

Collège de France  
Structures de données persistantes  
Séminaire

## Structures de données semi-persistantes

Jean-Christophe Filliâtre

CNRS

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Sylvain Conchon

Alt-Ergo, un démonstrateur automatique écrit dans un style fonctionnel.

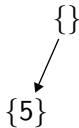
Amène notamment le problème d'une structure persistante pour les classes d'équivalence.

```
let v0 = empty ()
```

```
}
```

```
let v0 = empty ()
```

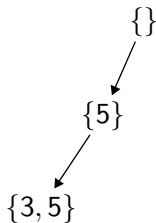
```
let v1 = add 5 v0
```



```
let v0 = empty ()
```

```
let v1 = add 5 v0
```

```
let v2 = add 3 v1
```

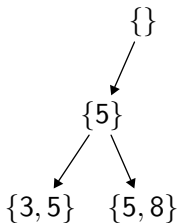


```
let v0 = empty ()
```

```
let v1 = add 5 v0
```

```
let v2 = add 3 v1
```

```
let v3 = add 8 v1
```



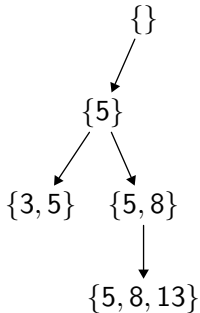
```
let v0 = empty ()
```

```
let v1 = add 5 v0
```

```
let v2 = add 3 v1
```

```
let v3 = add 8 v1
```

```
let v4 = add 13 v3
```



```
let v0 = empty ()
```

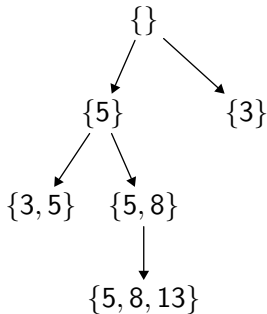
```
let v1 = add 5 v0
```

```
let v2 = add 3 v1
```

```
let v3 = add 8 v1
```

```
let v4 = add 13 v3
```

```
let v5 = add 3 v0
```





```
let v0 = empty ()
```

```
let v1 = add 5 v0
```

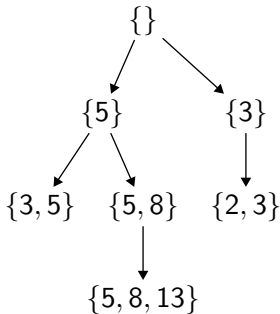
```
let v2 = add 3 v1
```

```
let v3 = add 8 v1
```

```
let v4 = add 13 v3
```

```
let v5 = add 3 v0
```

```
let v6 = add 2 v5
```



```
let v0 = create ...
```

```
let v1 = update v0 ...
```

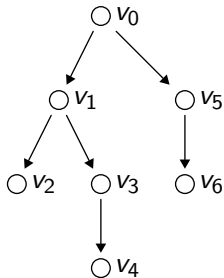
```
let v2 = update v1 ...
```

```
let v3 = update v1 ...
```

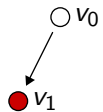
```
let v4 = update v3 ...
```

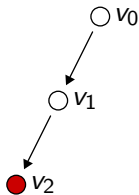
```
let v5 = update v0 ...
```

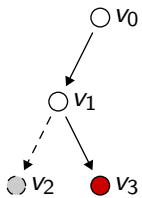
```
let v6 = update v5 ...
```

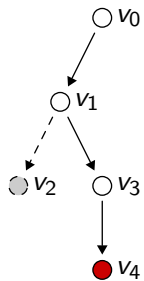


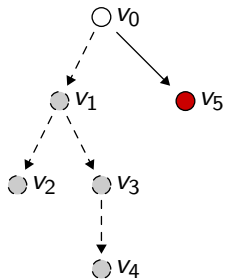
●  $v_0$



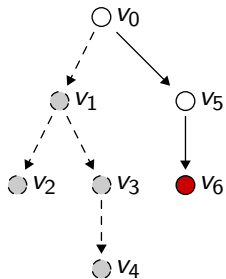


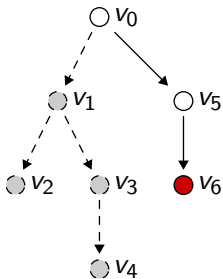












Une structure de données est dite **semi-persistante** si elle n'autorise des opérations que sur des **ancêtres** de la version courante.

