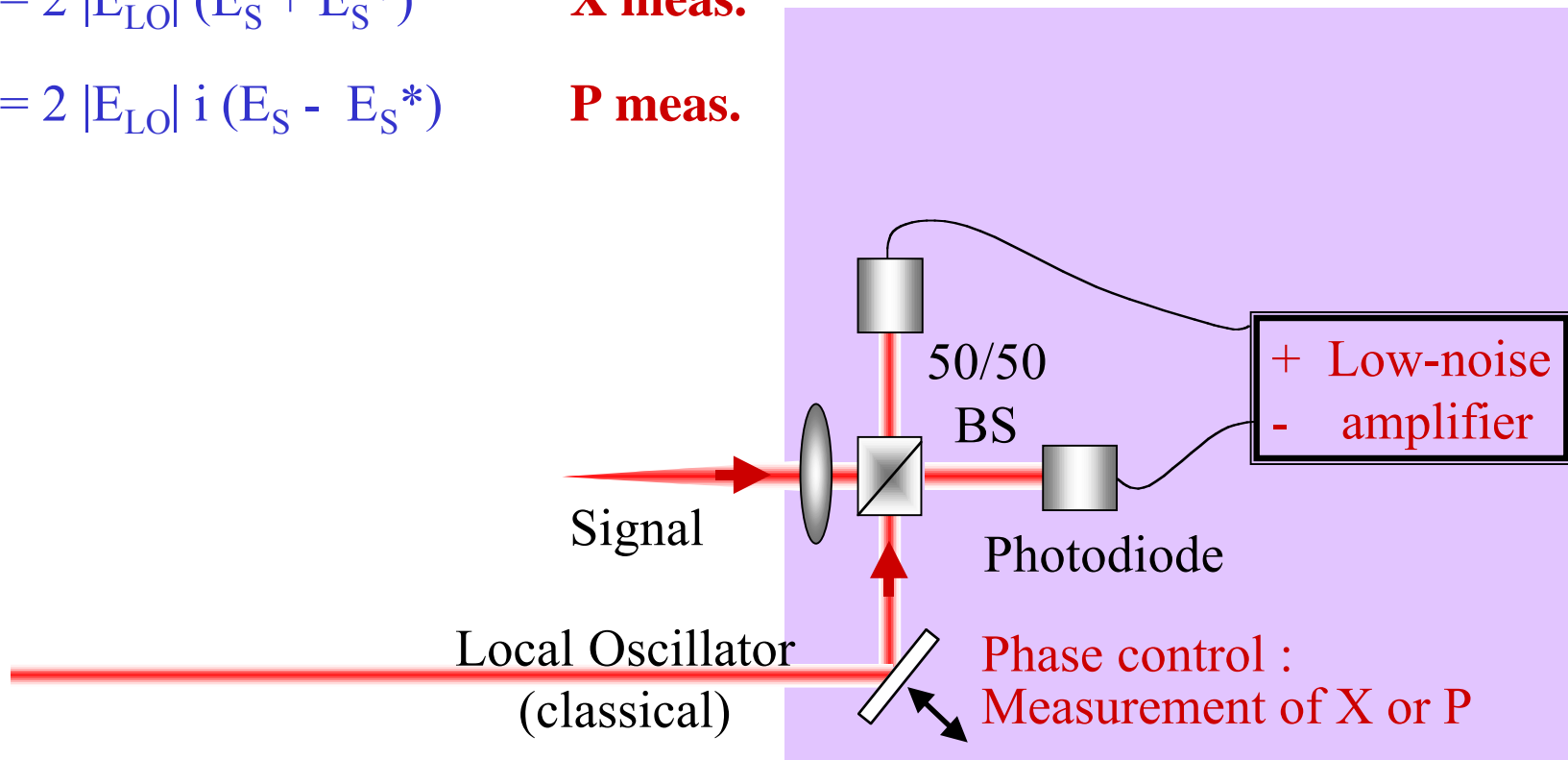
$$I_1 = |E_{LO}|^2 + |E_S|^2 + |E_{LO}| (E_S e^{-i\phi_{LO}} + E_S^* e^{i\phi_{LO}})$$

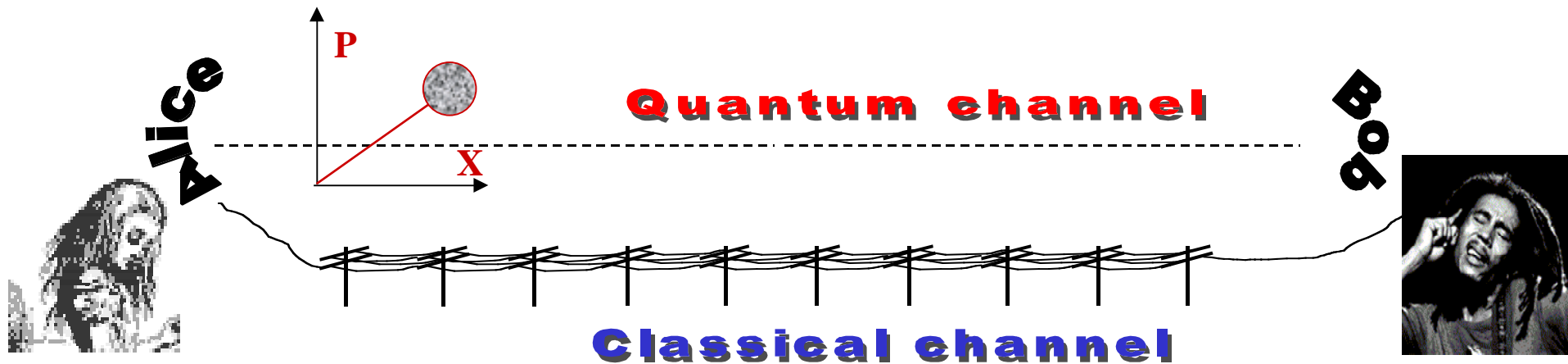
$$I_2 = |E_{LO}|^2 + |E_S|^2 - |E_{LO}| (E_S e^{-i\phi_{LO}} + E_S^* e^{i\phi_{LO}})$$

$$I_1 - I_2 = 2 |E_{LO}| (E_S e^{-i\phi_{LO}} + E_S^* e^{i\phi_{LO}})$$

$$= 2 |E_{LO}| (E_S + E_S^*) \quad \mathbf{X \text{ meas.}}$$

$$= 2 |E_{LO}| i (E_S - E_S^*) \quad \mathbf{P \text{ meas.}}$$





* Essential feature : quantum channel with non-commuting quantum observables
-> not restricted to single photon polarization !

-> **New QKD protocol where :**

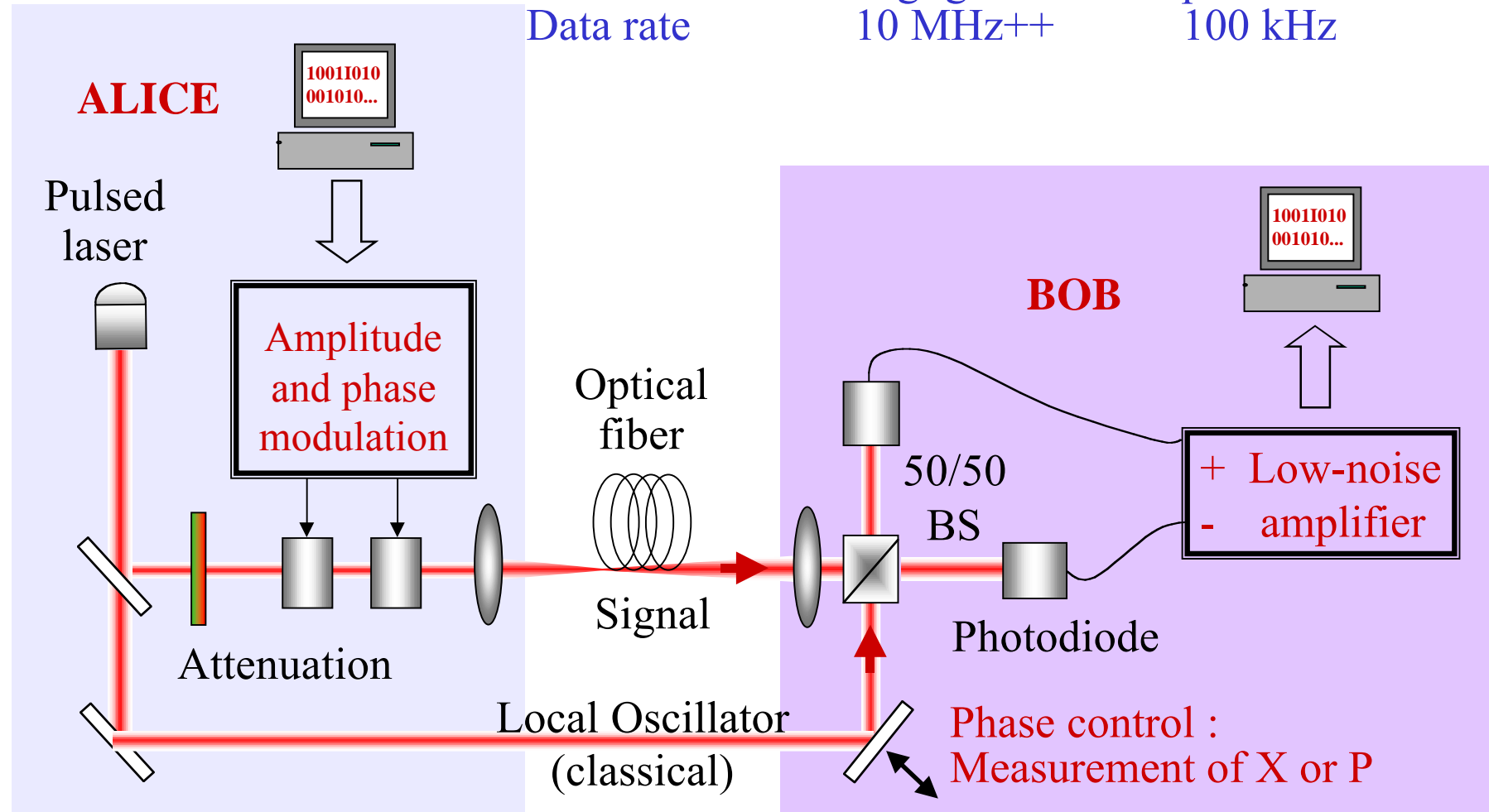
- * The non-commuting observables are the quadrature operators X and P
- * The transmitted light contains weak coherent pulses (about 100 photons)
with a gaussian modulation of amplitude and phase
- * The detection is made using shot-noise limited homodyne detection

