

III.2 Systèmes mésoscopiques: coefficients thermoélectriques dans le formalisme de Landauer-Büttiker et quelques exemples

Cycle 2014-2015 1^{er} juin 2015 – III.2

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Mesoscopic Systems : Lengthscales

Geometrical dimension: L

(Size of conductor)

Phase coherence length: ℓ_{ϕ}

(Distance an electron travels before its phase changes by 2π)

Inelastic scattering length: ℓ_{in} (~10³ nm ~ 1µm)

(Distance an electron travels before its energy changes by $\sim k_B T$) Elastic scattering length: ℓ_e (10-10³ nm)

(Distance an electron travels between elastic scattering events)

Macroscopic Conductor: $\ell_e \ll \ell_{in}, \ell_{\phi} \ll L$

Mesoscopic Conductor: $L \ll \ell_{in}, \ell_{\phi}$

tor: $L \ll \ell_{in}, \ell_{\phi}$

Ballistic regime: $L \ll \ell_e$ Diffusive regime: $\ell_e \ll L$



Cf. Beenakker and van Houten, arXiv:cond-mat 0412664

BALLISTIC TRANSPORT

Courtesy: Tilman Esslinger, ETHZ



See use of specle in experiments by: Aspect, Inguscio, Hulet, DeMarco

What is the conductance of a perfectly ballistic conductor ? <u>Is it infinite</u>?

Classically (Ohm's law + Drude) :

$$R = \rho \frac{L}{S} , \quad G = \sigma \frac{S}{L}$$

$$\sigma = 1/\rho = \frac{ne^2 \tau_e}{m} = \frac{ne^2}{mv_F} \ell_e \quad (\ell_e = v_F \tau_e)$$

$$\Rightarrow G = G_0 \frac{\ell_e}{L} \to \infty \quad (L \ll \ell_e)$$

Conductance = Transmission



Rolf Landauer (1927 Germany - 1999 USA) IBM fellow

Author in particular of:
The `Landauer principle' (1961) (dissipation associated with the Irreversible manipulation of information)
The Landauer formula (1957)
Description of quantum transport as transmission

A wave-like description of transport

The Landauer formula Conductance as Transmission - Case of a single conduction `channel' -

 $T=0: \ G=rac{2e^2}{h}\mathcal{T}(arepsilon_F) egin{array}{c} \mu_{
m L}\ \mu_{
m L}\ \mu_{
m R}\ \mu_{
m R}\ \mu_{
m L}-\mu_{
m R}=-e(V_L-V_R) \end{array}$ $T \neq 0: \quad G = \frac{2e^2}{h} \int d\varepsilon \,\mathcal{T}(\varepsilon) \left(-\frac{\partial f}{\partial \varepsilon}\right)$ $I = -\frac{2e}{h} \int d\varepsilon \,\mathcal{T}(\varepsilon) \left[f(\varepsilon - \mu_L) - f(\varepsilon - \mu_R) \right]$ $\mathcal{T}(\varepsilon)$: Energy-dependent transmission coefficient

A simple derivation (1-channel)
→ Notes on College de France
website (2013-2014 lectures)

Where does the potential drop? The `two' Landauer formulas... Contact Resistance (cf. Imry, 1986) 2-probe vs. 4-probe conductance





Contact 1 + CHANNEL + Contact 2 = Total

Original 1957 Landauer formula

Note: Channel conductance \rightarrow Infinity for perfect transmission

Four-terminal resistance of a ballistic quantum wire

R. de Picciotto*, H. L. Stormer*†, L. N. Pfeiffer*, K. W. Baldwin* & K. W. West* Nature 411, 51 (2001)

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dwint

$$V_1$$

 V_2
 V_2
Drain
 $G_2 = 2\frac{e^2}{h}$
 $G_4 = \infty$
 V_1
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Slide: courtesy G.Montambaux