## 1. Exemples de structures semi-persistantes

- tableaux
- classes d'équivalence
- listes
- graphes

## 2. Performance

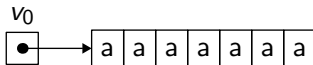
- gain en temps
- gain en mémoire

## 3. Le bon usage

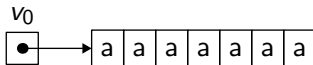
- s'assurer qu'on utilise uniquement des versions valides

```
type 'a parray =  
  'a data ref  
  
and 'a data =  
| Base of 'a array  
| Diff of int * 'a * 'a parray
```

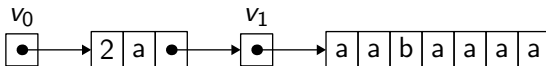
```
let v0 = create 7 a
```



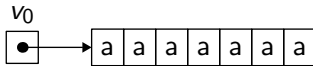
```
let v0 = create 7 a
```



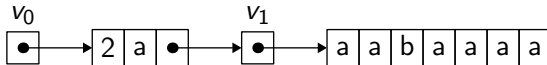
```
let v1 = set v0 2 b
```



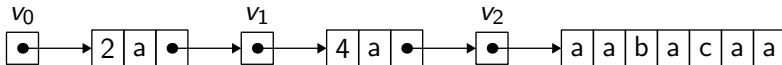
```
let v0 = create 7 a
```

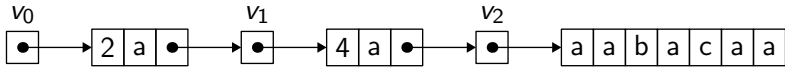


```
let v1 = set v0 2 b
```



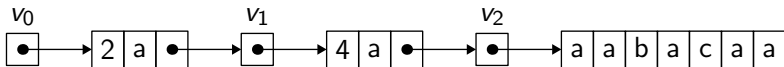
```
let v2 = set v1 4 c
```





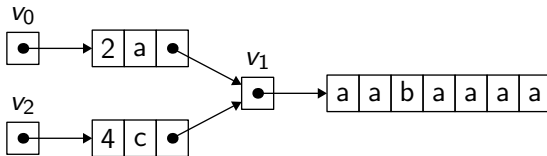
```
let v3 = set v1 5 d
```

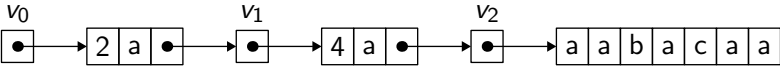




```
let v3 = set v1 5 d
```

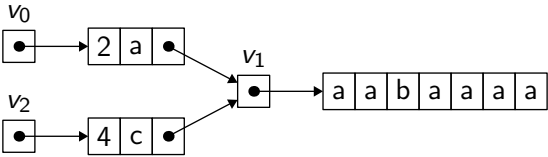
On revient à la version  $v_1$  :



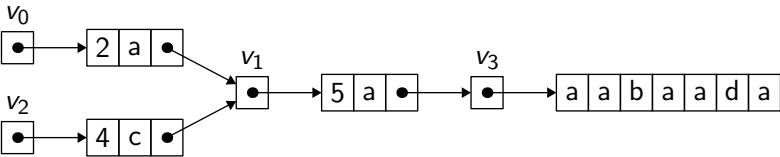


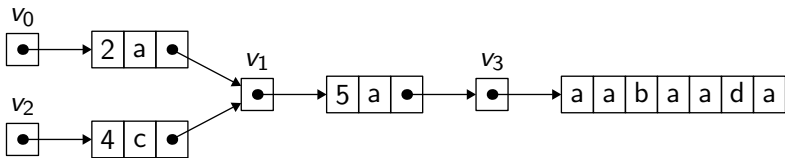
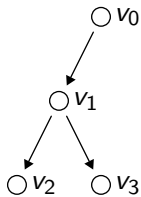
```
let v3 = set v1 5 d
```

On revient à la version  $v_1$  :



Puis on construit  $v_3$  :

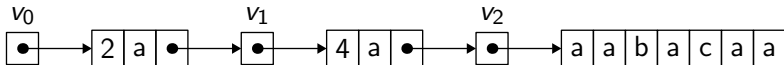
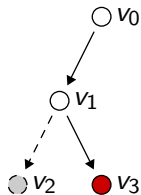




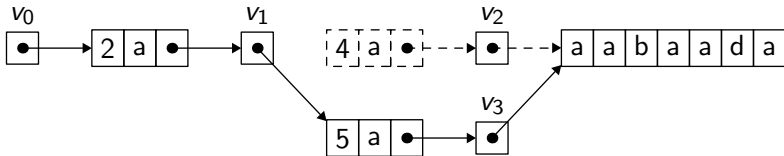
Pour rendre ces tableaux semi-persistants, il suffit **de ne faire qu'une partie du travail**.

