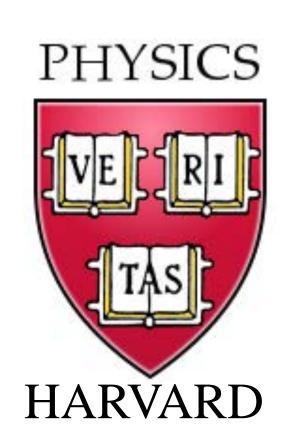
Statistical mechanics of strange metals and black holes

May 17, 24, 31, June 7, 2022

Subir Sachdev







- 1. Beckenstein-Hawking entropy of a black hole
- 2. Schwarzian theory of SYK fluctuations and linear-*T* resistivity
- 3. Critical Fermi surfaces
- 4. Universal *T*-linear resistivity in two-dimensional quantum-critical metals from spatially random interactions

The Einstein action for gravity in 3+1 dimensions is

$$I_E = \int d^4x \sqrt{g} \left[-\frac{1}{2\kappa^2} \mathcal{R}_4 \right] \quad , \quad \mathcal{Z} = \int \mathcal{D}g \exp(-I_E) \, ,$$

where $\kappa^2 = 8\pi G_N$ is the gravitational constant, \mathcal{R}_4 is the Ricci scalar. The Schwarzschild solution of the saddle-point equations is

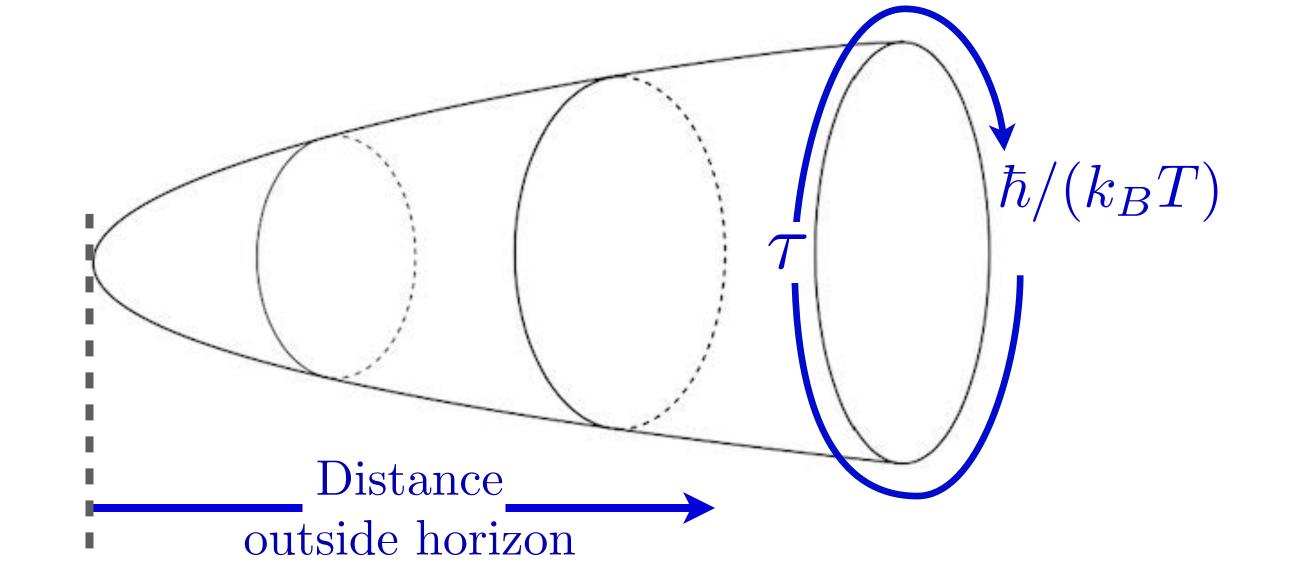
$$ds^{2} = V(r)d\tau^{2} + r^{2}d\Omega_{2}^{2} + \frac{dr^{2}}{V(r)}$$

where $d\Omega_2^2$ is the metric of the 2-sphere, and

$$V(r) = 1 - \frac{m}{r}.$$

The gravitational mass of the black hole is $M = 2G_N m$. The black hole horizon is at $r = r_0$ where $V(r_0) = 0$; so