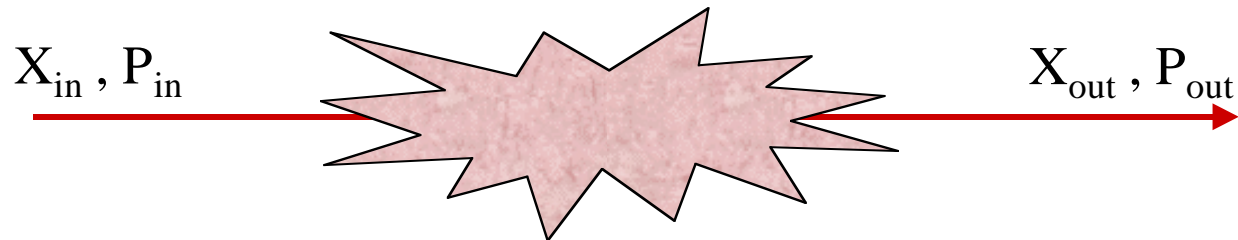
Homodyne detection

Efficiency
Dark rate
Data rate

Homodyne
> 90%
negligible
10 MHz++

Counting (APD)
10-50 %
problem
100 kHz





General Linear Transformation (Heisenberg-Langevin type equations) :

$$\mathbf{X}_{\text{out}} = \mathbf{g}_x \mathbf{X}_{\text{in}} + \mathbf{F}_x \quad \mathbf{P}_{\text{out}} = \mathbf{g}_p \mathbf{P}_{\text{in}} + \mathbf{F}_p$$

Assumption : added noise $\mathbf{F}_x, \mathbf{F}_p$ are uncorrelated with $\mathbf{X}_{\text{in}}, \mathbf{P}_{\text{in}}$

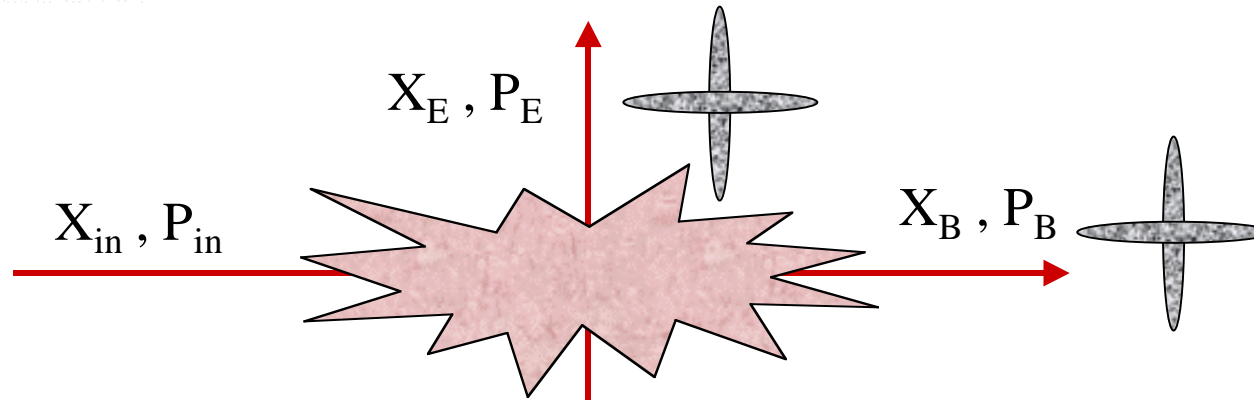
Convenient characterization of the channel :

[see e.g. P. Grangier et al., Nature **396**, 537 (1998)]

- * **Gain parameters** $\mathbf{g}_x, \mathbf{g}_p$
- * **Equivalent Input Noises** (cf electronic amplifiers) :

$$\mathbf{N}_{\text{eq},X} = \langle \mathbf{F}_X^2 \rangle / |\mathbf{g}_x|^2 \quad \mathbf{N}_{\text{eq},P} = \langle \mathbf{F}_P^2 \rangle / |\mathbf{g}_p|^2$$

Linear Transmission Channel



Two outputs denoted as
« Signal » and « Meter »
« Bob » and « Eve »

Heisenberg relations on the equivalent input noises !

$$N_{\text{eqB}, X} N_{\text{eqE}, P} \geq N_0^2$$

$$N_{\text{eqB}, P} N_{\text{eqE}, X} \geq N_0^2$$

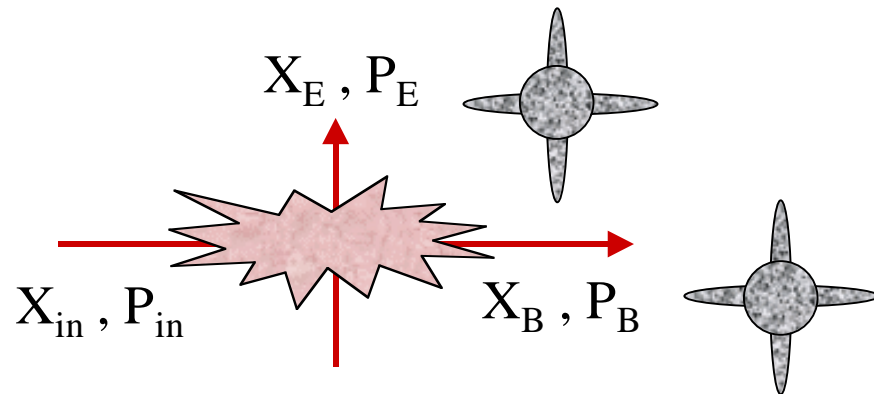
N_0 :
vacuum noise

If Eve tries to measure one quadrature,
then Bob will see strong « back-action noise » on the other quadrature.

...but one can get $N_{\text{eqB}, X} N_{\text{eqE}, X} < N_0^2$

Arbitrarily good measurement of one quadrature is possible for Eve and Bob !

Initially introduced as a « criterion » for a « QND measurement » of X



$$\begin{aligned} N_{\text{eqB}, X} N_{\text{eqE}, P} &\geq N_0^2 \\ N_{\text{eqB}, P} N_{\text{eqE}, X} &\geq N_0^2 \end{aligned}$$

(N_0 : vacuum noise)

Fundamental idea for quantum key distribution:

Alice and Bob encode information on X and P (and don't tell it in advance !)

Then $N_{\text{eqB}, X} = N_{\text{eqB}, P} = N_{\text{eqB}}$ and the best choice for Eve is $N_{\text{eqE}, X} = N_{\text{eqE}, P} = N_{\text{eqE}}$

Since everything is symmetric for X and P then :

$$N_{\text{eqB}} N_{\text{eqE}} \geq N_0^2 \text{ (no-cloning theorem !)}$$

(optimal cloning for QCV : $N_{\text{eqB}} = N_{\text{eqE}} = N_0$)

QKD protocol using coherent states with gaussian amplitude and phase modulation

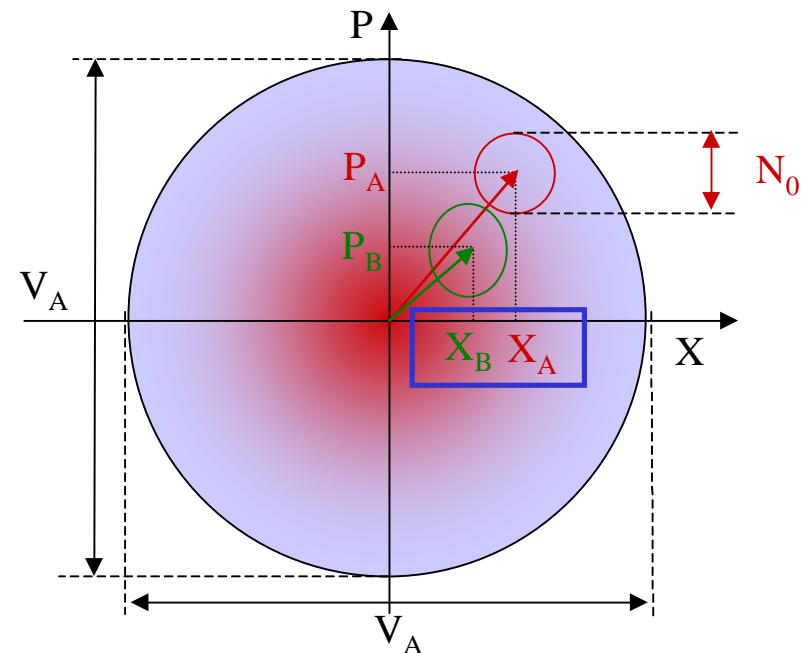
Efficient transmission of information using continuous variables ?

-> Shannon's formula (1948) : the mutual information I_{AB} (unit : bit / symbol) for a gaussian channel with additive noise is given by

$$I_{AB} = 1/2 \log_2 [1 + V(\text{signal}) / V(\text{noise})]$$

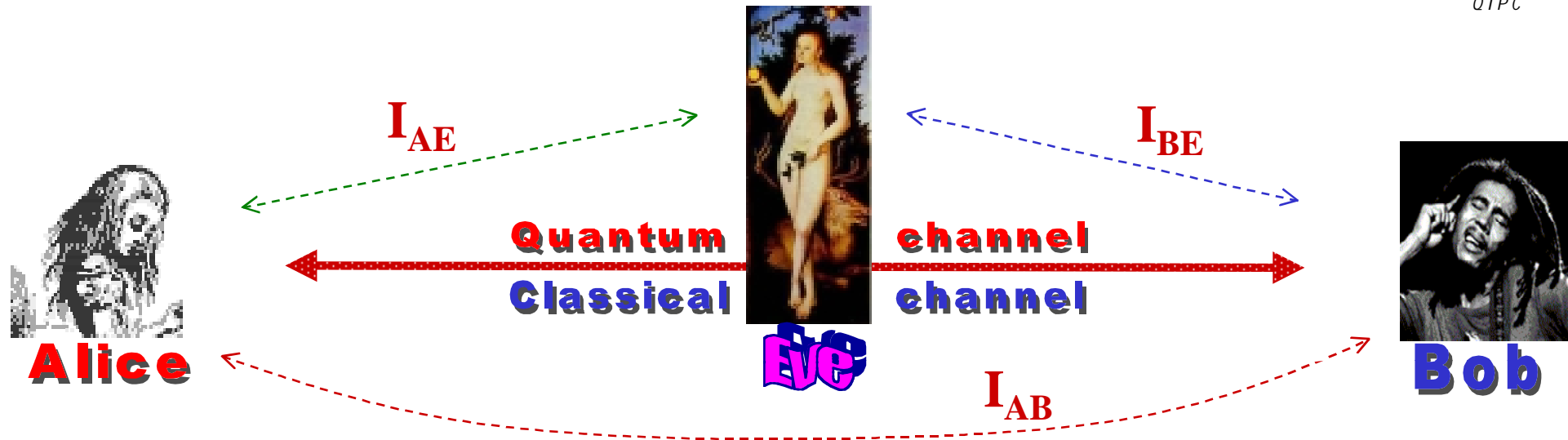
Reminder : $I(X; Y) = H(X) - H(X | Y) = H(Y) - H(Y | X) = H(X) + H(Y) - H(X; Y)$

- (a) Alice chooses X_A and P_A within two random gaussian distributions.
- (b) Alice sends to Bob the coherent state $| X_A + i P_A \rangle$
- (c) Bob measures either X_B or P_B
- (d) Bob and Alice agree on the basis choice (X or P), and keep the relevant values.