- On suit la chaîne de pointeurs et on écrit les valeurs dans le tableau.
- Mais on ne cherche plus à garantir la cohérence des structures croisées en chemin.

```
let v0 = create 7 a
let v1 = set v0 2 b
let v2 = set v1 4 c
```



```
let v3 = set v1 5 d
```

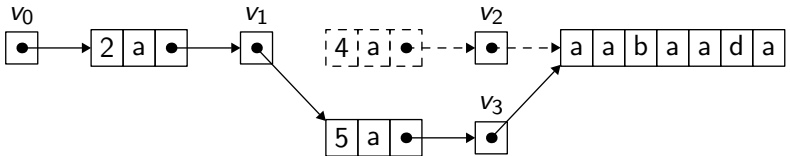
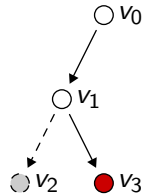


...

let v4 = set v3 2 e

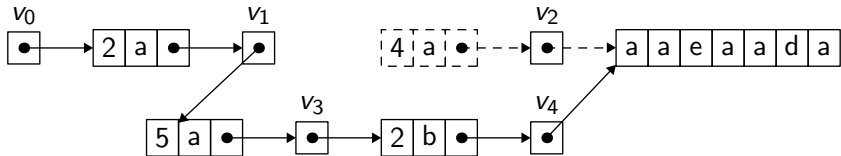
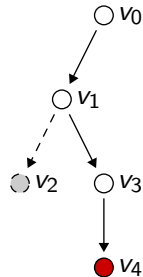
let v5 = set v0 6 f

let v6 = set v5 3 g



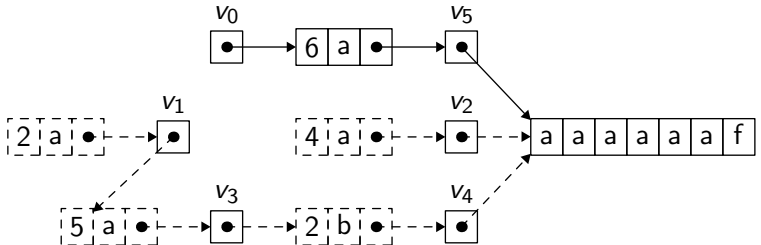
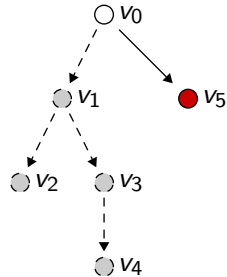
...

```
let v4 = set v3 2 e
let v5 = set v0 6 f
let v6 = set v5 3 g
```



```

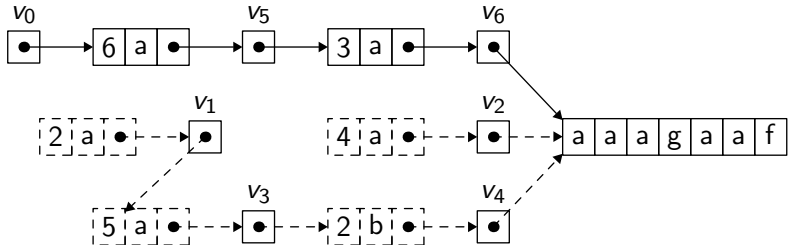
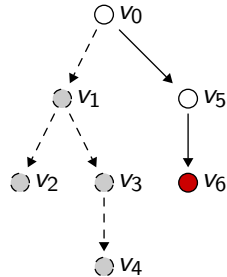
...
let v4 = set v3 2 e
let v5 = set v0 6 f
let v6 = set v5 3 g
    
```





```

...
let v4 = set v3 2 e
let v5 = set v0 6 f
let v6 = set v5 3 g
    
```



On a **économisé** quelques opérations (un accès dans un tableau, une allocation et une affectation).