2-terminal conductance is quantized

4-terminal Conductance is infinite



Figure 2 Two- and four-terminal resistances of a ballistic quantum wire. The dashed line shows the two-terminal resistance of the 2- μ m-long central section of the wire versus the voltage applied to the associated gate 2. Gates 1 and 3 are not activated. The solid line shows the four-terminal resistance, ($V_A - V_B$)//, versus the voltage applied to gate 2. Here

Anticipating on the following lecture: ballistic transport in cold atomic gases



Conduction of Ultracold Fermions Through a Mesoscopic Channel

Jean-Philippe Brantut *et al. Science* **337**, 1069 (2012); DOI: 10.1126/science.1223175

Generalization of the Landauer formula to thermoelectric transport

Thermal: HL Engquist and PW Anderson Phys Rev B 24, 1151 (1981)

Thermoelectric effects: U.Sivan and Y.Imry Phys Rev B 33, 551 (1986) P.N. Butcher J. Phys Cond Matt 2, 4869 (1990)

Particle, Energy and Entropy Currents

For a detailed discussion, see notes

Reconsidering the entropy current...



Linear Response Regime:

$$\frac{\partial}{\partial \mu} f\left(\frac{\varepsilon - \mu}{k_B T}\right) = \left(-\frac{\partial f}{\partial \varepsilon}\right) , \quad \frac{\partial}{\partial T} f\left(\frac{\varepsilon - \mu}{k_B T}\right) = \frac{\varepsilon - \mu}{T} \left(-\frac{\partial f}{\partial \varepsilon}\right)$$
$$\frac{\partial}{\partial \alpha} s = \frac{\partial s}{\partial f} \frac{\partial}{\partial \alpha} f = \left[-k_B \ln \frac{f}{1 - f}\right] \frac{\partial}{\partial \alpha} f = \frac{\varepsilon - \mu}{T} \frac{\partial}{\partial \alpha} f$$

$$I_{N} = \frac{2}{h} \int d\varepsilon \,\mathcal{T}(\varepsilon) \left[\Delta \mu + \frac{\varepsilon - \mu}{T} \Delta T \right] \left(-\frac{\partial f}{\partial \varepsilon} \right)$$
$$I_{S} = \frac{2}{h} \int d\varepsilon \,\mathcal{T}(\varepsilon) \left[\frac{\varepsilon - \mu}{T} \Delta \mu + \left(\frac{\varepsilon - \mu}{T} \right)^{2} \Delta T \right] \left(-\frac{\partial f}{\partial \varepsilon} \right)$$

From which we immediately identify the Onsager coefficients defined as (cf 2012-2013 lectures):

$$\begin{pmatrix} I_N \\ I_S \end{pmatrix} = \begin{pmatrix} L_{11} & L_{12} \\ L_{21} & L_{22} \end{pmatrix} \begin{pmatrix} \Delta \mu \\ \Delta T \end{pmatrix}$$

$$L_{11} = \frac{2}{h}I_0$$
, $L_{12} = L_{21} = \frac{2}{h}k_BI_1$, $L_{22} = \frac{2}{h}k_B^2I_2$

in which the *dimensionless* integrals read:

$$I_n \equiv \int d\varepsilon \,\mathcal{T}(\varepsilon) \,\left(\frac{\varepsilon - \mu}{kT}\right)^n \,\left(-\frac{\partial f}{\partial \varepsilon}\right)$$

Conductance, Thermopower and Thermal Conductance:

$$G = \frac{2e^2}{h} I_0 \quad , \quad \left(\frac{h}{e^2} = 25.81k\Omega\right)$$
$$\alpha = -\frac{k_B}{e} \frac{I_1}{I_0} \quad , \quad \left(\frac{k_B}{e} = 86.3\,\mu V K^{-1}\right)$$
$$\frac{G_{th}}{T} = \frac{2}{h} k_B^2 \left[I_2 - \frac{I_1^2}{I_0}\right]$$
$$\mathcal{L} \equiv \frac{G_{th}}{TG} = \left(\frac{k_B}{e}\right)^2 \left[\frac{I_2}{I_0} - \left(\frac{I_1}{I_0}\right)^2\right]$$