Data Reconciliation

how to correct errors, revealing as less as possible to Eve ?

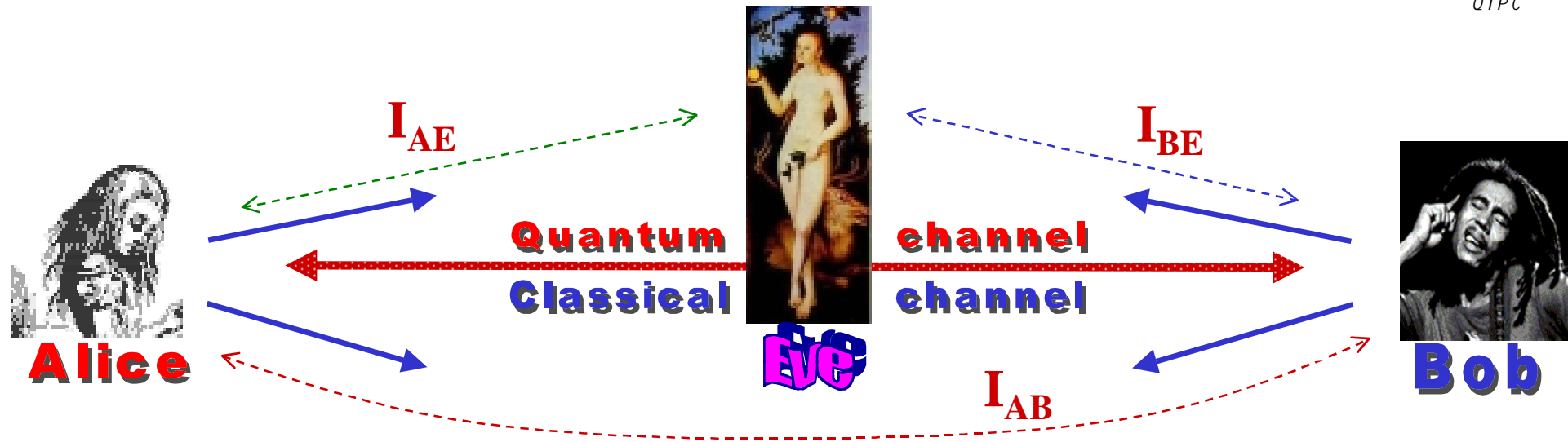


Main idea (Csiszar and Körner 1978, Maurer 1993) :

Alice and Bob can in principle distill, from their correlated key elements, a common secret key of size $S > \sup(I_{AB} - I_{AE}, I_{AB} - I_{BE})$ bits per key element.

Crucial remark : it is enough that I_{AB} is larger than the **smallest** of I_{AE} and I_{BE} (i.e. one has to take the best possible case).

Data Reconciliation



If I_{AE} is the smallest, the reconciliation must keep $S = I_{AB} - I_{AE}$ constant :
 Alice gives correction data to Bob
 (and also to Eve),
 and Bob corrects his data :
 « direct reconciliation protocol »

If I_{BE} is the smallest, the reconciliation must keep $S = I_{AB} - I_{BE}$ constant :
 Bob gives correction data to Alice
 (and also to Eve),
 and Alice corrects his data :
 « reverse reconciliation protocol »

Direct reconciliation

Bounding I_{AE} (F. Grosshans and P. Grangier, *PRL* **88**, 057902 (2002)).

$$I_{AB} = 1/2 \log_2 [1 + V_A / (N_0 + N_{eqB})]$$

$$I_{AE} = 1/2 \log_2 [1 + V_A / (N_0 + N_{eqE})]$$

where

V_A : variance of Alice's modulation

N_0 : shot noise (coherent state)

N_{eqB} : « equivalent input noise » on Bob's side

N_{eqE} : « equivalent input noise » on Eve's side

see e.g. :
P. Grangier et al.,
Nature **396**,
537 (1998).

From Heisenberg $N_{eqB} N_{eqE} \geq N_0^2$ (no cloning !) and thus :

$$I_{AE} \leq 1/2 \log_2 [1 + V_A / (N_0 + N_0^2 / N_{eqB})]$$

$$I_{AB} > (I_{AE})_{best} \quad \text{iff} \quad N_{eqB} < N_0$$

Bounding I_{BE} (F. Grosshans et al., *Nature* **421**, 238 (2003))

How well can Alice and Eve infer Bob's measurement results ?

Define the « conditional variance » $V(X_B | X_E) = V(X_B) - |\langle X_B X_E \rangle|^2 / V(X_E)$

Conditional variances are also bounded by Heisenberg relations :

$$V(X_B | X_A)_{\min} V(P_B | P_E) \geq N_0^2 \quad V(P_B | P_A)_{\min} V(X_B | X_E) \geq N_0^2$$

Using again Shannon's theorem... (and some algebra...)

$$I_{BA} > (I_{BE})_{\text{best}} \quad \text{iff} \quad T^2 (N_0 + N_{\text{eqB}}) (N_0 / V + N_{\text{eqB}}) < N_0^2$$

The security condition involves both T (channel transmission) and N_{eqB}

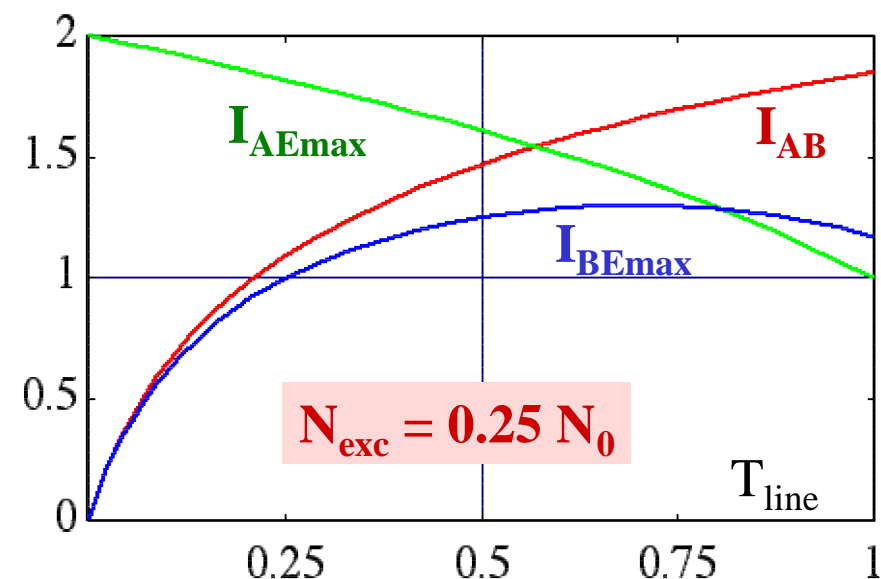
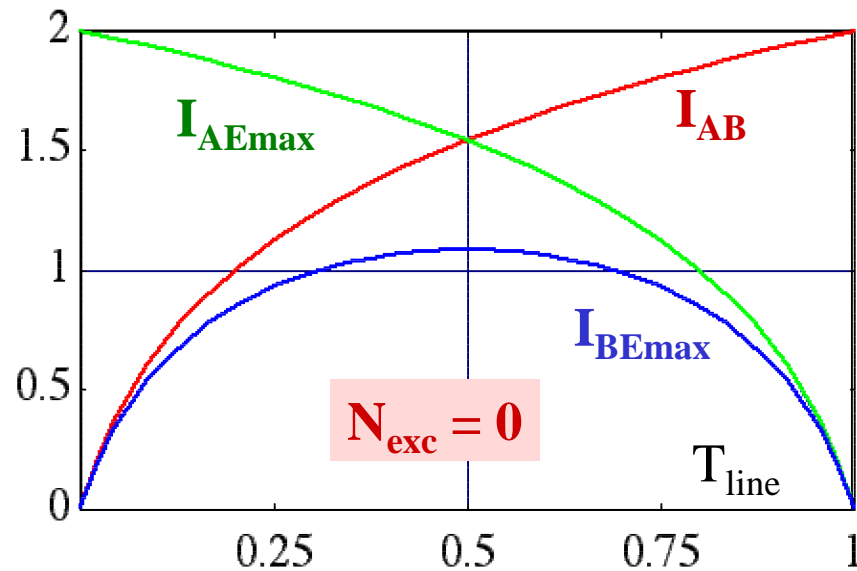
(for direct reconciliation : $N_{\text{eqB}} < N_0$)

Summary on reconciliation protocols

The noise seen by Bob can be split in two parts (known by Alice and Bob !):

$$N_{eqB} = N_{losses} + N_{excess} = N_0 (1 - T_{line}) / T_{line} + N_{exc}$$

Mutual information (bits / symbol) for $V_A = 15 N_0$

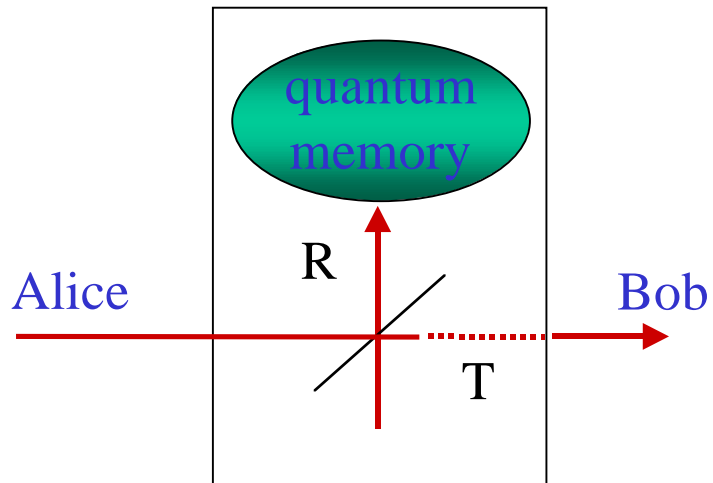


* I_{AE} : relevant for direct reconciliation, requires $T_{line} > 0.5$ and $N_{exc} < N_0$

* I_{BE} : relevant for reverse reconciliation, requires $N_{exc} < 0.5 N_0$

can be secure for any line transmission !