On a **caché** les informations de retour sur trace dans la structure de données, que l'on continue à utiliser comme une structure persistante.

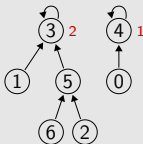
Bien entendu, on s'oblige à utiliser la structure correctement, c'est-à-dire en effectuant **uniquement des retours en arrière**.

Nous verrons plus loin comment l'assurer.

## application : classes d'équivalence

Avec deux tableaux semi-persistants, on construit une **structure semi-persistante pour les classes d'équivalence** de  $\{0, 1, \dots, N-1\}$ .

```
type spuf = {  
  link: int sparray;  
  rank: int sparray;  
}
```



Elle a l'interface d'une structure persistante :

```
type spuf  
val create: int -> spuf  
val find: spuf -> int -> spuf * int  
val union: spuf -> int -> int -> spuf
```

```
type 'a splist

val nil: unit -> 'a splist
val cons: 'a -> 'a splist -> 'a splist

val is_nil: 'a splist -> bool
val head: 'a splist -> 'a
val tail: 'a splist -> 'a splist
```

Constatation : une liste valide est un **suffixe** de la version courante.

D'où l'idée de **réutiliser** les cellules d'anciens préfixes.

```
type 'a splist = {  
  mutable head: 'a;  
           tail: 'a splist;  
  mutable prev: 'a splist;  
}
```

```
type 'a splist = {  
  mutable head: 'a;  
           tail: 'a splist;  
  mutable prev: 'a splist;  
}
```

```
let v0 = nil ()  
let v1 = cons a v0  
let v2 = cons b v1  
let v3 = cons c v1  
let v4 = cons d v3  
let v5 = cons e v0  
let v6 = cons f v5
```

v0 

⊥	⊥	⊥
---	---	---

```

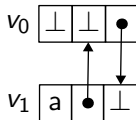
type 'a splist = {
  mutable head: 'a;
           tail: 'a splist;
  mutable prev: 'a splist;
}

```

```

let v0 = nil ()
let v1 = cons a v0
let v2 = cons b v1
let v3 = cons c v1
let v4 = cons d v3
let v5 = cons e v0
let v6 = cons f v5

```





```

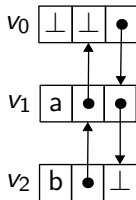
type 'a splist = {
  mutable head: 'a;
           tail: 'a splist;
  mutable prev: 'a splist;
}

```

```

let v0 = nil ()
let v1 = cons a v0
let v2 = cons b v1
let v3 = cons c v1
let v4 = cons d v3
let v5 = cons e v0
let v6 = cons f v5

```



```

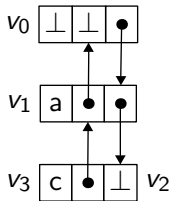
type 'a splist = {
  mutable head: 'a;
           tail: 'a splist;
  mutable prev: 'a splist;
}

```

```

let v0 = nil ()
let v1 = cons a v0
let v2 = cons b v1
let v3 = cons c v1
let v4 = cons d v3
let v5 = cons e v0
let v6 = cons f v5

```



```

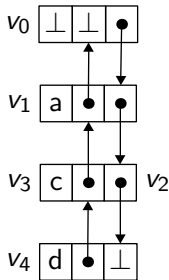
type 'a splist = {
  mutable head: 'a;
           tail: 'a splist;
  mutable prev: 'a splist;
}

```

```

let v0 = nil ()
let v1 = cons a v0
let v2 = cons b v1
let v3 = cons c v1
let v4 = cons d v3
let v5 = cons e v0
let v6 = cons f v5

```



```

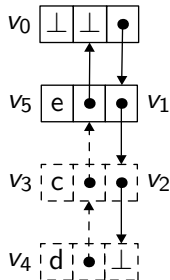
type 'a splist = {
  mutable head: 'a;
           tail: 'a splist;
  mutable prev: 'a splist;
}

```

```

let v0 = nil ()
let v1 = cons a v0
let v2 = cons b v1
let v3 = cons c v1
let v4 = cons d v3
let v5 = cons e v0
let v6 = cons f v5

```



```

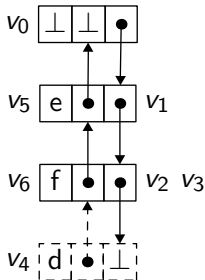
type 'a splist = {
  mutable head: 'a;
           tail: 'a splist;
  mutable prev: 'a splist;
}