$$r_0 = m$$



Quantum mechanics in a spacetime which is periodic as a function of τ with period 1/T. We have to ensure that there is no singularity at the horizon r_0 where $V(r_0) = 0$. Let us change radial co-ordinates to y, where $r = r_0 + y^2$. Then for small y

$$ds^{2} = \frac{4}{V'(r_{0})} \left[\frac{(V'(r_{0}))^{2}}{4} y^{2} d\tau^{2} + dy^{2} \right] + r_{0}^{2} d\Omega_{2}^{2} = \frac{4}{V'(r_{0})} \left[y^{2} d\theta^{2} + dy^{2} \right] + r_{0}^{2} d\Omega_{2}^{2}$$

The expression in the square brackets is the metric of the flat plane in polar co-ordinates, with radial co-ordinate y and angular co-ordinate $\theta = V'(r_0)\tau/2$. Smoothness requires periodicity in θ with period 2π , and so

$$4\pi T = V'(r_0) = \frac{1}{m}$$
.

The free energy $\beta F = I_E$, where $\beta = 1/T$. So the entropy is

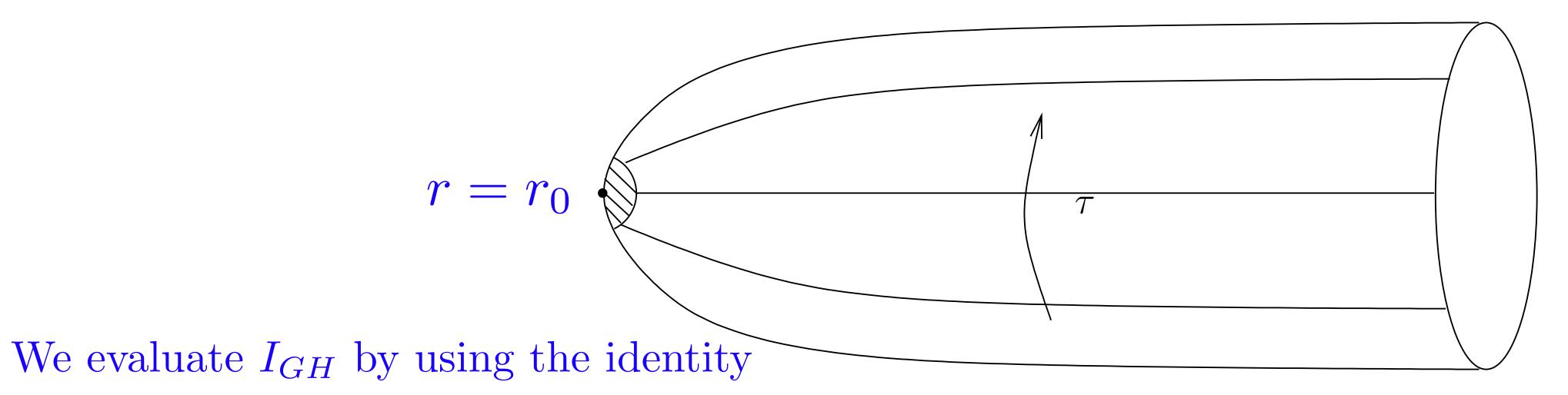
$$S = -\frac{\partial F}{\partial T} = \left(\beta \frac{\partial}{\partial \beta} - 1\right) I_E$$

However, the metric is τ -independent, and the only explicit dependence of the action is via $I_E = \beta H$. Such an action implies S = 0.