Attacks considered in our proof are **individual gaussian attacks** (not easy !)

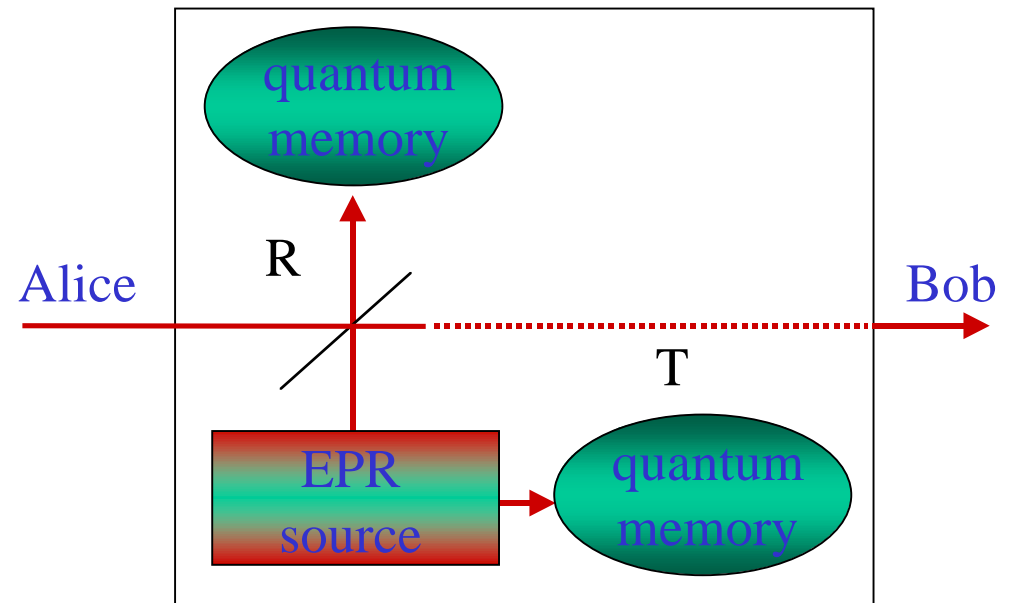


Eve's best attack against
direct reconciliation :
cloning machine (= BS)

+ quantum memory

$$N_{\text{eqB}} = (T/R) N_0$$

$$N_{\text{eqE}} = (R/T) N_0$$



Eve's best attack against
reverse reconciliation :
« entangling cloner »
+ quantum memories

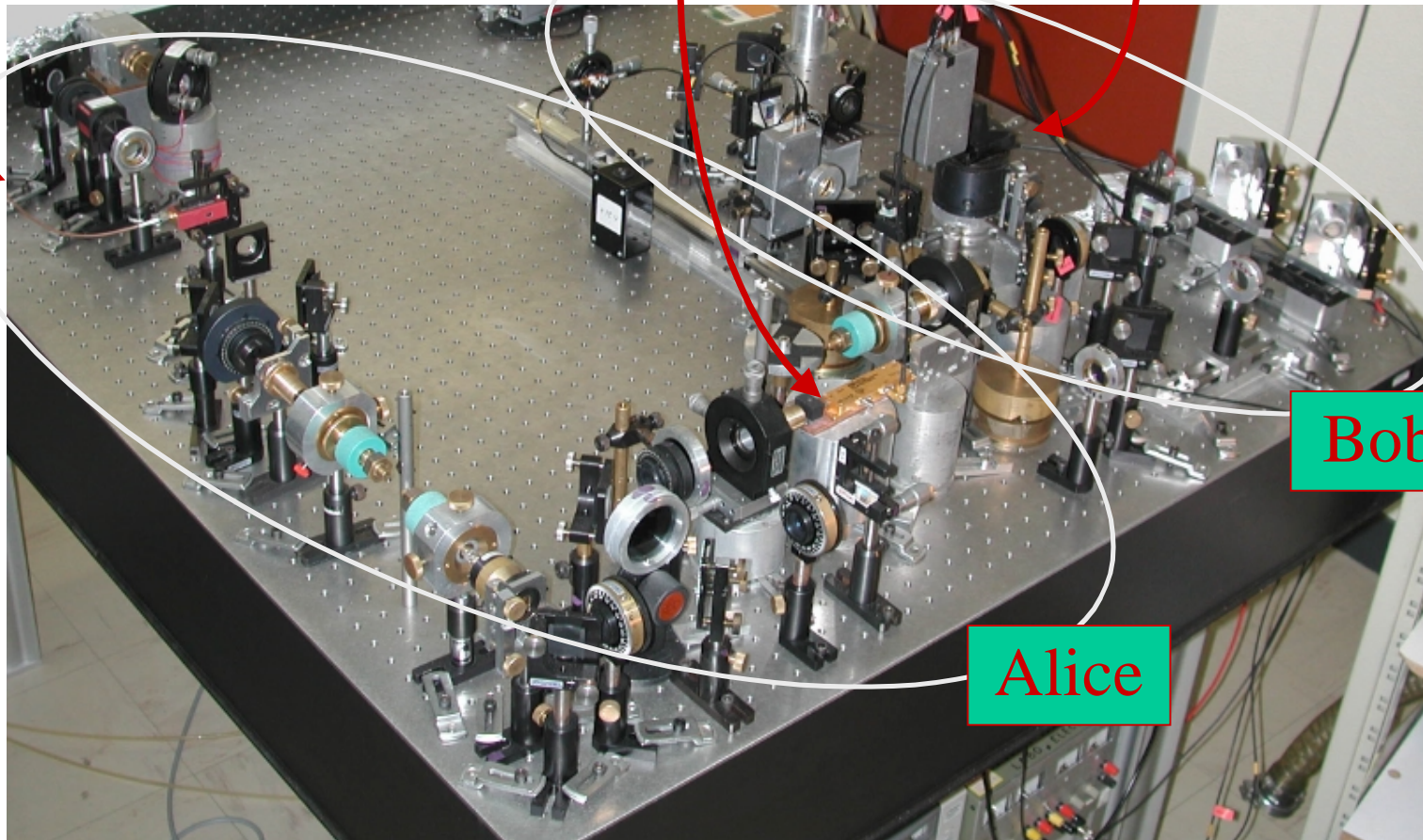
Experimental set-up

F. Grosshans et al., Nature **421**, 238 (2003)

Laser diode (780 nm)
+ Pulsing AOM
(120 ns, rep. rate 800 kHz)

Alice EO
Modulator

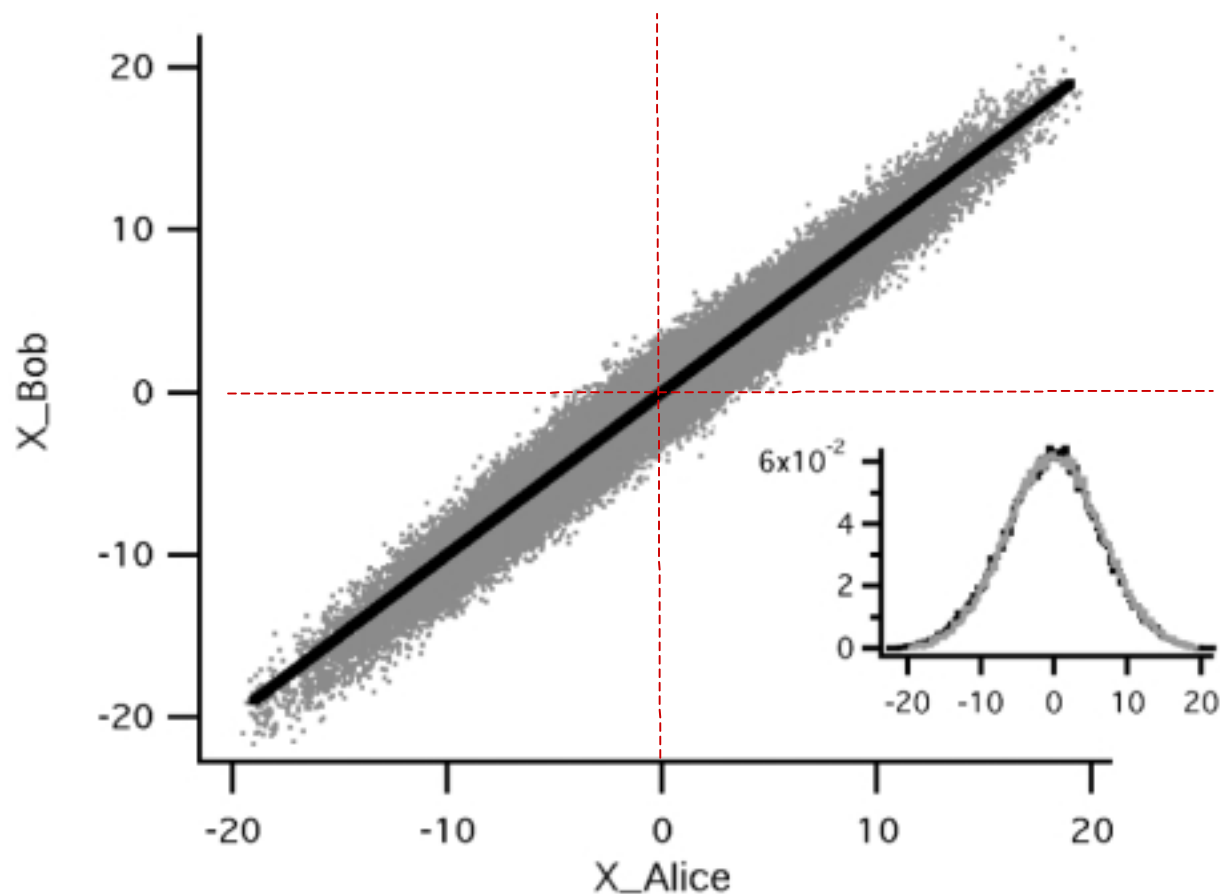
Pulsed homodyne detection
Signal pulses : 100 phot.
LO pulses : $3 \cdot 10^8$ phot.