```

```

let v0 = nil ()
let v1 = cons a v0
let v2 = cons b v1
let v3 = cons c v1
let v4 = cons d v3
let v5 = cons e v0
let v6 = cons f v5

```



```

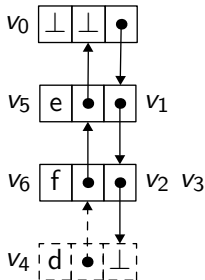
type 'a splist = {
  mutable head: 'a;
          tail: 'a splist;
  mutable prev: 'a splist;
}

```

```

let v0 = nil ()
let v1 = cons a v0
let v2 = cons b v1
let v3 = cons c v1
let v4 = cons d v3
let v5 = cons e v0
let v6 = cons f v5

```

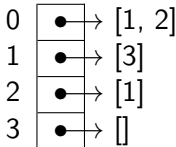
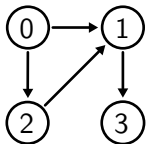


(Peut conduire à des fuites mémoire.)

## application : graphes semi-persistants

Avec des tableaux semi-persistants et des listes semi-persistantes, on peut construire une **structure semi-persistante pour des graphes** dont les sommets sont  $0, 1, \dots, N - 1$ , avec des listes d'adjacence.

```
type spgraph =  
  int splist sparray
```

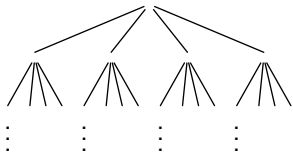


---

performance



On explore un arbre de hauteur 6 et de branchement 4.



Entre deux nœuds, on fait  $N$  opérations sur la structure de données.

Au total, on effectue donc

- $4^6 N$  opérations sur la structure ;
- $3(4^6 - 1)$  retours en arrière.

$N$	1000	5000	10 000
tableaux persistants	0,26	2,06	5,71
semi-persistants	0,13	0,90	2,27
listes persistantes	0,03	0,24	0,53
semi-persistantes	0,03	0,12	0,24
graphes persistants	0,34	3,09	8,84
semi-persistants	0,23	1,65	3,93

On mesure le nombre total de Mo alloués par le GC.

<i>N</i>	1000	5000	10 000
tableaux persistants	750	3750	7490
semi-persistants	250	1250	2500
listes persistantes	125	625	1250
semi-persistantes	0,22	1,08	2,15
graphes persistants	875	4370	8740
semi-persistants	375	1880	3750

---

le bon usage

On manipule différentes versions d'une structure de données globale d'un type `semi`, avec deux opérations :

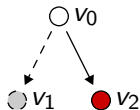
- construire une nouvelle version de la structure

```
val update: semi -> semi
```

- utiliser une version de la structure

```
val access: semi -> elt
```

Ces deux opérations exigent une version **valide**.



Correct :

```
let good (v0: semi) =  
  let v1 = update v0 in  
  let v2 = update v0 in  
  access v2
```

Incorrect :

```
let bad (v0: semi) =  
  let v1 = update v0 in  
  let v2 = update v0 in  
  access v1
```

Correct :

```
let rec backtracking (v: semi) =  
  if ... access v ... then (  
    ...  
    backtracking (update v);  
    ...  
    backtracking (update v);  
    ...  
  )
```

Il serait facile d'**invalid**er un tableau semi-persistent, en ajoutant un troisième constructeur.

```

type 'a parray =
  'a data ref

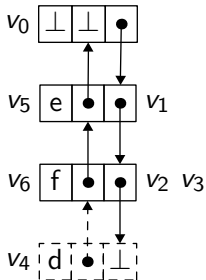
and 'a data =
| Base of 'a array
| Diff of int * 'a * 'a parray
| Invalid
    
```

C'est à peine plus de travail.



C'est en revanche moins immédiat pour les listes.

Sur l'exemple suivant,



il est facile d'invalider  $v_4$ , mais moins évident d'invalider  $v_1$ ,  $v_2$ ,  $v_3$ .

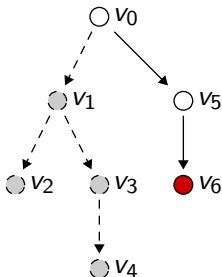
Montrons le bon usage de la structure semi-persistante en utilisant la **vérification déductive**.