Dimensionless integrals:

$$I_n \equiv \int d\varepsilon \, \mathcal{T}(\varepsilon) \, \left(\frac{\varepsilon - \mu}{k_B T}\right)^n \, \left(-\frac{\partial f}{\partial \varepsilon}\right)$$





Different coefficients probe different range of energy:

- Conductance probes the immediate vicinity of E_F , in a symmetric way for particles and holes

- Thermopower probes a difference between contributions from holes (>0) and particles (<0). *It vanishes if particles and hole have the same transmission.*

- Thermal conductance probes a few kT from E_F

Low Temperature expressions

(from Sommerfeld's expansion – see notes Warning: assumes no or weak intrinsic T-dependence of transmission – OK for elastic scattering

$$G = \frac{2e^2}{h} \mathcal{T}(\mu = \varepsilon_F) \text{ Landauer}$$

$$\alpha = -\frac{k_B}{e} \frac{\pi^2}{3} k_B T \frac{\mathcal{T}'(\varepsilon_F)}{\mathcal{T}(\varepsilon_F)}$$

$$= -\frac{k_B}{e} \frac{\pi^2}{3} k_B T \frac{\partial}{\partial \mu} \ln \mathcal{T}(\mu)|_{\mu = \varepsilon_F} \text{ Mott-Cutler}$$

$$\frac{G_{th}/T}{G} \equiv \mathcal{L} \rightarrow \left(\frac{k_B}{e}\right)^2 \frac{\pi^2}{3} \quad (T \rightarrow 0)$$

Wiedemann-Franz law

Two examples

- Quantum Point Contact
- Quantum Dot

Quantum Point Contacts (QPC) The first evidence of conductance quantization

Van Wees et al. PRL 60, 848 (1988) Quantized Conductance of Point Contacts in a Two-Dimensional Electron Gas cf. also: Wharam et al. One-dimensional transport and the quantization of the ballistic resistance J.Phys C 21 L209 (1988)





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FIG. 1. Point-contact resistance as a function of gate voltage at 0.6 K. Inset: Point-contact layout.



FIG. 2. Point-contact conductance as a function of gate voltage, obtained from the data of Fig. 1 after subtraction of the lead resistance. The conductance shows plateaus at multiples of $e^2/\pi\hbar$.

Transmission coefficient for an electron injected in channel m to go into channel n:

$$\mathcal{T}_{nm} = |t_{nm}|^2$$





$$2D: \ \varepsilon_n(k_x) = \frac{\hbar^2}{2m} \left[k_x^2 + n^2 \left(\frac{\pi}{a}\right)^2 \right] \ (n > 0)$$

$$3D: \ \varepsilon_{n_y,n_z}(k_x) = \frac{\hbar^2}{2m} \left[k_x^2 + n_y^2 \left(\frac{\pi}{a}\right)^2 + n_z^2 \left(\frac{\pi}{b}\right)^2 \right] \ (n_y, n_z > 0)$$



Simplest model: only fully open or fully closed channels (`Il faut qu'une porte soit ouverte ou fermée', Alfred de Musset)

$$\mathcal{T}(\varepsilon) = \sum_{n} \theta(\varepsilon - \varepsilon_{n})$$
$$G = \frac{2e^{2}}{h} \sum_{n} f(\varepsilon_{n} - \mu)$$





Thermopower of a QPC

Theory: P.Streda J.Phys Cond Matt. 1, 1025 (1989), Proetto PRB 44, 9096 (1991) First experiment: L.Molenkamp et al. PRL 65, 1052 (1990)

Use again simplest model (open or close channels only):





Recall at low-T: $\alpha \propto -\frac{1}{G} \frac{\partial G}{\partial \mu}$

Thermopower has a peak each time a new level becomes `active' with ~ constant height $k_{\rm P} = \ln 2 \qquad 2e^2$

Parabolic well: $\mu \simeq \varepsilon_n$: $\alpha \simeq -\frac{k_B}{e} \frac{\ln 2}{n-1/2}$, $G \simeq \frac{2e^2}{h}(n-\frac{1}{2})$