The entire contribution to the entropy comes from the vicinity of singularity at $r = r_0$. We evaluate the action is the small region around this point

$$I_{\text{grav}} = I_E + I_{GH}$$
 , $I_{GH} = \int_{\partial} d^3x \sqrt{g_b} \left[-\frac{1}{\kappa^2} \mathcal{K}_3 \right]$, $\mathcal{Z} = \int \mathcal{D}g \exp(-I_{\text{grav}})$,

where \mathcal{K}_3 is the extrinsic scalar curvature of the 3-dimensional boundary of spacetime. I_{GH} is the Gibbons-Hawking boundary term, deduced by the requirement that the Euler-Lagrange equations of I_{grav} co-incide with the Einstein equations, with no additional boundary terms. The entire contribution to the entropy will come from I_{GH} .



$$\int_{\partial} d^3x \sqrt{g_b} \, \mathcal{K}_3 = \frac{\partial}{\partial n} \int_{\partial} d^3x \sqrt{g_b}$$

where n is the Gaussian normal co-ordinate of the boundary. Evaluating at $y = \epsilon$, we have

$$\int_{\partial} d^3x \sqrt{g_b} = 2\pi \epsilon \mathcal{A}$$

where $\mathcal{A} = 4\pi r_0^2$ is the area of the horizon. Combining everything, we have the famous result of Hawking

$$S = \frac{2\pi \mathcal{A}}{\kappa^2} = \frac{\mathcal{A}}{4G_N}.$$

We consider a charged black hole in Einstein-Maxwell theory of g and a U(1) gauge flux F = dA

$$I_{EM} = \int d^4x \sqrt{g} \left[-\frac{1}{2\kappa^2} \mathcal{R}_4 + \frac{1}{4g_F^2} F^2 \right] , \quad \mathcal{Z}_{\mathcal{Q}} = \int \mathcal{D}g \mathcal{D}A \exp(-I_{EM} - I_{GH}).$$

The saddle-point equations now yield a solution as before with

$$V(r) = 1 + \frac{\Theta^2}{r^2} - \frac{m}{r} \quad ; \quad A_{\tau} = i\mu \left(1 - \frac{r_0}{r} \right) \quad ; \quad \Theta = \frac{\kappa r_0}{\sqrt{2}g_F} \mu \quad ; \quad \mathcal{Q} = \frac{4\pi\mu r_0}{g_F^2} \quad ; \quad S = \frac{2\pi\mathcal{A}}{\kappa^2}$$

where \mathcal{Q} is the total charge, the chemical potential is μ , and as before the horizon is where $V(r_0) = 0$, the temperature $T = V'(r_0)/(4\pi)$, and $\mathcal{A} = 4\pi r_0^2$.

This defines a two parameter family of charged black hole solutions of I_{EM} determined by T and Q.

Now we take the limit $T \to 0$ at fixed Q. Then we find the remarkable feature that the horizon radius remains finite

$$R_h \equiv r_0(T \to 0, \mathcal{Q}) = \frac{\mathcal{Q}\kappa g_F}{4\pi}$$

In this limit, entropy becomes

$$S(T \to 0, \mathcal{Q}) = \frac{4\pi R_h^2}{G_N} + \gamma T \quad , \quad \gamma \equiv \frac{4\pi^2 R_h^3}{G_N}$$