1. Annoter les programmes avec un langage logique.
2. Calculer les conditions de vérification.
3. Montrer leur validité.

## Notons

- $cur$  la version courante de la structure,
- $prev(x)$  l'ancêtre immédiat de la version  $x$ ,
- $path(x, y)$  la relation «  $x$  est un ancêtre de  $y$  »,  
*i.e.*,  $path$  est la clôture réflexive transitive de  $prev^{-1}$ .

En particulier, la **validité** de la version  $x$  s'écrit  $path(x, cur)$ .



Donnons à nos fonctions un contrat formel de la forme

$$f : (x : \tau_1) \rightarrow^\varepsilon (r : \tau_2)$$

**requires**  $P$   
**ensures**  $Q$

où

- $P$  est une **précondition**
- $Q$  est une **postcondition**
- $\varepsilon$  est un **effet latent**
  - $\top$  : la version courante *cur* a (peut-être) changé
  - $\perp$  : la version courante *cur* n'a pas changé

update : (x : semi)  $\rightarrow^T$  (r : semi)  
 requires path(x, cur)  
 ensures r = cur  $\wedge$  prev(cur) = x

access : (x : semi)  $\rightarrow^T$  elt  
 requires path(x, cur)  
 ensures cur = x

```
let good (v0: semi) =  
  (* requires path(v0, cur) *)  
  let v1 = update v0 in  
  let v2 = update v0 in  
  access v2
```

$$\begin{aligned} \forall v_0. \forall cur. \text{path}(v_0, cur) &\Rightarrow \\ \text{path}(v_0, cur) \wedge & \\ \forall v_1. \forall cur_1. (v_1 = cur_1 \wedge \text{prev}(v_1) = v_0) &\Rightarrow \\ \text{path}(v_0, cur_1) \wedge & \\ \forall v_2. \forall cur_2. (v_2 = cur_2 \wedge \text{prev}(v_2) = v_0) &\Rightarrow \\ \text{path}(v_2, cur_2) & \end{aligned}$$

```

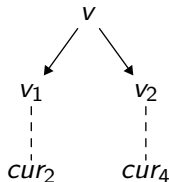
let bad (v0: semi) =
  (* requires path(v0, cur) *)
  let v1 = update v0 in
  let v2 = update v0 in
  access v1

```

$$\begin{aligned}
& \forall v_0. \forall cur. \text{path}(v_0, cur) \Rightarrow \\
& \quad \text{path}(v_0, cur) \wedge \\
& \quad \forall v_1. \forall cur_1. (v_1 = cur_1 \wedge \text{prev}(v_1) = v_0) \Rightarrow \\
& \quad \quad \text{path}(v_0, cur_1) \wedge \\
& \quad \quad \forall v_2. \forall cur_2. (v_2 = cur_2 \wedge \text{prev}(v_2) = v_0) \Rightarrow \\
& \quad \quad \quad \text{path}(v_1, cur_2)
\end{aligned}$$

```
let rec backtracking (v: semi) =  
  (* requires path(v, cur) *)  
  (* ensures path(v, cur) *)  
  if ... access v ... then ( ...  
    backtracking (update v); ...  
    backtracking (update v); ... )
```

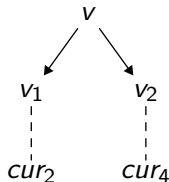
$\forall v. \forall cur. \text{path}(v, cur) \Rightarrow$





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$\forall v. \forall cur. \text{path}(v, cur) \Rightarrow$   
 $\text{path}(v, cur) \wedge$



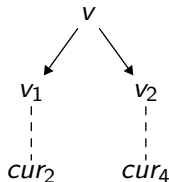
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```

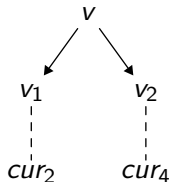
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$$\forall v. \forall cur. \text{path}(v, cur) \Rightarrow$$

$$\text{path}(v, cur) \wedge$$

$$\text{path}(v, cur) \wedge$$

$$\forall v_1. \forall cur_1. (v_1 = cur_1 \wedge \text{prev}(v_1) = v) \Rightarrow$$