Coherent state QKD : experiment

F. Grosshans et al., Nature **421**, 238 (2003)

Example of exchanged data
(burst of 60000 pulses @ 800 kHz, no on-line loss)



Gaussian with
 $V_A \approx 40 N_0$
 $\sigma_X \approx 6.5 \sigma_0$

Coherent state QKD : predictions

Secret key transmission : predicted results

Ideal SK rate : based on the error rate only, assumes perfect software efficiency
(= ideal data extraction, reconciliation, and privacy amplification)

V_A	T_{line}	I_{BA}	I_{BE} (% of I_{BA})	Ideal SK rate	Practical SK rate
40.7	1	2.39	0%	1920 kb/s	?
37.6	0.79	2.17	58%	730 kb/s	
31.3	0.68	1.93	67%	510 kb/s	
26.0	0.49	1.66	72%	370 kb/s	

in shot-
noise units

bits/
pulse

Corresponding to a
pulse rate 800 kHz

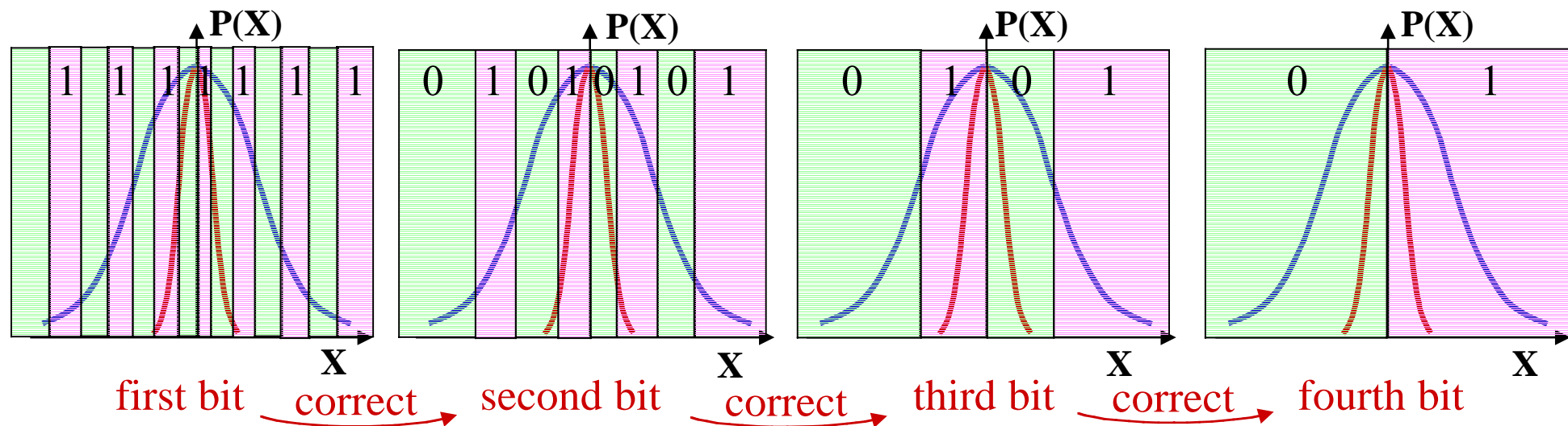
Data Transmission between Alice and Bob

At the end of the quantum exchange Alice and Bob share correlated strings of continuous data, from which they have to extract correlated bits.

Shannon's formula gives the maximum number of extractable bits, but this is an asymptotic value that requires adequate data processing ->

Optimized extraction method : "**sliced reconciliation**" :

N.J. Cerf, M. Lévy and G. Van Assche, *PRA* **63**, 052311 (2001).



Gives up to 95% of Shannon's value !

Coherent state QKD : results

F. Grosshans et al., Nature **421**, 238 (2003)

Practical SK rate : final results, taking into account « all » imperfections

« Realistic » hypothesis : Eve cannot control the electronic noise floor of the homodyne detection (thermal noise in Bob 's resistors...)

V_A	T_{line}	I_{BA}	I_{BE} (% of I_{BA})	Ideal SK rate	Practical SK rate
40.7	1	2.39	0%	1920 kb/s	1700 kb/s
37.6	0.79	2.17	58%	730 kb/s	470 kb/s
31.3	0.68	1.93	67%	510 kb/s	185 kb/s
26.0	0.49	1.66	72%	370 kb/s	75 kb/s

in shot-
noise units

bits/
pulse

Corresponding to a
pulse rate 800 kHz

Some questions...

- * Security of QKD is often said to be related to entanglement...

Where is the entanglement here ?

- * The security proof is valid for individual gaussian attacks

What about « unconditional » security ?
(i.e. vs collective non-gaussian attacks ?)

Continuous-variables EPR beams



$(X_A + X_B)$ and $(P_A - P_B)$ are squeezed (commuting operators !)
then $(P_A + P_B)$ and $(X_A - X_B)$ are anti squeezed

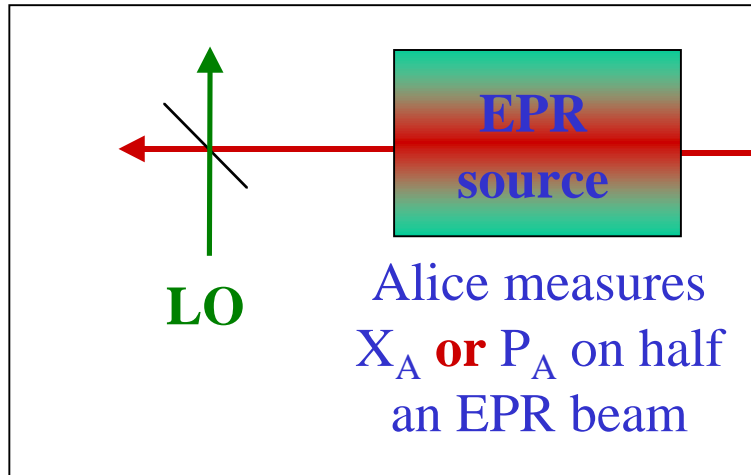
If Alice measures X_A , she will know X_B
If Alice measures P_A , she will know P_B
and for a large enough squeezing we have :

$$V(X_B|X_A) V(P_B|P_A) < N_0^2 !!!$$

« apparent » violation of Heisenberg relations $V(X_B) V(P_B) \geq N_0^2$

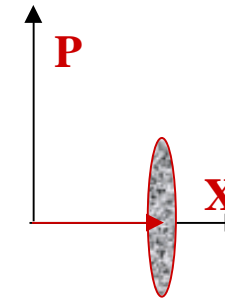
If the squeezing goes to infinity : original EPR state (1935) !

EPR versus coherent protocol

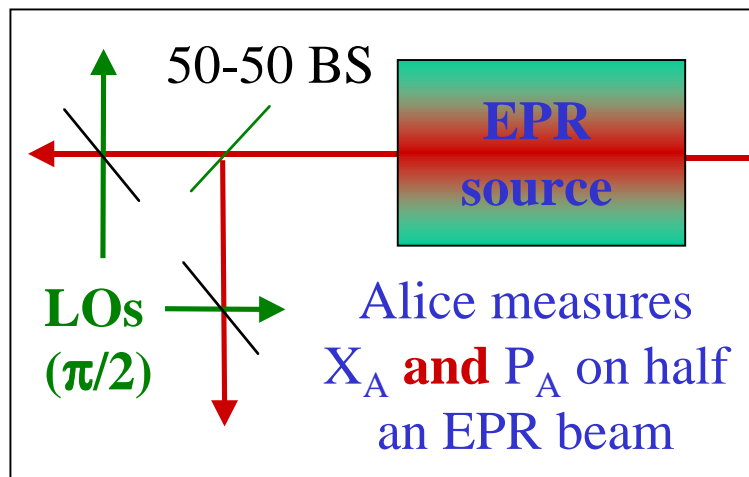


$(X_A + X_B)$ and $(P_A - P_B)$
are squeezed

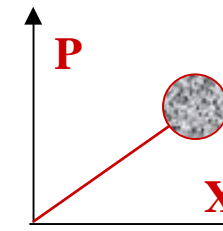
Bob



The state received by Bob is prepared in a **squeezed state**, conditional to Alice's result



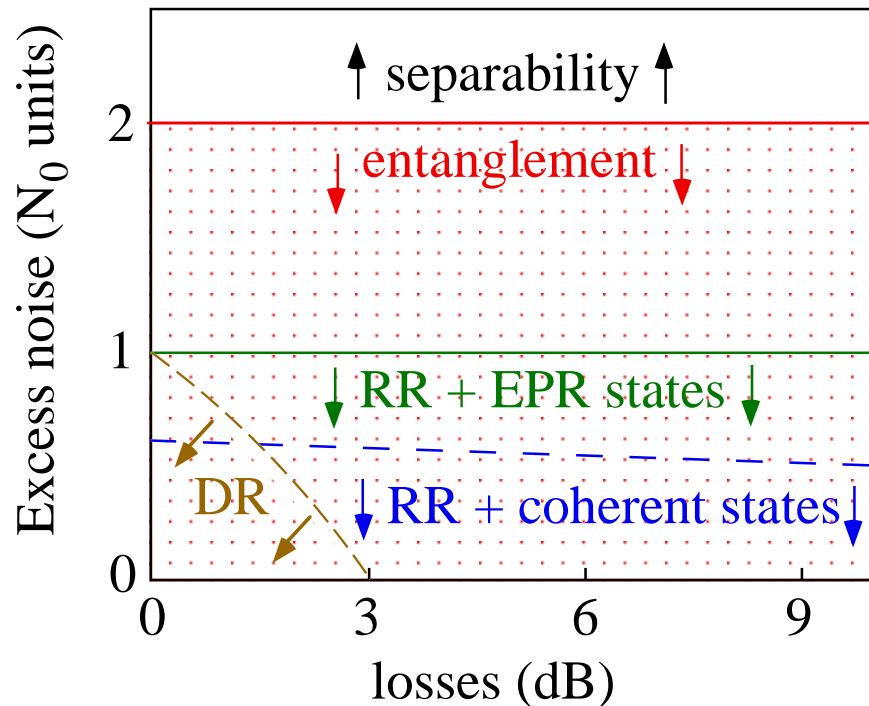
Bob



The state received by Bob is prepared in a **coherent state**, conditional to Alice's result

EPR protocol equivalent to our coherent state protocol !