Experimental observation: see Laurens Molenkamp's seminar

Temperature dependence









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20 AUGUST 1990

Quantum Oscillations in the Transverse Voltage of a Channel in the Nonlinear Transport Regime

L. W. Molenkamp, H. van Houten, C. W. J. Beenakker, and R. Eppenga Philips Research Laboratories, 5600 JA Eindhoven, The Netherlands





Slide: courtesy L.Molenkamp – see CdF website 2013-2014

Energy Filtering cf. Mahan and Sofo, PNAS 93, 7436 (1996)

Think of:

$$p(\varepsilon) \equiv \frac{\mathcal{T}(\varepsilon)[-f'(\varepsilon)]}{\int d\varepsilon \mathcal{T}(\varepsilon)[-f'(\varepsilon)]} = \frac{g(\epsilon)}{G}$$

As a probability density, measuring the contribution to the total conductance of states around a given energy (for a given gate voltage) Or even better:

$$x \equiv \frac{\varepsilon - \mu}{k_B T}$$
, $p(x) \equiv \frac{\mathcal{T}(\mu + k_B T x)}{4G \cosh^2 \frac{x}{2}}$, $\int dx \, p(x) = 1$

Transmission coefficient (transport function) leading to $g^2 \rightarrow 1$ (Mahan-Sofo) : $g^2 = \frac{I_1^2}{I_0 I_2} = \frac{\langle (\varepsilon - \mu) \rangle_p^2}{\langle (\varepsilon - \mu)^2 \rangle_p} = \frac{\langle x \rangle_p^2}{\langle x^2 \rangle_p}$

Clearly, a narrow transport function (transmission coefficient) - approaching asymptotically a δ-function brings g close to unity

Note however: This does not yield the best output power, since the power factor is $\sim I_1^2/I_0$

For optimization of transmission at finite power, see



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Ilustrate this for a single resonant level In the context of mesoscopics: - Quantum Dots -

Early theory work: Beenakker and Staring PRB 46, 9667 (1992) Experimental: Molenkamp et al., see seminar 12/11/2013 Efficiency: Nakpathomkun et al. PRB 82, 235428 (2010) (see also: Mani et al. J. Elec. Mat 38, 1163 (2009)



Nakpathomkun et al.

Transmission coefficient is a Lorentzian:

$$\begin{split} \mathcal{T}(\varepsilon) &= \frac{\Gamma_R \Gamma_L}{(\varepsilon - \varepsilon_r)^2 + (\Gamma_L + \Gamma_R)^2/4} \\ &= \frac{(\Gamma/2)^2}{(\varepsilon - \varepsilon_r)^2 + (\Gamma/2)^2} \ (\Gamma_R = \Gamma_L = \frac{\Gamma}{2}) \end{split} \\ \\ \mathbf{Two \ control \ parameters:} \ \frac{\mu - \varepsilon_r}{k_B T} \ , \ \frac{\Gamma}{k_B T} \\ I_n &= \int dx \frac{x^n}{4 \cosh^2 x/2} \frac{(\Gamma/2k_B T)^2}{\left(\frac{\mu - \varepsilon_r}{k_B T} + x\right)^2 + (\Gamma/2k_B T)^2} \\ \\ \\ \mathbf{Coupling \ constant} \ g^2 \ \left[\frac{\mu - \varepsilon_r}{k_B T}, \frac{\Gamma}{k_B T}\right] \end{split}$$

For fixed Γ/kT optimize over bias Δμ AND μ-ε_r

Nakpathomkun et al. PRB 82, 235428 (2010)

FIG. 2. (Color online) (a) Power and (b) efficiency normalized by Carnot efficiency, of a QD as a function of bias voltage V and average chemical potential μ , for $T_C=300$ K, $T_H=330$ K ($\Delta T/T_C=0.1$), and $\Gamma=0.01kT$. The open-circuit voltage, V_{oc} is highlighted in (red) dashed line (peak V_{oc} corresponds to $S \approx 2 \text{ meV/K}$). The system works as a generator when the bias is between zero and V_{oc} . The vertical green line indicates the μ where maximum power occurs. (c) Current through a QD is the integral over the product of τ_{QD} (green) [Eq. (3)] and $\Delta f = (f_H - f_C)$, shown here in blue, using the μ and V that result in P_{max} . Two transmission widths, $\Gamma=0.5kT$ and 5kT are plotted here in the approximate position where maximum power would be achieved.