For the near-horizon metric, it is useful to introduce the co-ordinate ζ

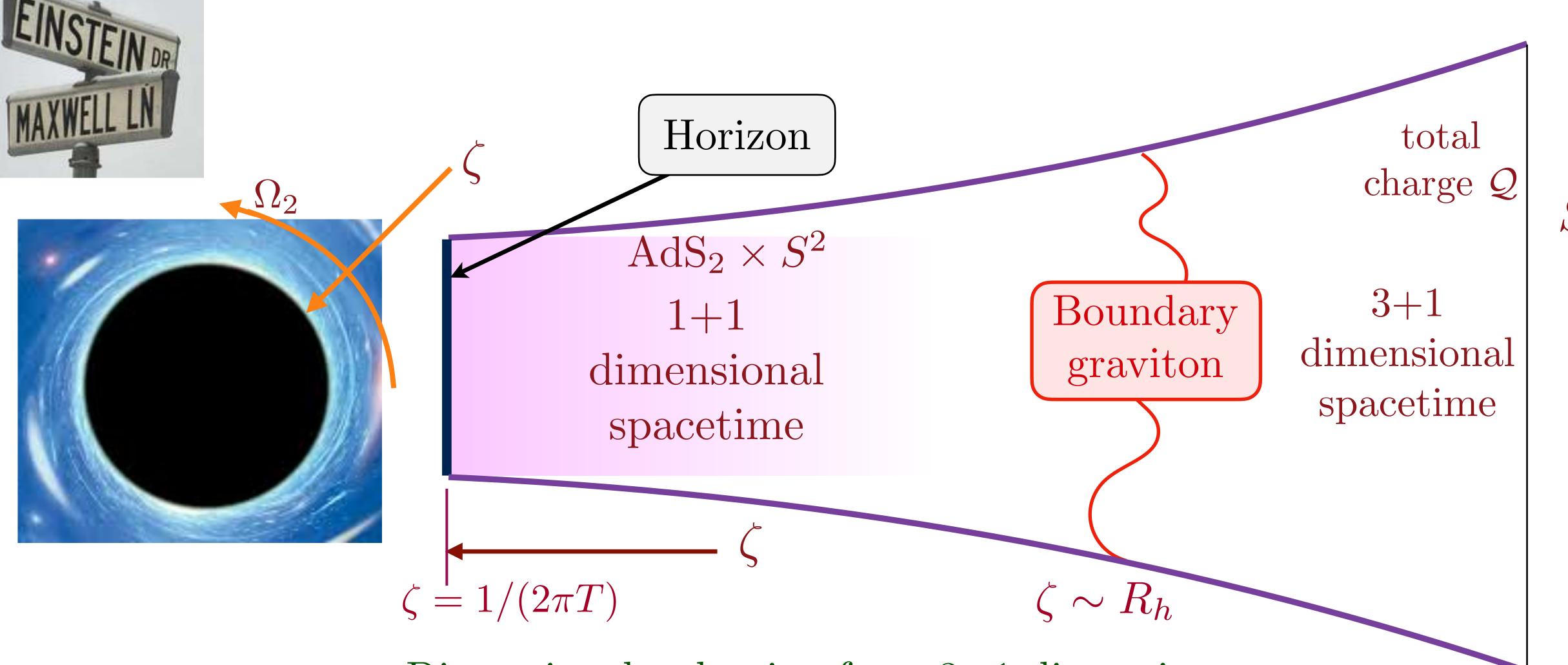
$$r = R_h + \frac{R_h^2}{\zeta}$$

so that the horizon at T=0 is at $\zeta=\infty$. Then in the near-horizon regime $R_h \ll \zeta < \infty$ the T=0 metric is

$$ds^{2} = R_{h}^{2} \frac{d\tau^{2} + d\zeta^{2}}{\zeta^{2}} + R_{h}^{2} d\Omega_{2}^{2}$$

This spacetime is $AdS_2 \times S^2$.

Reissner-Nordstrom black hole of Einstein-Maxwell theory



Dimensional reduction from 3+1 dimensions to 1+1 dimensions (AdS₂) at low energies!

The AdS₂ metric

$$ds^2 = \frac{d\tau^2 + d\zeta^2}{\zeta^2}$$

is invariant under isometries which are SL(2,R) transformations. Verify that the co-ordinate change

$$\tau' + i\zeta' = \frac{a(\tau + i\zeta) + b}{c(\tau + i\zeta) + d}, \quad ad - bc = 1,$$