Cf BB84 vs entangled pair (Ekert) protocol



DR : Direct Reconciliation
RR : Reverse Reconciliation

The DR and RR coherent states protocols are well within the « virtual entanglement » region !
Hint for unconditional security ?

Two approaches (both OK !):

* Show that individual gaussian attacks are optimal \rightarrow secret rate ok!
(F. Grosshans, N. Cerf, PRL 2004)

* Show that one can distill entangled qubits using CSS codes (S. Iblisdir, G. Van Assche, N. Cerf, PRL subm.)

Is entanglement really useful ?

« Entanglement is a resource » (H.J. Kimble) ... but is it a useless resource ?

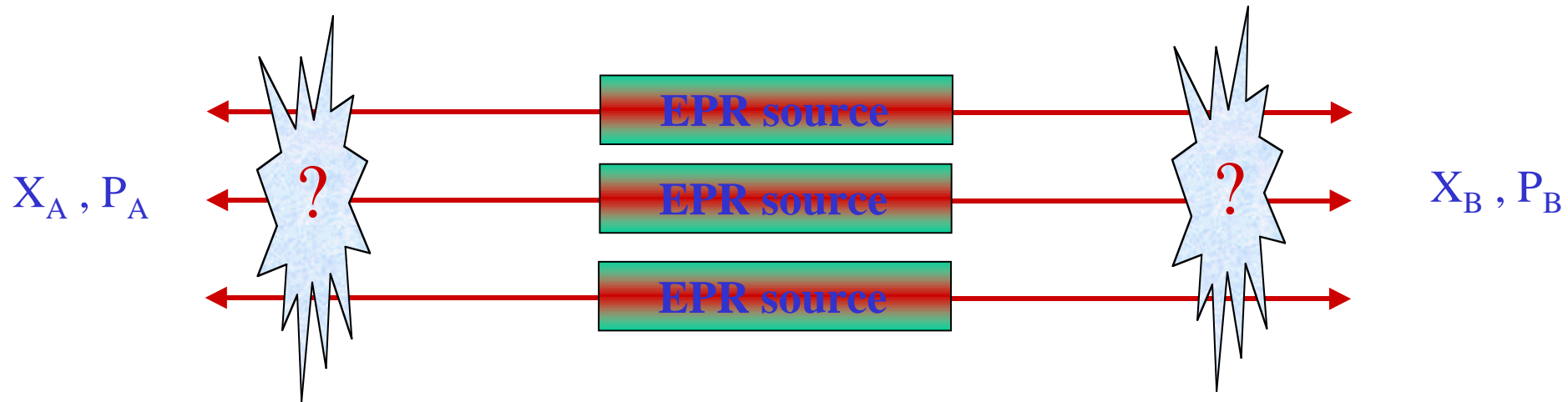
* Practical advantages of « actual » EPR beams vs. coherent states :

- The random values needed by Alice (encoding) and Bob (decoding) do not have to be externally generated (possibly by another quantum process), but they are produced by the protocol itself (« the key does not exist beforehand »).
- A « true » EPR protocol is more robust with respect to excess noise than a coherent state protocol (but the bit rates are the same if no excess noise).
- Marginal advantages ?

* Fundamental advantage of « actual » EPR beams vs. coherent states :

- Entanglement distillation procedures and quantum repeaters !

Why being interested in non-gaussian states ?



Is it possible to carry some operation on several EPR beams to increase the final entanglement ?

Theorem (Eisert, Cirac...):

This cannot be done if all states and all operations are gaussian !

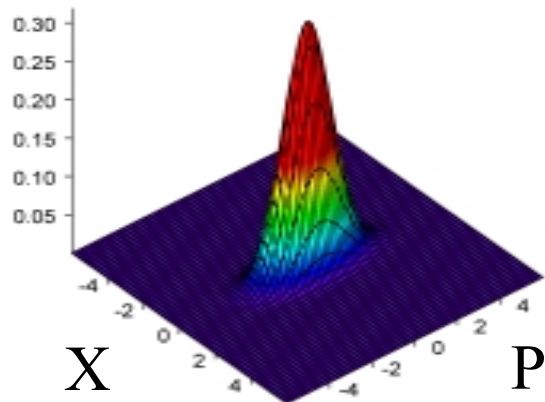
« **Degaussification** » is required

How to get a simple non-gaussian processing ?

« Degaussification » of a squeezed state

Naive view : degaussification = photon subtraction
(one single photon in the APD beam)

Wigner function

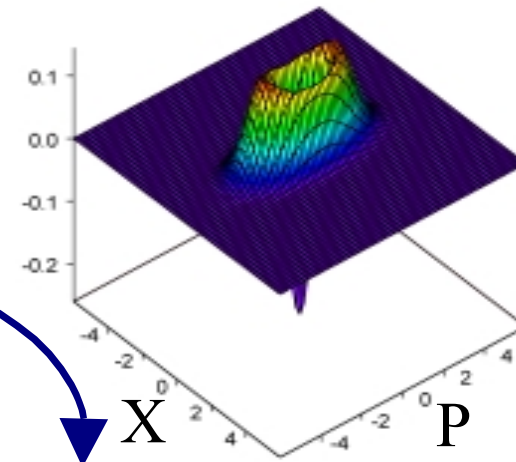


Squeezed vacuum :
 $\alpha |0\rangle + \beta |2\rangle + \gamma |4\rangle + \dots$

APD

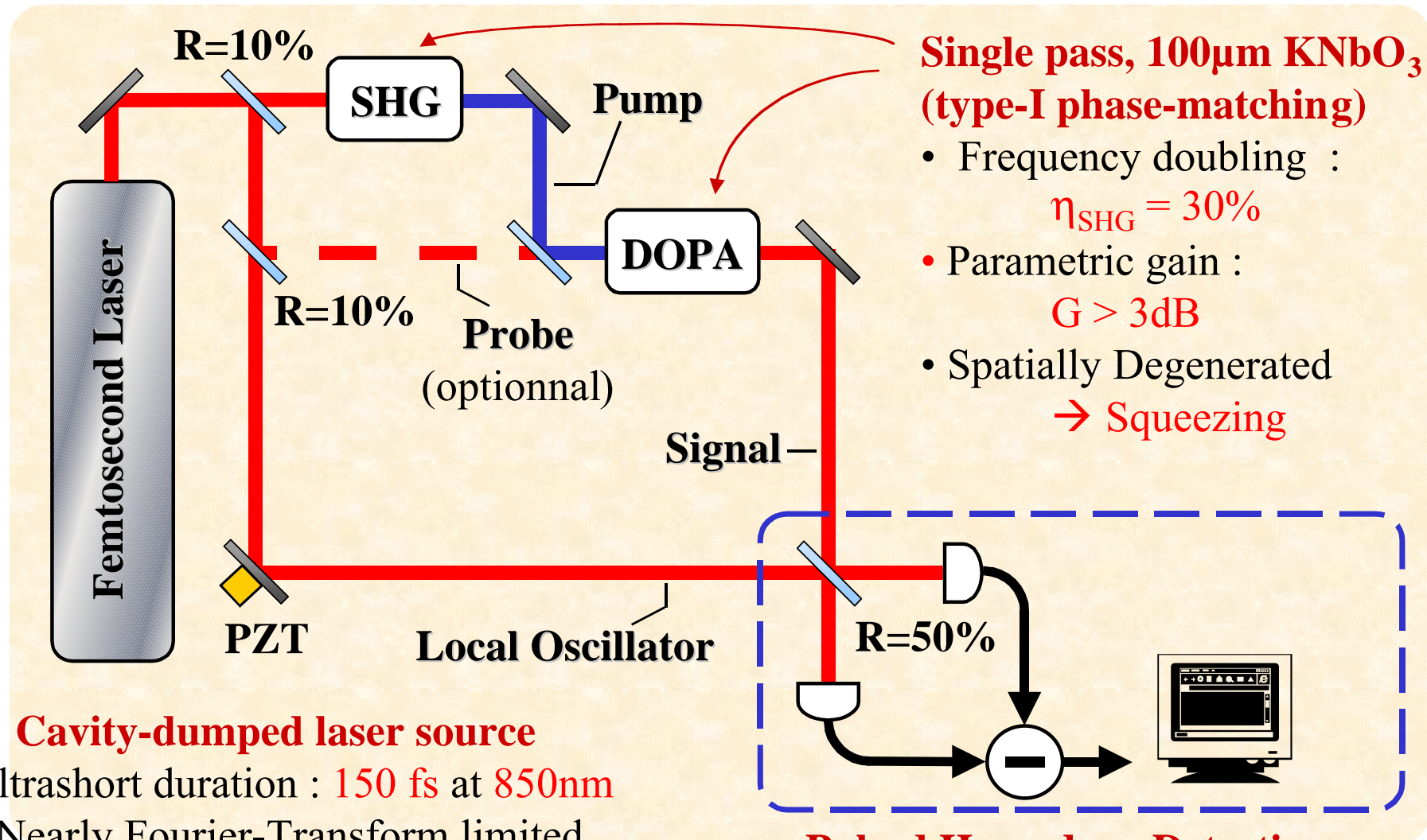
$R \ll 1$

Wigner function



Non-gaussian state :
 $\beta |1\rangle + \sqrt{2} \gamma (1-R) |3\rangle + \dots$