Max efficiency, Max Power, Efficiency at Max Power vs. Γ/kT



Efficiency is harmed by tails of the Lorentzian distribution causing too energetic electrons to waste heat in energy production and other electrons to travel in the wrong direction

Low-T limit kT<<Γ: Sommerfeld expansion as above

$$G = \frac{2e^2}{h} \mathcal{T}(\mu) = \frac{2e^2}{h} \frac{(\Gamma/2)^2}{(\mu - \varepsilon_r)^2 + (\Gamma/2)^2}$$
$$\alpha \simeq -\frac{k_B}{e} \frac{\pi^2}{3} k_B T \frac{\partial}{\partial \mu} \ln \mathcal{T}(\mu)$$
$$= \frac{2\pi^2}{3} \frac{k_B}{e} \frac{(\mu - \varepsilon_r)/k_B T}{[(\mu - \varepsilon_r)/k_B T]^2 + (\Gamma/2k_B T)^2}$$

`sawtooth'







sequential tunneling



Courtesy L.Molenkamp

Sample: Bo_113C Thermoelectricity of mesoscopic systems/nano-devices: New ideas and directions

Three-terminal devices



FIG. 1. (Color online) Energy to current converter. The conductor, a quantum dot open to transport between two fermionic reservoirs at voltages V_1 and V_2 and temperatures T_1 and T_2 , is coupled capacitively to a second dot which acts as a fluctuating gate coupled to a reservoir at voltage V_g and temperature T_g . Here we discuss the case $T_1 = T_2 = T_s$.



For example: 3-terminal setup Entin-Wohlman et al. PRB 82 (2010) 115314

Sanchez & Buttiker PRB 83, 085428 (2011)

Recent experiment/device

PRL 114, 146805 (2015)

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Voltage Fluctuation to Current Converter with Coulomb-Coupled Quantum Dots

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We study the rectification of voltage fluctuations in a system consisting of two Coulomb-coupled quantum dots. The first quantum dot is connected to a reservoir where voltage fluctuations are supplied and the second one is attached to two separate leads via asymmetric and energy-dependent transport barriers. We observe a rectified output current through the second quantum dot depending quadratically on the noise amplitude supplied to the other Coulomb-coupled quantum dot. The current magnitude and direction can be switched by external gates, and maximum output currents are found in the nA region. The rectification delivers output powers in the pW region. Future devices derived from our sample may be applied for energy harvesting on the nanoscale beneficial for autonomous and energy-efficient electronic applications.

Recent review: Sothmann et al. arXiv:1406.5329

A note on the expression of transport coefficients in the bulk, in the Boltzmann equation approach The Boltzmann equation approach $F(\vec{k}, \vec{r}, t)$ Local distribution function Relaxation-time approximation:

dF	$F - F_0$
$\overline{dt} =$	-

$$\frac{dF}{dt} = \frac{\partial F}{\partial t} + \dot{\boldsymbol{k}} \cdot \nabla_{\boldsymbol{k}} F + \dot{\boldsymbol{r}} \cdot \nabla_{\boldsymbol{r}} F$$

For a bulk material, the Boltzmann equation leads to expressions that have complete formal similarity to the above ones. cf 2013-2014 lectures notes (website)

$$\mathcal{T}(\varepsilon) \equiv \frac{2}{\hbar} \int \frac{d^d k}{(2\pi)^d} \tau(\varepsilon_{\mathbf{k}}) \left[\frac{1}{d} \sum_{a} (\nabla^a_{\mathbf{k}} \varepsilon_{\mathbf{k}})^2 \right] \delta(\varepsilon - \varepsilon_{\mathbf{k}})$$