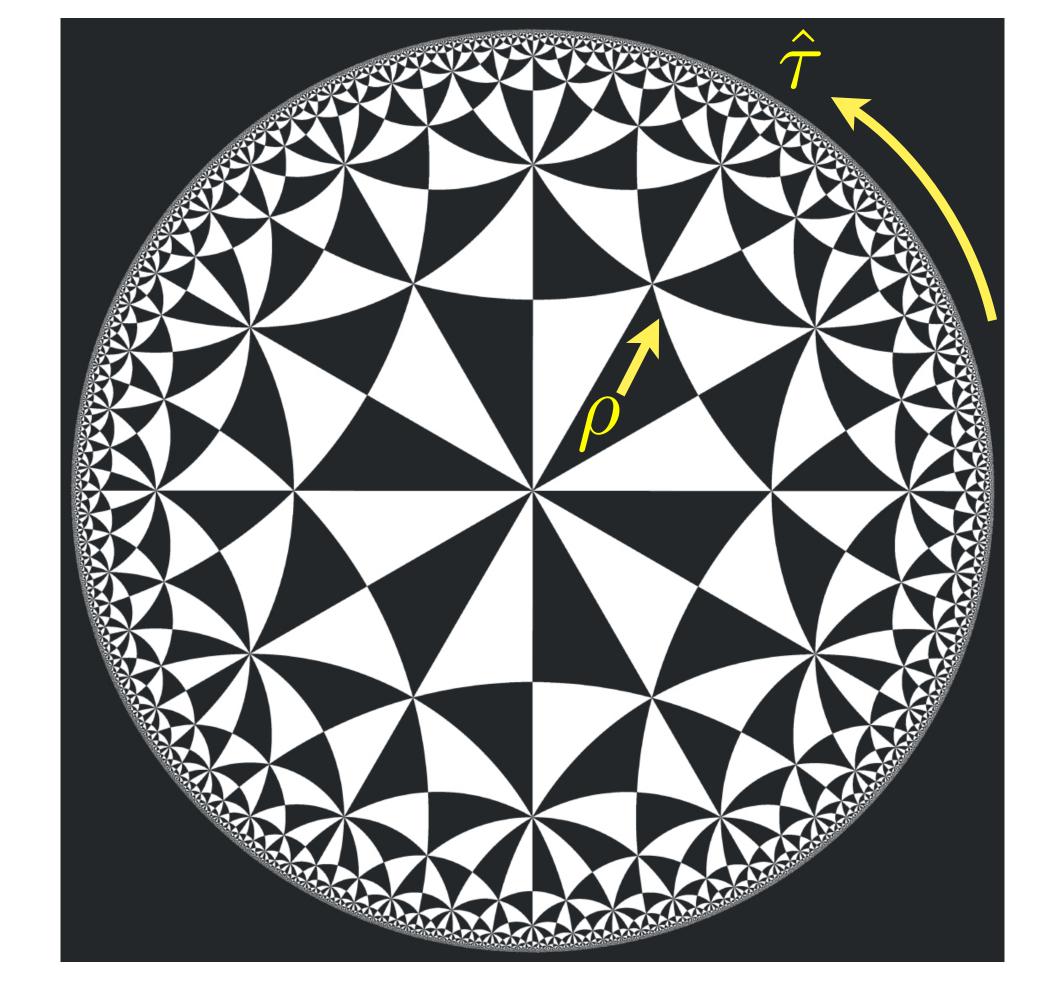
with a,b,c,d real, leaves the AdS₂ metric invariant.

The co-ordinate transformation

$$\zeta = \frac{1}{\cosh(2\pi T\rho) - \sinh(2\pi T\rho)\cos(2\pi T\hat{\tau})} \quad , \quad \tau = \frac{\sinh(2\pi T\rho)\sin(2\pi T\hat{\tau})}{\cosh(2\pi T\rho) - \sinh(2\pi T\rho)\cos(2\pi T\hat{\tau})}$$

maps the metric to

$$ds^2 = 4\pi^2 T^2 \left[d\rho^2 + \sinh^2(2\pi T\rho) d\hat{\tau}^2 \right]$$



- 1. Reduce the 4-spacetime dimensional theory in I_{EM} to a 1+1 dimensional theory $I_{EM,2}$ by taking all fields dependent only upon the radial co-ordinate r and imaginary time τ .
- 2. Take the low energy limit of $I_{EM,2}$ by mapping it to a near-horizon theory, I_{JT} , in a 1+1 dimensional spacetime with a boundary.
- 3. Compute fluctuations about the AdS_2 saddle point of I_{JT} . Einstein gravity in 1+1 dimensions has no graviton, and is 'pure gauge'. In the JT-gravity theory with boundary, there is a remnant degree of freedom which is a boundary graviton. The action for this boundary graviton is the Schwarzian theory. The partition function of this Schwarzian theory can be evaluated exactly.

1. Make the metric ansatz

$$ds^{2} = \frac{ds_{2}^{2}}{\Phi(\zeta, \tau)} + [\Phi(\zeta, \tau)]^{2} d\Omega_{2}^{2}$$

where ds_2^2 is an arbitrary metric in the (ζ, τ) spacetime, and Φ is a scalar field in the (ζ, τ) spacetime.