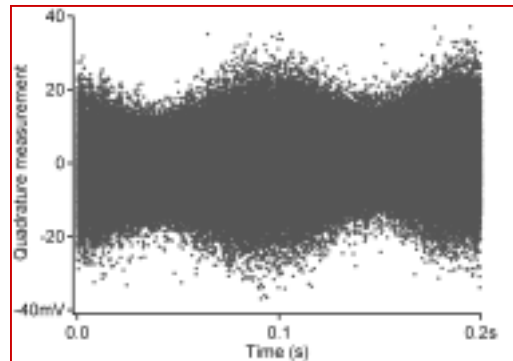
Cavity-dumped laser source

- Ultrashort duration : 150 fs at 850nm
- Nearly Fourier-Transform limited
- High energy : 75nJ / pulse @ 790kHz

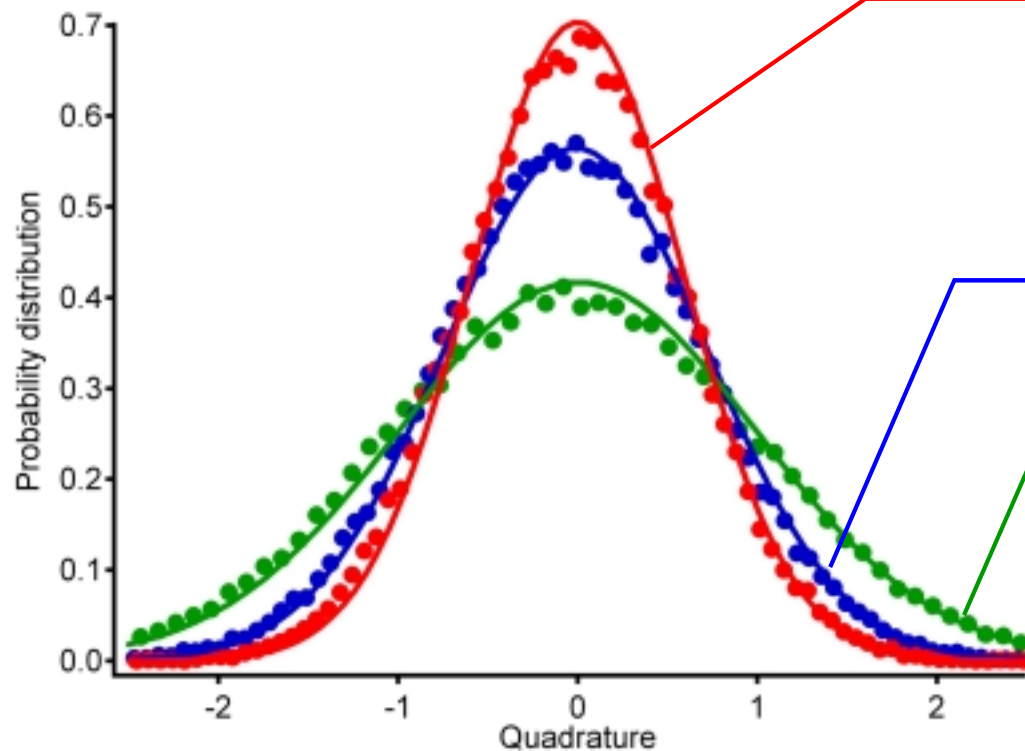
Pulsed Homodyne Detection

Time-domain, overall efficiency : 75%

Pulsed Squeezed State Characterization



Time-domain analysis
Scan of the LO phase



Squeezed quadrature

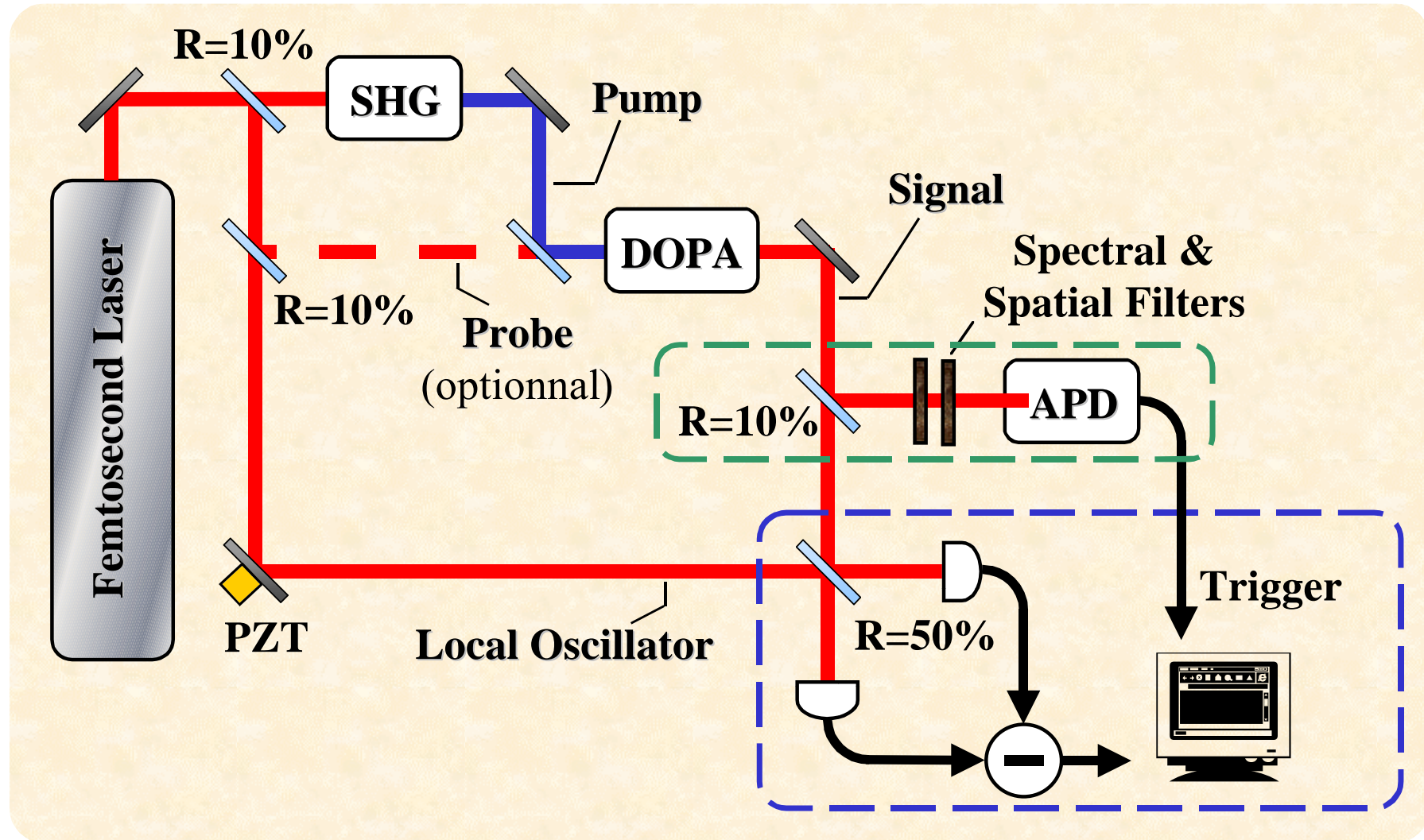
-1.9dB below SNL (no correction)
[-2.7dB corrected for losses]

Shot Noise Level (SNL)

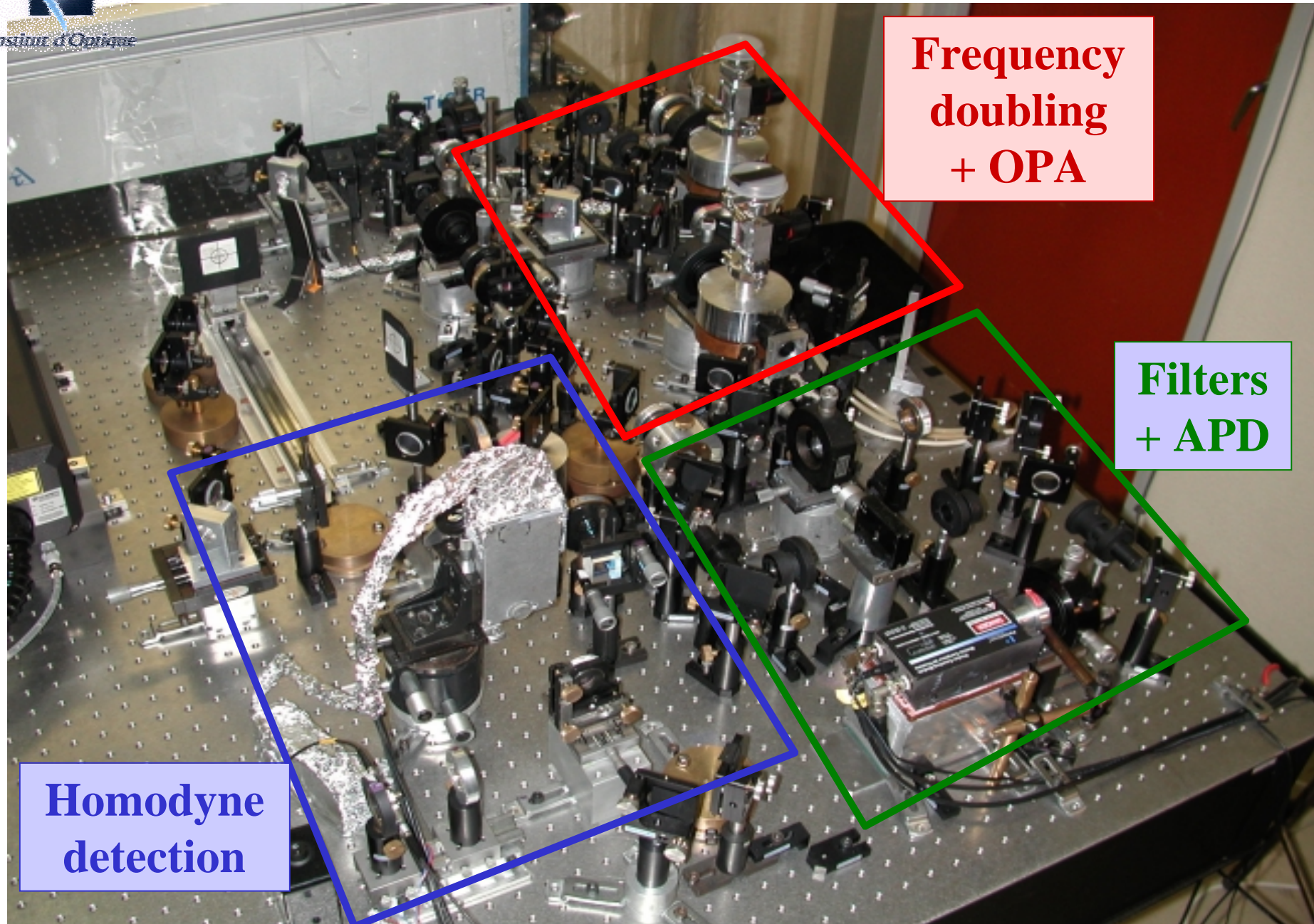
Anti-squeezed quadrature

+ 3.3dB above SNL (no correction)
[+ 4.0dB corrected for losses]