(Dimensionality: L^{2-d})

Key difference: SQUARE of velocity enters here scattering – not ballistic Current-current correlator

$$L_{11} = \frac{1}{\hbar} \int d\varepsilon \left(-\frac{\partial f}{\partial \varepsilon} \right) \mathcal{T}(\varepsilon)$$

$$L_{12} = \frac{1}{\hbar} \frac{1}{T} \int d\varepsilon \left(-\frac{\partial f}{\partial \varepsilon} \right) (\varepsilon - \mu) \mathcal{T}(\varepsilon)$$

$$L_{22} = \frac{1}{\hbar} \frac{1}{T^2} \int d\varepsilon \left(-\frac{\partial f}{\partial \varepsilon} \right) (\varepsilon - \mu)^2 \mathcal{T}(\varepsilon)$$

$$\sigma = e^2 L_{11} \ , \ \alpha = -\frac{L_{12}}{eL_{11}} \ , \ \kappa = T\left(L_{22} - \frac{L_{12}^2}{L_{22}}\right)$$

Consequences for a heavily doped small-gap semiconductor (see notes on the website)

These expressions are for a single type of carriers (e.g. electrons in the conduction band)

Chemical potential counted from the bottom of the conduction band :

$$\delta \mu$$
 , $(\eta \equiv \frac{\delta \mu}{k_B T})$

Scattering time and Transport function:

$$\tau(\varepsilon) \sim (\varepsilon - \varepsilon_c)^r , \ \Phi(\varepsilon) \sim (\varepsilon - \varepsilon_c)^{\phi}$$

[Scattering by acoustic phonons: r = -1/2] [Parabolic band: $\phi=3/2$]

Thermopower:

(Note the first term $\delta \mu/kT$)

$$\alpha = \frac{k_B}{e} \left[\eta - \frac{r + \phi + 1}{r + \phi} \frac{F_{r+\phi}(\eta)}{F_{r+\phi-1}(\eta)} \right]$$

Lorenz number:

$$\left(\frac{e}{k_B}\right)^2 \mathcal{L}_e(\eta) = \frac{r+\phi+2}{r+\phi} \frac{F_{r+\phi+1}(\eta)}{F_{r+\phi-1}(\eta)} - \left(\frac{r+\phi+1}{r+\phi}\right)^2 \left(\frac{F_{r+\phi}(\eta)}{F_{r+\phi-1}(\eta)}\right)^2$$

Fermi integrals:

$$F_n(\eta) \equiv \int_0^\infty dx \, \frac{x^n}{1 + e^{x - \eta}}$$

• Density of carriers (parabolic band):

$$n = 4\pi \left(\frac{2m_c k_B T}{h^2}\right)^{3/2} F_{1/2}(\eta)$$
(5)

• Mobility (parabolic band) - not to be confused with chemical potential-:

$$\mu(T,\eta) = \frac{e\,\tau(T)}{m_c} \frac{2}{3} (r + \frac{3}{2}) \frac{F_{r+1/2}(\eta)}{F_{1/2}(\eta)} \ , \ \tau(T) \equiv \tau(\varepsilon = k_B T) \simeq C_\tau (k_B T)^r$$

• Conductivity (parabolic band):

$$\sigma = n e \mu(T, \eta) = \sigma_0(T) \frac{F_{r+1/2}(\eta)}{\Gamma(r+3/2)} ,$$

$$\sigma_0(T) = \frac{8\pi}{3} \Gamma(r + \frac{5}{2}) \left(\frac{2m_c k_B T}{h^2}\right)^{3/2} \frac{e \tau(T)}{m_c}$$

Power factor, conductivity and Seebeck vs. chemical potential:



Optimum for $\eta \sim 0.1$, corresponding to $n \sim 10^{20}/\text{cm}^3$ Optimum ZT for $\eta \sim -0.5$, $n\sim 10^{19}$ \rightarrow Optimum Seebeck around 200 μ V/K

Acoustic phonon scattering r=-1/2 assumed in this plot