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    backtracking (update v); ...
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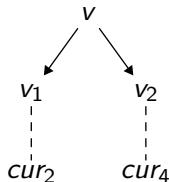
```

$$\forall v. \forall cur. \text{path}(v, cur) \Rightarrow$$

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```

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```

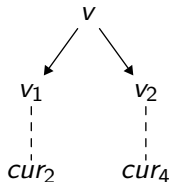
$$\forall v. \forall cur. \text{path}(v, cur) \Rightarrow$$

$$\text{path}(v, cur) \wedge$$

$$\text{path}(v, cur) \wedge$$

$$\forall v_1. \forall cur_1. (v_1 = cur_1 \wedge \text{prev}(v_1) = v) \Rightarrow$$

$$\text{path}(v_1, cur_1) \wedge$$

$$\forall cur_2. \text{path}(v_1, cur_2) \Rightarrow$$


```

let rec backtracking (v: semi) =
  (* requires path(v, cur) *)
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```

$$\forall v. \forall cur. \text{path}(v, cur) \Rightarrow$$

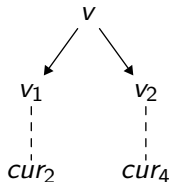
$$\text{path}(v, cur) \wedge$$

$$\text{path}(v, cur) \wedge$$

$$\forall v_1. \forall cur_1. (v_1 = cur_1 \wedge \text{prev}(v_1) = v) \Rightarrow$$

$$\text{path}(v_1, cur_1) \wedge$$

$$\forall cur_2. \text{path}(v_1, cur_2) \Rightarrow$$

$$\text{path}(v, cur_2) \wedge$$


```

let rec backtracking (v: semi) =
  (* requires path(v, cur) *)
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```

$$\forall v. \forall cur. \text{path}(v, cur) \Rightarrow$$

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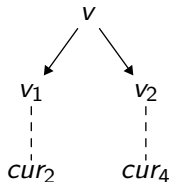
$$\text{path}(v, cur) \wedge$$

$$\forall v_1. \forall cur_1. (v_1 = cur_1 \wedge \text{prev}(v_1) = v) \Rightarrow$$

$$\text{path}(v_1, cur_1) \wedge$$

$$\forall cur_2. \text{path}(v_1, cur_2) \Rightarrow$$

$$\text{path}(v, cur_2) \wedge$$

$$\forall v_2. \forall cur_3. (v_2 = cur_3 \wedge \text{prev}(v_2) = v) \Rightarrow$$


```

let rec backtracking (v: semi) =
  (* requires path(v, cur) *)
  (* ensures path(v, cur) *)
  if ... access v ... then ( ...
    backtracking (update v); ...
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```

$$\forall v. \forall cur. \text{path}(v, cur) \Rightarrow$$

$$\text{path}(v, cur) \wedge$$

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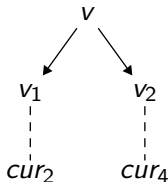
$$\forall v_1. \forall cur_1. (v_1 = cur_1 \wedge \text{prev}(v_1) = v) \Rightarrow$$

$$\text{path}(v_1, cur_1) \wedge$$

$$\forall cur_2. \text{path}(v_1, cur_2) \Rightarrow$$

$$\text{path}(v, cur_2) \wedge$$

$$\forall v_2. \forall cur_3. (v_2 = cur_3 \wedge \text{prev}(v_2) = v) \Rightarrow$$