Experimental set-up



Experimental set-up

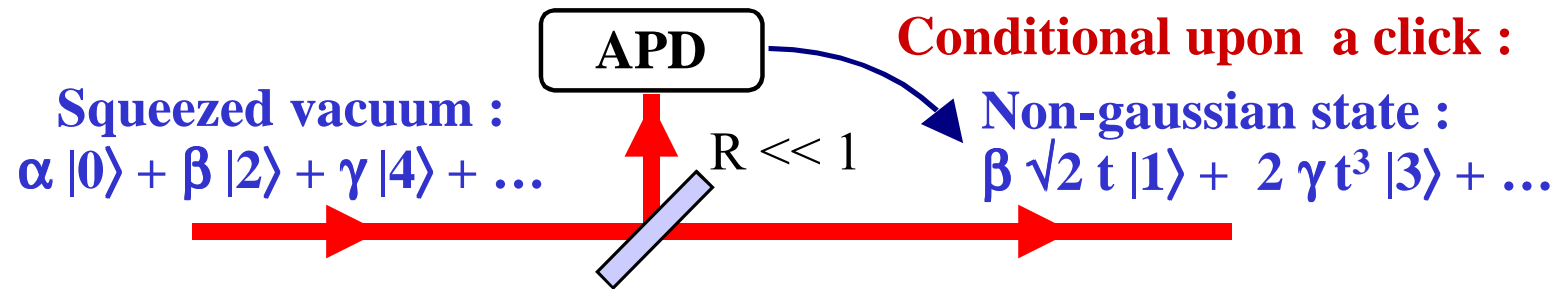


Frequency
doubling
+ OPA

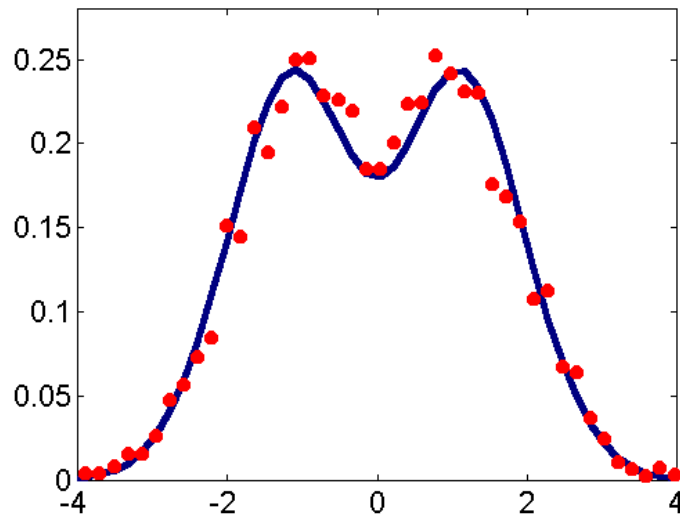
Filters
+ APD

Homodyne
detection

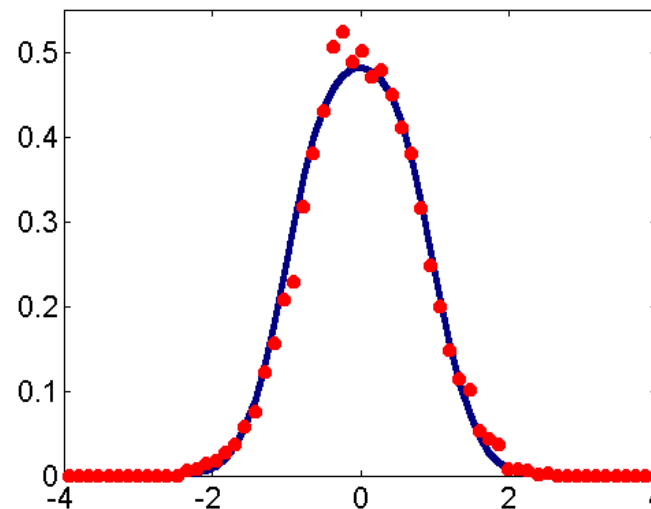
Observed non-gaussian statistics



➤ High order terms → Phase-dependent statistics



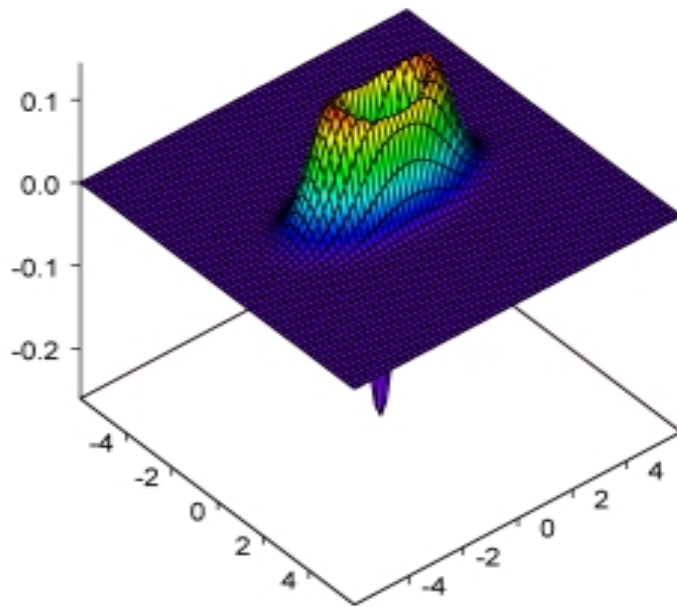
Amplified quadrature



Squeezed quadrature

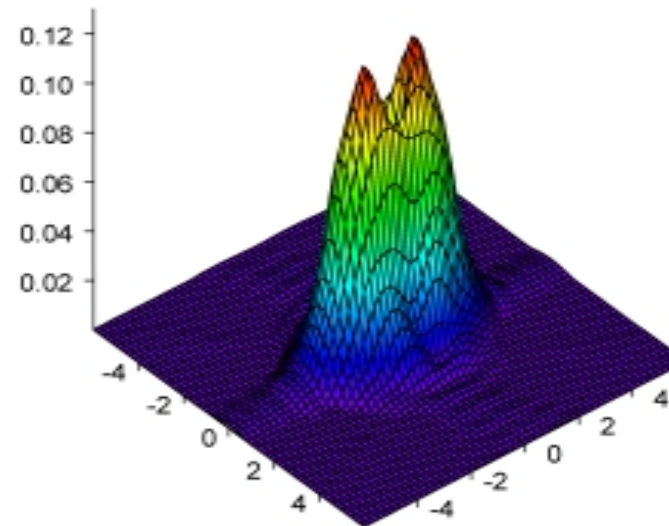
Wigner function of the conditioned state

➤ Quantum tomography → Wigner function reconstruction



Theory : perfect detection

$$W_{\text{th}}(0,0) = -0.26$$

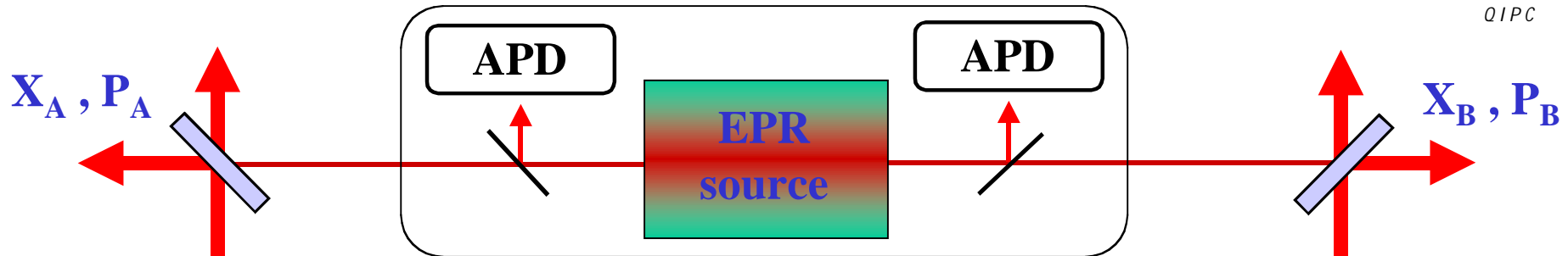


Experimental data, no correction

$$W_{\text{exp}}(0,0) = 0.067$$

A new violation of Bell's inequalities ?

R. Garcia-Patron Sanchez, J. Fiurasek, N.J. Cerf, J.W., R.B., P.G.



$(X_A + X_B)$ and $(P_A - P_B)$ are squeezed : original EPR state (1935) !

- * Perform homodyne detections (XX' - XP' - PX' - PP') on each side
- * « Digitize » the data by taking the sign (\pm) of the value of X or P
- * Then compute the S parameter for Bell's inequalities ($|S| \leq 2$)

No violation ! (the Wigner function provides a local hidden variable model !)

* Now « degaussify » by using two APDs (« event ready » detectors)

Violation ! $S = 2.02 > 2$ [6 dB squeezing, $\eta(\text{APD}) = 30\%$, $\eta(\text{hom}) = 95\%$]

« Loophole -free » test, all events are taken into account, feasible ?

Security proof of coherent state QKD :

- * Coherent states protocols using reverse reconciliation
are secure against any (gaussian or non-gaussian) finite-size attack
- * Unconditional security of these protocols has also been (almost) proven.

Coherent states QKD demonstrator : Nature 421, 238 (2003)

- * Measured secure bit transmission rates :
1.7 Mbit/sec @ 0 dB loss
75 kbit/sec @ 3.1 dB loss
- * Competitive against faint pulses ? Test @ 1550 nm under way

Conditional preparation of « squeezed » non-gaussian pulses (PRL 2004)

- * Phase-dependant non-gaussian Wigner function (« squeezed volcano »)
- * First step towards : entanglement distillation procedures ?
new tests of Bell's inequalities ?