2. The low energy theory on the (ζ, τ) spacetime involves a metric h, and a scalar field Φ_1 given by $\lim_{\zeta \to \infty} [\Phi(\zeta, \tau)]^2 = R_h^2 + \Phi_1(\zeta, \tau)$, obeying the action

$$I_{JT} = -\frac{2\pi\mathcal{A}_0}{\kappa^2} + \int d^2x \sqrt{h} \left[-\frac{2\pi}{\kappa^2} \Phi_1 \left(\mathcal{R}_2 + \frac{2}{R_h^3} \right) \right] - \frac{4\pi}{\kappa^2} \int_{\partial} dx \sqrt{h_b} \Phi_1 \mathcal{K}_1$$

where $A_0 = 4\pi R_h^2$ is the area of the horizon at T = 0, and \mathcal{K}_1 is the extrinsic curvature of the one-dimensional boundary $\zeta \to 0$ where

$$h_{\tau\tau}(\zeta \to 0) = \frac{R_h^3}{\zeta^2} \quad , \quad \Phi_1(\zeta \to 0) = \frac{2R_h^3}{\zeta}$$

3. Remarkably, the partition function of the 1+1 dimensional JT gravity theory can be evaluated exactly (here we are ignoring the gauge field path integral, which is subdominant at fixed Q)

$$\mathcal{Z}_{\mathcal{Q}} = \int \mathcal{D}h \mathcal{D}\Phi_1 \exp\left(-I_{JT}\right)$$

The action is linear in Φ_1 , and the integral over Φ_1 yields a constraint $\mathcal{R}_2 = -2/R_h^3$ i.e. the metric h is rigidly AdS₂. The only dynamical degree of freedom in JT gravity is a time reparameterization along the boundary $\tau \to f(\tau)$. To ensure that the bulk metric obeys its boundary condition, we also have to make the spatial co-ordinate ζ a function of τ , so we map $(\tau, \zeta) \to (f(\tau), \zeta(\tau))$. Then the metric obeys its boundary condition provided $\zeta(\tau)$ is related to $f(\tau)$ by (here ζ_b is a small constant whose value cancels in the final result)

$$\zeta(\tau) = \zeta_b f'(\tau) + \zeta_b^3 \frac{[f''(\tau)]^2}{2f'(\tau)} + \mathcal{O}(\zeta_b^4)$$

Finally, we evaluate I_{GH} along this boundary curve. In this manner we obtain the action

$$I_{1,\text{eff}}[f] = -\frac{2\pi\mathcal{A}_0}{\kappa^2} - \frac{\gamma}{4\pi^2} \int d\tau \{f(\tau), \tau\} \quad , \quad \{f, \tau\} \equiv \frac{f'''}{f'} - \frac{3}{2} \left(\frac{f''}{f'}\right)^2$$

where $\gamma = 32\pi^3 R_h^3/\kappa^2$ is precisely the linear-T co-efficient in the black hole entropy.

3. After a conformal map to finite temperature (and ignoring the contribution of the gauge field fluctuation), we can write the low energy partition function of a 3+1-dimensional black hole with charge $Q = 4\pi R_h/(\kappa g_F)$, as a path integral over a single field $f(\tau)$ in one time dimension:

$$\mathcal{Z}_{\mathcal{Q}} = \exp\left(\frac{2\pi\mathcal{A}_0}{\kappa^2}\right) \int \frac{\mathcal{D}f}{||\mathrm{SL}(2,\mathbf{R})||} \exp\left(\frac{\gamma}{4\pi^2} \int_0^{1/T} d\tau \left\{ \tan(\pi T f(\tau)), \tau \right\} \right)$$

where $\gamma = 32\pi^3 R_h^3/\kappa^2$, $A_0 = 4\pi R_h^2$, and $f(\tau)$ is a monotonic function of τ obeying

$$f(\tau + 1/T) = f(\tau) + 1/T$$
.

We divide by the (infinite) volume of the SL(2,R) group because

$$\{f,\tau\} = \{\frac{af+b}{cf+d},\tau\}$$

where a, b, c, d are constants with ad - bc = 1.