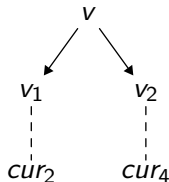
$$\text{path}(v_2, cur_3) \wedge$$




```

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```

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&\quad \text{path}(v, cur) \wedge \\
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&\quad \quad \forall cur_2. \text{path}(v_1, cur_2) \Rightarrow \\
&\quad \quad \quad \text{path}(v, cur_2) \wedge \\
&\quad \quad \quad \forall v_2. \forall cur_3. (v_2 = cur_3 \wedge \text{prev}(v_2) = v) \Rightarrow \\
&\quad \quad \quad \quad \text{path}(v_2, cur_3) \wedge \\
&\quad \quad \quad \quad \forall cur_4. \text{path}(v_2, cur_4) \Rightarrow \text{path}(v, cur_4)
\end{aligned}$$


Nos conditions de vérification  $\phi$  ont une forme simple :

$$\begin{array}{ll}
 \text{termes } t & ::= x \mid \text{prev}(t) \\
 \text{atomes } a & ::= t = t \mid \text{path}(t, t) \\
 \text{cond. de vérif. } \phi & ::= a \mid \phi \wedge \phi \mid a \Rightarrow \phi \mid \forall x. \phi
 \end{array}$$

Elles doivent être valides dans la théorie  $\mathcal{T}$  qui combine l'égalité et les trois règles suivantes :

$$\frac{}{\text{path}(x, x)} \quad \frac{}{\text{path}(\text{prev}(x), x)} \quad \frac{\text{path}(x, y) \quad \text{path}(y, z)}{\text{path}(x, z)}$$

Il se trouve que déterminer

$$\mathcal{T} \models a_1 \wedge a_2 \wedge \cdots \wedge a_m \Rightarrow a$$

est **décidable**.

On veut décider la formule  $\phi \stackrel{\text{def}}{=} a_1 \wedge a_2 \wedge \dots \wedge a_m \Rightarrow a$ .

1. On construit l'ensemble  $H = \{a_1, \dots, a_m\}$ .
2. On clôt  $H$  par congruence :

$$\frac{t \in \phi}{t = t \in H} \quad \frac{t_1 = t_2 \in H}{t_2 = t_1 \in H} \quad \frac{t_1 = t_2 \in H \quad t_2 = t_3 \in H}{t_1 = t_3 \in H}$$

$$\frac{\text{prev}(t_1), \text{prev}(t_2) \in \phi \quad t_1 = t_2 \in H}{\text{prev}(t_1) = \text{prev}(t_2) \in H}$$

$$\frac{\text{path}(t_1, t_2) \in \phi \quad t_1 = t_3 \in H \quad t_2 = t_4 \in H}{\text{path}(t_3, t_4) \in H}$$

On fait cela avec une structure de **classes d'équivalence**.

On veut décider la formule  $\phi \stackrel{\text{def}}{=} a_1 \wedge a_2 \wedge \dots \wedge a_m \Rightarrow a$ .

3. Si  $a$  est de la forme  $t_1 = t_2$ ,  
on teste  $t_1 = t_2 \in H$ .
4. Si  $a$  est de la forme  $\text{path}(t_1, t_2)$ ,
  - 4.1 on construit un **graphe orienté**  $G$  dont les sommets sont les termes de  $H$  et
    - si  $\text{prev}(t) \in H$ , on a un arc  $\text{prev}(t) \rightarrow t$ ,
    - si  $\text{path}(t, t') \in H$ , on a un arc  $t \rightarrow t'$ ,
    - si  $t = t' \in H$ , on a deux arcs  $t \rightarrow t'$  et  $t' \rightarrow t$ ;
  - 4.2 on teste l'existence d'un chemin de  $t_1$  à  $t_2$  dans  $G$ .

On peut le faire **incrémentalement**, en parcourant la condition de vérification,

```
let rec decide ctx = function
  | Fatom a          -> decide_atom ctx a
  | Fand (f1, f2)   -> decide ctx f1 && decide ctx f2
  | Fimp (a, f)     -> decide (assume ctx a) f
  | Fforall f       -> decide ctx f
```

où `ctx` désigne l'état de la procédure de décision, c'est-à-dire

- une structure de classes d'équivalence ;
- un graphe.

La fonction `decide` fait un usage **semi-persistant** du contexte `ctx`.

On peut donc le réaliser ainsi :

```
type ctx = {  
  uf: spuf;  
  gr: spgraph;  
}
```

En particulier, on peut vérifier le bon usage de ce contexte par la fonction `decide`... avec la fonction `decide` elle-même !

- En pratique, on veut également permettre
  - la création dynamique de structures ;
  - l'utilisation simultanée de plusieurs ensembles disjoints de structures semi-persistantes.
- On a vérifié le bon usage d'une structure semi-persistante, mais il faut également vérifier **son implémentation**.



Une **structure semi-persistante**, c'est à la fois

- moins de possibilités qu'avec une structure persistante, mais on gagne en performances ;
- plus de possibilités qu'avec une structure éphémère, car on peut faire des retours en arrière.

On peut vérifier **mécaniquement** la bonne utilisation d'une structure semi-persistante.

- Sylvain Conchon & Jean-Christophe Filliâtre  
**Semi-Persistent Data Structures**  
ESOP 2008, LNCS vol. 4960, pp 322–336  
<https://hal.inria.fr/hal-04045849>
- Code :  
<https://github.com/backtracking/spds>
- Gabriel Scherer  
**Backtracking reference stores**  
JFLA 2023  
<https://hal.inria.fr/hal-03936704/>